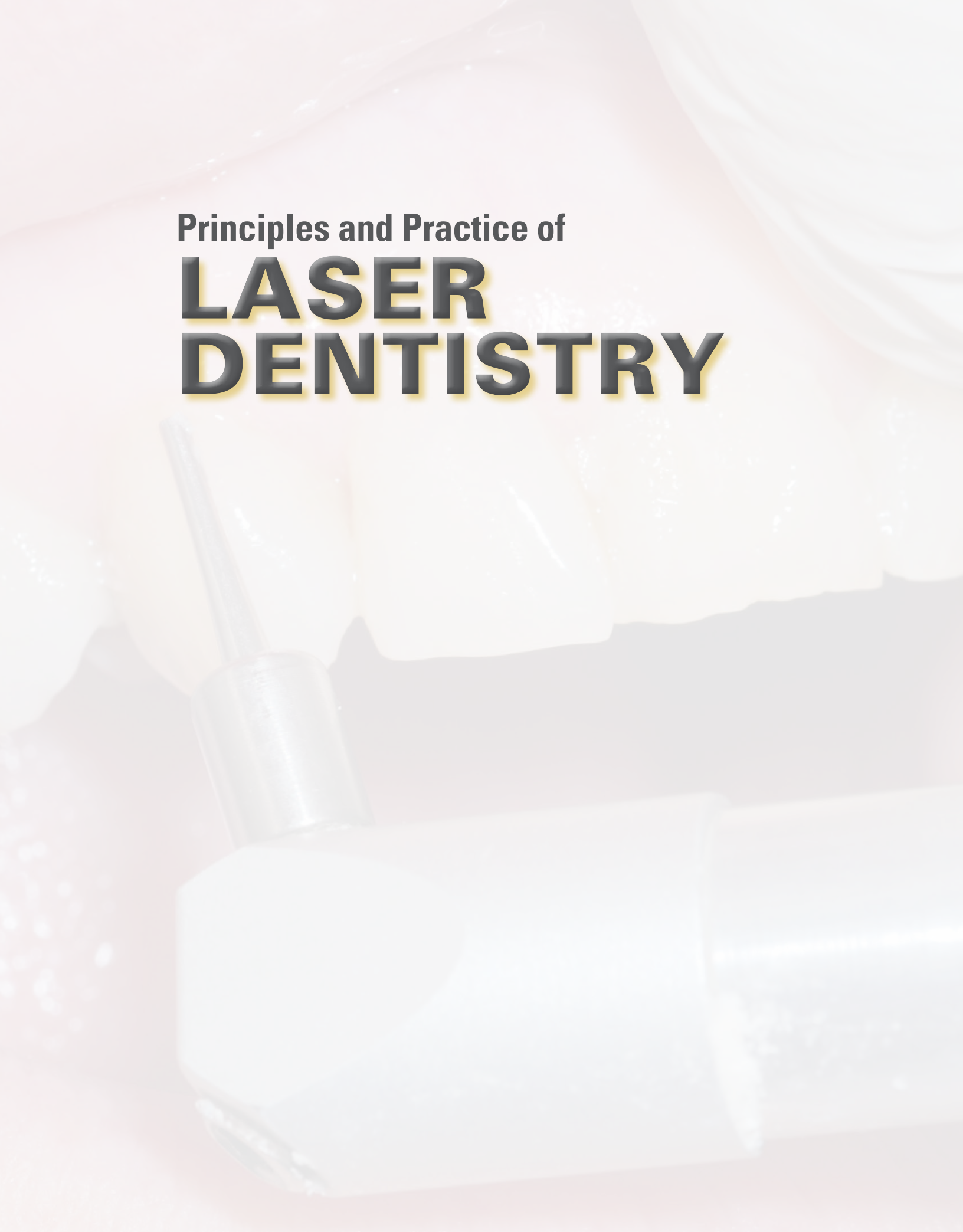




Principles and Practice of

LASER DENTISTRY

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Principles and Practice of **LASER DENTISTRY**

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ELSEVIER

Einstein's "Splendid Light": Origins and Dental Applications

1

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Humankind's fascination with the properties of light and its applications in medicine can be traced to ancient times. Developments in physics at the beginning of the twentieth century laid the foundation for laser theory postulated by Albert Einstein, culminating in the invention of this special form of light in 1960. Soon thereafter, researchers began to explore possible applications of laser technology in medical and dental treatment.

The medicinal use of light for diagnostic and therapeutic purposes dates from antiquity. Light allowed early physicians to observe skin color, inspect wounds, and choose a suitable therapeutic course of action. Heat from sunlight or campfires was used for therapy. Greeks and Romans took daily sunbaths, and solariums were incorporated in many Roman houses.¹ Ancient Egyptians, Chinese, and Indians used light to treat rickets, psoriasis, skin cancer, and even psychosis.²

The ancient Egyptians, Indians, and Greeks also used natural sunlight to repigment patients with vitiligo by activating the naturally occurring photosensitizer *psoralen*, found in parsley and other plants.³⁻⁵ In the eighteenth and nineteenth centuries, European physicians used sunlight and artificial light to treat cutaneous tuberculosis, psoriasis, eczema, and mycosis fungoides.³ These and other applications of light were precursors to the invention and subsequent use of a special form of light—lasers—in the medical field over the past 4½ decades.

This chapter examines the efforts of select laser pioneers in dentistry and summarizes current intraoral clinical applications of lasers.

EARLY PUBLISHED THEORIES OF LIGHT

Philosophers and scientists long pondered the nature of light: was it composed of particles, waves, pressure, or some other substance or force?

In his *Book of Optics*, published in 1021, Persian mathematician, scientist, and philosopher Ibn al-Haytham described light as being composed of a stream of tiny particles that travel in straight lines and bounce off objects that they strike.⁶ Pierre Gassendi, a French philosopher, scientist, astronomer, and

mathematician, described his particle theory of light (published posthumously in 1658 in Lyon, France, as part of the six volumes of his collected works, the *Opera Omnia*), in effect introducing to European scholars the atomism view of the universe identified by the ancient Greek philosopher Epicurus (341-270 BCE)⁷ (Figure 1-1).

Gassendi's work influenced English physicist Sir Isaac Newton (1642-1727), who described light as "corpuscles" or particles of matter that "were emitted in all directions from a source"^{8,9} (Figure 1-2). Newton proposed the theory of particle dynamics, which later would be developed to describe the behavior of particles reacting to the influence of arbitrary forces.¹⁰ The particle view of light differed from that of French philosopher and scientist René Descartes, who in his 1637 *Discourse* saw light as a type of "pressure," which foreshadowed the postulation of the wave theory of light¹¹ (Figure 1-3).

In 1665, English scientist Robert Hooke suggested his wave theory of light, likening the spread of light vibrations to that of waves in water: "every pulse or vibration of the luminous body will generate a sphere, which will continually increase, and grow bigger, just after the same manner (though infinitely swifter) as the waves or rings on the surface of the water do swell into bigger and bigger circles about a point."¹² The wave concept was subsequently proved experimentally by Scottish physicist James Clerk Maxwell, who in 1865 proposed an electromagnetic wave theory of light and demonstrated that electromagnetic waves traveled at precisely the speed of light.¹³

DEVELOPMENT OF QUANTUM THEORY

The previous theories, useful as they might have been before 1900, did not entirely or satisfactorily describe the characteristics of light observed by the scientific community; light behaved as particles in some cases and as waves in others. This context of inquiry led to the field of *quantum theory*.

On Dec. 14, 1900, German physicist Max Planck delivered a lecture before the German Physical Society (Deutsche Physikalische Gesellschaft) in which he theorized that light consisted of discrete and indivisible packets of radiant energy

that he named *quanta*. He described what eventually became known as the elemental unit of energy (E), as $E = h\nu$, where h is a constant of nature with the dimension of action ($= \text{energy} \times \text{time}$, 6.626×10^{-34} joule second), subsequently called Planck's constant, and ν is the frequency of radiation. Planck's theory was published late in 1900.¹⁴⁻¹⁶ Eleven years later, British physicist Ernest Rutherford contributed to quantum theory when he postulated a planetary model of the atom based on his experimental observations of the scattering of alpha particles by atoms. In his view an atom comprises a

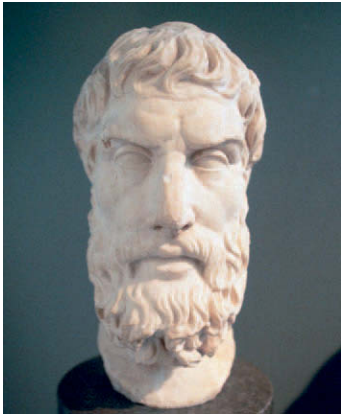


FIGURE 1-1 • Greek philosopher Epicurus (341-270 BCE).

central charge surrounded by a distribution of electrons orbiting within a sphere.¹⁷

Danish physicist Niels Bohr synthesized Rutherford's atom model with Planck's quantum hypothesis (Figure 1-4). In a series of papers published in 1913, Bohr proposed a theory in which electrons revolve in specific orbits around a nucleus without emitting radiant energy. He described the stable, "ground state" of an atom, when all its electrons are at their lowest energy level. Bohr also theorized that an electron may suddenly jump from one specific orbital level to a higher level; to do so, an electron must gain energy. Conversely, an electron must lose energy to move from a higher energy level to a lower level. Thus, an electron can move from one energy level to another by either absorbing or emitting radiant energy or light.^{18,19}

It was in this burgeoning milieu of nascent quantum theory that Albert Einstein made three significant contributions. First, in 1905, Einstein developed his light quantum theory: "In the propagation of a light ray emitted from a point source, the energy is not distributed continuously over ever-increasing volumes of space, but consists of a finite number of energy quanta localized at points of space that move without dividing, and can be absorbed or generated as complete units."²⁰ Singh²¹ points out that this paper on photoelectric effect was the first that Einstein published during his *annus mirabilis* (extraordinary year), in the scientific journal *Annalen der Physik* in 1905; his other papers that year treated Brownian

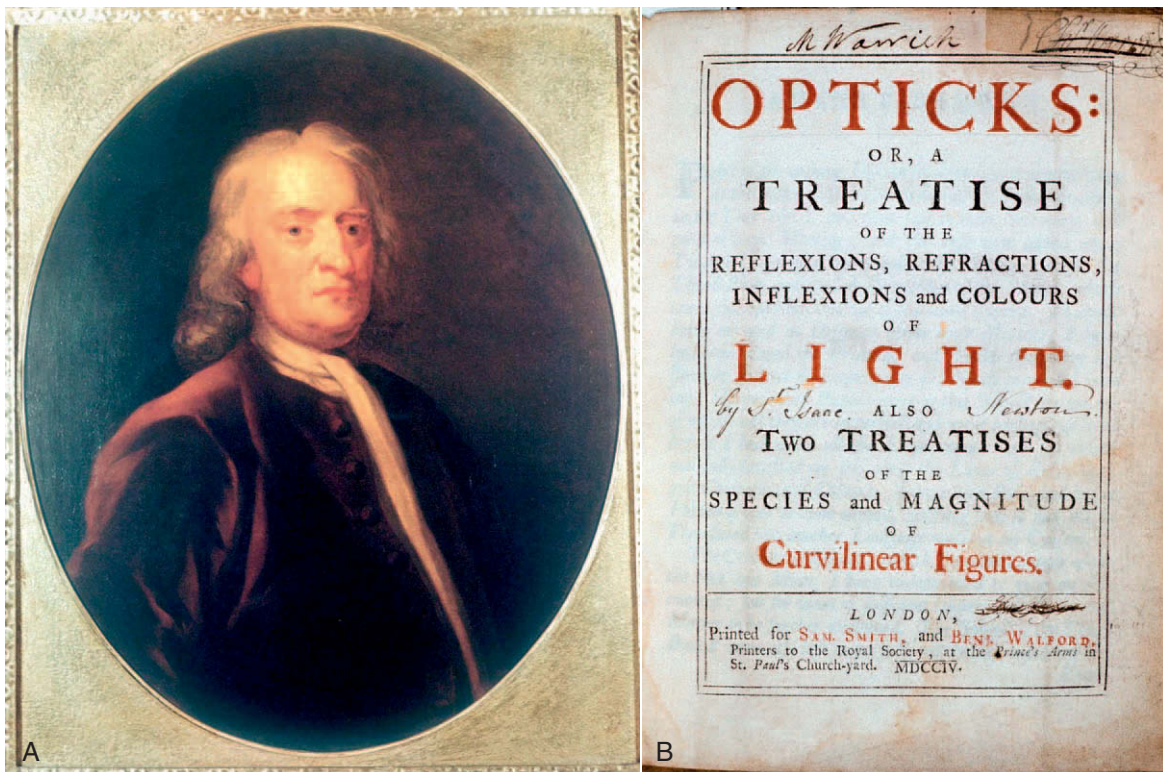


FIGURE 1-2 • A, Sir Isaac Newton (1642-1727). B, Title page from Newton's work *Opticks*, 1704.



FIGURE 1-3 • René Descartes (1596-1650).

motion, special theory of relativity, and matter and energy equivalence ($E = mc^2$). Notably, Einstein himself regarded his light quantum paper as the “most revolutionary” of those that he had published in 1905. He was awarded the 1921 Nobel Prize in physics for this paper. Hallmark and Horn²² state that Einstein’s light quantum theory was so radical compared with other contemporary theories of light that it was not generally accepted until American physicist Robert A. Millikan performed additional experiments in 1916 to support the theory.

Einstein’s 1905 paper made the case for the particle nature of light. In 1909, Einstein made his second significant contribution to laser theory by publishing the first reference in physics to the *wave-particle duality* of light radiation, using Planck’s radiation law. Einstein stated: “It is my opinion that the next phase in the development of theoretical physics will bring us a theory of light which can be interpreted as a kind of fusion of the wave and emission theory. ... Wave structure and quantum structure ... are not to be considered as mutually incompatible. ... We will have to modify our current theories, not to abandon them completely.”^{21,23} In 1916-1917, Einstein made his third important contribution to laser theory by providing a new derivation of Planck’s radiation law,²⁴⁻²⁶ with vast implications. As he wrote to his friend Michele Angelo Besso in 1916, “A splendid light has dawned on me about the absorption and emission of radiation.”²¹



FIGURE 1-4 • Niels Bohr and Albert Einstein in 1925.

Indeed, his new idea provided the basis for subsequent laser development.

Based on quantum theory, two fundamental radiation processes associated with light and matter were known before Einstein’s new derivation: (1) *stimulated absorption*, a process in which an atom can be excited to a higher energy state through such means as heating, light interaction, or particle interaction; and (2) *spontaneous emission*, the process of an excited atom decaying to a lower energy state spontaneously, by itself. Einstein’s breakthrough was the addition of a third alternative: *stimulated emission*, the reverse of the stimulated absorption process. In the presence of other incoming radiation of the same frequency, excited atoms are stimulated to make a transition to the lower energy state—more quickly than in spontaneous emission—and in the process release light energy identical to the incoming form of light. The emitted light has the same frequency and is *in phase* (i.e., coherent) with the stimulating radiation wave. Stimulated emission occurs when there are more excited atoms than atoms that are not excited (i.e., more atoms in upper of two energy levels than in lower level), a condition called *population inversion*. Einstein also showed that the process of stimulated emission occurs with the same probability as absorption from the lower state.²⁷⁻³⁰ Hey et al.³¹ summarized the significance of Einstein’s insight as follows:

For over 35 years this stimulated emission process gained hardly more than a cursory comment in quantum mechanics textbooks, since it seemed to have no practical application. What had been overlooked, however, was the special nature of the light that is emitted in this way. The photons that are emitted have exactly the same phase as the photons that induce the transition. This is because the varying electric fields of the applied light wave cause the charge distribution of the excited atom to oscillate in phase with this radiation. The emitted photons are all in phase—they are coherent—and, furthermore, they travel in the same direction as the inducing photon.

At this point, it should be clarified that the term *photon* was not used by Planck, Bohr, or Einstein up to the time of the Einstein's 1916-17 papers. American chemist Gilbert Lewis³² was apparently the first to use the term when he argued, in a letter to the editor of *Nature* magazine in 1926, for the need for new nomenclature to describe discrete units of radiant energy:

It would seem inappropriate to speak of one of these hypothetical entities as a particle of light, a corpuscle of light, a light quantum, or a light quant, if we are to assume that it spends only a minute fraction of its existence as a carrier of radiant energy, while the rest of the time it remains as an important structural element within the atom. It would also cause confusion to call it merely a quantum, for later it will be necessary to distinguish between the number of these entities present in an atom and the so-called quantum number. I therefore take the liberty of proposing for this hypothetical new atom, which is not light, but plays an essential part in every process of radiation, the name *photon*.

The following accepted definition of photon appears in the *American Heritage Dictionary*³³:

photon n. *Physics.* The quantum of electromagnetic energy, regarded as a discrete particle having zero mass, no electric charge, and an indefinitely long lifetime.

Decades followed Einstein's 1916-17 articles on stimulated emission before significant progress was made in laser development, both theoretically and practically, in the 1950s and 1960s, partly because of the contemporary outlook and training of physicists at the time, as suggested by American physicist Arthur L. Schawlow and later observers. Schooled in the idea that "thermodynamic equilibrium," a state of energy balance, was the normal condition of matter throughout the universe, they tended to believe that population inversion was merely an unusual event or brief permutation, not something particularly significant.^{34,35}

However, the 1920s and 1930s were not entirely bereft of discovery and insight. In 1928, German physicist Rudolf Ladenburg indirectly observed stimulated emission while studying the optical properties of neon gas at wavelengths near a transition where the gas absorbed and emitted light. This was the first evidence that stimulated emission existed.^{34,36} In his 1939 doctoral dissertation, Soviet physicist Valentin A. Fabrikant had envisioned a way to produce a population inversion, writing that "such a ratio of

populations is in principle attainable. ... Under such conditions we would obtain a radiation output greater than the incident radiation."^{34,35,37}

Nevertheless, the work of Ladenburg and Fabrikant were isolated incidents. Another impediment to laser development after Einstein was two world wars, although World War II then actually accelerated research toward laser development. Efforts of physicists were diverted from performing fundamental research to helping propel technology that would help win the war. Afterward, the sophisticated equipment developed for the war effort became military surplus, and physicists accustomed to low budgets received some of this equipment as they resumed their research.

MASERS AND LASERS

The impact of this situation on laser development is exemplified by the work of American physicist Charles H. Townes at Bell Telephone Laboratories in Manhattan and later at Columbia University, which he joined in 1948 (Figure 1-5). In 1941, Townes was assigned to work on a military radar

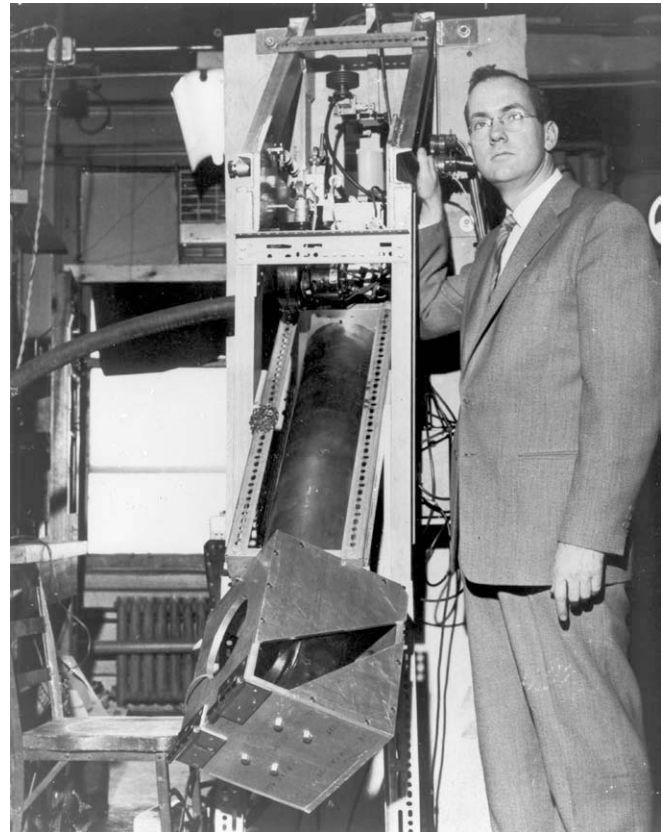


FIGURE 1-5 • Charles H. Townes with a ruby microwave maser amplifier developed for radio astronomy in 1957. (Courtesy Alcatel-Lucent USA.)

project. Modern radar, a system of using transmitted and reflected radio waves for detecting a reflected object to determine its direction, distance, height, or speed, was developed in the 1930s, when systems used radio waves about a meter long and could not discern much detail. During the war, the military was interested in developing a radar system that used much higher radio frequencies to attain greater sensitivity, tighter radio beams, and transmitting antennas small enough to fit on an airplane. Townes began working on microwave frequencies of 3, 10, and 24 gigahertz (GHz).³⁴ Although none of these systems was used in battle, his experience with the 24-GHz system, interest in microwave spectroscopy, and use of surplus equipment guided Townes toward subsequent development.

In 1951, at the spring meeting of the American Physical Society in Washington, DC, Townes proposed the concept of a *maser*, an acronym he and his students coined for *micro-wave amplification by stimulated emission of radiation*. He indicated that the "primary object of the work that led to the maser was to get shorter wavelengths so we could do better spectroscopy in a new spectral region."³⁸ Townes elaborated on April 26, 1951: "I sketched out and calculated requirements for a molecular-beam system to separate high-energy molecules from lower[-energy] ones and send them through a cavity which would contain the electromagnetic radiation [photons] to stimulate further emission from the molecules, thus providing feedback and continuous oscillation."³⁹ On May 11, Townes sketched the idea in his laboratory notebook, dated it, and signed it "Chas. H. Townes." In February 1952, his colleague and brother-in-law Arthur L. Schawlow also signed the page.^{34,35}

On his return to Columbia University after the April 1951 conference, Townes and postdoctoral fellow Herbert J. Zeiger and doctoral student James P. Gordon commenced work on building a maser. They began to experiment with a beam of ammonia molecules, a compound familiar to Townes from his work on the 24-GHz radar system. It was known that ammonia molecules absorb microwaves at a frequency of 24 GHz, causing the nitrogen atom of that molecule to vibrate. Initial success was achieved in late 1953, when Gordon saw evidence of stimulated emission and amplification from their device; then, in early April 1954, they achieved the desired oscillation.³⁴ They reported their success in a late paper at a meeting of the American Physical Society on May 1, then in a short paper in *Physical Review*.⁴⁰

While on sabbatical from Columbia University in 1955, Townes worked with French physicist Alfred Kastler at the École Normale Supérieure in Paris. Kastler developed the technique of "optical pumping," a process in which light is used to raise (or pump) electrons from a lower to a higher energy level, as a new way to excite materials for microwave spectroscopy.³⁴ Townes recognized that optical pumping might excite the optical energy levels necessary for an optical maser. In fall 1957, Townes and Schawlow, a postdoctoral fellow under Townes at Columbia until he joined Bell Labs in

1951, proposed extending maser principles to the infrared and visible region of the electromagnetic spectrum.^{35,38} They subsequently published their influential paper in *Physical Review* in 1958.⁴¹

Meanwhile, another American physicist, Gordon Gould, a Columbia graduate student in 1957, asked whether optical pumping could excite light emission. He recorded his ideas in nine handwritten pages of a laboratory notebook, with the first page titled "Some rough calculations on the feasibility of a LASER: Light Amplification by Stimulated Emission of Radiation," the first time the term *laser* was used. Gould had his notes notarized on Nov. 13, 1957, which he saw as a necessary step in applying for a patent. His patent defense efforts were finally recognized after 30 years of delays, challenges, and litigation.^{34,38,42}

The Schawlow and Townes paper stirred a number of organizations to conduct additional research into optical masers, as follows³⁴:

- In September 1958, Townes and Columbia University received funding from the U.S. Air Force Office of Scientific Research to pursue investigation of a potassium-vapor laser.
- Schawlow began to work with crystals (including synthetic pink ruby, composed of aluminum oxide doped with chromium atoms) at Bell Labs, which was interested in developing the technology to expand the transmission capacity of Bell's communications network.
- Ali Javan and William R. Bennett, Jr., also at Bell, worked on employing an electrical discharge tube filled with helium and neon gas.
- Gould had joined the Technical Research Group (TRG) in Manhattan, a military contractor that secured funding from the Pentagon to research the potential military applications of a laser, including communications, marking targets for weapons, and measuring the range to targets. Gould's group explored the potential of a laser using alkali-metal vapors.
- Westinghouse Research Laboratories in Pittsburgh had an Air Force contract to examine solid-state microwave masers. Irwin Wieder and Bruce McAvoy explored the characteristics of ruby using bright tungsten lamps and (unsuccessfully) pulsed light sources.
- IBM entered the laser race with Peter Sorokin and Mirek Stevenson at the T.J. Watson Research Center in Yorktown Heights, NY.

Numerous other companies had also joined the quest for building the first laser, including aerospace company Hughes Research Laboratories in California, which was under a maser development contract with the U.S. Army Signal Corps. The Corps became interested in developing a more practical version of a previously developed ruby solid-state microwave maser, one that could serve as a low-noise microwave amplifier aboard an airplane. American physicist Theodore H. Maiman, who joined Hughes in 1956, and his assistant, Irnee

D'Haenens, were assigned to the project. Their task was daunting; the existing desk-size device weighed 2.5 tons. They succeeded in developing a 4-pound version, but the continuing need to incorporate cryogenic cooling of the device limited its practicality.

Nevertheless, Maiman used this experience with ruby in his later work on the laser. Some investigators, including Wieder at Westinghouse as well as Schawlow and others at Bell Labs, had dismissed ruby as an unsuitably inefficient laser material, but their calculations were based on inadequate data. Maiman conducted his own investigation and found ruby could indeed be suitable, provided it could be optically pumped with an intensely bright light source. His calculations showed that a pulsed flashlamp would provide enough light to excite a ruby laser. His experimental laser design ultimately was elegant and could fit in the palm of a hand: a ruby rod 1 cm in diameter and 2 cm long placed within the coils of a small flashlamp, and an aluminum cylinder with reflective interior surface that slipped around the lamp to reflect light toward the ruby rod. The ends of the rod were polished flat, perpendicular to the length of the rod and parallel to each other. Maiman applied a reflective silver coating to both ends, then removed the silver from the center of one end, to allow a transparent opening for the laser beam to escape and be subsequently detected. The apparatus was connected to a separate power supply.³⁴

On May 16, 1960, Maiman and D'Haenens aimed the laser cylinder toward a white poster board. They started firing the flashlamp with pulses of 500 volts (V), gradually increasing the voltage to produce progressively more intense light flashes, and measured the laser's output tracing on an oscilloscope. Finally, with the power supply set above 950 V, the oscilloscope's trace surged, a red glow filled the room, and a brilliant red spot appeared on the poster board. After 9 months of intense effort, Maiman accomplished his goal, and the laser was born. In so doing, he beat out Bell Labs, TRG, Westinghouse, IBM, Siemens, RCA Labs, Massachusetts Institute of Technology's Lincoln Laboratory, General Electric, and all others.^{34,35,38,43} Maiman submitted a paper reporting his evidence for a ruby laser to *Physical Review Letters*, the leading U.S. journal for publishing new physics research. Its editor, Samuel Goudsmit, rejected the manuscript, apparently not appreciating the breakthrough Maiman had achieved, perhaps mistakenly believing it was just a follow-up to previously published work on masers. Maiman then submitted his report to the British weekly journal *Nature*, which accepted it immediately and published it on Aug. 6, 1960.^{44,45}

Other laser types followed^{35,38,45}:

- Sorokin and Stevenson demonstrated the solid-state uranium laser in November 1960.⁴⁶
- Javan, Bennett, and Herriott demonstrated the first gas laser, a helium-neon (HeNe) laser emitting at 1.15 micrometers (microns), in December 1960 at Bell's Murray Hill, NJ, laboratory.⁴⁷
- In 1961, Johnson and Nassau at Bell Labs demonstrated a 1.06-micron laser from neodymium (Nd) ions in a host crystal of calcium tungstate.⁴⁸
- Also in 1961, Snitzer of American Optical (Southbridge, Mass) built an Nd laser in optical glass.⁴⁹
- White and Rigden developed the 632.8-nm HeNe laser at Bell Labs in 1962.⁵⁰
- Also in 1962, Rabinowitz, Jacobs, and Gould demonstrated the optically pumped cesium laser at TRG.⁵¹
- Further in 1962, Hall and colleagues of the General Electric Research Center (Schenectady, NY) developed a cryogenically cooled gallium-arsenide (GaAs) semiconductor laser.⁵²
- The year 1964 marked the demonstration of the neodymium-doped yttrium-aluminum-garnet (Nd:YAG) laser by Geusic, Marcos, and van Uitert at Bell Labs.⁵³
- Patel developed the carbon dioxide (CO₂) laser at Bell Labs in 1964.⁵⁴
- Also in 1964, Bridges of Hughes Research Laboratories developed the argon ion laser.⁵⁵
- Silfvast and colleagues at the University of Utah conducted extensive research with metal-vapor lasers in the mid-1960s.⁵⁶
- Sorokin and Lankard developed the dye laser in the mid-1960s.^{57,58}
- Ewing and Brau of the Avco Everett Research Laboratory (Everett, Mass) were the first to demonstrate three excimer lasers: krypton fluoride, xenon fluoride, and xenon chloride.⁵⁹
- Madey of Stanford University demonstrated the free-electron laser on Jan. 7, 1975.⁶⁰
- Schawlow and one of his students even concocted a Jello-O laser by firing a ruby laser into a bowl of Jell-O doped with the organic dye rhodamine 6G.^{34,38}

During a July 7, 1960, press conference announcing his accomplishment, Maiman identified five potential uses for the laser:

1. The first true amplification of light.
2. A tool to probe matter for basic research.
3. High-power beams for space communications.
4. Increasing the number of available communication channels.
5. Concentrating light for industry, chemistry, and medicine.

The accuracy of his insight was affirmed in subsequent discoveries and applications; only his third prediction has not been put into regular use.³⁴ A few years later, when commenting on the outlook for medical applications of the laser, Maiman foresaw the use of the device as a "bloodless" surgical tool, in the treatment of malignancies, and as a dentist's drill.⁶¹ He cited the interconnection of blood vessels to relieve arterial

blockage as one example of successful experimentation. He also discussed microsurgical laser equipment capable of destroying individual red blood cells, as well as a laser destroying single genes and other tiny masses, with practically no effect on surrounding tissue.⁶²

LASERS IN DENTISTRY AND ORAL SURGERY

Soon after his invention was demonstrated, researchers began to examine Maiman's vision of the laser as a useful instrument for medicine. Their efforts laid the foundation for the present clinical use of lasers in ophthalmology, neurosurgery, urology, gynecology, gastroenterology, general surgery, cardiovascular surgery, orthopedics, aesthetic/dermatological/plastic surgery, otorhinolaryngology, oral surgery/dentistry, and veterinary medicine. This section briefly sketches select pioneering efforts in the application of laser technology to dentistry and oral surgery, then summarizes the types of lasers and current range of intraoral clinical applications. (See also Chapter 2.)

To find new and effective methods of removing caries, pioneering examinations into the interactions of ruby laser energy with tooth structure were reported in the mid-1960s.⁶³⁻⁷¹ Investigators discovered that the ruby laser could vaporize caries, but that the high energy densities caused irreversible necrotic changes in pulpal tissues. Years later, the development of erbium (Er) laser wavelengths, better suited to the clinical requirements for cavity preparation without the detrimental effects on pulp, led to further investigations.⁷²⁻⁷⁷

Early intraoral soft tissue investigations were conducted using the ruby laser.^{70,78,79} The development of the CO₂ laser and its ability to ablate soft tissue with minimal hemorrhage led to studies in oral surgery.⁸⁰⁻⁸⁹ Other groups followed up with soft tissue studies involving the Nd:YAG laser.⁹⁰⁻⁹³

Other researchers examined the photopolymerization of dental composites⁹⁴⁻⁹⁶ with the argon laser, the possible use of Nd:YAG lasers in the welding of prosthetic devices and gold alloys,⁹⁷⁻¹⁰⁰ and various lasers in endodontics.¹⁰¹⁻¹⁰³ An extensive survey of the published scientific research and clinical reports on the use of lasers in dentistry discusses the first experimental uses in 1964 through the numerous clinical applications into 2000.¹⁰⁴

Otolaryngologists, oral surgeons, and periodontists were among the first practitioners to use medical lasers intraorally to perform a variety of soft tissue surgical applications. On May 3, 1990, the first laser designed specifically for general dentistry, the dLase 300 Nd:YAG laser, developed by Myers and Myers, was introduced in the United States.¹⁰⁵ This event marked the beginning of the clinical use of lasers by dentists, a development anticipated by a pioneer in laser surgery, Leon Goldman (1905-1997).

Goldman had been reporting on the biomedical aspects of the laser since 1963 and had published findings on the effect of the laser on dental caries, teeth, and other tissues as part of his early research. Concerning the prospects of laser applications in dentistry, Goldman¹⁰⁶ wrote in 1967:

Although the possibilities of the development of laser dentistry appear to us to be excellent, there has been too little interest in the clinical and applied phases of laser dentistry by dentists and dental research groups. ... These studies at present then indicate that a significant portion of the laser laboratory should be devoted to the field of laser dentistry. Unlike many dentists, we feel that this is a profitable area for research, especially in the treatment of caries and perhaps even of calculus. The dentist and especially dental histopathologist and electron microscopist must work with the biologists and the physicians and the engineers engaged in laser research. The purpose of this cooperative study is to develop flexible, effective and safe laser instrumentation needed for laser dentistry. Dentists should be active in this program, not wait until other disciplines do the work for them.

Almost 2 decades later, a dental practitioner heeded Dr. Goldman's call to develop what became the first laser designed specifically for general dentistry. Michigan dentist Dr. Terry D. Myers joined with his ophthalmologist brother Dr. William D. Myers, himself among the first to incorporate a laser in his ophthalmic practice, to explore the advances in lasers, electronics, and optics and produce a device appropriate for the dental operatory. Unlike a medical laser adapted for dental use, their instrument would be designed for the specific needs of the dental practitioner. It would feature an easy-to-use control panel that selected safe and effective operational parameters for the laser's numerous clinical indications. It would be portable, with a self-contained cooling system, requiring no special electrical hookups, and would be simple to set up and maintain. It would have built-in self-diagnostics, autoclavable or disposable components, and a flexible fiberoptic delivery system to facilitate intraoral access and provide the tactile feedback necessary to dental professionals.

Currently, a number of laser wavelengths are used in oral surgery and dentistry, including CO₂, Nd:YAG, argon, various diode wavelengths, two erbium wavelengths, and potassium titanyl phosphate (KTP). Applications include the following¹⁰⁷⁻¹¹¹:

- Soft tissue procedures: gingivectomy/gingivoplasty, uvulopalatoplasty, excision of tumors/lesions, incision/excision biopsies, frenectomy, removal of hyperplastic/granulation tissue, second-stage recovery of implants, guided tissue regeneration; treatment of periodontal disease, aphthous ulcers, herpetic lesions, leukoplakia, verrucous carcinoma
- Control of bleeding in vascular lesions
- Arthroscopic temporomandibular joint surgery
- Caries diagnosis and removal
- Curing of composites
- Activation of tooth-bleaching solutions

Table 1-1

Professional Societies Dedicated to the Use of Lasers in Medicine and Dentistry

Organization	Web Address	Formation
Academy of Laser Dentistry	www.laserdentistry.org	1993
American Society for Laser Medicine and Surgery	www.aslms.org	1981
Society for Oral Laser Applications	www.sola-int.org	ca. 2000
Laser Institute of America	www.laserinstitute.org	1968
SPIE (an international society for optics and photonics)	www.spie.org	1955
World Association for Laser Therapy	www.walt.nu	1994
World Federation for Laser Dentistry	www.wfld-org.info	1988

Many professional societies are dedicated to the use of lasers in medicine and dentistry (Table 1-1). All have international representation, and some have links to their component societies or country representatives. Affiliated journals of interest to dentists who use lasers in their practice include the following:

Journal of Biomedical Optics

One of several journals published by SPIE; subscription information: www.spie.org.

Journal of Laser Applications

The official journal of the Laser Institute of America; subscription information: www.laserinstitute.org.

Journal of Laser Dentistry

The official journal of the Academy of Laser Dentistry; subscription information: www.laserdentistry.org.

Journal of Oral Laser Applications

The official journal of the Society for Oral Laser Applications; subscription information: go to www.Quintpub.com and click on “journals.”

Lasers in Medical Science

The official journal of the World Federation for Laser Dentistry; subscription information: www.springer.com/medicine/journal/10103.

Lasers in Surgery and Medicine

The official journal of the American Society for Laser Medicine and Surgery; subscription information: www.aslms.org.

Photomedicine and Laser Surgery

The official journal of the World Association for Laser Therapy; subscription information: www.liebertpub.com.

the dental operator, lasers are becoming more commonplace and even routine, either as adjunctive treatment methodologies or as stand-alone additions to the dental armamentarium. Researchers continue to investigate new laser wavelengths and clinical applications as they apply to dentistry, extending the vision of Maiman and other pioneers. The growing number of dental laser practitioners, propelled by the increasing body of evidence concerning the safe, effective, and appropriate use of lasers in dentistry, will continue to advance the application of Einstein’s “splendid light” in their operatories, to the benefit of patient and practitioner alike.

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CONCLUSION

Forty-five years after their initial experimental use in dentistry, and almost 20 years after their practical introduction into

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Laser Fundamentals

2

Donald J. Coluzzi, DDS • Robert A. Convissar, DDS

The word *laser* is an acronym for *light amplification by stimulated emission of radiation*. A brief description of these five terms helps explain the unique qualities of a laser instrument, in turn providing the foundation to describe the uses of lasers in dentistry.

LIGHT

Light is a form of electromagnetic energy that exists as a particle and that travels in waves at a constant velocity. The basic unit of this radiant energy is called a *photon*.¹ The waves of photons travel at the speed of light and can be defined by two basic properties: amplitude and wavelength (Figure 2-1). *Amplitude* is defined as the vertical height of the wave from the zero axis to its peak as it moves around that axis. This correlates with the amount of intensity in the wave: the larger the amplitude, the greater the amount of potential work that could be performed. For a sound wave, amplitude correlates to *loudness*. For a wave emitting light, amplitude correlates to *brightness*. A joule (J) is a unit of energy; a useful quantity for dentistry is a *millijoule* (mJ), or one thousandth (10^{-3}) of a joule ($\frac{1}{1000}$ J; 0.001 J).

The second property of a wave is *wavelength* (λ), the horizontal distance between any two corresponding points on the wave. This measurement is important to both how the laser light is delivered to the surgical site and how it reacts with tissue. Wavelength is measured in meters (m). Dental lasers have wavelengths on the order of much smaller units, using terminology of either a *nanometer* (nm), one billionth (10^{-9}) of a meter, or *micrometer* (also *micron*, [μ or μm], one millionth (10^{-6}) of a meter).

As waves travel, they rotate around the zero axis a certain number of times per second; this is called *oscillation*. The number of oscillations per unit time is defined as *frequency*. Frequency is measured in hertz (Hz); 1 Hz equals one oscillation per second. Frequency is inversely proportional to wavelength: the shorter the wavelength, the higher the frequency, and vice versa. Although hertz is a term commonly found in physics, it is also used to describe the number of pulses per second of emitted laser energy.

Ordinary light, such as that produced by a table lamp, is usually a warm, white color. The white color seen by the human eye is really a sum of the many colors of the visible spectrum: red, yellow, green, blue, and violet. The light is usually diffuse, not focused. Laser light is distinguished from ordinary light by two properties. Laser light is *monochromatic* because it generates a beam of a single color, which is invisible if its wavelength is outside of the visible part of the spectrum. In addition, each wave of laser light is *coherent*, or identical in physical size and shape. This means that the amplitude and frequency of all the waves of photons are identical. This results in the production of a specific form of focused electromagnetic energy.

The laser beams emitted from some instruments are *collimated* (all waves parallel to each other) over a long distance, but beams produced from optical fibers (e.g., in Nd:YAG and diode lasers) usually diverge at the fiber tip. All can be precisely focused, and this monochromatic, coherent beam of light energy can be utilized to accomplish the treatment objective.

Using a household fixture as an example, a 100-watt lamp will produce a moderate amount of light for a room area, with some heat. On the other hand, two watts (2 W) of laser light can be used for precise excision of a fibroma while providing adequate hemostasis at the surgical site, without disturbing the surrounding tissue.²

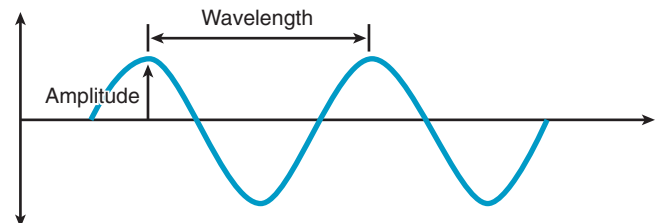


FIGURE 2-1 • Properties of electromagnetic waves. Amplitude is the height of the wave from the zero axis to the peak. Wavelength is the horizontal distance between two adjacent parts of a wave.

AMPLIFICATION

Amplification is the part of this process that occurs inside the laser. Identifying the components of a laser instrument shows how laser light is produced. The center of the laser is called the *laser cavity*. The following three components make up the laser cavity:

- Active medium
- Pumping mechanism
- Optical resonator

The *active medium* is composed of chemical elements, molecules, or compounds. Lasers are generically named for the material of the active medium, which can be (1) a container of gas, such as a canister of carbon dioxide (CO_2) gas in a CO_2 laser; (2) a solid crystal, such as a crystal of yttrium, aluminum, and garnet (YAG) in an erbium (Er) YAG or a neodymium (Nd) YAG laser; (3) a solid-state semiconductor, such as the semiconductors found in diode lasers; or (4) a liquid, such as found in some medical laser devices.

Surrounding this active medium is an excitation source, such as a flashlamp strobe device, electrical circuit, electrical coil, or similar source of energy that pumps energy into the active medium. When this *pumping mechanism* pumps energy into the active medium, the energy is absorbed by the electrons in the outermost shell of the active medium's atoms. These electrons have absorbed a specific amount of energy to reach the next shell farther from the nucleus, which is at a higher energy level. A "population inversion" occurs when more of the electrons from the active medium are in the higher energy level shell farther from the nucleus than are in the ground state (Figure 2-2). The electrons in this excited state then spontaneously give off that energy in the form of a photon (Figure 2-3). This is called *spontaneous emission* (Figure 2-4).

Completing the laser cavity are two mirrors, one at each end of the optical cavity, placed parallel to each other; or in the case of a semiconductor, two polished surfaces at each end. These mirrors or polished surfaces act as *optical resonators*, reflecting the waves back and forth, and help to collimate and amplify the developing beam. A cooling system, focusing lenses, and other controlling mechanisms complete the mechanical components. Figure 2-5 shows a schematic of a gas or solid active-medium laser (e.g., CO_2 , Nd:YAG). Figure 2-6 shows a schematic of a semiconductor diode device.

STIMULATED EMISSION

Stimulated emission is the process by which laser beams are produced inside the laser cavity. The theory of stimulated emission was postulated by Albert Einstein in 1916.³ He based his work on some earlier work by physicists from Germany (Max Planck) and Denmark (Niels Bohr), who theorized a

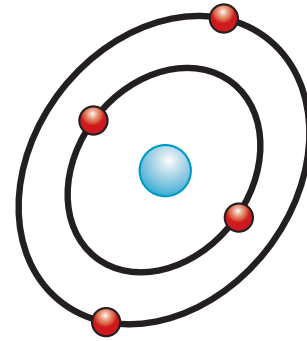


FIGURE 2-2 • An atom of an active medium in ground state.

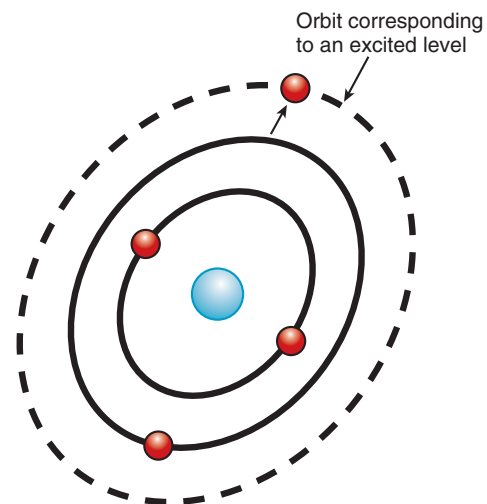


FIGURE 2-3 • An atom of an active medium in excited state.

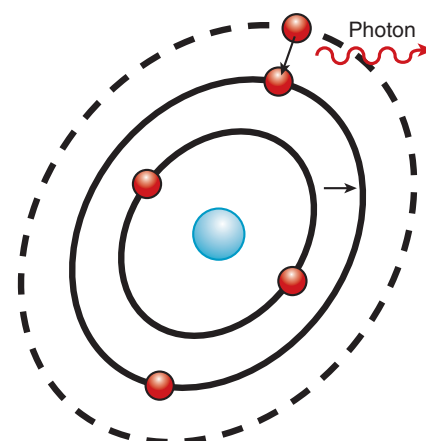


FIGURE 2-4 • An atom of an active medium spontaneously emits a photon and returns to a stable orbit, giving off the energy that it had just absorbed, according to the principle of Conservation of Energy.

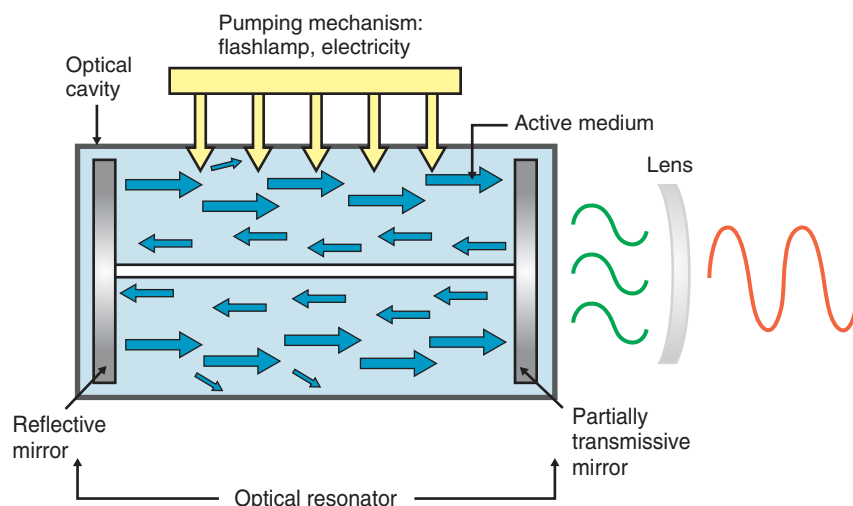
Laser Components, e.g., CO₂ or Nd:YAG

FIGURE 2-5 • Illustration of a gas or solid, active-medium laser, such as a carbon dioxide (CO₂) or neodymium:yttrium-aluminum-garnet (Nd:YAG) laser.

Semiconductor Diode Laser Components

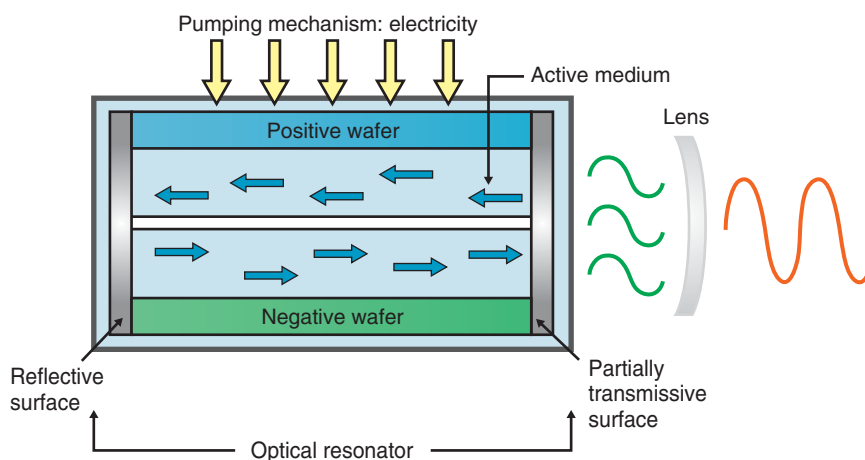


FIGURE 2-6 • Semiconductor diode device.

model of the atom as well as the quantum theory of physics, which described a *quantum* as the smallest unit of energy emitted from an atom.^{4,5} Einstein used this concept and further theorized that an additional quantum of energy may be absorbed by the already-energized atom and would result in a release of two quanta (Figure 2-7). This energy is emitted, or *radiated*, as identical photons, traveling as a coherent wave. These photons in turn are then able to energize more atoms in a geometric progression, which further causes the emission of additional identical photons, resulting in an amplification of the light energy, thus producing a laser beam (Figure 2-8).

RADIATION

The light waves produced by the laser are a specific form of radiation, or electromagnetic energy.⁶ The *electromagnetic spectrum* is the entire collection of wave energy, ranging from gamma rays, with wavelengths of 10 to 10⁻¹² m, to radio waves, with wavelengths of thousands of meters. All currently available dental laser devices have emission wavelengths of approximately 0.5 μ, or 500 nm, to 10.6 μ, or 10,600 nm, which places them in either the visible or the invisible nonionizing portion of the electromagnetic spectrum, as shown in

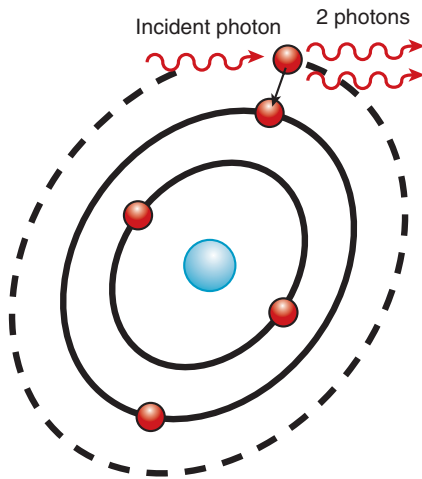


FIGURE 2-7 • An atom of an active medium showing stimulated emission, releasing two identical photons before returning to a stable state.

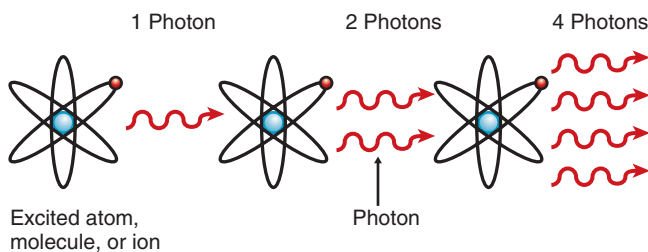


FIGURE 2-8 • Light amplification by stimulated emission of radiation.

Figure 2-9. It is important to note that the dividing line between the ionizing, cellular DNA mutagenic, portion of the spectrum and the nonionizing portion is at the junction of ultraviolet and visible-violet light. Thus, all dental lasers emit either a visible-light wavelength or an invisible, infrared-light wavelength in the portion of the nonionizing spectrum called *thermal radiation*.⁷

The following four dental laser instruments emit visible light:

- Argon laser: blue wavelength of 488 nm
- Argon laser: blue-green wavelength of 514 nm
- Frequency-doubled Nd-doped YAG laser, also called a potassium titanyl phosphate (KTP) laser: green wavelength of 532 nm
- Low-level lasers: red nonsurgical wavelengths of 635 nm (for photobiomodulation) and 655 nm (for caries detection)

Argon lasers are no longer manufactured as dental surgical instruments, although they are still used for medical procedures.

Other dental lasers emit invisible laser light in the near, middle, and far infrared portion of the electromagnetic spectrum. These include photobiomodulation devices between 800 and 900 nm, as well as surgical instruments, as follows:

- Diode lasers: various wavelengths between 800 and 1064 nm using a semiconductor active medium of gallium and arsenide; some devices add either aluminum or indium.

Currently available dental laser wavelengths on the electromagnetic spectrum

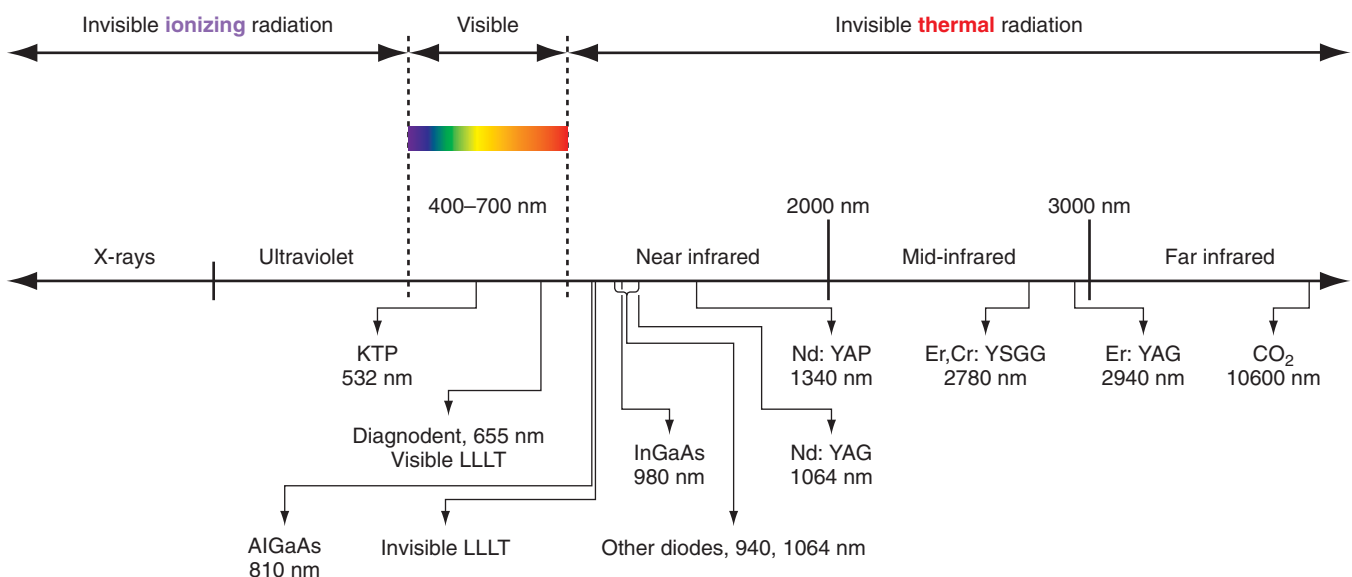


FIGURE 2-9 • Part of electromagnetic spectrum showing division of ionizing, visible portion and nonionizing portion.

- Nd:YAG laser: 1064 nm
- Erbium-chromium-doped yttrium-scandium-gallium-garnet (Er,Cr:YSGG) laser: 2780 nm
- Er:YAG laser: 2940 nm
- CO₂ laser: 10,600 nm

LASER DELIVERY SYSTEMS

Laser energy should be delivered to the surgical site by a method that is ergonomic and precise.⁸ Shorter-wavelength instruments (e.g., KTP, diode, Nd:YAG) have small, flexible fiberoptic systems with bare glass fibers that deliver the laser energy to the target tissue (Figure 2-10). Because the erbium and CO₂ laser wavelengths are absorbed by water, which is a major component of conventional glass fibers, these wavelengths cannot pass through these fibers. Erbium and CO₂ devices therefore are constructed with special fibers capable of transmitting the wavelengths, with semiflexible hollow waveguides, or with articulated arms (Figure 2-11). Some of these systems employ small quartz or sapphire tips that attach to the laser device for contact with target tissue; others employ noncontact tips (Figure 2-12). In addition, the erbium lasers employ a water spray for cooling hard tissues. Lasers may have different fiber diameters, handpieces, and tips (Figure 2-13). The diameter of the fiber, handpiece, and tip plays a significant role in the delivery of energy (Figure 2-14).

All conventional dental instrumentation, either hand or rotary, must physically touch the tissue being treated, giving the operator instant feedback. As mentioned, dental lasers can be used either in contact or out of contact. The fiber tip can easily be inserted into a periodontal pocket to remove small amounts of granulomatous tissue (Figures 2-15 to 2-17). In noncontact use, the beam is aimed at the target some distance away (Figure 2-18). This modality is useful for following various tissue contours, but with the loss of tactile sensation, the surgeon must pay close attention to the tissue interaction with the laser energy. All the invisible-light dental lasers (Nd:YAG, CO₂, diode, erbium)



FIGURE 2-10 • An assembled fiberoptic delivery system consisting of the bare fiber, a handpiece, and a disposable tip.

are equipped with separate aiming beams, which can be either a laser or a conventional light. The aiming beam is delivered coaxially along the fiber or waveguide and shows the operator the exact spot where the laser energy will strike the tissue.



FIGURE 2-11 • Articulated-arm delivery system, typical of CO₂ lasers and some erbium devices.



FIGURE 2-12 • Quartz tip assembled onto the end of a handpiece for a fiber optically delivered erbium laser.



FIGURE 2-13 • Variety of handpieces available with most CO₂ laser systems offer a variety of spot sizes and focal distances.

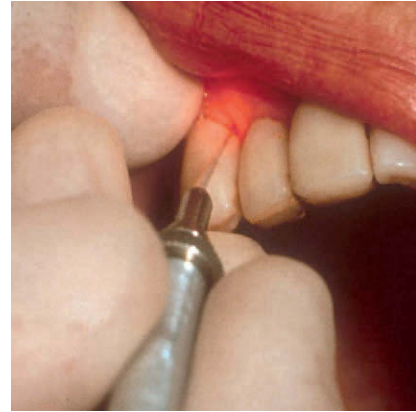


FIGURE 2-16 • Nd:YAG fiber entering periodontal pocket.

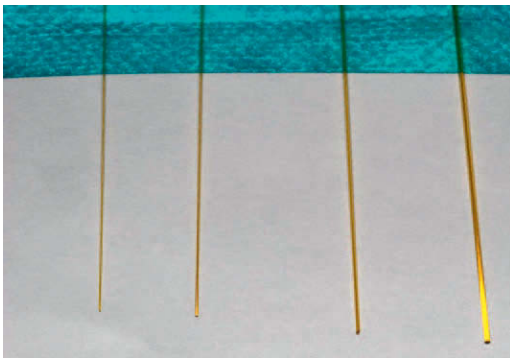


FIGURE 2-14 • Fiber diameters for Nd:YAG and diode lasers, yielding different spot sizes.

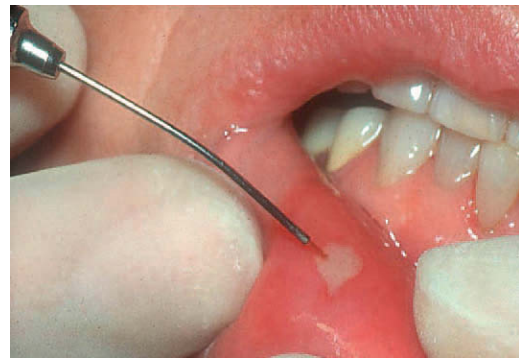


FIGURE 2-17 • Nd:YAG laser fiber contacting aphthous ulcer.



FIGURE 2-15 • CO₂ periodontal tip treating periodontal pocket.



FIGURE 2-18 • Nd:YAG fiber out of contact treating aphthous ulcer.

SPOT SIZE

The active beam is focused by lenses. With hollow-waveguide or articulated-arm delivery systems, there is a precise spot at the point where the energy is the greatest. This *focal point* is used for incision and excision surgery. For fiber-optic delivery systems, the focal point is at or near the tip of the fiber, which again has the greatest energy. For CO₂ lasers, which are used out of contact, the focal point may be anywhere from 1 mm to 12 mm from the tissue surface, depending on the handpiece being used (Figure 2-19). When the handpiece is moved away from the tissue and away from the focal point, the beam is *defocused* (out of focus), becomes more divergent, and therefore delivers less energy to the surgical site (Figure 2-20). At a small divergent distance, the beam can cover a wider area, which would be useful in

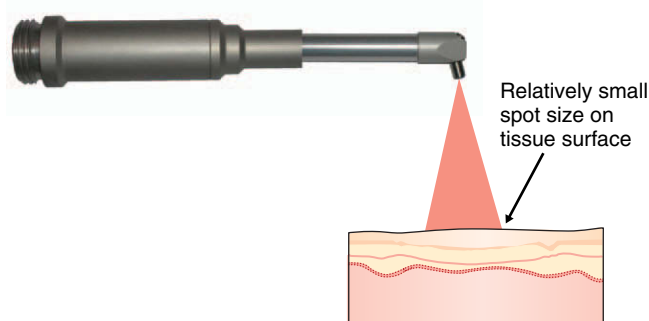


FIGURE 2-19 • A handpiece at the correct distance from the tissue is "in focus."

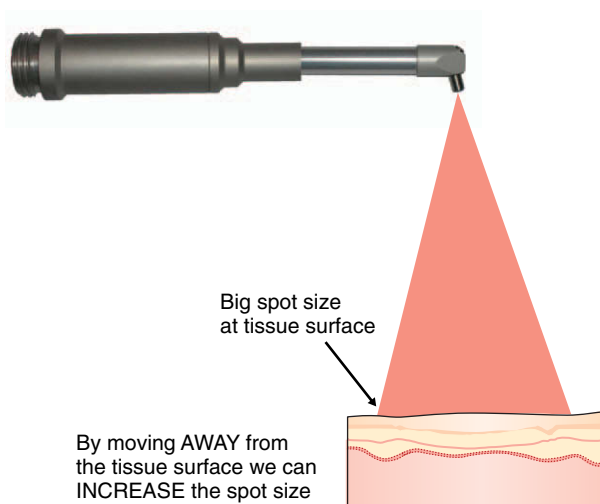


FIGURE 2-20 • A handpiece moved away from the tissue is "out of focus," resulting in a larger spot size and less fluence. Out-of-focus mode is excellent for hemostasis.

achieving hemostasis. At a greater distance, the beam will lose its effectiveness because the energy will dissipate.

EMISSION MODES

Dental laser devices can emit light energy in two modalities as a function of time: (1) constant on or (2) pulsed on and off.⁸ The pulsed lasers can be further divided into gated and free-running modes in delivering energy to the target tissue. Thus, three different emission modes are described, as follows:

1. **Continuous-wave mode**, meaning that the beam is emitted at only one power level for as long as the operator depresses the foot switch.
2. **Gated-pulse mode**, meaning that there are periodic alternations of the laser energy, similar to a blinking light. This mode is achieved by the opening and closing of a mechanical shutter in front of the beam path of a continuous-wave emission. All surgical devices that operate in continuous wave have this gated-pulse feature. Some instruments can produce pulses as short as microseconds (μsec) or milliseconds (msec). Peak powers of about 10 to 50 times that of continuous-wave power measurements are produced, and charring of the tissue can be reduced. The more advanced units have computer-controlled shutters that allow for these very short pulses. Manufacturers have coined many terms to describe these short pulse durations, including "superpulse" and "ultraspeed."
3. **Free-running pulsed mode**, sometimes referred to as *true-pulsed mode*. This emission is unique in that large peak energies of laser light are emitted for usually microseconds, followed by a relatively long time in which the laser is off. For example, a free-running pulsed laser with pulse duration of 100 μsec and pulses delivered at 10 per second means that the energy at the surgical site is present for $\frac{1}{1000}$ of a second and absent for the remaining 99.9% of that second. Free-running pulsed devices have a rapidly strobing flashlamp that pumps the active medium. With each pulse, high peak powers in hundreds or thousands of watts are generated. However, because the pulse duration is short, the average power that the tissue experiences is small. Free-running pulsed devices cannot have a continuous-wave or gated-pulse output.

True-pulsed lasers are pulsed as a result of the action of the pumping mechanism within the laser cavity. Gated-pulse lasers are pulsed as a result of a shutter outside the laser cavity. Medical and scientific laser instruments are available with pulse durations in the nanosecond (one billionth of a second) and picosecond (one trillionth of a second) and smaller range. These can generate tremendous peak powers, but the calculated pulse energies are small, allowing increased surgical precision. Some instruments can be controlled to emit a single pulse.

LASER EFFECTS ON TISSUE

Depending on the optical properties of the tissue, the light energy from a laser may have four different interactions with the target tissue (Figure 2-21), as follows⁹:

- Reflection
- Transmission
- Scattering
- Absorption

Reflection is simply the beam being redirected off the surface, with no effect on the target tissue. The reflected light might maintain its collimation in a narrow beam or might become more diffuse. As stated previously, the laser beam generally becomes more divergent as the distance from the handpiece increases. However, the beam from some lasers can still have adequate energy at distances greater than 3 m. In any event, this reflection can be dangerous because the energy could be redirected to an unintentional target, such as the eyes. This is a major safety concern for laser operation and the reason that every person in the dental treatment room must wear wavelength-specific safety glasses with appropriate side shields. An example of reflection would be the interaction

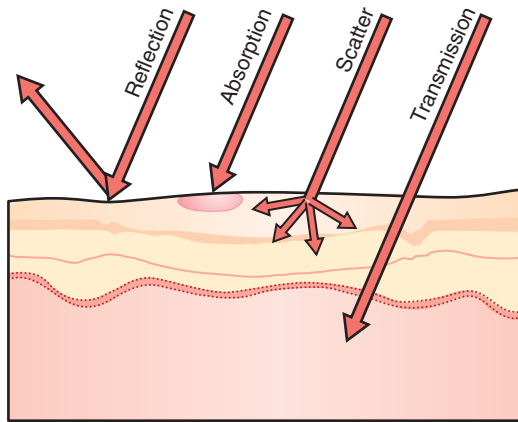


FIGURE 2-21 • Four potential laser-tissue interactions.

between a CO₂ laser and titanium implants. CO₂ laser energy is reflected off the implants and could be redirected to the dentist's eyes.

The second interaction with tissue is *transmission* of the laser energy directly through the tissue, with no effect on the target tissue (Figure 2-22). This effect is also highly dependent on the wavelength of laser light. Water, for example, is relatively “transparent” to (does not absorb) the diode and Nd:YAG wavelengths, whereas tissue fluids readily absorb erbium and CO₂ at the surface, so minimal energy is transmitted to adjacent tissues. The diode and Nd:YAG wavelengths are transmitted through the sclera, lens, iris, cornea, vitreous humor, and aqueous humor of the eye before being absorbed on the retina.

The third tissue interaction is a *scattering* of the laser light, which weakens the intended energy. Scattering is the predominant event with the near-infrared lasers in healthy soft tissue. Scattering causes the photons to change directions, leading to increased absorption because of increased chances of interacting with the predominant chromophore of those wavelengths. Scattering of the laser beam could also cause heat transfer to the tissue adjacent to the surgical site, and unwanted damage could occur. However a beam scattered, or deflected in different directions, would be useful in facilitating laser curing of composite resin.

Absorption of the laser energy by the intended target tissue is the usual desirable effect. The amount of energy absorbed by the tissue depends on the tissue characteristics, such as pigmentation and water content, and on the laser wavelength. The primary and beneficial goal of laser energy is therefore absorption of the laser light by the intended biological tissue. The following photobiologic effects are possible when using a dental laser¹⁰:

- The principal laser-tissue interaction is *photothermal*, which means the laser energy is transformed into heat. The three primary photothermal laser-tissue interactions are (1) incision/excision, (2) ablation/vaporization, and (3) hemostasis/coagulation. By varying the various laser parameters of beam size (called *spot size*), energy, and time, lasers can be made to perform any of the three photothermal interactions:

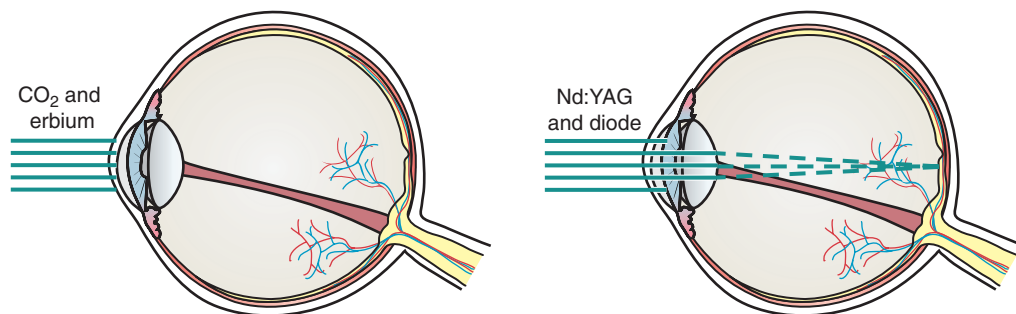


FIGURE 2-22 • Diagram of the eye showing the effects of different wavelengths on various tissue types. In general, CO₂ and erbium lasers interact with the cornea and lens, whereas Nd:YAG and diode lasers penetrate to the retina.

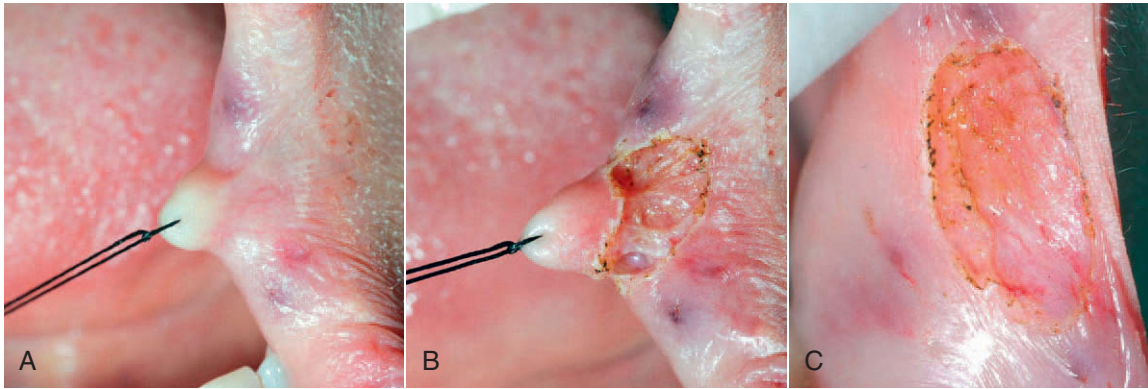


FIGURE 2-23 • Laser excisional biopsy. **A**, Traction suture in place immediately preoperatively. **B**, Beginning laser excision of lesion. **C**, Immediate postoperative view of excision site. Note the complete absence of bleeding at the surgical site, one of the many advantages of laser surgery over conventional techniques.



FIGURE 2-24 • Laser ablation of cyclosporine-induced gingival hyperplasia. **A**, Immediate preoperative view of adolescent kidney transplant patient. **B**, One-week postoperative view of laser-ablated area.

1. Laser beam in focus with a small spot size is used for incision/excision procedures (Figure 2-23).
 2. Laser beam with a wider spot size will interact with the tissue over a wider area, but more superficially, producing a surface ablation (Figure 2-24).
 3. Laser beam out of focus will produce hemostasis/coagulation (Figure 2-25).
- Photochemical effects occur when the laser is used to stimulate chemical reactions, such as the curing of composite resin. The breaking of chemical bonds, such as using photosensitive compounds exposed to laser energy, can produce a singlet oxygen radical for disinfection of periodontal pockets and endodontic canals.
 - Certain biologic pigments, when absorbing laser light of a specific wavelength, can *fluoresce*, which can be used for caries detection on occlusal surfaces of teeth. More information on the use of laser fluorescence for caries detection may be found in the chapter on lasers in operative dentistry.



FIGURE 2-25 • Laser coagulation of an aphthous ulcer on the floor of the mouth. **A**, Preoperative view. **B**, Applying laser energy to lesion. **C**, Immediate postoperative view of surgical site.

- A laser can be used in a nonsurgical mode for *biostimulation* for more rapid wound healing, pain relief, increased collagen growth, and a general antiinflammatory effect. More information on this can be found in the chapter on low-level laser therapy.
- The pulse of laser energy on hard dentinal tissues can produce a shock wave, which is an example of the *photo-acoustic* effect of laser light. This process is often called *spallation*. More information on spallation may be found in the chapter on operative dentistry.

The next two sections discuss the photothermal effect of dental lasers; thus the primary treatment goal is surgical, that is, the removal of tissue versus fluorescence or biostimulation.

TISSUE TEMPERATURE

The thermal effect of laser energy on tissue primarily involves the water content of tissue and the temperature rise of the tissue. As Table 2-1 shows, when target tissue containing water is elevated to a temperature of 100° C, vaporization of the water within the tissue occurs; this process is called *ablation*.¹¹ Because soft tissue is composed of a very high percentage of water, excision/incision of soft tissue commences at this temperature. At temperatures below 100° C and above approximately 60° C, proteins begin to denature without any vaporization of the underlying tissue. This phenomenon is useful in surgically removing diseased granulomatous tissue without affecting the healthy tissue, as long as the tissue temperature can be controlled.¹² At 70° C to 80° C, soft tissue edges can be welded back together without sutures.¹³

Conversely, if the tissue temperature is raised to about 200° C, it is dehydrated and then burned, and carbon is the end product. Carbon is a high absorber of all wavelengths, so it can become a “heat sink” as the lasing continues.¹⁴ The heat conduction will then cause extensive collateral thermal trauma, referred to as *tissue charring*. Tissue charring occurs when improper laser parameters are used.

For dental hard tissue, the primary interaction occurs at 100° C when the water is converted to steam whose increased volume causes an explosive expansion and removal of that tissue.¹⁵

Laser emission modes play an important role in increasing the tissue temperature. The important principle of any laser emission mode is that the light energy strikes the tissue for a certain length of time, producing a thermal interaction.¹⁶ If the laser is used in a pulsed mode, the targeted tissue may have time to cool before the next pulse of laser energy is emitted. In continuous-wave mode, the operator must cease the laser emission manually so that thermal relaxation of the tissue may occur. Thin or fragile soft tissue, for example, should be treated in a pulsed mode so that the amount and rate of tissue removal are slower, but the chance

Table 2-1

Laser Energy and Thermal Effects on Dental Soft Tissue

Tissue Temperature (°C)	Observed Effect
37-50	Hyperthermia; bacterial inactivation
>60	Coagulation; protein denaturation
70-90	Welding of soft tissue wound edges
100-150	Vaporization
>200	Carbonization; tissue charring

of irreversible thermal damage to the target tissue and the adjacent nontarget tissue is minimal. Longer intervals between pulses can also help avoid the transfer of heat to the surrounding tissue. In addition, a gentle air stream or an air current from the high-volume suction aids in keeping the area cooler. Similarly, when using hard tissue lasers, a water spray helps prevent microfracturing of the crystalline structures and reduces the possibility of carbonization. Conversely, thick, dense, fibrous tissue requires more energy for removal. For the same reason, dental enamel, with its higher mineral content, requires more ablation power than softer, more aqueous carious tissue. In either case, if too much thermal energy is used, healing can be delayed, and increased postoperative discomfort can occur.

Lasers have wide-ranging variability in pulse parameters (Figure 2-26). To allow tissue cooling, some lasers permit the surgeon to change the amount of “on” time of the pulse, called the *pulsewidth*. Other lasers allow the surgeon to control the amount of “off” time between pulses. By varying the amount of time the laser pulses on and off, the surgeon is better able to treat different tissue types. The laser buyer should evaluate the amount of variability built into the unit. Some units have fixed pulsewidths that cannot be changed. This limits the surgeon’s ability to modify settings to allow for better treatment of different tissues.

Duty cycle, also called *emission cycle*, is the term used to describe the amount of on and off time. A duty cycle of 10% means that the laser is on for 10% and off for 90% of the time. A duty cycle of 50% means that the laser is on for half the time and off for half. Thin, friable tissues should be treated with small duty cycles, whereas thicker tissue may be treated with larger duty cycles or with continuous-wave emission.

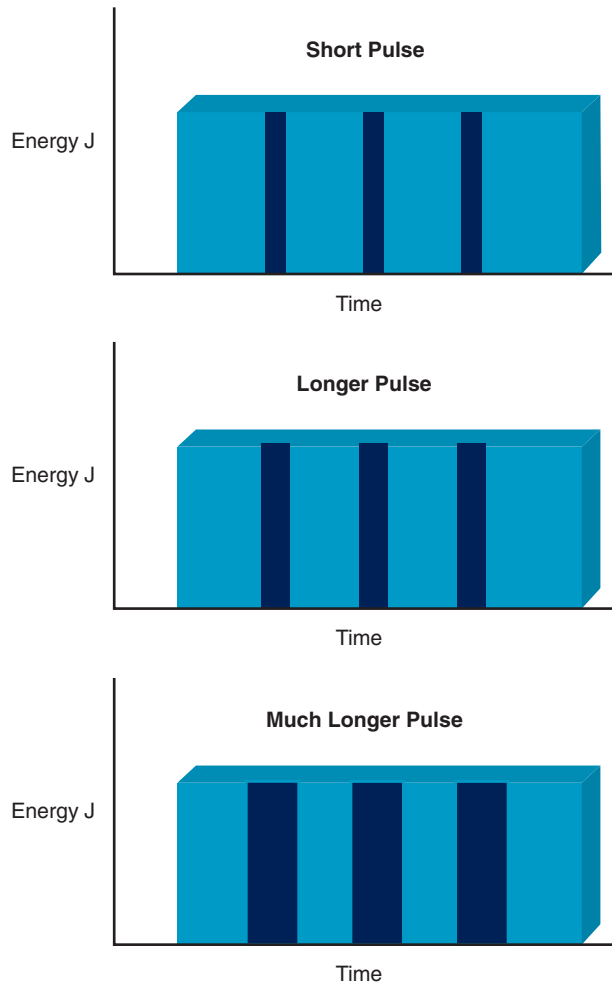


FIGURE 2-26 • Various pulse parameters.

ABSORPTION OF LASER ENERGY BY DENTAL TISSUES

Different laser wavelengths have different absorption coefficients, with the primary oral tissue components of water, pigment, blood contents, and minerals (Figure 2-27). Thus, laser energy can be transmitted, absorbed, scattered, or reflected based on the composition of the target tissue. The primary components are termed *chromophores*, which are absorbers of specific laser energy.^{7,17} Water, which is present in all biologic tissue, maximally absorbs the two erbium wavelengths, followed by the CO₂ wavelength. Conversely, water allows the transmission of the shorter-wavelength lasers (e.g., diode, Nd:YAG). Tooth enamel is composed of carbonated hydroxyapatite and water. The apatite crystal readily absorbs the CO₂ wavelength and interacts to a lesser degree with the erbium wavelengths. It does not interact with the shorter wavelengths. Hemoglobin and other blood components and pigments such as melanin absorb diode and Nd:YAG in varying amounts.

Human dental tissues are composed of a combination of compounds, so the clinician must choose the best laser for each treatment.¹⁸ For soft tissue treatments, the practitioner can use any available wavelength, because all dental lasers are absorbed by one or more of the soft tissue components. For hard tissue, however, the erbium lasers with very short pulse durations easily ablate layers of calcified tissue with minimal thermal effects. Interestingly, the short-wavelength lasers (e.g., diode, Nd:YAG) are essentially nonreactive with healthy tooth enamel. Recontouring gingival tissue close to a tooth can proceed uneventfully using these wavelengths. Conversely, if soft tissue is impinging into a carious lesion, an erbium laser

Approximate Net Absorption Curves of Various Tissue Components

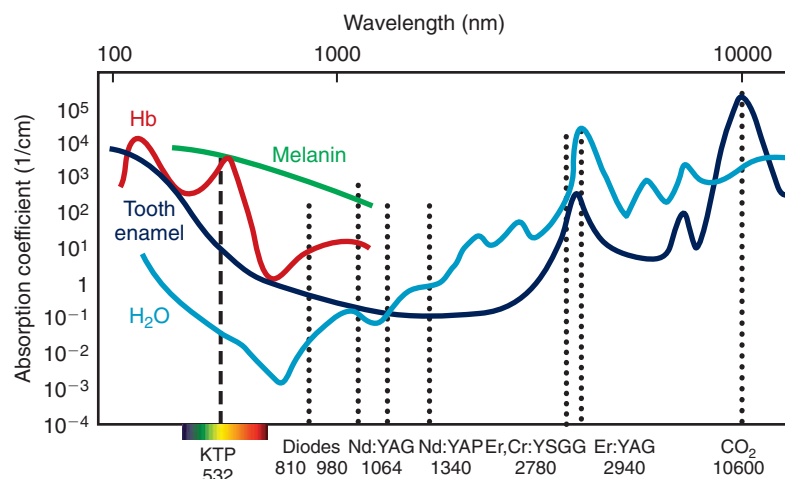


FIGURE 2-27 • Approximate absorption curves of the prime oral chromophores.

can remove the lesion and the soft tissue very efficiently as long as appropriate settings are used for each tissue type.

In addition to unique absorptive optical properties, all wavelengths have different *penetration* depths through tissue. The erbium and CO₂ lasers are so well absorbed by tissue with a high water content (e.g., mucosa) that these wavelengths penetrate only a few to several microns deep into the target tissue, whereas diode and Nd:YAG lasers can reach a few millimeters deeper into the tissue. It is important to understand that because of the relative penetration of the various wavelengths into mucosa, tissue interaction could continue deeply beyond the surgical field. This could lead to deep thermal necrosis of underlying tissue and osteonecrosis of bone.

Extinction length is defined as the thickness of a substance in which 98% of the energy from the laser is absorbed.¹⁹ A small extinction length means that the laser energy is maximally absorbed by that tissue with no deep penetration and thus minimal possibility of deep thermal damage. A large extinction length means that the laser energy penetrates deep into that tissue. Because CO₂ and erbium lasers are the two wavelengths best absorbed by tissue with a high water content, these wavelengths have the smallest extinction length in mucosa and are least likely to cause deep thermal damage, as long as proper operating parameters are used. The extinction length for CO₂ lasers in mucosa, for example, is 0.03 mm. Lasers with long extinction lengths in mucosa, such as Nd:YAG (1-3 mm) and diode lasers, are also safe to use provided correct operating parameters are followed. Conversely, operators with inadequate training in the use of these wavelengths risk thermal damage to the underlying tissue.

LASER-TISSUE SUMMARY

To determine the tissue interactions associated with a particular laser device, the following factors must be considered²⁰:

1. Each laser wavelength will affect the interrelated components of the target tissue: water content, color of the tissue, vascularity, and chemical composition.
2. The diameter of the laser spot on the tissue, or spot size, whether delivered in contact or noncontact with the tissue, will create a certain amount of energy per square millimeter of tissue. This is called *energy density*, or *fluence*. An inverse relationship exists between spot size and fluence; the smaller the spot size, the greater the fluence (Figure 2-28). For example, a beam diameter of 200 μ compared with a beam diameter of 300 μ at the same output setting will have more than twice as much energy density. The result of using the smaller spot size thus greatly increases thermal transfer from the laser to the tissue, with a corresponding increase in absorption of heat in that smaller area. If the beam has divergence, moving it away from the tissue will increase its diameter and thus lessen the energy density.

3. The amount of time that the beam is allowed to strike the target tissue will affect the rate of tissue temperature rise. That time can be regulated by the following:
 - a. The repetition rate of the pulsed-laser emission mode: how many repetitions of the pulse per second. The repetition rate is measured in hertz.
 - b. Hand speed: the speed of moving the laser through the tissue. A rapid movement of the laser through the surgical field may not permit adequate absorption of the energy by the tissue. Conversely, moving the laser through the surgical field too slowly may result in too much thermal damage to the tissue (Figure 2-29).
4. Using a water or air spray can also cool the tissue, which would affect the rate of vaporization.

The laser practitioner must be aware of these factors before beginning treatment. If possible, the operator can then choose the appropriate wavelength, beam diameter (spot size), focused or defocused distance, hertz, and amount and type of tissue cooling. The correct combination of all these parameters should ensure an efficient and beneficial outcome. Laser training is critically important in determining how to manipulate these parameters, and this is discussed in more detail in the chapter on practice management.

LASER SAFETY

All laser devices have complete instructions on the safe use of the machine. All laser practitioners should know certain fundamentals, but the primary responsibility for the safe and effective operation of the laser is assigned to the laser safety officer.^{21,22} This person provides all necessary information, inspects and maintains the laser and its accessories, and ensures that all safety procedures are implemented. More information on laser safety officers may be found in the chapter on practice management.

Appropriate protective eyewear must be worn by the patient and surgical team when the laser is operating, to prevent damage from any reflected energy. The surgical environment must have a warning sign posted, with limited access to the treatment room. High-volume suction must be used to evacuate the plume formed by tissue ablation, and normal infection protocol must be followed. The laser itself must be in good working order so that the manufactured safeguards prevent accidental laser exposure. Masks must be of appropriate filtering capacity to prevent inhalation of laser plume. Most laser procedures should be performed with the surgeon wearing a 0.1- μ filtration mask. *Laser plume* results from the aerosol byproducts of laser-tissue interaction, which may contain particulate organic and inorganic matter (e.g., viruses, toxic gases, chemicals) and may be infectious and/or carcinogenic.

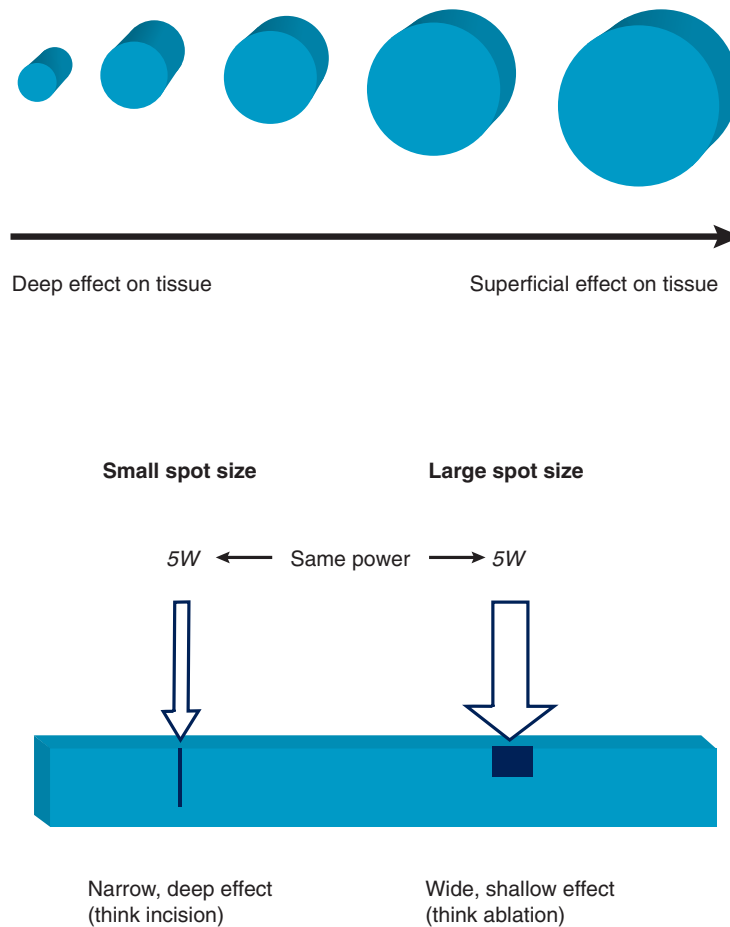


FIGURE 2-28 • A graphic representation of the relationship between spot size and fluence. The same wavelength and power were used but the spot size was changed. On the left, the incision is narrow and deep with a smaller spot size; on the right, the incision is wide and shallow with a larger spot size.

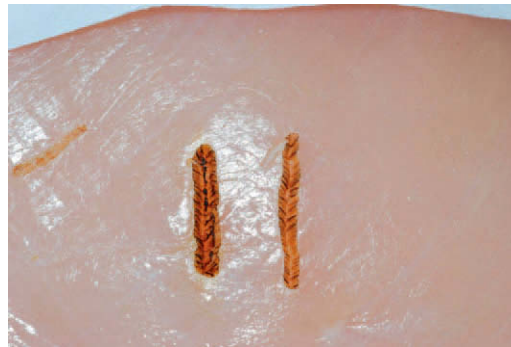


FIGURE 2-29 • Effect of hand speed on incisions. Both incisions were made with the same handpiece and spot size. The incision on the left was performed with a very slow hand speed whereas the incision on the right was performed with a faster hand speed.

LASER REGULATORY AGENCIES

In most countries, regulatory agencies control both the laser operator and the laser manufacturer, and standards are strictly enforced.

In the United States the American National Standards Institute (ANSI) provides guidance for the safe use of laser

systems by specifically defining control measures for lasers.²³ The Occupational Safety and Health Administration (OSHA) is primarily concerned with a safe workplace environment, and numerous requirements exist for laser protocols. The Center for Devices and Radiological Health (CDRH) is the bureau within the Food and Drug Administration (FDA) whose

purpose is to standardize the manufacture of laser products and to enforce compliance with the medical devices legislation.²² All laser manufacturers must obtain permission from the CDRH to make and distribute each device for a specific purpose; this *marketing clearance* means that the FDA is satisfied that the laser is both safe and effective to operate for that purpose. The owner's manual then instructs the operator on how to use the device for the particular CDRH-regulated procedure.

In countries and jurisdictions outside of the United States, similar standards and regulations are promulgated by the International Electrotechnical Commission (IEC), headquartered in Geneva, Switzerland.

At present, about two dozen indications exist for certain dental lasers. All wavelengths and devices of adequate power may be used for every aspect of intraoral soft tissue surgery. The Er,Cr:YSGG and Er:YAG lasers may be used for carious lesion removal, tooth preparation, endodontic, and osseous procedures. Some instruments have specific clearances for procedures such as sulcular debridement and tooth whitening. The dental practitioner may use the laser for techniques other than the cleared indications for use because the FDA does not regulate dental practice; however, using a laser for a non-FDA-cleared procedure may have legal ramifications in the event of a malpractice claim.

Hospitals and institutions have their own credentialing programs for the use of lasers in their facilities, and a published curriculum guideline has established standards of dental laser education. The scope of practice (as defined by the dental practice act of each state or other jurisdiction), the training, and the clinical experience of the dental laser operator are the primary factors that should determine how the device is used.

BENEFITS AND DRAWBACKS OF DENTAL LASERS

One of the main benefits of using dental lasers is the ability to interact selectively and precisely with diseased tissues. Lasers also allow the clinician to reduce the amount of bacteria and other pathogens in the surgical field and, in the case of soft tissue procedures, achieve good hemostasis with reduced need for sutures.²⁴⁻²⁷ Many researchers have shown that the ability of lasers to seal blood vessels and lymphatic channels results in reduced postoperative edema, which in turn results in less postoperative discomfort.²⁸

The hard tissue laser devices can selectively remove diseased tooth structure because a carious lesion has much higher water content than healthy tissue, and water is the primary absorber of that wavelength of laser energy.^{15,29-31} These same devices show advantages over conventional high-speed handpieces as they interact with the tooth surface; for example, laser dentin has no smear layer, and the cavity preparation has been disinfected because of the bactericidal nature of laser energy.³²

The disadvantages of the current dental laser instruments are the relatively high cost and the required training.³³ Because most dental instruments are both side cutting and end cutting, a modification of clinical technique is required when using lasers, which are almost exclusively end cutting. The clinician must prevent overheating of the tissue and guard against air embolism caused by excessive pressure of air and water spray during laser procedures. Another drawback of erbium lasers is the inability to remove metallic restorations. Also, despite laser manufacturers' claims to the contrary, no single wavelength will optimally treat all dental disease.³⁴

This is one reason why proper laser training is critical when deciding which wavelength and from which manufacturer to purchase.³⁵ Some manufacturers give excellent hands-on training both at seminars and in office, whereas others give the buyer nothing more than a CD and training manual. This is discussed in more detail in the chapter on practice management considerations in laser dentistry.

LASERS IN DENTISTRY: NOW AND IN THE FUTURE

As mentioned, all dental surgical lasers currently available are designed for soft tissue procedures; only the two erbium wavelengths are safe and effective for teeth and bone. Therapeutic, or photobiomodulation, lasers show beneficial results for healing, although the majority of the reports are anecdotal. The initial clinical results of photo-activated disinfection also show promising applications for disease control. More information on photo activated disinfection and low level-laser therapy may be found in the chapter on low-level lasers in dentistry.

The future holds the promise of more laser applications. Optical coherence tomography using a laser to create a three-dimensional image will be a tremendous advance for dental diagnosis, and laser Doppler instruments will be able to measure blood flow rates to assess inflammation. Selective ablation of calculus and bacteria and enamel hardening for caries resistance are examples of new procedures under development.^{36,37} Manufacturers continue to develop technologies, with clearance for other clinical applications and laser wavelengths pending. More information on what the future of lasers in dentistry may hold may be found in the chapter on laser research.

CONCLUSION

The dental practitioner who uses lasers must know their scientific basis and tissue effects, must receive proper training, and must have clinical experience. Then the clinician can choose the proper laser(s) for the intended clinical application. Although the types of tissue interaction overlap

somewhat, each wavelength has specific qualities that will accomplish a specific treatment objective. Laser energy requires some procedures to be performed much differently than with conventional instrumentation, but the indications for laser use continue to expand and further benefit patient care.

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Laser-Assisted Nonsurgical Periodontal Therapy

3

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Periodontal disease affects 80% of the adult population in the United States.¹ Recent research suggests that bacteria associated with periodontal disease are associated with an increased risk of heart disease, diabetes, stroke, premature birth,^{2,3} and respiratory infection in susceptible individuals.^{4,5} Although periodontal disease thus should be one of the most frequently treated conditions in dentistry, traditional therapies have been poorly received, even feared, and viewed by many patients as “bad experiences.” Patients are reluctant to pursue initial periodontal treatment and are even more reluctant to have further treatment when disease progression occurs.

This chapter discusses the use and benefits of lasers in treatment planning and delivery of nonsurgical periodontal procedures, as well as the efficiency and efficacy of these laser-assisted procedures. The use of lasers is widely accepted and is the standard of care in ophthalmology, dermatology, and many other medical specialties. Dentistry also should embrace laser technology, a proven method to treat patients safely and effectively with excellent results.

In many states, nonsurgical periodontal therapy is performed by hygienists. In other states, only dentists are permitted to use lasers. Some state dental practice acts require certification of dentists and hygienists through successful completion of the Academy of Laser Dentistry Standard Proficiency Certification Course or similar education, before practicing laser-assisted procedures. Other states have no educational requirements before treating patients with a laser. Procedures provided by health care professionals, whether the dentist or the hygienist, must be within the clinician’s scope of practice according to the dental practice laws of the particular state or country.

PERIODONTAL DISEASE

Periodontal diseases are biofilm-initiated inflammatory conditions that impact susceptible individuals.⁶ *Gingivitis*, the first stage of periodontal disease, is defined as “gingival inflammation without loss of connective tissue attachment.”⁷ Periodontitis is defined as follows:

The presence of gingival inflammation at sites where there has been a pathological detachment of collagen fibers from cementum and the junctional epithelium has migrated apically.⁷ In addition, inflammatory events associated with connective tissue attachment loss also lead to the resorption of coronal portions of tooth-supporting alveolar bone.

Similar definitions are associated with periimplant mucositis and periimplantitis, respectively. The disease process is disrupted and controlled by host resistance through therapy or natural defenses.⁸

The organization and activity of biofilm are important because biofilm is the first component of periodontal disease targeted in therapy. *Biofilm* is a complex community of microorganisms protected by a secreted extracellular polymeric substance. As it becomes more mature, the microbes use a molecular communication, *quorum sensing*, to create a highly organized and adaptable infrastructure. The various microbes within the biofilm behave so as to preserve the entire community and become a living organism.⁹

As the biofilm responds to its environment, its adaptation provides resistance to such factors as ultraviolet (UV) light, bacteriophages, biocides, antibiotics, immune system responses, and environmental stresses.¹⁰ Manor et al.¹¹ found that biofilm penetrates epithelium and underlying connective tissue, possibly to a depth of 500 microns (μ ; or micrometers, μ m). Biofilm has been observed penetrating tissues along the path of capillaries. Through various means, including stimulating the host’s inflammatory pathways, biofilm may control transudate production to supply its nourishment.¹² This demonstrates the parasitic nature of biofilm in tissue.

As the body responds to biofilm invasion, proinflammatory cytokines, prostanooids, and proteolytic enzymes are synthesized and released. Fluids increase within the tissues, circulation becomes stagnant, swelling occurs, and metabolic products become backlogged. Enzymes such as collagenase, gellatinase, elastase, and fibrinolysin,³ so instrumental in the initial healing stage, remain at the site, destroying the developing strands of healing matrix needed to form connective tissue. The inflamed tissue is unable to progress from the granulation phase of healing into the remodeling phase

because of the continued insult with pathogenic activities and further biofilm proliferation.¹³ The site is now a biofilm-infested chronic wound¹⁴ and, without treatment, will most likely progress, creating localized destruction and impacting systemic health.

All the following terms used throughout this chapter refer to treating the soft tissue wall of the periodontal pocket:

- Nonsurgical periodontal therapy
- Sulcular debridement
- Active phase I periodontal infection therapy
- *Laser decontamination* refers specifically to reducing the biofilm of the pocket, usually meaning what is contained within diseased tissue.
- *Laser coagulation* refers specifically to sealing of capillaries and lymphatics after laser decontamination within the tissue wall.

BENEFITS OF LASER THERAPY

The advantages of lasers affect the bacteria directly and support the body's healing response. Incorporating lasers into conventional therapies helps accomplish treatment objectives. Conventional nonsurgical periodontal therapy addresses debriding the area of bacteria, endotoxins, and hard deposits from the tooth structure to restore gingival health.⁸ Instrumentation is focused on the tooth structure and most often accomplished through manual and power scaling. In the future, lasers will also be used for root debridement.

As of this writing, the U.S. Food and Drug Administration (FDA) has not yet cleared laser-assisted removal of deposits and biofilm from tooth structure. However, Aoki et al.¹⁵ determined that deposits and biofilm are more thoroughly removed and that a more biocompatible surface is created for reattachment with an erbium (Er) laser versus conventional methods.¹⁶ The alexandrite laser also has been in development for selective removal of calculus from the root structure.¹⁷ The carbon dioxide (CO₂) laser has been shown to increase adherence of fibroblasts to root surfaces, and the fibroblast adherence is superior to conventional techniques both in quantity of fibroblasts attached and in the quality of the attachment.¹⁸

Regardless of the instrument used, it is essential that contaminants are thoroughly removed from the tooth structure in any periodontal therapy. Current laser-assisted methods address the biofilm of the tissue wall, supplementing conventional methods that address the tooth structure. It is critical to note that laser treatment is an *addition* to, not a replacement for, conventional periodontal therapy.

Both in vitro and in vivo studies show that lasers are bactericidal.¹⁹⁻²² Although not specific to certain bacteria, the argon (Ar), neodymium-doped yttrium-aluminum-garnet (Nd:YAG), and diode lasers have strong absorption in darkly pigmented bacteria, causing a direct, increased effect on the

red and orange-complex bacteria associated with periodontitis.²³ The CO₂ laser also has excellent bactericidal properties.^{24,25} Both CO₂ and erbium lasers act on pathogens by heating intracellular fluids, causing the microbes to collapse.^{26,27} The absorption of laser energy by tissues produces a photothermal effect. With the appropriate parameters, most nonsporulating bacteria, including anaerobes, are readily deactivated at 50° C.^{28,29}

In laser-assisted active phase I periodontal infection therapy, the diseased biofilm-infested tissues of periodontal pockets are debrided. Working within the recommended parameters of 60° C,³⁰ the healthy tissue beneath the nonhealing granulation layer is not affected by the low energy. As noted earlier, biofilm can penetrate soft tissues. Removal of “bioburden” from the wound has a significant impact on wound bed preparation and wound healing.³¹ Steed et al.³² showed that more frequent debridement resulted in better healing than debriding less frequently. Moritz et al.¹⁹ reported that the bleeding index improved in 96.9% of the patients treated with laser-assisted periodontal therapy after conventional therapy, compared with 66.7% of patients treated conventionally without laser. The authors concluded that “the diode laser assisted periodontal therapy provided a bactericidal effect, reduced inflammation, and supported healing of periodontal pockets through elimination of bacteria.”¹⁹ Administering laser energy to the affected tissues at specific, repeated intervals is key in targeting biofilm during periodontal therapy.

Lasers also have the ability to seal capillaries and lymphatics, reducing swelling at the treated site and minimizing postoperative discomfort.³³

Another benefit of laser-assisted procedures is the healing stimulated at the cellular level.³⁴ Medrado et al.³⁵ found that low-level laser treatment depresses the exudative phase while enhancing the proliferative processes during acute and chronic inflammation. Laser *photobiomodulation* can activate the local blood circulation and stimulate proliferation of endothelial cells.^{36,37} Wound healing is supported with reduced edema, polymorphonuclear (leukocyte) neutrophil (PMN) infiltrate, increased fibroblasts, more and better organized collagen bundles.³⁸ Karu³⁹ suggests these effects are caused by an increase in mitochondrial synthesis. The slight scattering that occurs with more deeply absorbed energy of certain lasers may have photobiomodulation effects beyond the direct application. The aiming beams of the lasers may also have a photobiomodulation effect (see Chapter 15). More research needs to be conducted in this area.

LASER TYPES

Laser wavelengths used to treat active phase I periodontal infection include the diode, Nd:YAG, CO₂, and erbium lasers. Chapter 2 provides further information on each wavelength.

ARGON LASER

The argon laser, emitting a 514-nm wavelength, was FDA cleared for sulcular debridement in 1991. The energy is delivered through a fiberoptic system in contact or noncontact mode, depending on the procedure. These wavelengths are highly absorbed in hemoglobin and melanin and have demonstrated bactericidal properties, particularly for *Prevotella* and *Porphyromonas*.^{23,40} However, argon lasers are no longer being marketed to dentists.

DIODE LASER

The semiconductor diode lasers are available in four different wavelengths, as follows:

- 810 to 830 nm
- 940 nm
- 980 nm
- 1064 nm

Both the 810- to 830-nm and the 980-nm wavelengths may be used for nonsurgical periodontal therapy and are supported by the literature. As yet, no published studies have examined the use or advantages of the 940-nm wavelength.

As with the argon lasers, diodes also use fiberoptics for energy delivery in contact or noncontact mode, depending on the procedure. Diodes in this wavelength range are absorbed in hemoglobin and pigment (e.g., melanin). These *chromophores*, or organic compounds that absorb light at a specific frequency, are present in high concentrations within the diseased periodontal pocket, making these wavelengths applicable for sulcular debridement.

The 980-nm wavelength has more absorption in water than the other three diode wavelengths, which may be an added benefit to the laser interaction within the pocket. However, no definitive studies yet show that the superior absorption in water leads to superior clinical results compared with other diode wavelengths. Diode lasers are bactericidal^{19,41,42} and aid in coagulation.

Diode lasers may be operated in continuous-wave mode (energy emitted as constant beam), with low settings and short application time, or in gated-pulse mode (energy constant but interrupted or pulsed at specific intervals), with higher settings and longer application time. The newest lasers in the 980-nm wavelength offer specific on/off time controls, allowing higher power to be applied to the tissue for shorter pulse durations and much longer off times, which allow the tissues to cool adequately before receiving another pulse of energy. This decreases accumulated heat, which decreases thermal collateral damage. Less collateral damage means less postoperative discomfort for the patient. Some 980-nm diode lasers incorporate coaxial water irrigation for tissue cooling and lavage as an option during use.

NEODYMIUM:YAG LASER

The Nd:YAG is a free-running pulsed laser. The laser energy is produced in bursts of photonic energy rather than a continuous beam. This laser also uses a fiberoptic delivery system for contact or noncontact procedures. The 1064-nm wavelength is most highly absorbed in melanin, less absorbed in hemoglobin, and slightly absorbed in water. The Nd:YAG is also bactericidal²⁰ and provides excellent hemostasis. Because it is a free-running pulsed laser, the Nd:YAG emits high peak powers but allows for tissue cooling during the off time. When choosing settings for treatment, higher millijoules (mJ) with fewer repetitions per second (hertz, Hz) aids in coagulation, whereas lower mJ with higher Hz is typically used for decontamination.

Clinical Tip: A joule (J) is a measurement of energy available to do work in dentistry; dental professionals work in millijoules (mJ).

Clinical TIP

MICROPULSED CO₂ LASER

The micropulsed 10,600-nm CO₂ lasers incorporate the newest technology available in producing CO₂ laser energy. Delivery by an articulated arm in noncontact mode facilitates treatment. A specific insert with a defocusing tip is used for administering laser energy within the periodontal pocket. This wavelength interacts with water and hydroxyapatite and has a depth of penetration of 0.5 mm. Inflamed tissue possesses increased water content and is therefore affected by the laser energy, as are crevicular fluids and intracellular fluids. Fluids are photothermally heated, then vaporized, with the cell membranes collapsing. The bacteria are inactivated,^{24,25} and dehydration occurs as energy is applied.

Earlier CO₂ lasers emitted energy in continuous wave and, with later improvements, could also be gated, but only with longer pulses and higher mJ. The less sophisticated technology of those units increased thermal damage in surrounding tissue, often resulting in charring, and could not be used within the periodontal pocket. The newest micropulsed CO₂ lasers provide greater control of the energy, making application safe and effective within the periodontal pocket. These lasers allow higher peak power for ultrashort pulse durations, with longer off times. This provides maximum thermal relaxation, decreasing collateral damage and discomfort. The mJ settings are greatly reduced in sulcular debridement compared with previous gated-pulse CO₂ technologies. Technique requires the same care as any other laser application for soft tissue: direct the laser energy away from the tooth structure.

ERBIUM FAMILY OF LASERS

Erbium lasers are FDA cleared for both hard and soft tissue applications, and some Er lasers are FDA cleared for sulcular debridement. It is important to check with the manufacturer of the specific laser device to ensure that it is FDA cleared for its intended use. The Er:YAG 2940-nm and erbium, chromium-doped yttrium-scandium-gallium-garnet (Er,Cr:YSGG) 2780-nm instruments are free-running pulsed lasers that are most highly absorbed in water, followed by high absorption in hydroxyapatite and poor absorption in hemoglobin. The laser energy has a penetration depth of 5 μ . Thermal rise in the superficial tissue layers is minimal and even less with concurrent water spray during lasing. This limits hemostasis and coagulation within the pocket. Although some view the bleeding as a hindrance when working with these lasers, a benefit of this wavelength is almost no operative or postoperative discomfort. Quicker healing is also an advantage of these wavelengths.^{16,43} Studies have demonstrated significant population reduction of the periodontal pathogens *Porphyromonas gingivalis* and *Actinobacillus (Aggregatibacter) actinomycetemcomitans*, as well as positive long-term clinical results in attachment gain.^{16,21,26}

FUNDAMENTALS OF LASER PHYSICS

Understanding basic laser physics is important to make needed adjustments while administering laser treatment. In laser-assisted procedures, laser energy is absorbed by the chromophore and transformed into photothermal energy. Observing laser-tissue interaction is critical. Tissues containing different concentrations of the wavelength-specific chromophore will require different parameters.

The patient's comfort is also important. Often a treatment area is fully anesthetized during the initial debridement and lasing appointment, but may require only topical or no anesthetic for lasing at repeat visits. Making adjustments will improve the patient's comfort while accomplishing the treatment goal.

The treatment goal for nonsurgical periodontal therapy is decontamination and coagulation rather than incising. Procedures are accomplished through control of tissue temperature. The factors that affect tissue temperature are the mJ and Hz settings, speed at which the laser beam moves over the target tissue (hand speed), and cooling factors such as pulse "off time," high-volume evacuation, and water application.

Some lasers allow more control of these parameters than others. For a free-running pulsed laser such as the Nd:YAG, high mJ with low Hz means more energy per pulse *but* more thermal relaxation time between pulses. Typically this will improve hemostasis. If lower mJ is selected with more Hz, there is less energy in each repetition, but also less thermal

relaxation time because more pulses are emitted into the tissue every second. This may cause more even thermal absorption. Diodes are operated in either continuous or gated mode; continuous wave does not allow any thermal relaxation. Lower power settings should be chosen to prevent temperature increase beyond the treatment goal of decontamination/coagulation. If gated (pulsed) mode is selected, a higher energy may be required to raise the tissue temperature with each pulse. The gated mode allows the tissue to cool before the succeeding pulse.

All tissues have an *absorption threshold*; tissue can absorb only so much energy before it is overloaded by the energy and becomes painful and is damaged. Think of sitting on a beach and getting a nice suntan. Your tissue (skin) absorbed a certain amount of energy (sunlight). Think again of sitting on a beach and absorbing much more energy. Your tissue (skin) was overloaded with too much energy (sunlight), and the result is pain and charring of the tissue (sunburn). Pain occurs when more energy is irradiated onto the target tissue than it can absorb.

When the patient feels discomfort, the following adjustments can be made without adjusting the mJ or Hz settings:

- Move the laser fiber more quickly (adjust hand speed), decreasing total energy exposure.
- Move the suction closer to the operative site so that the circulating air decreases the accumulating temperature.
- Add a light spray of water to dissipate heat.

These adjustments work well with all wavelengths. However, because the CO₂ wavelength is highly absorbed in water, too much water will inhibit tissue interaction, whereas not enough will increase discomfort.

Conversely, if the tissue is not responding, alterations are needed. First, check the settings, then inspect the aiming beam, ensuring patency of the optics. If parameters are correct, test-fire the laser on an appropriate chromophore, moving the laser more slowly. If results are still negative, increase the settings incrementally until interaction occurs. If a large increase is necessary, the laser likely has a technical problem and should be serviced by a certified technician. The clinician must understand the wavelength employed to provide optimal, beneficial laser treatment.

TREATMENT OBJECTIVES WITH SOFT TISSUE LASERS

The objective of active phase I periodontal infection therapy is to remove biofilm and deposits found above the gumline and within the periodontal pocket, whether on the tooth structure, on the tissue wall, or in the crevicular fluids. Such therapy assists the body's healing response. This is accomplished through conventional scaling and root debridement

as well as laser-assisted sulcular debridement. Sulcular debridement addresses the pocket wall for profound decontamination and seals the capillaries and lymphatics through coagulation.

SULCULAR DEBRIDEMENT WITH FIBEROPTIC LASER DELIVERY

Preprocedural Decontamination

Preprocedural decontamination is a laser application done before any instrumentation, even probing. The objectives are to affect the bacteria within the sulcus, reducing the risk of bacteremia caused from instrumentation, and to lower the microcount in aerosols created during ultrasonic instrumentation.⁴⁴ The technique uses very low energy. The fiber is placed within the sulcus and is swept vertically and horizontally against the tissue wall, away from the tooth, with a smooth, flowing motion, for 7 to 8 seconds on the lingual aspect, then on the buccal of each tooth's tissue wall. The benefits of preprocedural decontamination are seen in the reduced microbial translocation through the circulatory system.

Decontamination

Just as conventional root debridement removes biofilm and accretions from the hard tooth surface, laser decontamination removes biofilm within the necrotic tissue of the pocket wall. The laser energy interacts strongly with inflamed tissue components (from preferential absorption by chromophores, which are more abundant in diseased tissues) and less strongly with healthy tissue. This nonsurgical therapy uses very low settings and decontaminates rather than cuts the tissue.²⁸

The administration of laser decontamination requires an understanding of current periodontal pocket topography, proficiency in technique, and recognition of laser-tissue interaction to determine the end point of therapy. An updated periodontal chart is needed for reference throughout therapy. With conventional root debridement provided just before laser decontamination, the pocket depths may need to be reprobated for accuracy of laser treatment. Laser therapy should address sites presenting with inflammation and/or pocketing of 4 mm or greater. It is helpful to visualize the surface area being treated. For example, the diseased pocket lining requiring treatment in a case with 50% generalized bone loss has an estimated tissue surface area of 40 cm² (6.2 in²), and in a case with 4 to 5 mm of generalized pocketing, 20 cm² (3.1 in²).⁴⁵ Knowing the depth and shape of pockets and area to be addressed enables the clinician to be thorough with technique.

With fiberoptic technique, the fiber tip engages every millimeter of the diseased tissue wall that corresponds to the indicated sites marked on the periodontal chart. To begin,

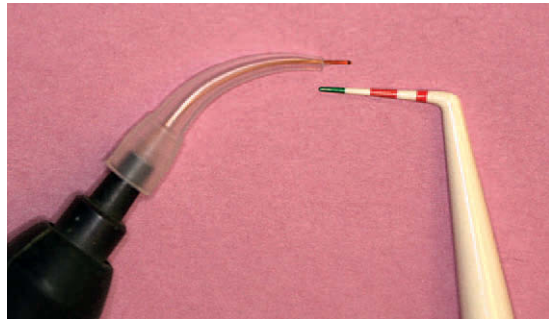


FIGURE 3-1 • Calibrating fiber length using periodontal probe.

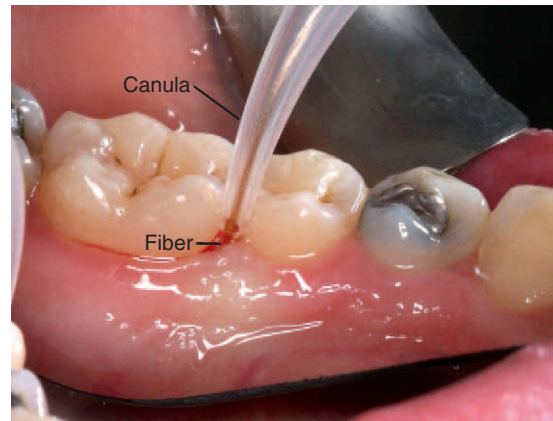


FIGURE 3-2 • Laser fiber in periodontal pocket.

calibrate the fiber exposed to measure 1 mm less than the pocket depth (Figure 3-1). Begin lasing with the fiber placed just inside the gingival margin, progressing apically, or place the fiber 1 mm short of the depth of the pocket, working coronally (Figure 3-2). The edge of the canula indicates the treatment depth of the pocket being reached. Use a rapid, gliding, multidirectional motion with the tip of the fiber in constant contact with the pocket wall. The strokes should address small sections and should be systematically overlapping. It is helpful to divide the pocket into affected sections, such as interproximal to the line angle, the direct buccal or lingual surface, and the line angle to the interproximal area. Continually inspect the fiber tip and remove any accumulated debris (Figure 3-3) with water-moistened gauze.

When exiting the pocket, take care to inactivate the laser, preventing overexposure of the gingival margin's thin tissue. If the fiber tip becomes irreversibly coated (Figure 3-4), cleave the fiber, calibrate the length of the pocket, and continue the procedure.

Completion of laser decontamination is determined by laser parameters used, delivery time, and clinical signs. Decontamination is accomplished with less mJ and more Hz than for coagulation. A more inflamed pocket may require

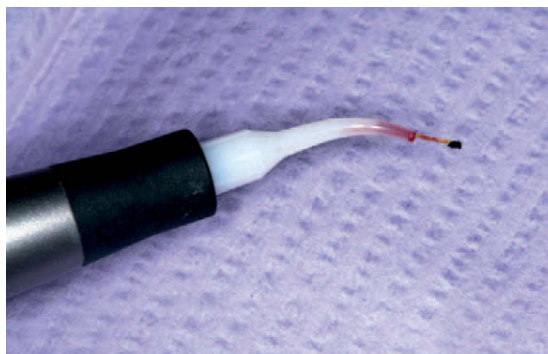


FIGURE 3-3 • Small amount of granulomatous tissue debris on fiber tip.



FIGURE 3-4 • Excessive amount of debris on fiber. At this stage of treatment, the fiber should be cleaved and recalibrated.

less average power because of increased concentration of the laser's preferred chromophores. If working with an initiated fiber and lower settings in continuous wave, interaction is concentrated and will require less treatment time than a noninitiated fiber with higher settings in pulsed mode. A deeper pocket will require longer treatment time because of increased surface area. As lasing progresses in an area, less and less debris should collect on the fiber. Fresh bleeding will occur, however, when the pocket wall is fully decontaminated and debrided (Figure 3-5). Keep in mind the laser parameters and application time. Observing tissue interaction is essential in determining how long a diseased site should be treated.

EXERCISE: To illustrate laser technique, try this simulation exercise. On paper, draw an area measuring 20 cm² (3 × 1-inch rectangle). Use a mechanical pencil with fine lead (0.5 mm) to color the area using flowing, methodical, overlapping, multidirectional strokes, leaving no areas uncolored. The laser fiber is actually only 0.4 or 0.3 mm, but this activity reflects the time and thoroughness needed for treatment within the pocket.



FIGURE 3-5 • Gingiva immediately after fiber-based laser treatment, showing fresh bleeding from the pocket.

Coagulation

When biofilm has been removed, the second objective in active phase I periodontal infection therapy is coagulation, sealing the capillaries and lymphatics of the healthy tissue. As previously noted, biofilm tends to continue its invasion of the host tissue through the vessels. Coagulation may inhibit the biofilm's progression. It also counteracts the swelling that occurs with the inflammatory process. Coagulation is accomplished with increased mJ and decreased Hz compared with decontamination. Coagulation also requires less time within the pocket and does not address every millimeter of tissue.

A newly cleaved fiber is moved back and forth through the pocket, administering laser energy beyond the end of the fiber into the tissue. The application raises the temperature within the pocket slightly, to promote protein denaturation and sealing of the vessels. If continued hemorrhaging occurs when exiting the pocket, the fiber may be used in noncontact mode to coagulate at the gingival margin, keeping the laser energy directed away from the tooth surface.

After coagulation, firm digital pressure applied to areas with deep pockets will support the re-adaptation of the tissue to the tooth and further enhance reattachment. Coagulation assists the first stages of healing after debridement.

SULCULAR DEBRIDEMENT WITH CO₂ LASER

Whereas the argon, diode, and Nd:YAG lasers employ a contact technique for sulcular debridement, the micropulsed 10,600-nm CO₂ laser uses a defocused, noncontact technique. Marginal dehydration and pocket decontamination are two steps applied in CO₂ laser therapy. Because the CO₂ laser's wavelength will be absorbed by the crevicular fluids and water content in the diseased tissue wall, it is important to direct the energy parallel to the tooth surface and toward the tissue.

Begin by directing laser energy to the coronal edge of the marginal gingiva. Hold the tip perpendicular to the tissue



FIGURE 3-6 • Laser marginal dehydration of gingival tissue immediately before pocket debridement and decontamination with carbon dioxide (CO₂) laser.



FIGURE 3-8 • Gingiva immediately after CO₂ laser treatment.



FIGURE 3-7 • Tip of CO₂ laser in periodontal pocket. Note parallelism of tip to root surface.

crest at a distance of approximately 1 mm. Tissue interaction is observed with a slight “frosting” of the surface (Figure 3-6). Marginal dehydration will improve entry of the tip by drawing the tissue slightly away from the tooth structure. The epithelium will be inhibited by this application. This is the first step before pocket decontamination.

The technique for decontamination involves placement of the laser’s defocusing tip 1 to 2 mm into the pocket (only 1 mm for pockets up to 6 mm in depth; only 2 mm for pockets >6 mm). Treatment should include the complete circumference of each tooth presenting with disease. Activate the laser as the tip is drawn through the crevicular space in an even, slow motion, working from the distal aspect to the mesial aspect on the buccal and again on the lingual side of the tooth. The laser tip is kept parallel to the long axis of the tooth (Figure 3-7).

Treatment time is a *maximum* of 16 seconds per buccal or lingual surface. The length of application time depends on the extent of disease and the surface area; larger teeth such as molars are treated longer than smaller teeth such as lower

anterior.⁴⁶ The tip must be kept open and free of coagulum for efficient energy flow. Keeping the tissue slightly moist and working in a single direction will enhance the laser’s efficiency. Vertical up-and-down movements or pushing the tip toward the tissue can cause the tip to clog. If the tip becomes occluded, the tip and detritus inside will continue absorbing the energy, heating the tip excessively. Coagulation occurs simultaneously with decontamination. No additional steps are necessary in lasing with the CO₂ laser. Figure 3-8 shows the gingiva after-lasing.

POSTOPERATIVE CARE

The therapeutic appointment concludes with several steps in professional postoperative care. After lasing, allow the patient to rinse with water or with a non-alcohol-based rinse to freshen and moisten the mouth. A topical soothing agent such as vitamin E oil or aloe vera may be applied with a gloved finger or sterile cotton swab to the areas treated. Firm adaptation of tissue to the tooth with digital pressure may assist adhesion of fibrin between the tissue and tooth, particularly for deeper pockets.

Postlaser irrigation is a subject of debate. Although irrigation with chlorhexidine or other solutions is used in conventional treatment as a final step in disinfecting periodontal pockets, the author believes that postlaser irrigation is unnecessary. In fact, Mariotti and Rumpf⁴⁷ found that solutions of chlorhexidine ($\leq 0.12\%$) in contact with wound sites for even a short time could have serious toxic effects on gingival fibroblasts. Other studies report that subgingival irrigation has no significant additive effects on periodontal healing.⁴⁸⁻⁵⁰

When lasing is completed, all the benefits of profound decontamination and coagulation are in place. Further manipulation of the tissues reintroduces contaminated instruments into the pocket and disrupts the fibrin clot.

The final step in postoperative care is advising the patient on what to expect, addressing further concerns, and discussing continued self-care. Counsel the patient that mild discomfort is possible the first 24 to 48 hours. With

BOX 3-1

Patient Care Instructions after Laser-Assisted Periodontal Therapy

1. Do not eat until numbness is gone.
2. [Patients who smoke] Smoking compromises the healing processes; refrain from smoking as long as possible (or preferably take opportunity to stop smoking).
3. Avoid spicy, sharp, crunchy foods for 24 hours.
4. Avoid alcohol-containing products for 24 hours.
5. Avoid seeds or husks for 3 to 5 days (or as directed).
6. Rinse with saltwater (1 tsp in 8 oz of warm water) three times daily until tissues are comfortable.
7. Any over-the-counter pain reliever may be taken as directed to manage mild discomfort.
8. More severe pain should be evaluated by the dentist.
9. Thorough but gentle cleaning is essential to the healing process. In areas treated, use an extrasoft toothbrush for 1 or 2 days, and floss gently. Regular brushing and flossing may be done in all other areas.
10. Oral irrigation may begin after 24 hours. Use a medium-low power setting, directing the water stream at a 90-degree angle to the tooth—*not* into the pocket. Subgingival irrigation is contraindicated until further evaluation.

laser-assisted nonsurgical periodontal therapy, discomfort is often associated more with root debridement than lasing. Excessive pain may indicate another issue and should be evaluated. The patient should avoid spicy, sharp, and crunchy foods for 24 hours to avoid discomfort and trauma. Seeds and husks may become lodged between the gum and tooth and should be avoided. The risk of a foreign object impeding healing is highest in the first few postoperative days, but risk may persist if the case is more severe. Encourage the patient to be diligent in supporting the healing process by consistent and thorough daily cleaning. Patients appreciate instructions in written form for reference as well as in verbal form (Box 3-1).

HEALING AND TISSUE REHABILITATION

Healing typically occurs without complications as the body responds to therapy. Through the first 24 to 72 hours, the patient may feel tenderness and experience light bleeding when eating or cleaning; after tooth debridement and tissue decontamination, epithelium begins to regenerate after 24 hours, then progresses 1 mm per day, protecting the tissue wall. Within 1 week, the wound surface is covered. Connective tissue begins proliferation by day 5. Because laser decontamination procedures are repeated at 10-day intervals, the epithelium is impaired during laser biofilm reduction. This allows the fibroblasts to continue organizing into a connective tissue attachment apparatus. The attachment will continue to mature for 12 months.⁸ Because this attachment is easily disrupted, only light-pressure probing is recommended after several months and normal probing after 6 months of postoperative therapy.⁵¹

Classic signs of tissue rehabilitation include improved color, consistency, texture and stippling, and contour. These signs, as well as a decrease or elimination of hemorrhaging

during gentle tissue manipulation and a reduction of pocket depth, are all desired indications of healing tissues. Figure 3-9 shows initial periodontal probing before treatment and periodontal probing 6 months after treatment. Figure 3-10 shows three sets of probings: initial probing, 8 weeks after treatment, and 5 months after treatment. Analysis of the probing results shows a resolution of 86% of the bleeding sites, a decrease in 86% of the pocketing sites, and a 58% decrease in the number of teeth exhibiting periodontal disease.

COMPLICATIONS AND ADVERSE REACTIONS

Healing may be complicated by microbiological, immunological, and traumatic factors. Rapid pocket reinfection will occur with insufficient removal of subgingival biofilm, with inadequate supragingival biofilm control, or with uncorrected poor restorative conditions. A patient with a compromised immune system may have a delayed or less optimal healing response; however, with the assistance of laser benefits, the patient could recover better than expected.

Traumatic factors, such as excessive trauma from instrumentation and increased collateral damage from overexposure of laser energy, may result in prolonged tissue discomfort and soreness. Systemic diseases such as diabetes will delay healing. Evaluation of excessive occlusal stress is also important.

In states where hygienists are allowed to perform laser therapy, it is incumbent on the hygienist to make certain that the dentist has performed a complete occlusal evaluation and correction/equilibration of the dentition. Occlusion is a risk factor for periodontal breakdown, and thus occlusal treatment needs to be considered as a part of the comprehensive treatment of periodontal disease.⁵² Trauma from occlusion constitutes an additional risk factor for the progression and severity of periodontal disease. An understanding of the effect of trauma from occlusion on the periodontium is useful in the clinical management of periodontal problems.⁵³

charring. Excessive heat accumulation can cause damage to underlying bone. Intense overexposure of laser energy on tissue results in carbonized tissue.

Complications in healing and adverse reactions are minimized with appropriate treatment protocols. Understanding the laser settings and observing the laser-tissue interaction during application are crucial. The clinician must have adequate knowledge and proficiency whenever providing treatment with any instrument, whether ultrasonic, hand, or laser. All are extremely beneficial when used properly.

DOCUMENTATION

Documentation in the patient's chart serves to describe the conditions, diagnosis, and specific treatment rendered. Written references concerning laser use should include the following:

- The wavelength and type of laser (e.g., 980-nm diode)
- The spot size (size of fiber, tip, or aperture of tip)
- The settings (e.g., mJ, Hz, and watts of average power)
- Mode of application (continuous or pulsed wave)
- Duration of application
- Application with or without water spray
- Type of anesthesia used (topical or injection [number of carpules]).

Documentation also should (1) confirm that wavelength-specific laser safety glasses were worn, (2) describe any adverse reactions and how they were managed, and (3) state that post-operative instructions were given. Complete documentation should answer any question that a patient might ask about the treatment (Box 3-2).

ADJUNCTIVE CHEMOTHERAPEUTICS

The rationale for adding antibiotics in some cases is better biofilm suppression with concomitant therapies. If treatment requires multiple strategies, chemotherapeutics may be used in conjunction with lasers and conventional treatment. Antibiotics such as doxycycline, tetracycline, and metronidazole are prescribed for systemic control of pathogens. Low-dose levels of doxycycline hyclate (Periostat) suppress the enzymatic activity of collagenase associated with the disease processes. Arestin, Atridox, and the Periochip are placed to control localized growth of pathogens.

Biofilm is most susceptible to chemotherapeutics when it has been disrupted aggressively through debridement of both the tooth and the tissue. A systemic antibiotic should begin early in the treatment to assist the body as treatment progresses. A local antibiotic may be placed after the last session of lasing for the site, to allow relasing of the site without disturbing the product because it works for several weeks. Locally administered antibiotics should *not* be used between lasing appointments.

It is prudent to test the biofilm's composition and the susceptibility of pathogens before administration of chemotherapeutics. Many types of culturing do not test the antibiotic against the protective mechanisms in the biofilm and thus do not reflect the in vivo environment. Polymerase chain reaction (PCR), 454 sequencing, and other molecular tests give a better view of what organisms are cohabitating within the biofilm. This information may determine which medication(s) would be most beneficial, how long it should be administered, and if a second phase with testing is needed. Chronic wounds should never be controlled merely with antibiotic therapy.

BOX 3-2

Example of Charting and Documentation for Laser Periodontal Therapy

10-11-2009: Pt presented for periodontal infection therapy (PIT) UR

Health history reviewed, no contraindications to treatment. Administered 20% topical benzocaine followed by 2% lidocaine, with epi 1:100,000, 1.8 mL for local anesthesia of teeth #2-5.

Disclosed #5-8 and instructed on specific daily biofilm removal techniques. Recommended: Bass toothbrush technique twice daily and adding floss to current routine. Review floss technique further at next appointment.

Preprocedural laser decontamination with 980-nm diode, uninitiated 300-micron fiber, power of 0.4 watts in CW administered approx 16 sec per tooth throughout.

Supragingival ultrasonic biofilm removal throughout. Manual and ultrasonic definitive debridement of #2-5.

Laser decontamination of #2-5 with same laser and fiber, 2.0 watts in PW on 25 msec/off 50 msec for an average power of 0.7 W administered approx 20 sec per site. Laser coagulation followed with power of 0.8 watts in CW administered approx 10 sec per site.

Laser-specific glasses were worn by patient and clinician during laser procedures. No adverse reactions.

Postop instructions given in both written and verbal forms.

Next visit: PIT for UL area.

LASER SAFETY

The designated laser safety officer is responsible for educating the dental team in the safe use of the laser, as well as for enforcing safety practices, as follows:

1. Securing the operatory by limiting access to the room and posting “laser in use” signs.
2. Using safety features of the laser, such as placing the laser in standby mode when not in use.
3. Enforcing mandatory use of wavelength-specific protective eyewear within the treatment area.
4. Evacuating with high-volume evacuation to remove aerosols and laser plume.
5. Using a high-efficiency particulate filtration mask (particle filtration efficiency of 99.75% at 0.1 μ).

Test firing the laser before the patient’s procedure is another important step in safety and procedure preparation. Test firing proves the laser energy is being delivered as expected. With safety measures in place, position the terminal end of the laser away from the patient. Select a suitable chromophore (argon, Nd:YAG, and diode: dark material; CO₂: moist paper; erbium: water) and activate the laser while holding it 1 to 2 mm from the material chosen. As energy is absorbed, an interaction will be observed, such as a mark and plume or water bubbling or evaporating. This is not the same as “initiating” the fiber, but simply an assessment of interaction between the laser and an appropriate chromophore, to ensure the laser is working as anticipated.

LASER PLUME

Although no set standard exists, strong recommendations (e.g., OSHA, CDC, ANSI) address evacuation of the laser plume. High-volume evacuation is indicated for aerosol reduction during ultrasonic instrumentation,⁵⁴ as well as for plume removal during lasing. The plume is composed of 95% water and 5% particulate matter, organic and inorganic chemicals, and microorganisms.⁵⁵ Organic chemicals such as benzene, toluene, formaldehyde, and cyanide have been isolated within the plume; inorganic chemicals include carbon monoxide, sulfur, and nitrogen compounds.⁵⁶ The microorganism analysis shows bacteria, microbacteria, fungi, viruses, and DNA from intact viruses of human immunodeficiency virus (HIV), hepatitis B virus (HBV), and human papilloma-virus (HPV).⁵⁷ Most particles are 0.3 to 0.5 μ in size, 90% of which are likely to be inhaled and deposited on the alveolar lung tissue.⁵⁸ Therefore the common mask that filters only 5.0- μ particles provides inadequate filtration. A mask filtering 0.1- μ particles is recommended.⁵⁵

The combination of high-volume evacuation and a high-efficiency filtration mask decreases the risk associated with ultrasonic and laser procedures. These masks are available in tie-on and ear-loop styles through dental supply companies.

Clinical Tip: As an alternative, tuberculosis (TB) masks may be worn.

Clinical TIP

TECHNICAL ASPECTS OF LASER SETTINGS

The treatment objective, fiber size, and existing chromophore concentration should be considered when choosing the settings for a nonsurgical periodontal procedure. For laser-assisted hygiene applications, lower settings are required for profound decontamination of the tissues. The fiber size can directly impact the amount of energy the target receives with a specific setting. A 320- μ fiber has a smaller spot size, which increases the power density at the target, compared with a 400- μ fiber. With the same setting, a 400- μ fiber delivers only 64% of the power density delivered with a 320- μ fiber.

The concentration of chromophore present in the target tissue also affects settings. Diseased tissue has an increased amount of hemoglobin and responds well to certain wavelengths, requiring less energy. Fibrotic tissue, with decreased vascularization and hemoglobin, comparatively needs more energy.

Printed parameters from laser manufacturers are only guidelines for treatment. Observed tissue interaction is the key to knowing if the settings are adequate or need adjustment. A rule of thumb is to use the minimum amount of energy to achieve the therapy needed. References for settings have been added for convenience to the suggested parameters in Table 3-1.⁵⁹⁻⁶¹ Although each of the listed lasers may be used in nonsurgical periodontal procedures, some are more efficient than others. One laser used for therapy may require more or less treatment time than another, which affects treatment planning. Table 3-2 contrasts the time investment required with three types of lasers. The patient treated exhibited 87 “bleeding on probing” sites, 106 sites of 4-mm depth or greater, and 20 involved teeth. Because of treatment protocol, the Nd:YAG and diode lasers reflect treatment of each site exhibiting disease, whereas the CO₂ laser reflects treatment per tooth.

FIBER

The fibers used with argon, diode, and Nd:YAG lasers are constructed similarly and are manufactured in a variety of diameters, with the 300- to 400- μ fiber most often used for laser-assisted hygiene procedures. The fiber has four parts: the jacket, cladding, fiber, and coupler. The *jacket* is a thick, flexible, clear or translucent, latexlike covering or a thin, tougher plastic that protects the fiber. The *cladding* is a coating on the outside of the fiber that is inwardly reflective, collimating the laser beam completely to the fiber’s terminal end. The fiber

Table 3-1

Suggested Laser Parameters for Nonsurgical Periodontal Therapy*

Laser Type	Fiber Diameter	Preprocedural Decontamination	Debridement	Coagulation
Argon	300 microns (μ ; or micrometers, μm)	No suggested parameters in literature	0.5 watt (W), 0.05-second pulse duration, 0.2 sec between pulses [†]	0.7-0.8 W, 0.05-sec pulse duration, 0.2 sec between pulses [†]
Diode (810 nm)	300 μ , initiated	1.0 W, uninitiated fiber, gated 50% duty cycle, 15 sec per tooth ⁴³	0.4 W, continuous wave, 20 sec per site [†]	0.8 W, continuous wave, 10 sec per site [†]
Nd:YAG (1064 nm)	300 μ	No suggested parameters in literature	30 mJ and 60 Hz, 1.8 W, 40 sec per site [†]	100 mJ and 20 Hz, 2.0 W, 20 sec per site [†]
Diode (980 nm)	300 μ	No suggested parameters in literature	2.0 W, pulsed 25 msec on/50 msec off for avg power of 0.7 W applied 20 sec/site or 0.4-0.6 W, continuous applied 20 sec/site ⁵⁷	0.8 W continuous applied 10 sec/site
Micropulsed CO ₂	Periotip aperture: 0.5 mm	No suggested parameters in literature	80 mJ (level 4), 50 Hz, 1.8-2.0 W, avg of 24 sec <i>per tooth</i> ⁴⁷ 28 mJ, 30 Hz, 1 W, 350- μ sec pulse width, 0.31 msec off; avg of 24 sec per tooth ⁶⁰	N/A
Er:YAG	Tip diameter: 0.6 mm	No suggested parameters in literature	80 mJ, 30 Hz, 2.4 W avg power with water spray ²¹	N/A
Er,Cr:YSGG	Tip diameter: 0.6 mm	No suggested parameters in literature	1.0 W (50 mJ/pulse) ⁶¹	N/A

N/A, Not applicable; avg, average.

*This chart provides only *suggested* parameters. Check the owner's manual of the specific laser being used for more complete information regarding settings.

[†]Data from Raffetto N: Lasers for initial periodontal therapy. In Coluzzi DJ, Convissar RA: *Lasers in clinical dentistry*, Philadelphia, 2004, Saunders.

Table 3-2

Example Case of Time Investment with Three Lasers

Laser (nanometers)	Application (seconds)	Number of Sites Treated	Laser Application (minutes)
Nd:YAG (1064 nm)	40 sec/site*	106	71
	20 sec/site*	106	35 total: 106
Diode (810 nm)	20 sec/site*	106	35
	10 sec/site*	106	18 total: 53
CO ₂ (10,600 nm)	26 sec/tooth	20 teeth	total: 8.6

*Data based on suggested parameters from Raffetto N: Laser for initial periodontal therapy. In Coluzzi DJ, Convissar RA: *Lasers in clinical dentistry*, Philadelphia, 2004, Saunders.

itself is made of quartz and is crystalline in structure. The *coupler* connects the fiber to the laser.

Proper handling of these parts is critical for optimal power delivery. The extra length of fiber should be loosely coiled and secured away from rolling chairs or sources of entanglement. Manufacturers produce accessories to help manage the extra length of fiber. When preparing the fiber for the handpiece, strip as little of the jacket away as possible. The fiber should be bare through the canula but not where the collet nut in the handpiece tightens. Damage to the cladding can occur by using an improperly sized stripping tool. Overclosing on the fiber during stripping will nick the cladding. Should the cladding become scratched, laser energy is lost from that site, decreasing the power at the working end. Inspect the fiber for light leaks of the aiming beam before inserting it into the handpiece. If light leaks are present, strip the fiber farther back, and cleave the fiber just behind the point where visible light is detected.

CAUTION

Caution: If the cladding is damaged but the fiber is not broken, the aiming beam will still be visible at the terminal end, but the power of the working beam will be lessened, sometimes significantly.

Fiber breakage may occur if the fiber is coiled too tightly or retracted repeatedly in a cassette. Breakage may also occur while working in the pocket. If using a metal canula, the side of the fiber may rub against the canula's edge, scoring the fiber inadvertently and leading to breakage. If undetected, unwanted exposure to laser energy may result. Loss of fiber integrity can waste valuable treatment time, diminish power needed for treatment, and constitute a hazard.

Initiating the Fiber

Initiating the fiber is helpful with some laser-assisted hygiene procedures but is not desired in others. Initiation of the fiber tip is accomplished by activating the laser while touching the fiber to a dark chromophore, such as black articulating paper. This coats 1 to 2 mm of the fiber's end. The purpose is to *concentrate the energy at the fiber's surface*, increasing the photothermal interaction with the tissue and accelerating debridement.

Initiation is used with lasers of lower fluence, particularly diode lasers, in the decontamination procedure. Because an initiated fiber concentrates the laser energy at the point of tissue contact, heat can accumulate within the tissues quickly. Application time should be limited to minimize collateral damage in surrounding tissue. Lower settings are used in continuous wave for a shorter duration to accomplish

decontamination of the pocket wall. Also, when working with fibrotic tissue exhibiting less chromophoric concentration, initiation is helpful.

If the objective is *penetration of the laser energy* into the tissue beyond the fiber, the fiber is *not* initiated. An uninitiated fiber is used for preprocedural decontamination and coagulation. The Nd:YAG, a free-running pulsed laser, does not require initiating because of high peak powers and immediate interaction with the tissue. Argon and diode lasers may be used in pulsed or continuous wave, with an uninitiated fiber for preprocedural decontamination and coagulation. Continuous-wave mode requires less energy and shorter application time to minimize heat accumulation within the tissue. The pulsed-wave mode may use higher settings with slightly longer treatment times. The off time between pulses allows heat dissipation within the tissue.

The clinician must have a clear understanding of the laser effects with an initiated or uninitiated fiber and must be proficient in reading tissue-laser interaction.

Cleaving the Fiber

Fiberoptic systems require cleaving for maximum energy delivery. *Cleaving* refers to creating a flat, 90-degree surface at the terminal end of the fiber. This ensures maximum energy delivery from the fiberoptics. Types of cleaving tools include carbide or diamond “pens” held at 90 degrees, 1-inch serrated ceramic tiles held at a 45-degree angle, and scissors, all used to *score* the fiber, not cut through it (Figure 3-11).



FIGURE 3-11 • Three styles of cleaving tools. From top, Scissor, glass-cutting pen, and ceramic tile.

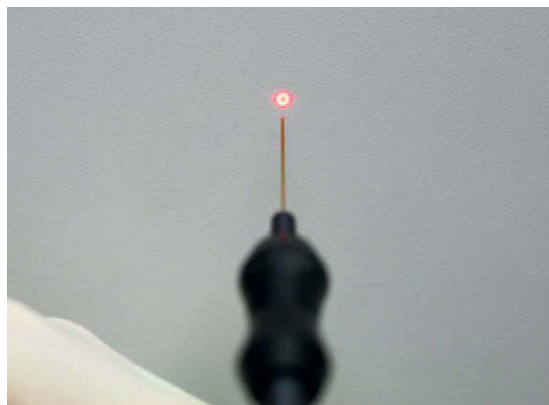


FIGURE 3-12 • Example of optimal cleave. Note well-defined, solid circle of light. A less-than-ideal cleave would show an oval or “comet tail” appearance of the light.

To cleave a fiber, lay the fiber down on a firm, flat surface and secure with the nondominant hand. Orient the cleaver appropriately 2 mm from the end of the fiber. Move the cleaver across the fiber *one* time to score the quartz. When using the scissor design, place the fiber at 90 degrees between the blades, allowing the fiber to scoot along the blade while closing them. With a proper score created by any one of these devices, a “light leak” should be visible and the fiber easily snapped away or pulled off.

When checking the fiber for an optimal cleave, hold the fiber perpendicular 1 cm from a flat, light-colored surface (Figure 3-12). The visible aiming beam should show a well-defined, solid circle. A poor cleave creates an uneven or diffuse margin and may have a “comet tail.” An imperfect cleave diminishes the amount of laser energy being delivered to the target tissue and causes trauma when in contact with tissue. The technique for cleaving should be mastered to conserve fiber length and provide efficient laser energy delivery during treatment.

Observe laser safety precautions. Never look directly at the laser light. *Do not activate the laser* when assessing the fiber’s cleave, even though it may be in ready mode. The cleaved portion of the fiber, whether contaminated or not, is considered a “sharp,” and disposal in a sharps container is required.

FIBER HANDPIECES

A variety of handpieces may be used with fiberoptic delivery systems; however, it is important they are compatible with the selected fiber. Handpiece designs include different barrel sizes, textures, and weights (Figure 3-13). The clinician should consider these factors for comfort and working ergonomics.

Properly sized components inside the handpiece will prevent the fiber from slipping during treatment. Most handpieces are designed with a collet nut or chuck that tightens



FIGURE 3-13 • Various handpieces and canulas.

around an inner bushing. The bushing grips the fiber jacket, holding the fiber in place. Some bushings accept only a certain size of jacket, whereas others may be adjusted. If the jacket is stripped away, allowing the bushing to tighten against the bare fiber, the cladding may be damaged, resulting in loss of laser power.

CANULAS

Because the crystalline structure of the fiber will not allow sharp bends in the fiber, the canula design is essential in guiding the fiber to the treatment site. Canulas also have many designs; some are metal and others clear or translucent plastic (Figure 3-13). Some screw onto the handpiece, whereas others are tension retained. Some canulas are multiuse and sterilizable; others are single use and disposable. Some may be shaped into a gentle arc, whereas others have a pre-defined shape. Selecting the best canula to access the treatment site effectively and efficiently will facilitate periodontal therapy.

FIBER PATENCY

Before connecting the sterilized fiber to the laser, check the fiber’s patency, or openness. Hold the terminal end of the fiber to a light source and look at the connector end. It should show a bright light representing the full diameter of the fiber at the connector. Several conditions may prevent patency. The fiber at the connector may be occluded with oils from sterilization or handling. This can be corrected by cleaning the connector according to the manufacturer’s guidelines. Also, the fiber may be broken. Check by installing the fiber and using the aiming beam to locate the “light leak.” Strip away the jacket, and cleave the fiber.

CAUTION

Caution: Do not install and activate the fiber until criteria for patency are met.

STERILIZATION

Ensuring fiber, handpiece, and canula integrity throughout the sterilization and installation procedures requires specific care. Manufacturer recommendations should be followed for processing. Avoid sterilizing fibers in autoclaves used for sterilizing oiled handpieces. Oil may accumulate on the connector, causing damage to the laser when activated. Handpieces require minimal maintenance beyond cleaning and sterilizing. Occasionally, plastic bushings break down with heat or chemical processing and must be replaced. Sterilizable canulas may become occluded with debris during procedures. The internal aspect should be cleaned with tufted floss or a slightly smaller-diameter cleaning tool and very warm water, then sterilized. Protect metal canulas from damage when not in use. Proper sterilization and handling of the fiber, handpiece, and canula will eliminate related cross-contamination and increase longevity of components.

TREATING PERIIMPLANT MUCOSITIS AND PERIIMPLANTITIS

Treatment of periimplant mucositis and periimplantitis is similar to that discussed earlier for gingivitis and periodontitis. The objective is to preserve attachment or recreate it by removing pathogens and supporting healing. The attachment of tissue to the implant is a glycoprotein matrix that adheres to the titanium. When the biological seal is disrupted by inflammation or trauma, there is an open pathway to the bone supporting the implant.

Periimplant mucositis is often described as an “ailing implant,” with inflammation but no bone loss. The condition warrants therapy to reverse the inflammatory process, preserving as much attachment of tissue and bone as possible. As discussed in gingivitis therapy, it is essential to remove biofilm on the implant collar and crown using specialized instruments for implant care. The periimplant tissue is then decontaminated by lasing with the previously recommended settings. Therapy should involve at least two sessions 10 days apart. Reappoint at the same interval until conditions resolve.

If the implant is diagnosed as “failing,” where there is still half the implant supported with bone and no mobility, other treatment is necessary. Laser therapy can provide immediate decontamination of the surrounding tissue as preparation for

a surgical procedure. Nonsurgical therapy is limited because of the inability to fully address the biofilm on the complex implant structure.

Lasers with soft tissue applications can accomplish treatment of periimplant mucositis or periimplantitis. The technique of nonsurgical application does not aim the laser energy directly toward the implant. Only the soft tissue is addressed for decontamination. The laser parameters used for nonsurgical therapies are much lower than in surgical procedures. Some wavelengths require more attention than others; for example, a wavelength absorbed in dark chromophores has the potential for greater thermal rise and heat transfer. When coated with blood, the implant surface could accumulate heat, which would radiate through the implant body to the bone. An implant coated with hydroxyapatite could absorb another wavelength, resulting in a modified surface.⁶² High risk of surface alteration exists with the Nd:YAG laser. There is much less risk with the CO₂, Er:YAG, and Er,Cr:YSGG wavelengths. CO₂ laser use in periimplant treatment is well documented in the literature.^{63,64} Effectiveness of treatment with the erbium family is contradictory. Effectiveness with diodes is also contradictory among all four diode wavelengths (see Chapter 7).

Early detection of disease and good planning with appropriate treatment can result in excellent resolution of inflamed periimplant tissue. The goal of treatment should be clear to achieve expected outcomes. Even when more advanced care is required, the nonsurgical laser therapy can prepare the site by reducing the inflammatory process and pathogenic load. Laser-assisted periimplant tissue therapy is a valuable treatment.

DIAGNOSIS

Diagnosis and classification of a patient’s current disease depend on accurate assessment. The initial appointment with the patient provides the general health history; screening for oral cancer; assessment of hard tissues, occlusion, and temporomandibular joint (TMJ); complete periodontal and radiographic evaluation; and bacterial testing. Risk assessments from data collected help determine the diagnosis of health or disease severity.

Once periodontal disease is detected, classification and case type are needed for treatment planning. The classifications according to the 1999 World Workshop on Periodontics include the following:

- Gingivitis
- Chronic periodontitis*
- Aggressive periodontitis*

*Further defined as *localized* (<30% teeth affected) and *generalized* (>30%)

- Periodontitis as a manifestation of systemic disease
- Necrotizing periodontal diseases
- Abscesses of the periodontium
- Periodontitis associated with endodontic lesions

These classifications are used for diagnosis and third-party billing of insurance, along with the following American Dental Association (ADA) case types⁶⁵:

Healthy—pockets 3 mm or less and no bleeding or inflammation.

Type I: *Gingivitis*—pockets 3 mm or less, bleeding on probing, inflammation, and possibly some debris present supragingivally.

Type II: *Mild periodontitis*—pockets 4 to 6 mm with slight bone loss, bleeding on probing, inflammation, and debris present subgingivally.

Type III: *Moderate periodontitis*—pockets 6 to 7 mm with bone loss, bleeding on probing, inflammation, and debris present subgingivally, with some mobility and possible furcation involvement.

Type IV: *Advanced periodontitis*—pockets 7 mm or greater, heavy bleeding on probing, inflammation and suppuration, and debris present supragingivally and subgingivally, with mobility and furcation involvement.

Type V: *Refractory periodontitis*—inflammation and pocket depths of 4 mm or greater in a periodontium previously treated for periodontal disease.

Severity is also based on *clinical attachment loss*, as follows:

- 1 to 2 mm = slight
- 3 to 4 mm = moderate
- 5 mm or greater = severe

TREATMENT PLANNING

Treatment planning may encompass many different strategies based on the patient's needs. Because each case is unique, the following considerations and guidelines are useful to develop a customized treatment design rather than fitting each case into a strict, predefined protocol.

Treatment needs are discovered during the data collection and diagnostic workup. Planning should include everything from obvious signs of periodontal disease to occlusal issues and keys for behavior modification. Factors such as the wavelength being used in therapy and the clinician's level of training and expertise also influence treatment planning. The following treatment plans are suggestions based on the author's experience using Nd:YAG, diode, and CO₂ lasers in periodontal care since 1999.

CONSIDERATIONS IN PLANNING TREATMENT

1. What are the patient's tolerances concerning physical conditions limiting treatment time, such as temporomandibular disease (TMD) or back problems?
2. Does the patient have moderate or severe anxiety?
3. Will the appointment be under conscious or intravenous sedation?
4. Will local anesthesia or only topical gel be required?
5. Are there any points of data to be reevaluated (e.g., perio charting, radiographs)?
6. Will restorative treatment be accomplished during the same appointment?
7. What is the severity of disease? Is it localized or generalized?
8. Are the biofilm and deposits slight, moderate, or heavy, and what is the tenacity?
9. How great is the surface area to be debrided and decontaminated (both tissue surface and tooth surface)?
10. How motivated and skilled is the patient with daily care?
11. Are there occlusal problems exacerbating the periodontal disease that need to be addressed?

Each treatment session involves much more than instrumentation. The answers to the previous questions will influence the time allowed for treatment, as well as the arrangement of appointments needed for therapy. It must be emphasized that the laser will not overcome poor daily biofilm management. Regardless of the degree of periodontal disease, home care is still an essential element of the treatment plan.

GUIDELINES FOR PLANNING APPOINTMENTS

1. Only address what can be completed in the scheduled appointment time. This includes patient motivation and skill refinement, complete and thorough debridement, lasing, and postoperative instructions.
2. The more severe the case, the more time required per tooth in treatment.
3. Include time for patient management.
4. The amount of time needed to lase the pocket tissue depends on the laser used in treatment, the extent of disease, and the laser-tissue interaction.
5. For each millimeter of optimal attachment gain desired, a session of lasing is needed after the original debridement.

Example: A 6-mm pocket should be decreased to 3 mm.

- Appointment 1: tooth debridement and tissue lasing.
 - Appointment 2: ultrasonic biofilm removal of cervical one-third and lasing.
 - Appointment 3: ultrasonic scaling and lasing.
 - Appointment 4: ultrasonic scaling and lasing.
6. Begin therapy in the deepest pockets.⁵¹ This allows retreatment of the deepest pockets as the shallow pockets are being treated in successive appointments.
 7. In subsequent appointments, areas previously treated will be revisited, with ultrasonic biofilm removal at each tooth's cervical area, and relased. As the patient's daily care improves, less time is spent on biofilm assessment and skill refinement and, typically, more time on lasing.

Repetitive therapy is designed to address localized biofilm infection and assist the regeneration of a strong connective tissue attachment. By decontaminating the periodontal infection site at frequent intervals, the biofilm's community structure is continually weakened, with fewer remnants left to rebuild each time. This optimizes the body's ability to respond. The body will begin to heal when the host is no longer challenged with the inflammatory response initiated by biofilm. (A refractory case will not respond because the host is impaired.) Epithelium covers the surface of a wound in 7 to 10 days.⁸ Connective tissue begins regenerating at approximately day 5,⁸ maturing for 12 weeks and continuing even up to 1 year.

Assisting the body in balancing the proliferation of these tissue-types is the foundation for the following protocols.

BASIC ELEMENTS OF ALL APPOINTMENTS

1. Health history review
2. Concerns of the patient
3. Assessment of oral health
 - Oral cancer screening
 - Evaluation of TMJ
 - Occlusal evaluation
 - Radiographic survey as needed
 - Periodontal charting (six-point probing, recession, mobility, furcations)
 - Assessment of existing debris, biofilm, and calculus

- Description of patient's daily dental care routine
 - Evaluation of restorative needs
4. Diagnosis
 5. Treatment
 6. Supportive care, retreatment, or referral

GINGIVITIS

Gingivitis involves only the gingival tissues, without bone loss. The tissues may exhibit classic signs of erythema, swelling, hemorrhage, blunted papillas, and pseudopocketing. The objective of therapy is to coach the patient in daily cleaning skills and professionally remove localized factors initiating the inflammatory response. This includes scaling to thoroughly remove biofilm and deposits on the tooth structure and lasing to decontaminate the sulcus.

At least two appointments are needed in the gingivitis series (*Case Study 3-1*). The first appointment allows diagnosis, development of daily skills using appropriate tools and techniques to manage biofilm, and nutritional counseling, scaling, and laser decontamination. The second appointment continues refinement of daily cleaning skills, scaling, and laser decontamination.

FULL-MOUTH DEBRIDEMENT

Full-mouth debridement is indicated when heavy calculus prevents access for probing, or when inflammation with discomfort prevents assessment of the periodontal tissues. This procedure provides gross removal of calculus but is not considered a definitive treatment. The patient should be reappointed in 2 to 4 weeks for thorough periodontal evaluation and determination of further therapy.

PERIODONTITIS

Periodontitis is the inflammatory process initiated by the presence of biofilm, with destruction of the tooth's supporting structures, including bone. Clinical attachment loss is apparent with pocketing and with no gingival recession, or recession with no pocketing, or both pocketing and recession.

Again, the objective of therapy is to coach the patient in daily techniques to prevent or minimize accumulation of biofilm in the mouth. Professionally, therapy must address the depth of disease in both the tooth structure and the tissue wall forming the pocket. This is accomplished through supragingival and subgingival definitive debridement of tooth structure, followed by sulcular debridement.

Case Study

3-1

Moderate/Severe Gingivitis

Diagnosis of conditions: Negative findings in oral cancer screening; TMJ function is normal; radiographs show no bone loss, no decay. There is no mobility, and the occlusion is without interferences. Periodontal condition shows moderate to severe gingivitis, moderate generalized hemorrhaging, pseudopocketing, supra- and subgingival plaque and calculus.

Appointment 1

1. Manage patient discomfort with topical or local anesthesia, if needed
2. Address daily cleaning needs with appropriate tools and technique recommendations
3. Begin debridement of tooth structures, addressing what is possible at this appointment
4. Floss to remove additional biofilm and loose calculus
5. Lase areas that exhibit signs of inflammation
6. Give postoperative instructions, and reappoint for 10 days

Appointment 2

1. Manage patient discomfort
2. Review the daily cleaning routine, and refine according to the needs presented
3. Complete debridement, or provide biofilm removal throughout if debridement has already been completed

4. Floss
5. Lase areas with continued inflammation
6. Review postoperative instructions

Continue to reappoint at 10-day intervals until daily skills support health and inflammation is resolved. If systemic health issues are a concern, referral to a physician may be indicated.

Continued Care

This patient is susceptible to recurrence of disease. Once inflammation is resolved, reappoint for 3-month intervals to monitor and maintain health. As health is stabilized for an extended time, intervals may be lengthened 2 to 4 weeks more at each visit, as long as health is maintained. If reinfection occurs, the intervals should be shortened.

Necrotizing ulcerative gingivitis (NUG) may be addressed with the same therapy just outlined. Keep in mind the intense discomfort the patient may be feeling; the initial appointment may not allow for periodontal charting or instrumentation. Radiographs and visual evaluation for diagnosis followed with instruction for daily cleaning at home may be all that is accomplished at the initial visit. The patient may require systemic antibiotic therapy, as well as analgesics. Reappoint this patient within 5 to 10 days to begin gingivitis therapy.

The series of appointments may be designed in various ways. Some cases can be effectively treated with traditional half-mouth or quadrant therapy; however, full-mouth disinfection or an expanded periodontal infection therapy may offer the best treatment for certain patients. All therapy should keep the current severity and continued susceptibility to disease as a priority when determining intervals of active therapy, evaluation, and continued care.

FULL-MOUTH DISINFECTION THERAPY

Full-mouth disinfection therapy includes complete debridement with extensive subgingival irrigation within 24 hours (Case Study 3-2). It also addresses biofilm reduction of the entire oral cavity with a specific twice-daily regimen of flossing, brushing the teeth as well as all accessible oral mucosa, scraping the tongue, rinsing the mouth, and spraying the throat with chlorhexidine.⁶⁶ The full-mouth disinfection treatment design requires fewer appointments with longer treatment times.

Advantages

- Quicker reduction of overall microbial load.
- Completion of the most difficult part of treatment.
- More efficient for sedation appointments.
- Restorative concerns may be addressed at the same visit.

Disadvantages

- Limited opportunity to work with the patient on daily care skills (with sedation patients, there is no opportunity).
- Patient fatigue.
- Psychologically overwhelming for the patient.
- Postoperative discomfort increased because all areas are treated.
- Clinician fatigue and decreased instrumentation effectiveness.

Case Study

3-2

Type IV Periodontitis

Diagnosis of conditions: Negative findings in oral cancer screening; TMJ function is normal; radiographs show horizontal bone loss, no decay.

Periodontal condition: Chronic periodontal disease, generalized moderate bone loss (case type III) with moderate to severe gingivitis; moderate generalized hemorrhaging; supra- and subgingival plaque and calculus, horizontal bone loss in posterior with vertical bone loss on #4 and furcation involvement #2 and 19. Class I mobility on anterior teeth. The occlusion exhibits interferences (Figures 3-14 and 3-15).

Treatment Plan for Full-Mouth Disinfection within 24 Hours (Figure 3-16)

- 5 hours planned for tooth debridement and laser decontamination
- 2 hours for laser decontamination (four 30-min appointments)

- 30 minutes for reinfection assesment (optional)
- 60 minutes for reevaluation (definitive therapy)

Appointment 1: Upper/Lower Left (UL/LL) (2.5 hr)

1. Administer local anesthesia for left side
2. Disclose area of left side and recommend tools and techniques for daily care
3. Remove biofilm with ultrasonic scaling throughout
4. Debride tooth structure definitively with manual and ultrasonic scaling and extensive irrigation
5. Floss
6. Lase
7. Provide postoperative palliative care (e.g., vitamin E oil)
8. Give postoperative instructions
9. Confirm appointment for next day

Name: J.P.
Age: _____ (M/F)
Periodontal Record

TOOTH	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
PROBE	454	434	435	434	434	444	434	434	434	435	434	435	434	434	434	434
FACIAL	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
LINGUAL	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
PROBE	447	436	533	433	434	444	434	433	394	454	444	444	444	444	444	444

Periodontal Record

Date: Initial

Date: _____

Date: _____

Bleeding Sites	Perio Sites	Teeth
37	106	20

26 teeth total

Form 007

FIGURE 3-14 • Initial periodontal probing of patient.

Continued

Case Study

3-2

Type IV Periodontitis—cont'd

Appointment 2: Upper/Lower Right (UR/LR) (2.5 hr)

1. Administer local anesthesia for right side
2. Disclose area of right side and reinforce daily care from the previous day
3. Remove biofilm with ultrasonic scaling throughout
4. Debride tooth structure definitively with manual and ultrasonic scaling and extensive irrigation
5. Floss
6. Lase (only the right side because 7 days have not passed)
7. Provide postoperative palliative care (e.g., vitamin E oil)



FIGURE 3-15 • Panoramic radiograph of patient.

8. Give postoperative instructions
9. Confirm next appointment in 10 days

Appointments 3 to 6: Laser Decontamination

1. Plan 60 minutes to address all areas; less time may be required for fewer treatment sites
2. Disclose one area exhibiting need for improved daily care or an area to highlight good management
3. Remove biofilm at the cervical portion of each tooth
4. Floss
5. Release all areas previously noted with disease
6. Provide postoperative care and instructions
7. Confirm next appointment in 10 days

The laser decontamination appointments continue until the deepest pockets have been treated enough times to minimize biofilm and inflammatory activity and support connective tissue reattachment.

Appointment 7

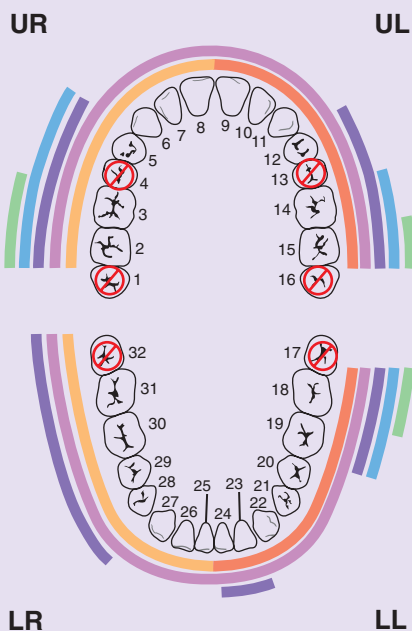
Perform reinfection assessment 6 weeks after laser decontamination (optional)

Appointment 10

Provide definitive therapy 8-12 weeks after laser decontamination

Appointment 9

Provide supportive periodontal therapy, retreatment, or referral



	Appt 1	Appt 2	Appt 3	Appt 4	Appt 5	Appt 6 Laser decontamination
Appt 1 UL/LL debride+lase	All UL/LL pockets		Areas 4+mm	Areas 5+mm	Areas 6+mm	Areas 7 mm
Appt 2 UR/LR debride+lase	-----	All UL/LL pockets				
Appt 3 lase	-----	-----	4+mm			
Appt 4 lase	-----	-----	-----	5+mm		
Appt 5 lase	-----	-----	-----	-----	6+mm	
Appt 6 lase	-----	-----	-----	-----	-----	7+mm

FIGURE 3-16 • Graphic representation of treatment plan for full-mouth nonsurgical periodontal laser treatment. Colors in chart at right correspond to those in the tooth chart at left.

- Follow-up appointments are needed to address recurring biofilm at about 10-day intervals.
- Loss of a large amount of production time if the appointment is broken.

Clinical TIP

Clinical Tip: Full-mouth treatment would employ the same basic treatment design without adding an antimicrobial, such as chlorhexidine.

EXPANDED PERIODONTAL INFECTION THERAPY

The expanded-therapy design for periodontal infection takes into consideration the *severity* of the disease to be treated. The treatment plan has the flexibility to treat all diseased teeth in a beginning or localized case, or very small sections of teeth in an advanced case. This strategy is structured with more appointments with shorter treatment times (Case Study 3-3).

Advantages

- Repeated reduction of microbial load within the pocket.
- Supports the healing process by retarding epithelium and allowing connective tissue growth.
- Continued assessment and refinement of daily care skills (working to form good habits in self-care).
- Decreased postoperative discomfort because smaller areas are treated.
- Increased effectiveness of instrumentation (less clinician fatigue).
- Less patient fatigue.
- Restorative concerns may be addressed at same visit.
- Repeated biofilm removal at the cervical portion of the tooth.
- Less production time lost if the appointment is broken.

Case Study

3-3

Treatment Plan for Expanded Periodontal Infection (Figure 3-17)

- 5 hours planned for tooth debridement and laser decontamination
- 30 minutes for final laser decontamination
- 30 minutes for reinfection assesement (optional)
- 60 minutes for definitive therapy

Appointment 1: UR Teeth #2, 4-8

1. Administer local anesthesia for selected area
2. Disclose an area and recommend tools and techniques for daily care
3. Remove biofilm with ultrasonic scaling throughout
4. Debride tooth structure definitively with manual and ultrasonic scaling
5. Floss
6. Lase
7. Provide postoperative palliative care (e.g., vitamin E oil)
8. Give postoperative instructions
9. Confirm next appointment at approximately 10 days

Appointment 2: LL Teeth #18-21

1. Repeat steps 1 to 6 above
2. At this appointment, release #2, 4, 5, 6, 7, and 8

Appointment 3: UL Teeth #9, 10-12, 14, 15

1. Repeat steps 1 to 6 above
2. At this appointment, release #18-21 and 2, 4, 5 of UR

Appointment 4: LR Teeth #28-31

1. Repeat steps 1 to 6 above
2. At this appointment, release #9, 10-12, 14, 15 and 2, 4, 14, 15, 18, 19

Appointment 5: LR/LL Teeth #22-27

1. Repeat steps 1 to 6 above
2. At this appointment, release #28-31 and 2, 14, 15, 18, 19

Appointment 6: Laser Decontamination

1. Plan 30 minutes to address remaining sites needing care
2. At this appointment, release #22 to 27 and any areas exhibiting inflammation

The laser decontamination appointments continue until the deepest pockets have been treated enough times to minimize biofilm and inflammatory activity and to support connective tissue reattachment.

Appointment 7

Perform reinfection assessment 6 weeks after laser decontamination (optional)

Appointment 8

Provide definitive therapy 8-12 weeks after laser decontamination

Appointment 9

Provide supportive periodontal therapy, retreatment, or referral

Case Study

3-3

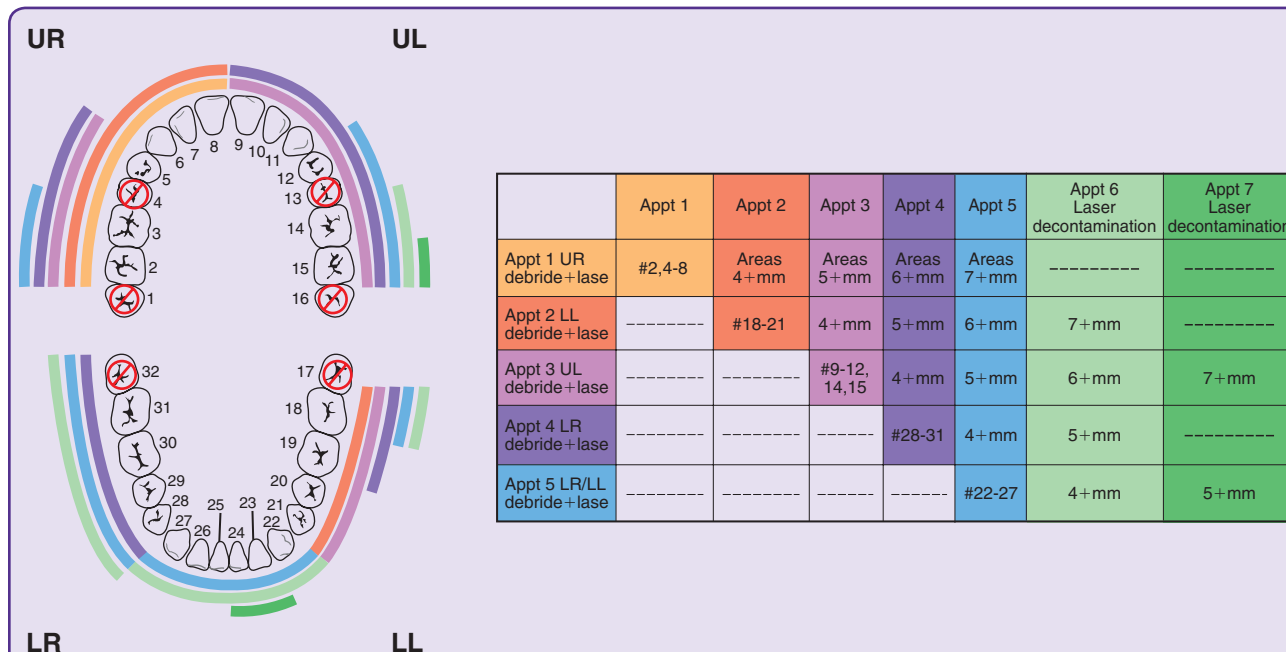
Treatment Plan for Expanded Periodontal Infection—*cont'd*

FIGURE 3-17 • Graphic representation of treatment plan for full-mouth nonsurgical periodontal laser treatment. Colors in chart at right correspond to those in the tooth chart at left.

Disadvantages

- More visits, requiring coordination of patient and practice schedules.
- Not practical for sedation appointments.

Apatzidou and Kinane⁶⁷ found that both expanded treatment and full-mouth treatment strategies were effective and suggested selecting the treatment modality based on practical considerations related to patient preference and clinical workload.

SEDATION APPOINTMENT (INTRAVENOUS OR CONSCIOUS)

Sedation appointments require variation in the treatment design, according to the procedures scheduled during the sedation. Patients receiving sedation will often have restorative and periodontal procedures accomplished in the same visit. Because periodontal disease affects the supporting structures of the teeth, it is prudent to give it a high priority in the treatment sequence.

Active periodontal infection therapy with sedation focuses only on definitive debridement of the teeth and decontamination of tissues. Treatment planning must consider the time required for thorough treatment within the practical time available. The clinician should work to completion in sections, so that, if an unforeseen complication forces treatment to conclude, each treated section has full benefit of therapy.

Active periodontal infection therapy also involves coaching the patient with customized techniques and recommended tools for removing biofilm effectively on a daily basis. Because this cannot be addressed with the patient in a sedated state, it is helpful to have an appointment concentrating on biofilm management techniques before the sedation appointment, as well as additional “coaching” appointments at intervals after the sedation. Tissue rehabilitation is optimal if the patient can allow “laser decontamination” procedures, including ultrasonic biofilm removal and continued lasing at 10-day intervals. If the patient cannot tolerate these appointments, the next option is to schedule several appointments at 2-week intervals until the patient demonstrates effective biofilm control.

The treatment following the sedation appointment(s) depends on patient tolerance. If the biofilm is not continually addressed and the healing processes not supported, rehabilitation of the tissues will not be as effective as with repetitive therapy.

DEBRIDEMENT APPOINTMENT

The debridement appointment includes definitive removal of calculus and endotoxins on the tooth surfaces along with the first application of laser decontamination of the diseased pocket walls. The objective is to greatly reduce the microbial load in the area instrumented. The number of teeth selected and time allowed for each debridement appointment depend on the case severity and treatment design.

LASER DECONTAMINATION APPOINTMENT

The laser decontamination appointment is provided after thorough debridement of tooth structure, when continued decontamination of the tissue wall, impairment of epithelium, and maturation of connective tissue are required. Laser decontamination appointments continue until each pocket has had sufficient therapy to support healing to ideal resolution.

Again, for each millimeter of attachment gain desired, an additional lasing session should be provided. These sessions are scheduled approximately 10 days apart after the last debridement plus lasing session. Sessions may be 30 to 60 minutes in length, depending on the number of sites and pocket depths to be treated. At completion of the last laser decontamination appointment, the definitive therapy appointment should be scheduled for 6, 8, or 12 weeks (a longer interval allows attachment to mature).

REINFECTION ASSESSMENT APPOINTMENT (OPTIONAL)

The purpose of the reinfection assessment appointment is to evaluate tissue rehabilitation, reinforce biofilm removal skills, and maintain patient motivation. It does not include probing or instrumentation, unless an area presents with inflammation. The patient's daily cleansing skills are assessed, and further coaching and motivation are provided to prevent reinfection. Biofilm removal at the cervical portion of each tooth is provided with ultrasonic scaling. Should an area need further instrumentation, lasing is indicated as the last step of disinfection.

The reinfection assessment appointment should be scheduled as a 30-minute appointment and should follow the last laser decontamination appointment by about 6 weeks. The patient should be seen for the definitive therapy appointment after another 6 weeks.

Clinical Tip: The reinfection assessment appointment is used when the definitive therapy appointment is scheduled for 12 weeks or longer and the patient is at moderate risk of reinfection.

**Clinical
TIP**

REEVALUATION (DEFINITIVE THERAPY)

The definitive therapy appointment marks the completion of the active phase I periodontal infection therapy and provides evaluative and therapeutic services. This appointment follows the last laser decontamination appointment by 6 to 12 weeks and includes continued evaluation of daily care. Periodontal status is reevaluated, documenting six-point probing, recession, and mobility. These data are compared with pretreatment charting to determine the extent of rehabilitation. Occlusal stressors and restorative needs are also evaluated, providing important information for indicated treatment recommendations.

Healthy sites are supported with appropriate care as well during this appointment. Treatment includes biofilm removal throughout, instrumentation where calculus is present, and in unresolved areas, further debridement with manual and ultrasonic instruments. Laser decontamination in areas of inflammation and persistent pocketing completes the process for the definitive therapy (reevaluation) appointment.

The clinician must determine if unresolved areas can be addressed during the appointment or if periodontal needs are more extensive. This will depend on the number of areas presenting with inflammation and pocketing, in addition to the contributing factors. With a limited number of unresolved sites, the root surfaces can be redebrided and continued laser decontamination provided. The patient should be reappointed at an appropriate interval for continued supportive periodontal therapy.

More extensive needs may include another series of root debridement and laser decontamination appointments, moving ahead with restorative treatment, or pursuing surgery (conventional or laser assisted). Some patients may require referral to a physician for systemic evaluation. At any time during the patient's care, it is the clinician's judgment, based on personal knowledge and skill, whether to continue with active phase I periodontal infection therapy or to refer to a specialist.

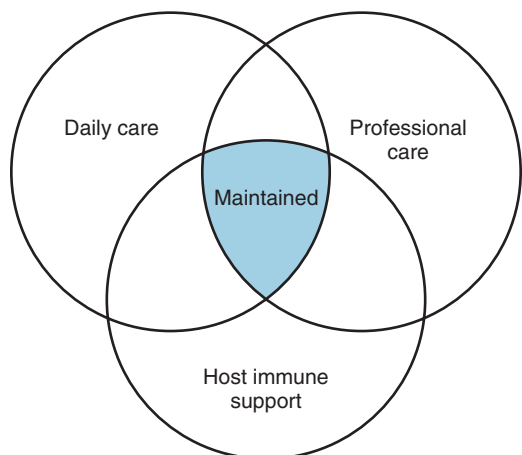


FIGURE 3-18 • Venn diagram of health maintenance with daily and recommended care.

SUPPORTIVE PERIODONTAL THERAPY

Supportive periodontal therapy appointments maintain the body's stabilization in oral health by eliminating or reducing local microbiological factors.⁸ Clinically, this involves preserving clinical attachment level, maintaining alveolar bone height, eliminating inflammation, and ensuring comfortable function. The maintained patient can be treated as previously discussed in the reevaluation (definitive therapy) appointment and can be reappointed at an optimal interval.

The Venn diagram in Figure 3-18 reinforces the concept that health is maintained when daily care and recommended professional care are implemented. If either daily care or professional care is lessened, health may decline.

Often, patients believe periodontal disease is “fixed,” like a healed broken arm. They should be counseled on the disease being chronic, with episodes of activity and remission, and requiring consistent evaluation at shorter intervals and treatment according to activity. When a patient presents with reinfected sites, additional therapy is needed, as discussed in the reevaluation appointment.

Determine if the patient's needs can be addressed during the present appointment. If the case requires more therapy, remember that a single session of retreatment is usually insufficient to address biofilm within the pocket or to encourage the connective tissue reattachment.

CONCLUSION

Few contraindications exist to laser treatment. There is no concern of developing resistant bacteria or allergic reactions. Lasers may be used on children, pregnant women, and immunocompromised patients. When used within appropriate

parameters, lasers provide gentle, yet profound decontamination at the target site and promote healing. Lasers are bactericidal and improve indices related to periodontal health. They are an excellent adjunct to thorough root debridement and tissue rehabilitation. Understanding applications and safe techniques of laser-assisted therapy provides a higher standard of care.

Given various trends in dental health, such as an aging population retaining teeth longer, an increase of diabetes and other chronic diseases in all ages, and diets of poor nutritional value among the general population, the prevalence of periodontal disease is likely to increase. Unless patients are better educated and experience more positive therapies, increased periodontal disease prevalence will continue to be the trend. Laser therapy is an improvement on traditional therapeutic modalities. It is less invasive and more efficient, decreasing the time spent in treatment and the need for surgery. As science and clinical study reveal more aspects of periodontal disease, treatment modalities will continue to evolve to provide more successful prevention and healing.

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Lasers in Surgical Periodontics

4

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ADVANTAGES OF LASER SURGERY

Laser therapy has multiple uses in surgical periodontics. Advantages of using laser versus conventional periodontal surgery center on six major areas.

1. Minimal collateral effects result in decreased tissue damage and thus enhanced healing.

Although laser settings can be a major factor in wound healing, if the clinician respects tissue by using the optimal settings for the given procedure, laser therapy will result in equal or accelerated wound healing (Figure 4-1). Studies on wound healing with lasers (e.g., Nd:YAG, diode, CO₂) versus the scalpel generally show an initial lag in soft tissue wound healing but equivalence within 2 weeks of postoperative observation.^{1,2} Many of these studies were done more than a decade ago with units that emitted much higher fluences than current lasers. Studies performed with more modern equipment by more knowledgeable researchers might show equivalence between scalpel and laser wound healing within 24 hours of the incision.

The results obtained must be discussed on a wavelength-by-wavelength basis; results of carbon dioxide (CO₂) wound studies cannot be extrapolated to Nd:YAG, diode, or erbium wavelengths. As with all “hi-tech” devices and instruments, the results depend greatly on receiving the best training possible (see Chapter 16) and knowing how to adjust the watts (W), millijoules (mJ), hertz (Hz), duty cycle, pulsewidth, hand speed, and other parameters (see Chapter 2).

2. Patient comfort can be enhanced.

Many laser procedures avoid extensive flap reflection and significant trauma to the wound area. Therefore, with minimal invasive site preparation, the inflammatory response is decreased, resulting in greater patient comfort. Decreased pain and swelling results from laser sealing of the lymphatics and nerve endings.^{3,4} Biostimulation of the wound area and enhanced wound healing may also occur^{5,6} (see Chapter 15).

3. Hemostasis and coagulation are possible, making the laser essential for medically compromised patients.

The neodymium-doped yttrium-aluminum-garnet (Nd:YAG) and diode lasers emit wavelengths that are absorbed more readily in pigmented tissues, such as tissues with a high concentration of hemoglobin. Use of these lasers therefore creates a hemostatic environment during and immediately after surgery as the hemoglobin absorbs the laser energy.⁷ CO₂ lasers create hemostasis through a different mechanism. When collagen in the walls of the blood vessels absorbs the CO₂ wavelength, the helical collagen polymer unravels. This results in shrinkage of the collagen fibers, causing the lumens of the blood vessels to shrink, creating hemostasis.³

Therefore, significant hemostasis can be achieved in laser procedures, especially in medically compromised patients (Figure 4-2). Current medical histories reflect extensive pharmaceutical intervention to anticoagulate patients, including prophylactic aspirin and platelet aggregation inhibitors. Patients may also be using many “natural” homeopathic remedies and other preparations that may interfere with clotting. They may not include the use of these over-the-counter (OTC), nonprescription preparations in their history. Such compounds as curry powder, cayenne pepper, cinnamon, and other herbs and spices are high in salicylate content and can affect clotting. Ginkgo biloba, vitamin E, and other preparations available in health food stores and pharmacies also can affect clotting.

When scalpel procedures must be avoided in a patient with coagulation considerations, lasers allow the clinician to proceed without compromising the patient’s overall health. In the past, anticoagulant therapy would have been modified and extensively monitored. With lasers, anticoagulant therapy is much less of an issue during treatment.

4. Some procedures can be performed with topical anesthesia only.

Some superficial soft tissue procedures can be performed with commercially available topical anesthetics, such as

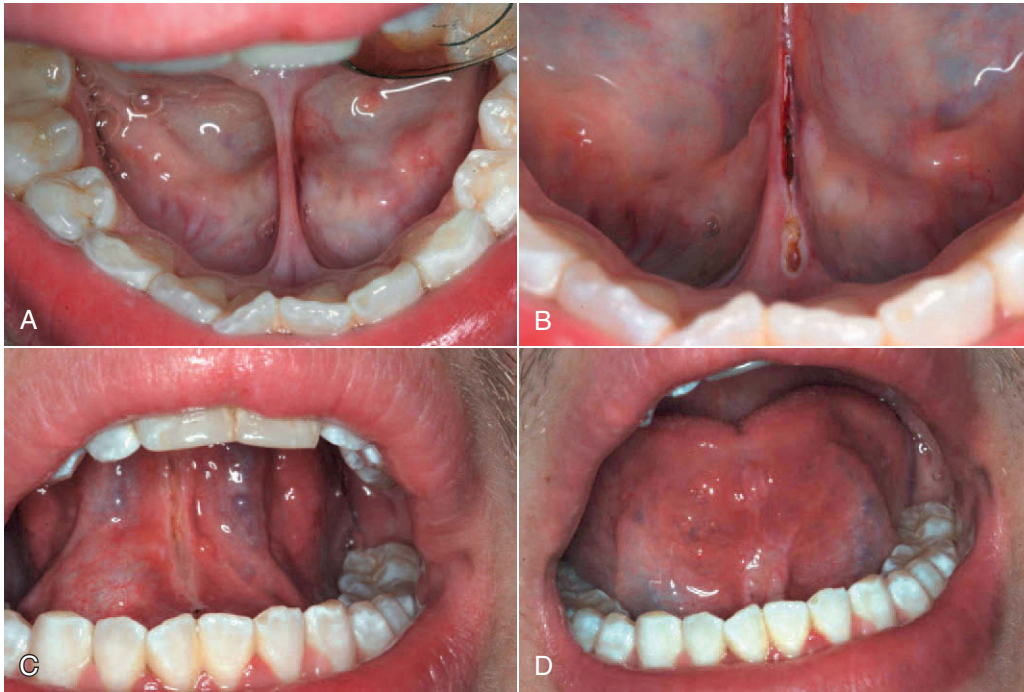


FIGURE 4-1 • **A**, Presurgical view of a tight lingual frenum. Patient was referred to the practice by a speech pathologist. **B**, Beginning incision of lingual frenum with CO₂ laser. Note excellent hemostasis and conservative incision. **C**, Immediate postoperative view of lingual frenectomy. **D**, Completed lingual frenectomy 3 days after surgery. Note excellent and rapid healing.

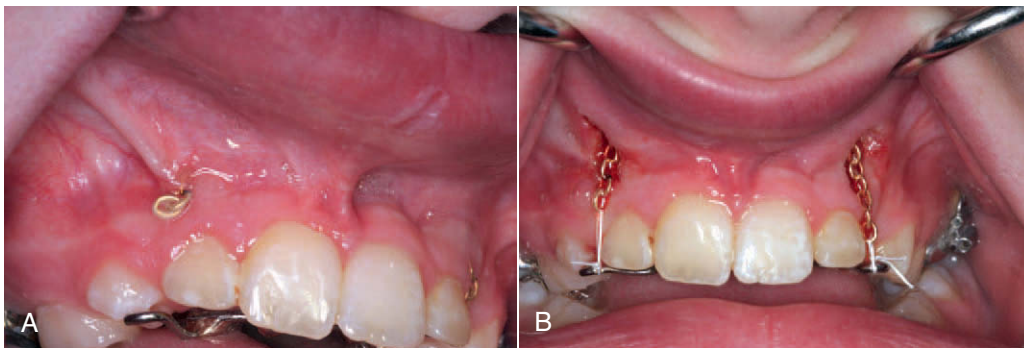


FIGURE 4-2 • **A**, Presurgical view of buried orthodontic chain in medically compromised patient receiving anticoagulation therapy. **B**, Immediate postoperative view of exposure of the orthodontic chain with a laser. Laser tip was moved in apical direction while tension was placed on exposed chain. With minimal hemorrhage, clinician has clear access to the wound area. Chain can now be successfully incorporated into orthodontic appliance.

those containing lidocaine and prilocaine. Orthodontic and pediatric patients requiring frenectomy and gingivoplasty or gingivectomy are especially suitable candidates for laser procedures with topical anesthesia (see Chapters 12 and 13).

5. The concept of minimally invasive dentistry (MID) can be achieved.

Utilizing loupes with at least 3× magnification, sulcular laser procedures with small spot size laser tips can be used

without gingival flap reflection. This may be applied to procedures such as sulcular debridement and some limited crown-lengthening procedures.

6. Lasers are safe to use if the user adheres to protocols.

Knowledge of laser physics is a prerequisite and generally is acquired through laser certification courses. Knowing the properties of each wavelength allows the practitioner to understand the significance of the depth of penetration of the respective wavelengths and thus maximize quality

laser treatment. Safety protocols are mandatory in laser therapy. Laser safety education for all team members is a requirement, including the designation of a safety officer in any office where a laser is used.

NONSURGICAL APPLICATIONS

Most laser therapy does have antimicrobial properties. Nd:YAG and diode lasers are absorbed by bacteria, especially those with pigmentation, therefore reducing colonization.^{8,9} Decreasing bacterial load in the soft tissue wound site can enhance wound healing, with less postoperative discomfort. CO₂ and erbium laser wavelengths are absorbed by the water content in cells, causing cell vaporization when the temperature of the intracellular water exceeds 100° C.

Although the use of lasers in initial periodontal therapy has significant advantages, it must be emphasized that laser utilization is an *adjunct* to standard therapy rather than a replacement therapy. For example, when the clinician considers standard nonsurgical scaling and root planing, the goals include reduction of the bacterial biofilm, removal of necrotic cementum and subgingival calculus, and de-epithelialization of the sulcus. Scaling and root planing should result in decreased inflammation, decreased pocket depth, and attachment gain through a long junctional epithelial attachment.¹⁰ Lasers do not remove necrotic cementum or subgingival calculus but do aid in reduction of the biofilm and de-epithelialize tissue more quickly and easily than conventional techniques. Rossmann et al.¹¹ emphasize that CO₂ lasers can be used to delay the apical downgrowth of epithelium, and that this technique is less technically demanding and more time-efficient than other techniques.

The Nd:YAG and diode lasers have limited use in hard tissue root therapy as root detoxification because they are primarily soft tissue lasers. In contrast, erbium lasers demonstrate the capacity for root debridement by their effect on calculus and necrotic cementum, with reduced endotoxins.^{12,13} Also, evidence indicates that these effects can increase the attachment level gain over scaling and root planing.^{14,15} However, systematic reviews (especially evidence based) demonstrate minimal differences in nonsurgical periodontal end points between laser and conventional periodontal therapy. Evidence shows that soft tissue curettage does not contribute to additional gains in attachment level versus meticulous periodontal root planing in chronic adult periodontitis. Therefore, soft tissue lasers such as the Nd:YAG and diode, with their ability to de-epithelialize the gingival sulcus and some antibacterial properties, may have limited application for nonsurgical periodontal therapy. The erbium laser, in addition to soft tissue use, may be the device required for calculus removal and hard tissue detoxification, creating a biocompatible surface for attachment of connective or epithelial tissue.¹⁶ Using the CO₂ wavelength, Crespi et al.¹⁷ found they could increase the quality and quantity of fibroblasts attaching to the root surface.

As an adjunct to periodontal debridement, *photodynamic therapy* may have potential. Whether using a “cold” (low-level) laser or a conventional dental laser absorbed by pigment (e.g., diode, Nd:YAG), some clinicians use methylene blue dye as a subgingival irrigant and place it in the sulcus. These laser wavelengths are attracted to and interact with the dye, disrupting the bacterial cell membranes. The light energy activates the dye, interacts with intracellular oxygen, and destroys the bacteria by lipid peroxidation and membrane damage. Chapters 3 and 15 discuss the use of lasers for initial (nonsurgical) periodontal therapy.

GINGIVECTOMY

The gingivectomy is a time-honored procedure for removal of gingiva. The indications range from access to esthetics. The gingivectomy can be used when suprabony pockets are present and access to osseous structures is not necessarily important. The procedure assists in decreasing gingival enlargement and altering fibrotic gingiva (Figure 4-3). However, gingivectomy is contraindicated when (1) access to osseous structure is critical or (2) attached gingiva is inadequate (minimal) or absent.

Clinical observation demonstrates that resecting gingiva with a laser enhances access because of increased visualization resulting from sealing of capillaries and lymphatics during laser irradiation. In the early stage of wound healing with blades, inflammation is noted with collagen production and epithelialization, and a high tensile strength is associated with the wound.

The laser generally demonstrates delayed epithelialization, collagen production, and inflammation with a lower tensile strength. In later wound healing, however, the laser wound

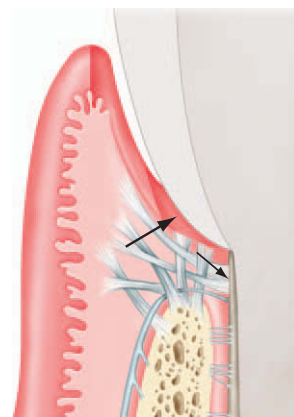


FIGURE 4-3 • Excision versus incision. The excisional gingivectomy incision is created on an external bevel (*small arrow*). If the bevel cannot be created due to access, the incision can be blended into the apical gingivae with the laser tip. The internal incision (*large arrow*) can also be created for purposes of the flap procedure. (Modified from Rose LF, Mealey BL: *Periodontics: medicine, surgery, and implants*, St Louis, 2004, Mosby.)

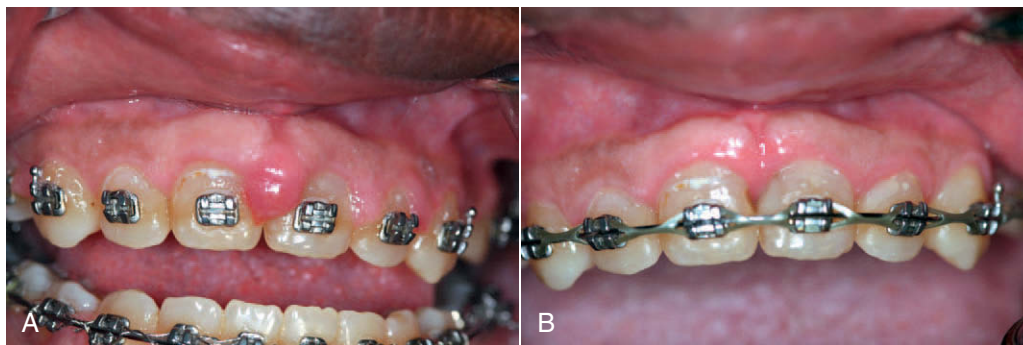


FIGURE 4-4 • **A**, Presurgical view of gingival hyperplasia. **B**, Ten days after laser gingivectomy. Etiology of the hyperplasia is lack of oral hygiene compliance exacerbated by orthodontic appliances.

accelerates, with collagen production and epithelialization. There are fewer myofibroblasts present during healing of a laser-resected wound site, which leads to less wound contraction and less scar formation¹⁸ (Figure 4-4).

As discussed earlier, there is no clear conclusion on healing rates of laser-induced wounds versus conventional scalpel wounds. However, Nd:YAG, CO₂, erbium-doped YAG (Er:YAG), and diode lasers demonstrate wound healing that is either comparable to conventional scalpel blades or somewhat accelerated.¹⁹ White et al.²⁰ compared several laser technologies using histological analysis and determined that wound healing is based on watts, hertz, pulse duration, and time of exposure. Therefore, it could be concluded that wound healing with a flap or a gingivectomy procedure using laser therapy depends as much on the settings of the device as the actual laser wavelength. Also, training is often as important as or sometimes more important than laser wavelength.

An alternative to laser therapy, *electrosurgery* (especially monopolar devices) does not have a defined target tissue as laser technology does. The primary mode of tissue interaction with electrosurgical instruments is by heat ablation. The zone of necrosis after electrosurgery can be 500 to 1500 μ (μ m). Diode and Nd:YAG lasers can generate heat in tissue up to 500 μ , whereas erbium and CO₂ lasers, because of high water absorption, penetrate from 5 to 40 μ . Bipolar electrosurgery units are an improvement over the monopolar units in that bipolar units generate less lateral heat and can be used in a wet environment.²¹

Treating noninflamed, fibrotic gingiva with diode and Nd:YAG lasers requires different power settings than hyperemic or vascular tissue.²² Lasers are attracted by specific chromophores, so less power is needed to incise tissue if there is a great amount of the chromophore in the tissue. When the gingiva is hyperemic and inflamed, less power is needed because of the high amount of chromophore (hemoglobin) in the tissue. More power is needed to incise fibrotic tissue with less chromophore (hemoglobin) present. The same holds true for gingivectomies on patients with different melanin content. *Melanin* is one of the chromophores of Nd:YAG and diode

lasers. Tissue that is heavily pigmented with melanin requires much less power than gingiva that is very light, coral pink.

When performing surgeries with CO₂ and erbium lasers, melanin and hemoglobin content is less important. Erbium and CO₂ lasers are absorbed primarily by water, so both these wavelengths will use less power for hyperemic tissue than fibrotic tissue. An erbium laser can be used for a gingivectomy, but hemostasis can be problematic with this wavelength. Some clinicians may follow an erbium laser procedure with a diode, Nd:YAG, or CO₂ laser to achieve coagulation if hemorrhage exists. Others use erbium laser settings that create a “laser bandage” (settings of low wattage, no water, some air with fewer pulses per second). In the past, this laser bandage was referred to as a “char layer” or an “eschar.” Although older lasers routinely created a char layer because of their high fluences, newer laser units rarely char tissue. Use of a char layer depends on the clinician’s preference, because the literature is equivocal.

The clinician must appreciate both the *emission* spectrum of the laser and the *absorption* spectrum of the tissue. What wavelength is being emitted? What is the primary chromophore of the tissue? How does tissue biotype affect the laser parameters? All the variables of laser use (power, spot size, pulse per second, and hand speed) must be taken into account, along with wavelength and tissue biotype (see Chapter 2). It is a mistake to consider that increasing power alone may result in quicker cutting through the target tissue. Lasers generate heat that may result in tissue necrosis from lateral thermal damage. Therefore, settings are critical in performing laser therapy. Again, it must be emphasized that training is one of the primary considerations when evaluating the different laser manufacturers before purchase.

Initial incisions for gingivectomies are similar to that of using a blade with an external bevel approach. The distance of the incision from the coronal gingival margin is based on pocket depth and amount of existing attached gingiva. A gingival chamfer (beveled edge) is achieved rather than a direct right angle into the gingiva. Thus the initial cut is made slightly apically to the pocket depth measurement. A slow,

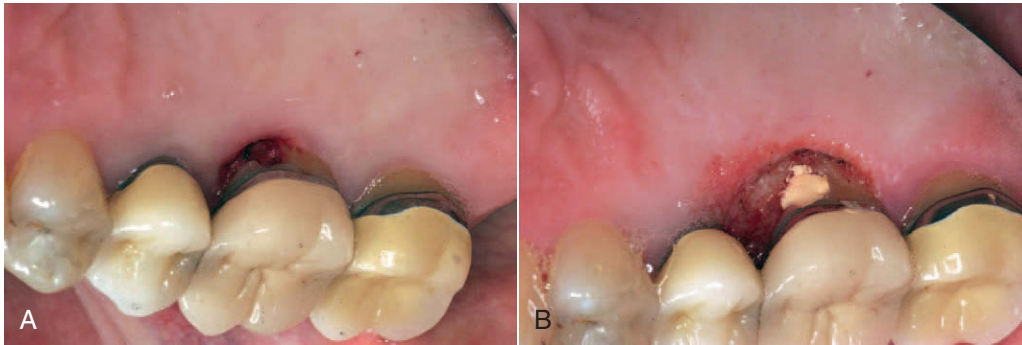


FIGURE 4-5 • Preoperative (A) and immediate postoperative (B) views of a gingivectomy performed to gain access for restorative prognosis. Patient presented with a possible carious lesion detected subgingivally with an explorer on examination. To determine extent of the lesion, gingivectomy was performed with diode laser. Note excellent hemostasis.

unidirectional hand motion is used, moving the tip at an external bevel toward the tooth structure. Caution is necessary when approaching the tooth, especially near root structure, because of the possible laser–hard tissue interaction, which could result in tissue damage. Decreasing the power will prevent this; if the power is decreased, however, multiple passes over the incision line may be necessary to complete the incision. Delivering laser energy repeatedly over tissue that has already been lasered may result in a greater width of lateral thermal damage.

Some clinicians use a reflective barrier in the sulcus to prevent the wavelength from interacting with the root. Placing a thin, sterile #7 wax spatula or a small periosteal elevator, or even a piece of metal matrix band, in the sulcus between the tooth and the soft tissue will prevent any laser energy from damaging the hard tissue; the metal will reflect the laser energy away from the tooth. Once the gingiva has been excised, power-driven ultrasonic scaling is used to debride the root surface.

Because of the sculpting ability of a laser procedure, gingivoplasty can now be performed to smooth the gingival margins with a parabolic appearance. With diode, CO₂, and Nd:YAG lasers, hemostasis is achieved during the procedure. Erbium lasers create hemostasis after the procedure by altering laser parameters to seal blood vessels. Again, some clinicians incorporate the erbium laser “bandage” as the final procedure. Whether or not to place a dressing is a clinician preference (Figure 4-5).

FRENECTOMY

The use of the frenectomy procedure in periodontics (unlike orthodontic/pediatric considerations) is limited because of minimal increase in attached gingivae after postfrenectomy wound healing (Figure 4-6). Although alveolar mucosa is characterized by a red color and smooth surface and is generally loose and mobile, attached gingiva is keratinized, pink, stippled, and firm with no mobility (Figure 4-7).



FIGURE 4-6 • Immediate postoperative view of frenectomy done without considering minimal to no attached gingiva. Mucogingival graft procedure or vestibuloplasty procedure is indicated to gain keratinized attached gingiva. Note hemostasis.

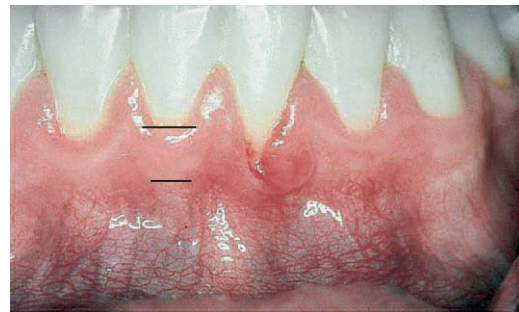


FIGURE 4-7 • Comparison of alveolar mucosa and gingivae. Parameters of color, surface, and mobility are used in differentiating alveolar mucosa from gingiva. Mandibular left lateral incisor has a cleft that borders on alveolar mucosa. The level of inflammation makes discerning the level of attached gingiva difficult until health is achieved.

Parameter	Alveolar Mucosa	Gingivae
Color	Red	Coral pink
Surface	Smooth	Stippled
Mobility	Loose	Firm

Gingival surgery in the form of soft tissue augmentation is necessary under the following conditions:

1. Marginal gingiva is inflamed.
2. Bleeding or exudate is present at the sulcus/pocket.
3. Obvious recession is present.
4. Pulling of marginal tissue occurs when retracting the lip.
5. Using direct measurement, the sulcular depth in millimeters is subtracted from the keratinized zone of gingiva. At least 2 mm of “keratinized attached gingiva” should be present in the final product.

Frenectomy procedures with a laser are predictable as long as the following steps are followed:

1. Creation of a periosteal fenestration at the base of the frenectomy to prevent reattachment of fibers.
2. Removal of all impeding muscle fibers.

All laser wavelengths can be used to perform a frenectomy successfully; however, depth of penetration of the diode and Nd:YAG is much higher (500 μ) than for the erbium or CO₂ (5–40 μ), and therefore settings must be monitored closely to prevent thermal damage to the underlying periosteum and bone.²³ In some patients, topical anesthesia is sufficient to perform a frenectomy, with excellent precision, less discomfort, and short healing time compared with conventional technique. CO₂ laser treatment for frenectomy provides better postoperative patient perceptions of pain and function than with the scalpel technique.²⁴ Likewise, use of the Nd:YAG laser may result in less postoperative pain and fewer functional complications.²⁵

The technique for a laser frenectomy is similar to using a blade (Figure 4-8). Local or topical anesthesia is administered. The clinician makes a mental outline of the frenectomy and then begins at the coronal attachment and moves the laser tip unidirectionally, pulling on the lip for tension. If the correct parameters (spot size, power, hand speed), are used, one pass of the laser will be sufficient to sever all the fibers. If multiple passes are necessary, care must be taken to ensure no excessive lateral thermal necrosis from lasing already-lased tissue. The lasing is continued to undermine the muscle attachment until the periosteum is reached.

To ensure minimal regrowth and frenum relapse, the periosteum should be fenestrated with a hand instrument. All lasers are effective for a frenectomy with settings according to the manufacturer. Care must be taken not to char the tissue and cause thermal tissue damage. The erbium laser creates a wound that may have some hemorrhage, so sealing the wound with the bandage approach may be required. No suturing or dressing is necessary. (See also Chapters 12 and 13.)

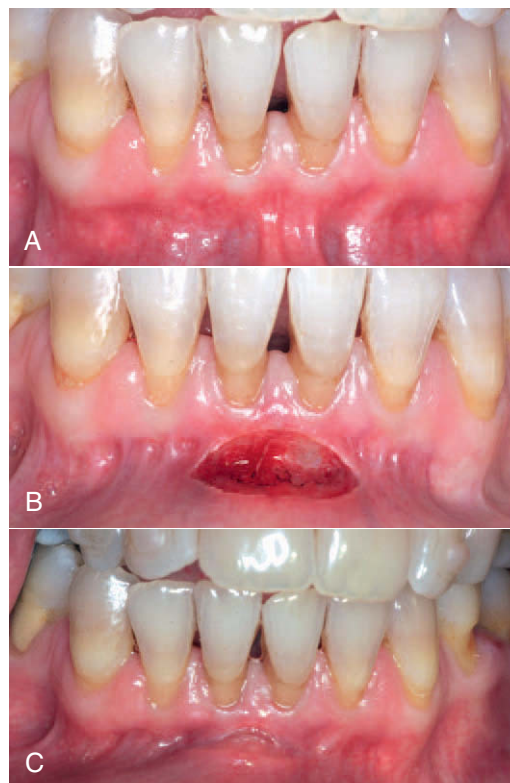


FIGURE 4-8 • A, Preoperative view of frenum pull. B, Immediate postoperative view of laser frenectomy site. C, Postoperative view at 1 week. Note rapid healing.

MUCOGINGIVAL SURGERY

Lasers can be used in mucogingival procedures for a variety of therapies. Donor material can be acquired from the palate or other keratinized areas in the oral cavity with laser therapy. When donor material is taken from these areas using blades, hemorrhage can be reduced significantly by using a laser to “seal” the wound (Figure 4-9).

In some patients the resulting recipient area is overcontoured at several weeks postoperatively because an overly thick donor graft was used. Any soft tissue laser can be incorporated to recontour the site with a positive esthetic result (Figure 4-10).

In other patients, rather than performing a graft, the zone of attached tissue may be increased by a *vestibuloplasty*. Although the preoperative view in Figure 4-11, A, shows an insufficient amount of attached gingiva, this is not the problem, which rather is that the fibers are very tightly bound together. A vestibuloplasty releases the tightly bound fibers, resulting in a wider band of attached gingiva, without performing a graft procedure.

CROWN LENGTHENING

A crown-lengthening procedure is used to gain access to subgingival caries, expose margins, and explore fractures. The

Clinical TIP

Clinical Tip: The greater the amount of tension placed on the frenum by pulling of the lip, the faster the frenectomy proceeds.

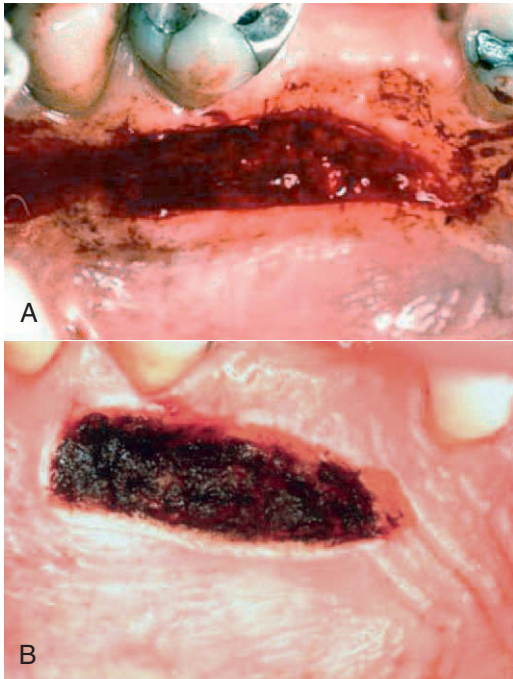


FIGURE 4-9 • **A**, Donor site immediately after harvesting a graft conventionally with a blade. **B**, Donor site immediately after using laser to create “laser bandage” for hemostasis of the site. (Courtesy Dr. Stuart Coleton.)

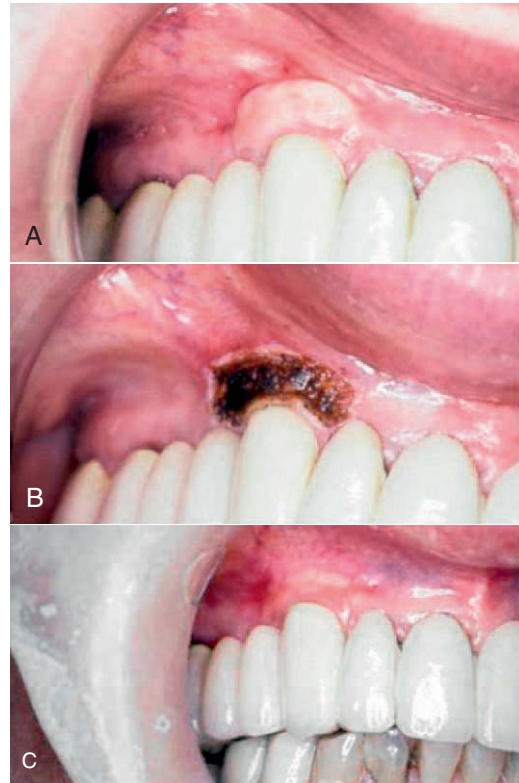


FIGURE 4-10 • **A**, Preoperative view of bulky, unesthetic free gingival graft. **B**, Immediate postoperative view of graft site after laser debulking. **C**, Two-week postoperative view of graft site. Note superior tissue contours and more esthetic result. (Courtesy Dr. Stuart Coleton.)

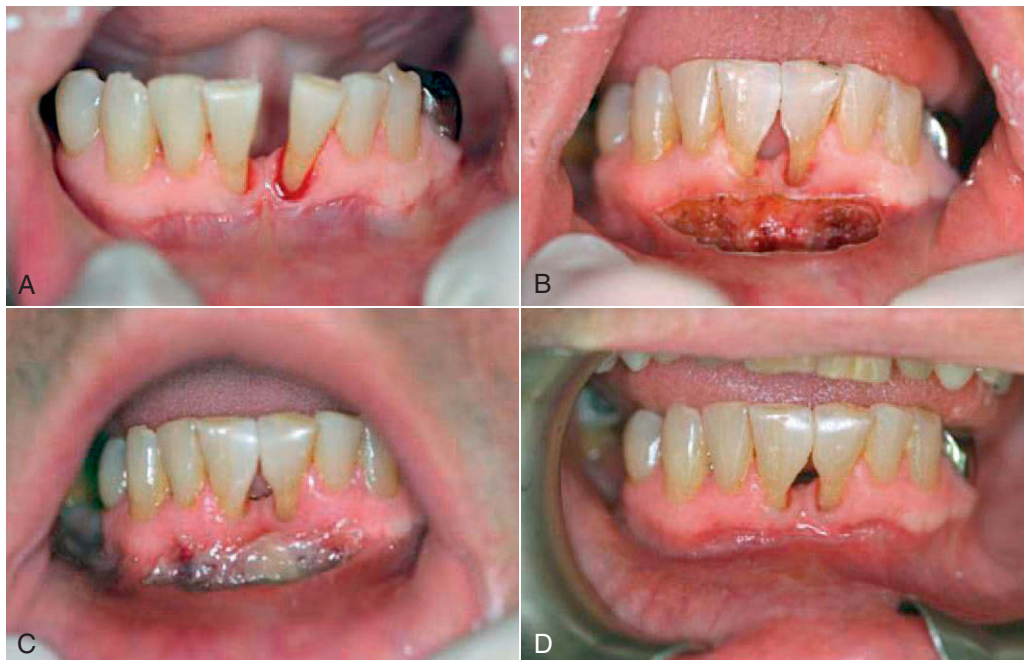


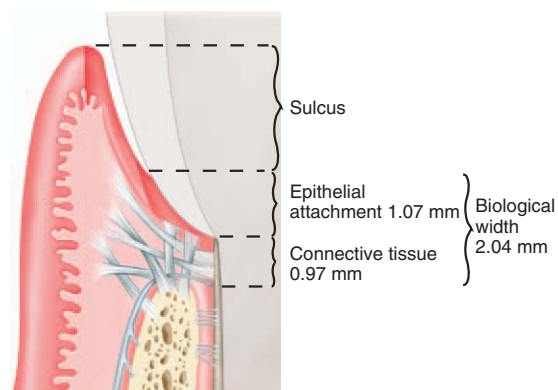
FIGURE 4-11 • **A**, Preoperative view of patient with inflammation around tooth #24, high frenum attachment, and minimal attached gingival margin. **B**, Immediately after frenectomy/vestibuloplasty. **C**, Two-day postoperative view of surgical site. Note excellent healing. **D**, Two-week postoperative view showing fully healed site with greater zone of attached gingiva. (Courtesy Dr. Jon Julian.)

procedure allows for developing a proper form for a restoration and increasing surface area for retention. The patient's smile can be enhanced by manipulation of the gingival contour. When considering a crown-lengthening procedure, the clinician must include the following questions (Figures 4-12 and 4-13):

- Can I maintain biologic width?
- Will attached gingiva be preserved?
- Can I avoid opening and invading furcations?
- Is the tooth restorable?
- Will there be loss of support to the adjacent tooth?

Contraindications to crown lengthening include attempting to retain a nonrestorable tooth, compromising adjacent teeth, compromised crown/root ratios, root proximity issues, and unrealistic expense.

The technique of laser crown lengthening varies with the laser type. If the objective is soft tissue, the diode, Nd:YAG,



A



B

FIGURE 4-12 • **A**, Diagram of biologic width. **B**, Violation of biologic width with resulting inflammation. Standard measurement for biologic width is 2 mm; this includes epithelial and connective tissue attachment. When a restoration is placed into this zone and within 2 mm of the osseous level, a foreign body reaction occurs, resulting in inflammation. (A modified from Rose LF, Mealey BL: *Periodontics: medicine, surgery, and implants*, St Louis, 2004, Mosby.)



FIGURE 4-13 • Closed-flap crown lengthening with erbium laser (to achieve osteotomy). Preoperative views from lingual (**A**) and buccal (**B**). Immediate postoperative views from lingual (**C**) and buccal (**D**). Patient presented with a coronal fracture and questionable prognosis. Sounding bone levels determined that osseous levels would require apical alteration to achieve biologic width.

and CO₂ wavelengths are sufficient. However, to alter underlying osseous structures, erbium lasers are used. Only the erbium wavelengths have received U.S. Food and Drug Administration (FDA) clearance for osseous resection. Few studies using diode and Nd:YAG lasers relative to bone response exist; however, the chromophores that absorb diode and Nd:YAG (melanin and hemoglobin) are essentially absent in osseous tissue, and it would take great power for these wavelengths to cut hard tissue, producing great heat during the procedure. This heat would cause at least delayed healing, at most osseous necrosis.^{26,27} Erbium lasers (e.g., Er:YAG, Er,Cr:YSGG) appear to be effective in osseous surgery without inducing significant collateral damage; these wavelengths exhibit high absorption coefficients for both water and hydroxyapatite.^{28,29}

The primary positive effect of using a laser for bone surgery would be to create a clear removal of the bony defect with minimal charring, melting of mineral, or delayed wound healing. However, the issue becomes whether the clinician can perform a proper osteotomy with a laser and establish a viable biologic width, especially at line angles of teeth, without opening up a flap, as discussed shortly.

The following protocol establishes the necessary biologic width while considering patient esthetics³⁰:

1. "Sound" the osseous crest (3.0 mm from osseous crest to proposed gingival margin).
2. Provide zone of keratinized gingival margin (scallop desired lengths if >3 mm will be retained).
3. Bevel papillary areas (levels can be apically positioned and adjusted later).
4. Leave papilla intact at base.
5. Thin the osseous crest, but leave at least 1 mm of thickness.
6. Determine whether dentin/root surfaces will be exposed (plan treatment for restorative procedures).

In laser crown-lengthening techniques, first determine the apical extent of the presumed restorative margin. If it is determined that the margin will be within 2 to 3 mm of the osseous crest, within osseous surgery inevitably will be required to maintain the biologic width. Therefore a flap reflection will be necessary, or a "closed" flap crown-lengthening procedure must be considered.

Controversy surrounds the closed-flap crown-lengthening procedure when bone is removed. This surgery can be challenging because it is "blind" sounding of the osseous levels, and removal of bone can be unpredictable. If no osseous surgery is required, and if the end result will maintain adequate attached gingiva, a modified laser gingivectomy procedure will provide the necessary access for crown length, especially in the anterior esthetic zone.

To begin an esthetic crown-lengthening procedure, the clinician uses a surgical guide/stent fabricated to determine the apical extent of the gingival margin, employing the



FIGURE 4-14 • Rule of "golden proportion" in determining height versus width for anterior teeth. When possible, clinician strives to achieve a relation between height and width of teeth in the smile line. The alteration can involve changing the gingival parameters and the restorations themselves.

principles of ideal width and height of respective tooth types (Figure 4-14). After local anesthesia with infiltration, the following steps can be performed with most dental lasers when osseous surgery is not necessary (Figures 4-15 to 4-18):

1. With the surgical guide in place, an outline of the initial incision can be made with the laser in a slightly defocused mode. As with a conventional blade-initiated gingivectomy, the laser incision is started slightly apical to the stent and at a 45-degree angle to create a gingival chamfer.
2. The stent can be removed after the outline, and with the laser tip moving slowly in a unidirectional manner, the tip is increasingly moved toward the tooth surface. Caution is necessary for preserving the papillae for esthetics.
3. The now-free excised collar can be removed with a curette and the stent replaced to check the accuracy of margin placement.
4. With a relatively lower wattage, the laser tip can now be moved in a sweeping motion to sculpt the margin and enhance the chamfer and to decrease gingival thickness to a more knifelike architecture. Placement of the laser subgingivally is not necessary unless osseous surgery is needed and the erbium laser is required to establish the biologic width.
5. The resulting wound will consist of minimal hemorrhage. Again, the "bandage technique" is used at the clinician's discretion.
6. Postoperative care consists of gentle brushing and antimicrobial rinsing for 2 weeks. Placing a surgical dressing is again the clinician's decision. After 2 weeks, patients return to conventional oral hygiene, with a soft brush for sulcular cleaning and flossing for interproximal hygiene.



FIGURE 4-15 • **A**, Preoperative view of patient who desires restoration of maxillary and mandibular anterior teeth. **B, C**, Closed-flap crown-lengthening approach was used with surgical stent. The erbium wavelength was chosen so that osseous surgery could be performed. Note minimal hemorrhage. **D**, Two-week postoperative view.



FIGURE 4-16 • Performing esthetic osseous crown lengthening with erbium laser. Patient desires a change in esthetic appearance of anterior teeth. Sounding under anesthesia indicates that moving the gingival margins apically will violate the biologic width. **A**, Laser gingivectomy is performed with contour emphasized at the distal facial line angle. **B**, Continued gingivectomy on remaining teeth to ensure balance of gingival contour. **C**, Stent is fabricated to determine the respective heights of the anterior teeth and to be used as a surgical guide. **D**, Completed crown length with closed osteotomy. A parabolic osseous contour is achieved under the gingiva with the erbium tip.



FIGURE 4-17 • **A**, Preoperative view of patient smiling. Patient elected not to have restorative dentistry. **B**, Postoperative view 9 months after closed crown-lengthening procedure with osseous surgery using erbium laser. Reference point for ideal gingivoincisor length of teeth in this patient was the maxillary canines, and an attempt was made to increase the height of the centrals and laterals to achieve a positive esthetic effect on smiling.



FIGURE 4-18 • Osseous crown-lengthening laser procedure. **A**, Preoperative view. Smile/lip line for this patient is high and shows "gummy" smile. Patient did not want restorations, and gingiva was contoured with erbium laser tip with ostectomy in a closed-flap environment to achieve biologic width. **B**, Postoperative view at 9 months shows stable gingival levels and achievement of enhanced smile profile.

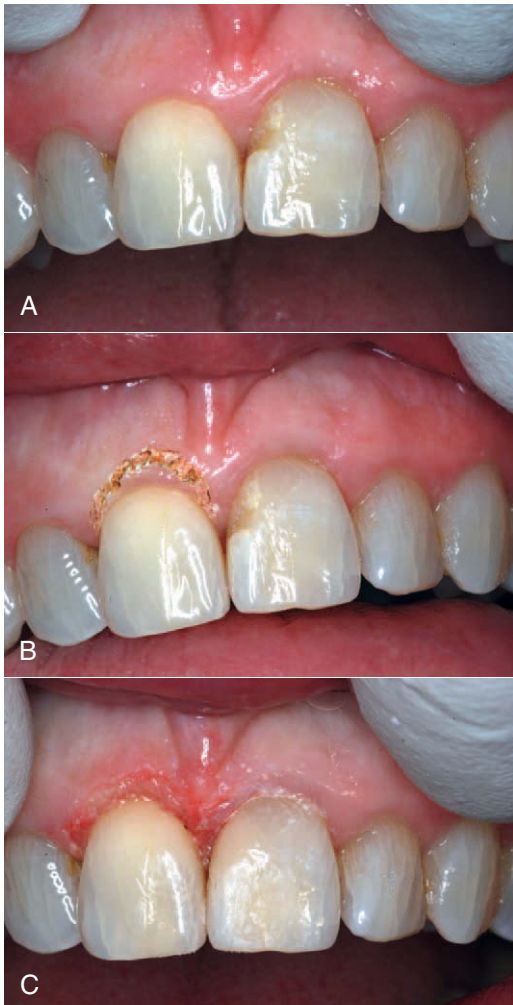


FIGURE 4-19 • **A**, Preoperative view of discrepancy between zeniths of teeth #8 and 9. **B**, Laser used in intermittent mode to outline planned gingivectomy. **C**, Immediate postoperative view of CO₂ laser gingivectomy to equalize zeniths of teeth #8 and 9. (Courtesy Dr. Robert Convissar.)

Rather than using a stent, some lasers can be used in an *intermittent* exposure (not to be confused with pulsed delivery). This intermittent delivery allows the surgeon to outline margins of a gingivectomy before performing an irreversible incision (Figure 4-19). This technique is routinely used in other disciplines of dentistry, especially in outlining margins of a planned biopsy procedure (see Chapter 6).

PERIODONTITIS

The 2000 document “Parameters on chronic periodontitis with advanced loss of periodontal support” by the American Academy of Periodontology³¹ provides therapeutic goals that include eliminating microbial etiologies, addressing risk factors, arresting the progression of the disease, and preserving the dentition. In addition, regeneration of the periodontal attachment can be attempted. Periodontal procedures include regenerative therapy with bone grafting, guided tissue regeneration, combined regenerative techniques, and resective therapy, which includes flaps with or without osseous surgery, root resective therapy, and gingivectomy.

PERIODONTAL SURGERY

Technique in laser periodontal surgery for pathology depends on whether the goal is strictly alteration of the soft tissue or includes manipulation of the hard tissue. All procedures are prefaced by accurate pocket depth charting, radiographs, mobility patterns, measurements of the attached gingiva, and occlusal evaluation. The following questions should be answered:

1. What are the pocket depth measurements? In pocket reduction, how much depth is above and below the cemento-enamel junction?

If suprabony pathology with pseudopocketing is present with significant attached keratinized gingiva, the clinician may consider gingivectomy as the first step. With minimal attached gingiva and no pseudopocketing, the procedures are performed from a sulcular standpoint with no coronal gingiva removed.

2. If pockets will be reduced, where is the mucogingival junction, and what are the esthetic considerations?

If significant attached gingiva and pseudopocketing are present, gingivectomy is considered. The clinician

must consider all esthetic end points for gingival reduction.

3. What is the underlying osseous topography, horizontal or angular?

If osseous surgery will be performed, the erbium laser will be used for this process. The CO₂, diode, or Nd:YAG laser can be used for the soft tissue phase. However, conventional instrumentation must be included for osteoplasty/osteotomy.

Once the decision points are completed, the clinician can consider the following steps (Figures 4-20 to 4-22):

1. Excise supragingival pseudopocketing with either a conventional blade (e.g., 15/16 Kirland, 1/2 Orban knife) or a laser, as described earlier in the gingivectomy section.
2. Sculpt the incised surface to decrease the bulky gingiva; if using a blade for the incision rather than a laser, use the laser to create hemostasis.
3. Beginning at the coronal intrasulcular surface, move the laser tip apically in a back-and-forth motion circumferentially. This movement should be continued until proximity with the apical connective tissue or osseous levels. The laser settings are decreased overall in energy output compared with gingivectomy settings. The clinician will note that granulation tissue is moving out of the sulcus and should be removed. The clinician must always examine the tip to ensure that it is firing appropriately; it should be cleaned, cleaved, or replaced per manufacturer recommendations.
4. When using an erbium laser, the tip can be placed parallel to the root surface, where calculus and possibly root endotoxins can be removed. The root debridement process is completed with a power driven device (e.g., ultrasonic). The clinician will again note lasered tissue being

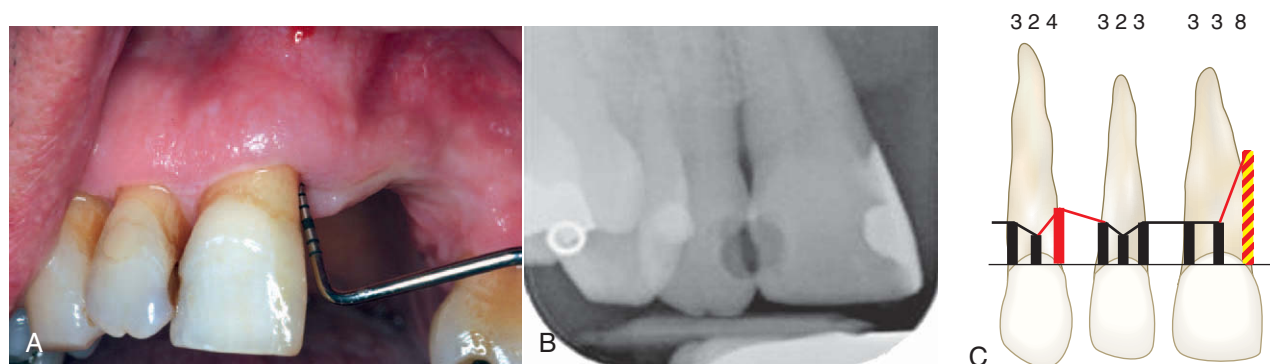


FIGURE 4-20 • Preoperative laser data collection of patient with severe periodontitis and major osseous resorption of maxillary central. **A**, Clinical probing; **B**, radiograph; **C**, periodontal charting. Patient has used removable dental appliance for several years. No symptoms, but pocket depth for maxillary right central was 8 mm, with angular osseous defect at the base of the lesion.

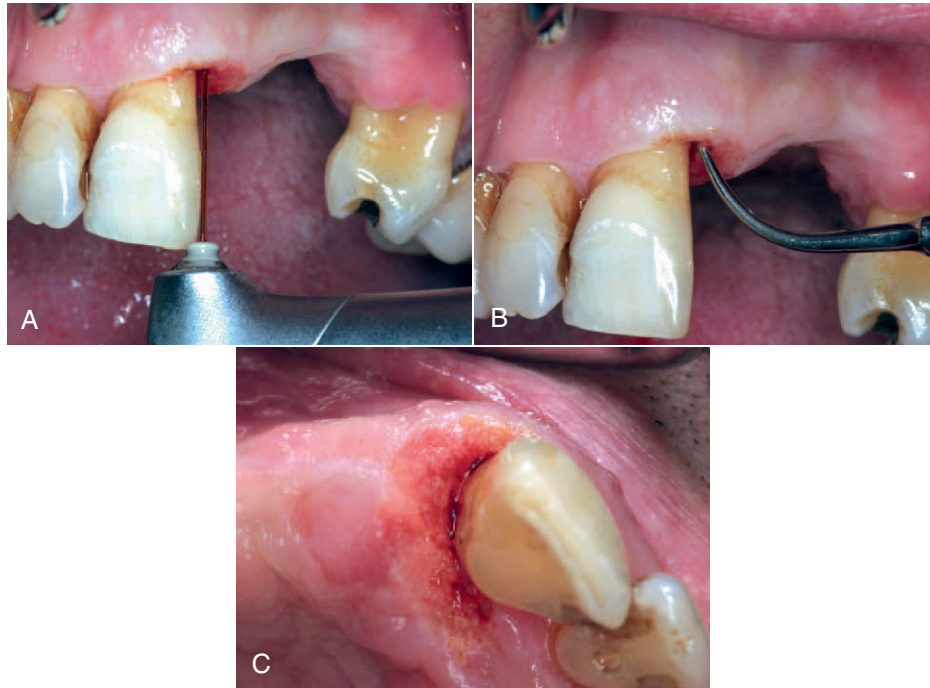


FIGURE 4-21 • Laser procedure for periodontitis. **A**, Laser tip is placed in sulcus after gingivectomy to perform sulcular debridement with de-epithelialization and degranulation. **B**, Ultrasonic device creates lavage and performs root and osseous debridement. **C**, During procedure, there is minimal hemorrhage with excellent access. De-epithelialization of coronal gingivae is achieved with the laser to slow down apical migration of advancing epithelium and enhance soft tissue, rather than long-junctional reattachment.

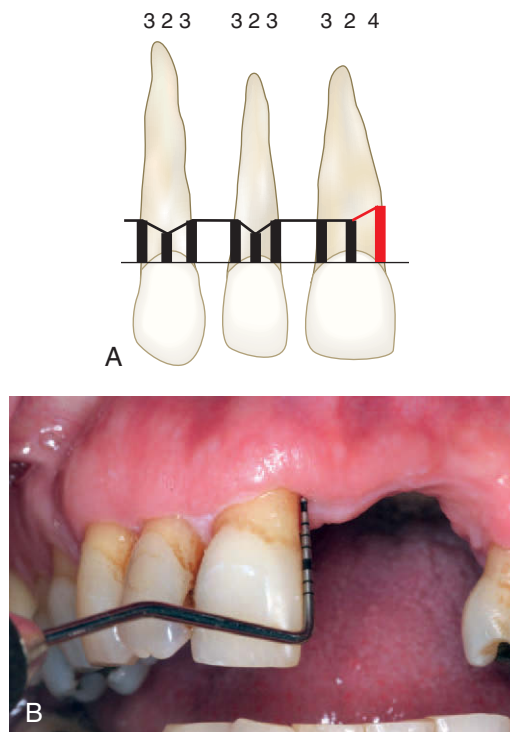


FIGURE 4-22 • Patient with severe periodontitis after laser surgery. **A**, Periodontal charting postoperatively (compare [Figure 4-20, C](#)). **B**, One-year postoperative clinical view (compare [Figure 4-20, A](#)). After laser periodontal procedure, patient was placed in 3-month periodontal maintenance program with attention to oral hygiene. Pocket depth is reduced 4 mm with a slight recession.

flushed out of the sulcus that should be removed. Some clinicians will degranulate to the periosteal level of the osseous structures with either a laser or a conventional blade at this point. The erbium laser can degranulate the diseased tissue, decorticate the bone, and detoxify the root if desired. Other wavelengths can simply degranulate the diseased tissue and de-epithelialize the area.

5. The final step may consist of placing the laser tip back into the sulcus to decrease hemorrhage from the wound area and to create a clot from heat activation or biomodification of the red blood cells. The rationale for creating the clot is to create a barrier so that epithelium from the coronal wound surface will not migrate apically into the surgical area. This allows the wound to be populated with connective cells, enhancing new attachment.

Depending on the wavelength, some practitioners believe in adding a laser de-epithelialization step: practitioners who use the CO₂ wavelength rely on the research of Rossmann, Israel, Cettny, Froum, and others, who have shown histological proof in three animal models (beagles, monkeys, and humans) that de-epithelialization with this wavelength is more than sufficient to prevent migration of the epithelium, and it leads to the formation of new soft tissue attachment, rather than a long junctional epithelium.

6. Placing finger pressure on the wound with compression will mold the tissue back to the root-bone interface. Placing a dressing or pack is the clinician's choice.

POSTOPERATIVE INSTRUCTIONS

The following are considerations for postoperative treatment for the first week:

- Patient must refrain from oral hygiene in the affected area. A very soft brush can be used for superficial coronal plaque removal, but no electric toothbrush.
- Texture of ingested food should be softer than normal, with chewing mostly on the nonaffected sites.
- Analgesic medication should be taken the day before, day of, and day after the procedure; the medication then is taken on an as-needed basis. A nonsteroidal antiinflammatory drug (NSAID) may be considered as the analgesic. Because of the minimal discomfort, most laser practitioners no longer advise analgesics before the procedure, suggesting OTC medications postoperatively if needed.
- If the patient experiences discomfort, swelling, or bleeding, the clinician should be contacted.
- If a dressing placed during the procedure comes off in the first week or before the next appointment, patients should not be concerned unless it causes discomfort.

- An oral rinse may be considered, either saline or chlorhexidine. Systemic antibiotic coverage is not necessary unless a medical condition requires such.

After the first week, the patient continues with gentle brushing and proceeds with interdental plaque removal with a soft brush that can also be used as a medicament carrier for chlorhexidine. A rinse is used after the first week only if wound healing is delayed.

From 2 weeks on, the patient resumes normal oral hygiene, with emphasis on interproximal biofilm removal.

REGENERATION

Most clinical studies comparing laser to standard therapy in the treatment of periodontitis use scaling and root planing (SRP) as the control treatment rather than conventional surgical procedures. The concept of regeneration of the periodontal attachment apparatus as an ultimate goal in the treatment of periodontitis is an end point that lacks clear data for long-term retention of the dentition. The debate will continue over the regenerated connective tissue attachment versus the long junctional epithelial attachment resulting from many surgical and nonsurgical periodontal procedures.^{32,33} For purposes of comparison, however, laser procedures appear to be conducive to regeneration by decreasing bacteria, affecting root surfaces, removing granulation tissue, and de-epithelializing the sulcular lining, as previously suggested.

However, when laser therapies are compared with conventional open-flap procedures, with or without the addition of biological mediators such as enamel matrix protein derivatives, the conclusions are consistent in that no statistical or clinically significant differences exist between open-flap procedures and laser-mediated periodontal surgeries.^{12,34}

One human histological study using an Nd:YAG laser to remove sulcular epithelium demonstrated new cementum and new connective tissue attachment, compared with a control group who exhibited a long junctional epithelium with no evidence of regeneration.³⁵ Moreover, no adverse changes were associated with the laser group. This report suggests a *laser-assisted new attachment procedure* for the treatment of chronic periodontitis. Interestingly, the study did not use stents to aid in clinical measurements. Consequently, given that manual probing is susceptible to variation, the results achieved fall within the range of acceptable measurement error for probing depth and clinical attachment levels of ± 1 mm reported by other clinical trials.^{36,37} Thus, one can justifiably argue that these two parameters may only be equal to that achieved by SRP.

Schwarz et al.³⁸ treated naturally occurring periodontitis in beagle dogs with an erbium laser versus an ultrasonic power-driven device. Both treatment groups exhibited new cementum formation with embedded collagen fibers. The authors concluded that both therapies supported the formation of new connective tissue attachment. Many authors (e.g.,

Rossmann, Israel, Froum, Cettny) have shown the CO₂ laser's ability to create a new connective tissue attachment, rather than a long junctional epithelium. Two CO₂ laser manufacturers have received FDA clearance under the 510(K) rule, showing equivalence to the laser-assisted new attachment procedure.

Again, technique in periodontal surgery for periodontitis depends on whether the clinician chooses to alter only soft tissue or both soft and hard tissue. All procedures are prefaced by accurate pocket depth charting, radiographs, mobility patterns, and measurements of attached gingiva.

LASERS IN FLAP PROCEDURES

Clinicians perform periodontal flap procedures either exclusively or adjunctively with a laser. These procedures will be limited by clinician preference, case consideration, and laser equipment. Therefore an internal bevel incision may incorporate the first principles discussed in this chapter using all lasers. Once the flap is reflected, lasers again can be used for sulcular debridement and de-epithelialization on the inside of the flap. If root debridement will employ a laser, it is strongly suggested that only an erbium laser be used, because of possible thermal damage with diode and Nd:YAG lasers. CO₂ lasers may be used according to the protocol of Crespi et al.^{5,17} to increase fibroblast attachment to the root surface. When osseous surgery is necessary after flap reflection, again it can be performed with erbium lasers or conventional instrumentation such as a high/slow-speed handpiece, diamond/carbide burs, and manual devices (e.g., chisel).

It must be noted that although they can cut bone, erbium lasers have not been universally accepted as instruments for this purpose. Oral and maxillofacial surgeons, who work extensively on osseous structures as well as soft tissue, have embraced the CO₂ wavelength for soft tissue treatment but have not embraced the erbium wavelengths because of their rather slow speed in cutting osseous tissue.

LASERS IN TREATING THE FAILING IMPLANT

Although dental endosseous root form implants have an excellent long-term success rate, various complications can result in their loss. A major contributor to failure of dental implants is periimplantitis.³⁹ A failing implant demonstrates progressive osseous resorption because of a microbial inflammatory response or a mechanical component (e.g., excessive occlusal loading).⁴⁰

Implant surfaces can become contaminated with microbial patterns similar to chronic periodontitis lesions.⁴¹ Contaminated implant surfaces coupled with a variety of topographical features (e.g., screw-thread architecture) present a significant clinical challenge when attempting to decontaminate the surface of a failing implant. Past therapies have consisted of local and systemic antibiotics, mechanical intervention (e.g., debridement), and surface treatments (e.g., EDTA).⁴² Regeneration therapies such as guided bone regeneration also have been used to reverse osseous resorption.⁴³

Because studies suggest that various laser wavelengths have antimicrobial properties, clinicians have employed laser technology to decontaminate failing implant surfaces (Figure 4-23). It is marginally predictable that a laser-treated surface will be free of microbial deposits, an organic smear layer, and a receptive surface for tissue regeneration.^{44,45} However, recent studies demonstrate promise for CO₂; erbium, chromium-doped yttrium-scandium-gallium-garnet (Er,Cr:YSGG); and diode laser therapies to repair the failing implant.⁴⁶⁻⁴⁸ In vitro studies have used other laser wavelengths to determine the potential for charring of the implant surface or increased thermal changes to the implant itself.⁴⁹ Although there appears to be no consensus on the most effective wavelength in treating periimplantitis, evidence suggests that using laser technology can be a beneficial adjunct in reversing the failing implant.

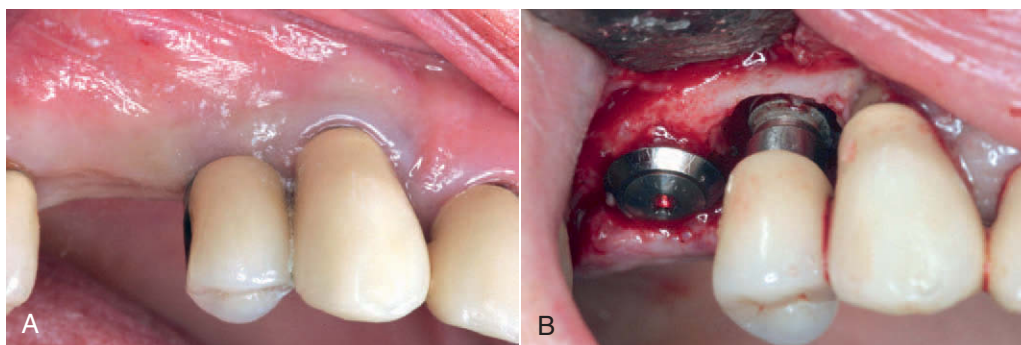


FIGURE 4-23 • **A**, Implant demonstrating periimplantitis. **B**, Removal of granulation tissue and sterilization of implant surface with erbium laser before osseous grafting procedures. Patient had minimal symptoms with an endosseous root form implant. Suppuration present with radiographic bone loss of 20%, but no mobility. A flap was reflected to visualize the implant's surface. Laser was placed in the lesion to both degranulate and "sterilize" implant surface.

A complete discussion on the use of lasers for placement of implants and treatment of periimplantitis can be found in Chapter 7.

CONCLUSION

The laser is a versatile and valuable device for a myriad of periodontal procedures as long as the principles of fundamental wound healing are applied. Laser therapy provides a drier field because of hemostasis and thus offers more visibility. Antibacterial properties of laser energy help sterilize the wound. With minimal collateral wound damage, results of surgical laser periodontics include decreased postoperative inflammation and thus decreased patient discomfort. Overall the wound is more acceptable, with less contraction and scarring.

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This chapter discusses regenerative periodontal surgery and the use of laser light as an adjunct to regenerative therapy. An important use of lasers in regenerative periodontal surgery is the preparation or modification of the root surface to increase fibroblast attachment. This promotes regeneration of a fibroblast-mediated new attachment, rather than a long junctional epithelium.

Most forms of periodontal disease are plaque-associated disorders, so it is understood that surgical access can only be considered as adjunctive to cause-related therapy. In other words, it is imperative to eliminate the etiology as best as possible before initiating the surgical phase of treatment. Periodontal diseases are plaque/biofilm-induced chronic inflammatory conditions. The etiology is an infection; specific pathogens in supragingival plaque accumulate around the tooth and inside the periodontal pocket subgingivally. The host's susceptibility, manifested by an exaggerated inflammatory response, also contributes to tissue breakdown. Periodontal pathogenic microorganisms in individuals with hyperinflammatory genotypes may amplify the local inflammatory response, which may result in the characteristic severe tissue destruction seen in patients with advanced periodontitis.

The increased inflammatory response to plaque accumulation in susceptible hosts increases overgrowth of opportunistic microbiota.¹ Furthermore, environmental and systemic risk factors, such as smoking and diabetes, can worsen the expression of periodontal disease.² Smokers and diabetic patients may respond poorly to conventional periodontal treatment. Therefore, clinicians have been in search of new techniques to treat advanced periodontal diseases in individuals with risk factors who may respond poorly to periodontal therapy.

OBJECTIVES OF PERIODONTAL TREATMENT

The *immediate* goal of periodontal treatment is to prevent, arrest, control, or eliminate periodontal disease. The

ideal goal would be to promote healing through regeneration of lost form, function, esthetics, and comfort. When the ideal cannot be achieved, the *pragmatic* goal of therapy would be to repair the damage resulting from disease. The *ultimate* goal of therapy is to sustain the masticatory apparatus, especially teeth, or their analogs, in a state of health.³

Achieving these goals requires addressing the infectious and inflammatory component of some types of periodontal disease. It is important to eliminate the microbial etiology in plaque/biofilm and to achieve a shift from pathogenic to indigenous flora. Once the early microbial colonizers have been established in the periodontal sulcus, the challenge is to maintain health through oral hygiene and periodic recall appointments and to control the inflammatory response during periodontal treatment.

The clinical objectives of periodontal treatment therefore include the following:

- Less than 10% of sites with bleeding on probing.
- No sites with probing pocket depths of 5 mm or deeper, preferably at 4 mm or less.
- No furcation involvements of degree II or III.

According to Haffajee et al.,⁴ the elimination of a residual true pocket of 6 mm or greater in probing depth after active periodontal treatment is an important goal of periodontal therapy and aims at arresting further disease progression. Also, it is known that deep periodontal pockets remaining after treatment play a significant role in predicting future periodontal destruction.⁵ Claffey et al.⁶ suggest that advanced periodontitis patients with multiple residual probing depths (≥ 6 mm at reevaluation) have a greater risk of developing sites with additional attachment loss than patients with few such residual pocket depths.

On a biological/histological level, the objective of periodontal treatment is to slow down epithelial migration from the gingival margin into the periodontal pocket to promote new *connective tissue attachment* (CTA) instead of a long junctional epithelium.⁷

In an animal study, Caton et al.⁸ observed that even with different periodontal treatment modalities, the expected

result (formation of new CTA) could not be achieved. The four regenerative treatments were root planing and soft tissue curettage; Widman flap surgery without bone grafting; Widman flap surgery with the placement of frozen autogenous red bone marrow and cancellous bone; and beta-tricalcium phosphate in intrabony defects. All the results showed healing by a long junctional epithelium extending to or close to the same level as before treatment.

In another animal study, Caton and Nyman⁹ examined the effect of the modified Widman flap procedure on the CTA level and supporting alveolar bone. Treatment of periodontal pockets using this procedure produced no gain in CTA and no increase in crestal bone height. In angular bony defects, a certain degree of “bone fill” was noted. However, this bone repair was never accompanied by new CTA. Therefore, despite significant efforts, researchers are still testing treatment modalities and new materials to achieve the goal of preventing periodontal healing by formation of long junctional epithelium.

INITIAL THERAPY

The following are important steps of the initial periodontal therapy:

1. Oral examination and periodontal health examination.
 - Probing pocket depths
 - Attachment level
 - Bleeding on probing
 - Mobility and furcation involvement
2. Radiographic examination that includes periapical and bitewing films.
3. Intraoral examination that includes digital photographs.
4. Study models for evaluation of the occlusion.
5. Determination of a diagnosis (based on data collected) and an initial prognosis.
6. Elimination of hopeless teeth and equilibration of occlusal structure.

After complete clinical and radiographic data collection, the next phase of periodontal treatment comprises plaque assessment, oral hygiene instruction, scaling and root planing (SRP), then reevaluation, assessment of periodontal surgical needs, and maintenance recalls.

It is important to keep in mind that the critical determinant of the success of periodontal therapy is not the choice of treatment modality, but the detailed thoroughness of the root surface debridement and the patient's standard of oral hygiene. Evidence supports SRP as an essential and effective component of therapy for the majority of inflammatory periodontal diseases.³ (See Chapter 3 for a complete discussion on lasers as an adjunct therapy for this phase of treatment.) If

periodontal health cannot be achieved by initial therapy, a surgical approach can be considered.

PERIODONTAL SURGERY

RATIONALE

As mentioned earlier, in assessing risk factors for individual teeth in periodontal patients, the presence of sites with a residual true periodontal pocket depth of 6 mm or deeper after active treatment is considered a strong factor in predicting future periodontal destruction.⁴ Therefore an important goal of surgical periodontal therapy is to obtain a reduced pocket depth in order to arrest further disease progression.⁶ In periodontal health, a pocket is referred to as *sulcus* and ranges from 1 to 3 mm in depth. Based on this guideline, one of the objectives of periodontal surgery is to provide access for proper instrumentation and cleaning of the root surface when root areas are difficult to reach. Selection of available surgical methods should be based on their potential to facilitate removal of subgingival deposits and self-performed plaque control, enhancing the long-term preservation of the periodontium.

RESECTIVE SURGERY

Resective periodontal surgery is a technique used for pocket elimination. The objective is to facilitate debridement of root surfaces through access. Resective surgery is indicated for the removal of excessive soft periodontal tissue on the gingival margin (gingivectomy) in pseudopockets, or when there is no loss of attachment (as in gingivitis). It is also used to correct true periodontal pockets (with loss of attachment) in periodontitis when both the pocket epithelial lining and the inflamed connective tissue are removed to promote reattachment of connective tissue fibers to the root surface (see Chapter 4).

An undesirable consequence of periodontal treatment, either surgical or nonsurgical, is recession of the gingival margin after healing. In severe cases of periodontitis, this may lead to poor esthetics in the anterior area of the dentition. It is often associated with surgical procedures of bone recontouring for the eradication of bone defects. Therefore, researchers have sought other techniques to avoid or reduce the problems caused by recession. By applying regenerative surgical procedures the lost periodontal attachment in bone defects can be restored.¹⁰

REGENERATIVE PERIODONTAL THERAPY

In recent years the use of regenerative procedures to restore architecture and function of the lost periodontal apparatus

has become more common. These procedures are specifically designed to restore those parts of the tooth-supporting apparatus that have been lost due to periodontitis.

Periodontal regeneration has been reported following a variety of surgical approaches involving root surface biomodification, placement of bone grafts or bone substitutes, and the use of organic or synthetic barrier membranes, or *guided tissue regeneration* (GTR). Evidence suggests that the use of bone grafts or GTR procedures produce equal clinical benefit in treating intraosseous defects. The treatment objective is to obtain shallow, maintainable pockets by reconstruction of the destroyed attachment apparatus as well as limit recession of the gingival margin.

Periodontal regeneration surgery is selected to obtain (1) an increase in the periodontal attachment of a severely compromised tooth; (2) a decrease in deep pockets to a more maintainable range; and (3) a reduction of the vertical and horizontal component of furcation defects. Current approaches, however, remain technique sensitive, and clinical success requires application of meticulous diagnostic and treatment strategies.¹¹

As stated in the proceedings of the 1996 World Workshop in Periodontics, the attachment of the tooth is considered to have been regenerated when new cementum with inserting collagen fibers has formed on the detached root surface. Regeneration of the periodontal supporting apparatus (periodontium) also includes regrowth of the alveolar bone. Procedures to restore lost periodontal support have also been described as “reattachment” or “new attachment” procedures. Present regenerative techniques lead to significant amounts of regeneration at localized sites on specific teeth. However, if complete regeneration is to become a reality, additional stimuli to enhance the regenerative process are likely needed and could be accomplished with combined procedures.¹²

EARLY STUDIES AND OBJECTIVES

Clinical success of regenerative procedures in periodontology, including cases with significant growth of new alveolar bone, may histologically show an epithelial lining along the treated root surface instead of deposition of new cementum.¹³

The search for techniques and materials to promote regeneration in the periodontium began as early as the 1970s, when researchers formulated the following hypothesis: if epithelium and gingival connective tissue were to be excluded from the healing surgical site, progenitor cells migrating from the periodontal ligament would have the potential to form new connective tissue attachment.¹⁴ However, almost all available human histological evidence to date demonstrates healing by a long junctional epithelium with no or minimal CTA.³

The rationale for achieving this goal is to remove the crestal epithelium so as to stop the migration of the epithelial tissue cells into the pocket. In so doing, regeneration could then occur at the expense of the periodontal ligament, with insertion of new fibers to the root surface. Thus, removal of sulcular/pocket epithelium has been the foundation of

several other treatment modalities, including subgingival curettage, the excisional new attachment procedure (ENAP), and the modified Widman flap procedure. All have the objective of setting up an environment for new CTA.¹⁵⁻¹⁸

LASER TYPES

Investigations into a predictable method of epithelial exclusion applied the unique characteristics of the laser wound in periodontal therapy. Lasers can be excellent adjuncts to achieve this goal, and several laser wavelengths have been studied. Evidence of delayed epithelialization found in carbon dioxide (CO₂) laser wounds, for example, was determined in a series of animal and human studies. Animal trials had shown the ability of the CO₂ laser to retard epithelial downgrowth after periodontal surgery for up to 14 days,¹⁹ confirming that the CO₂ laser can remove epithelium from the gingiva without causing underlying damage to the connective tissue.^{20,21} An early human study performed with the CO₂ laser evaluated whether de-epithelialization with this type of laser at flap surgery and at 10-day intervals over the first 30 days of healing had the potential to enhance CTA formation. The de-epithelialization was repeated on the test side at 10, 20, and 30 days postsurgically. This interval was determined based “on the knowledge that regeneration of epithelial tissue occurs in 10 to 14 days, when it begins to grow into the pocket, lining the soft tissue wall of the new sulcus. The laser-treated side in one patient showed a fill with CTA and with some repair cementum, which was not seen in controls.”²² This preliminary finding in humans led to other laser studies.

The use of a pulsed CO₂ laser to de-epithelialize the gingival flaps is an attempt to exclude epithelial cells from the healing wound²¹ and has been used with and without the benefit of GTR membranes. Other results from human studies and case reports combined with animal trials indicate a positive benefit in wound healing because of the laser de-epithelialization technique.²³

Another human study compared conventional periodontal surgery combined with CO₂ laser and conventional periodontal surgery alone with respect to epithelial elimination and degree of necrosis of mucoperiosteal flaps. The results confirmed that (1) a more complete removal of sulcular epithelium was obtained by CO₂ laser than by scalpels, and (2) the CO₂ laser technique effectively removes the oral and sulcular epithelium from a gingival flap without damaging the viability of the flap during wound healing.²¹

Some believe that this technique has shown significantly better results than those obtained through conventional osseous grafting alone and appears to be comparable to the results reported for GTR procedures with barrier membranes. This concept provides a paradigm shift from the conventional use of GTR therapy by acknowledging the difficulty in controlling epithelium during the early wound-healing process. It also allows a more comprehensive therapy for periodontal disease that addresses the generalized nature of the disease, with multiple lesions being treated concurrently in an economical

manner. The patient presenting with generalized advanced periodontal disease could have several defects treated definitively in one quadrant using the laser de-epithelialization technique, without the need for multiple membrane therapies.²³

Understanding the benefits of the laser light in delaying epithelial growth into the periodontal sulcus during the healing process requires consideration of the wound-healing mechanism after laser use. With the pulsed CO₂ laser, for example, researchers have found that this wavelength can create a rather unique wound in the gingival tissues. It is not a burn, but rather an instantaneous vaporization of the intracellular fluid and resultant disintegration of the cell structure.²⁴ The laser wound on skin, mucous membrane,²⁵ and gingiva causes a delay in re-epithelialization because of factors such as reduced inflammatory response and less wound contraction.²⁶ To explain further the delay in CO₂ laser wound epithelialization, previous studies have proposed the following combination of events:

1. Laser wound margins show thermal necrosis and formation of a firm eschar that impedes epithelial migration.²⁷
2. Decrease in wound contraction (caused by fewer myofibroblasts at wound site) compared to scalpel wounds leaves a greater surface area remaining to be epithelialized.²⁸
3. Thin layer of denatured collagen found on the surface of the laser wound acts as an impermeable dressing in the immediate postoperative period, which reduces the degree of tissue irritation from oral contents.²⁹
4. Reduced inflammation in the laser-induced wound may provide less stimulation for epithelial migration.³⁰

The repair process of coagulation necrosis produced by pulsed CO₂ laser irradiation with relatively low fluence does not disturb this repair process, but rather promotes its steady progress and subsequent tissue remodeling.³¹ As for the application on skin, the pulsed CO₂ laser is capable of bloodless skin ablation with improved wound healing at relatively low irradiance (2 W). Increasing the repetition rate (100 Hz) of the CO₂ laser helps to achieve a better surgical outcome. This laser may be a valuable instrument for ablation of skin and skin lesions when used in a pulsed mode.³²

Based on the properties of the pulsed CO₂ laser on the periodontal soft tissue wall, the U.S. Food and Drug Administration (FDA) has given clearance for all periodontal applications to two specific CO₂ laser manufacturers. The clearances are for sulcular debridement and a laser-assisted new attachment procedure, a regenerative technique for periodontal pocket elimination, referred to as “cementum-mediated periodontal ligament new attachment to the root surface in the absence of long junctional epithelium.”

Other laser wavelengths have been used on the periodontal soft tissue wall similar to the CO₂ laser. One manufacturer of a neodymium-doped yttrium-aluminum-garnet (Nd:YAG)

laser received FDA clearance for laser-assisted new attachment procedure.

Because the new healed interface between the soft tissues and the root was not known histologically, Yukna et al.³³ conducted a study to evaluate wound healing after laser-assisted new attachment procedure in periodontal pockets. A free-running pulsed Nd:YAG laser was used to treat six single-rooted teeth with moderate to advanced chronic periodontitis associated with subgingival calculus deposits. After 3 months, all treated teeth were removed en bloc for histological processing. Laser-assisted new attachment procedure–treated teeth exhibited greater probing depth reductions and clinical gains in probing attachment level than the control teeth. All laser-assisted new attachment procedure–treated specimens showed new cementum and CTA in, and occasionally coronal to, the bur notch on the root. As for the controls, five of the six teeth had a long junctional epithelium with no evidence of new attachment or regeneration. There was no evidence of any adverse histological changes around the laser-assisted new attachment procedure specimens.

These cases support the concept that laser-assisted new attachment procedure can be associated with cementum-mediated new CTA and apparent periodontal regeneration of diseased root surfaces in humans.³³ Based on current evidence, the pulsed CO₂ and free-running Nd:YAG lasers are the wavelengths best supported by the literature for the laser-assisted new attachment procedure. More histological proof is needed to provide further rationale for the use of the Nd:YAG wavelength.

BIOMODIFICATION OF ROOT SURFACE

For years, research has been directed to finding novel manners to condition or alter the periodontitis-involved root surfaces and to prepare them to promote the formation of a new connective tissue attachment from periodontal ligament cells.

In 1976 Melcher¹⁴ suggested that the type of cell that repopulates the root surface after periodontal surgery determines the nature of the attachment that will form. Ideally, healing of the periodontium should occur from periodontal ligament cells that have the potential to form a new CTA, proof of which was recently provided using a novel and unique experimental model in dogs.³⁴ The purpose of this study was to explore the formation of periodontal tissues around titanium implants. After resection of the crowns of the maxillary canine teeth in nine mongrel dogs, the roots were hollowed to a depth of 5 mm, leaving a thin dentinal wall. Slits were prepared in the cavity wall to create passages from the chamber to the periodontal ligament area. A custom-made, titanium implant was placed into the center of each chamber. Machined, titanium plasma-sprayed (TPS) and sandblasted with large grit and acid-attacked (SLA) surfaces were used. A collagen barrier was placed over the submerged chamber. After 4 months of healing, jaw sections were

processed for histology. Newly formed periodontal ligament, alveolar bone, and root cementum filled the space between the implant and the wall of the chamber. Ingrown bone was neither in contact with dentin nor with the implant. Thus, an interposed soft connective tissue layer was present. Healing by fibrous encapsulation was observed around most implants. This study confirmed that strong evidence shows the progenitor cells for periodontal attachment formation reside in the periodontal ligament and not in the alveolar bone as previously assumed.

Over the years, studies have evaluated several aspects of root surface preparation, starting with simple removal of bacterial deposits, calculus, and endotoxins. It has been well established that these essential steps in the healing process are part of initial periodontal therapy. More sophisticated studies, in animal models, showed improved healing response histologically after citric acid and tetracycline root surface demineralization.^{35,36} Additional animal studies demonstrated adverse outcomes with the use of citric acid, such as root resorption and ankylosis.^{37,38} In humans, studies have demonstrated histological new CTA after citric acid demineralization of root surfaces.³⁹ Other studies showed no statistical difference in clinical conditions after flap surgery when comparing controls to citric acid-treated roots.^{40,41} Citric acid and tetracycline for root preparation are rarely used at present because of the contradictory clinical outcomes.¹¹

Biomodification of the root surface with demineralization through ethylenediaminetetraacetic acid (EDTA) followed by enamel matrix proteins (Emdogain) during surgery has been introduced to accomplish regeneration of periodontal tissues. The biological concept is that the application of enamel matrix proteins (amelogenins) may promote periodontal regeneration because it mimics events that took place during the development of the periodontal tissues.^{42,43} However, it is unclear how this concept stands in accordance with current knowledge about periodontal wound healing, because no evidence shows that the cells derived from the periodontal ligament are the cells encouraged to repopulate the root surface after treatment.¹¹

Emdogain (EMD) has been extensively studied, with contradictory results. Some trials show ankylosis and root resorption.⁴⁴ A recent in vitro study has failed to confirm that EMD has any significant effect on periodontal ligament cell proliferation.⁴⁵ Better results with the use of EMD appear in case series showing 70% of bone fill in intrabony defects and 4 to 4.5 mm of gain in clinical attachment.^{46,47} Additional clinical and radiographic evidence showed larger amounts of *probing attachment level* (PAL) gains and statistically significantly more bone gain using the EMD versus open-flap debridement only.^{48,49}

Compared with GTR, EMD showed similar results for *clinical attachment level* (CAL) gain and PAL gain.⁵⁰⁻⁵³ Further studies evaluated EMD in combination with some bone graft materials, achieving clinical improvements.⁵⁴⁻⁵⁶ Other investigations failed to demonstrate a beneficial effect

of this combined treatment.⁵⁷ Based on studies with EMD, histological evidence of new cementum formation can be observed, with collagen fibers inserting into a previously periodontitis-affected root surface and the formation of new alveolar bone in human specimens.^{51,58}

Growth regulatory factors for periodontal regeneration may represent a potential aid in attempts to encourage regeneration of the periodontium. Such products include platelet-derived growth factor (PDGF) and insulin-like growth factor (IGF). Some studies show that control sites treated without growth factors healed with a long junctional epithelium and no new cementum or bone formation, whereas regeneration of a periodontal attachment apparatus occurred at the sites treated with growth factors.⁵⁹⁻⁶⁵

As stated earlier, surgical access should be considered only as an adjunct to cause-related therapy. A primary rationale for raising a flap is to provide access for proper instrumentation and cleaning of the root surface. If these cannot be done because of tooth anatomy, flap surgery should be considered only after as much of the etiological agents of the periodontal disease are removed by closed techniques. *Case Studies 5-1* and *5-2* illustrate these points.

Clinical Tip: The de-epithelialization of the gingival margin of the flap should be performed *after* (not before) suturing. Occasionally, de-epithelialization of the flap may cause slight shrinkage of the tissue; if the tissue is de-epithelialized before suturing, it may be difficult to gain primary closure.

**Clinical
TIP**

COMPARISON OF FOUR LASERS: EFFECTS ON ROOT SURFACES AND WOUND HEALING

Lasers have multiple uses in periodontal therapy. This section reviews four different wavelengths and their application in regenerative procedures. Most studies used the CO₂ laser, Nd:YAG laser, erbium family of lasers, and to a lesser extent the diode laser.⁶⁶ Lasers can be used for root surface biomodification in promoting ligament cell reinsertion or creating a proper surface for reattachment. The modification of cementum and dentin is wavelength dependent and is discussed for each laser.

The mineral component of both cementum and dentin is a carbonated hydroxyapatite that has intense absorption bands in the midinfrared region of the light spectrum. Consequently, of the laser wavelengths studied, the Er:YAG laser would appear to be the instrument of choice for effective removal of calculus, for root etching, and for creation of a biocompatible surface for cell or tissue reattachment.^{66,67} Research indicates

Case Study

5-1

Pocket Biomodification

The medical history of this 47-year-old woman includes lupus, hypothyroidism, hypertension, and osteopenia, all of which are being controlled with medications. The only medication of clinical relevance to her dental condition is alendronate (Fosamax), 70 mg once weekly.

Figure 5-1, *A*, shows a preoperative view of the patient on presentation. After conventional SRP, a laser was used to de-epithelialize the marginal gingiva, as shown in *B*. The reason for this initial step is based on sound biological principles: in order for a true fibroblast-mediated soft tissue reattachment to the root surface to occur, the epithelium must be prevented from migrating into the pocket. Removal of the marginal epithelium will prevent its migration into the pocket.

Once the marginal gingiva has been removed, the laser is then placed into the pocket parallel to the long axis of the root. The purpose of this step is the reduction/elimination of bacteria (removal of the etiologic agent) from the pocket (Figure 5-1, *C*). The various protocols for the depth of placement of the laser into the pocket depend on the wavelength used, manufacturer's suggestions, and other criteria. It is important to recognize that some protocols do not address removal of the marginal gingiva before entering the pocket.

Besides the biological rationale just discussed, the marginal gingiva is removed before laser placement because placing the laser into the pocket is a "blind" procedure; the clinician is not able to see the laser-tissue interaction.

- Is there insufficient laser energy to perform its intended therapeutic effect?
- Is there too much laser energy, so that the laser is actually incising the tissue, rather than simply removing the epithelial layer?

There is no way to know the laser-tissue interaction unless the clinician can visualize the interaction. Removing the crestal epithelium allows the clinician to see the laser-interaction—the response of the tissue to the laser parameters used, and possibly modify the laser parameters accordingly. This step is done before performing a blind procedure and possibly causing an adverse effect on the internal tissue wall.

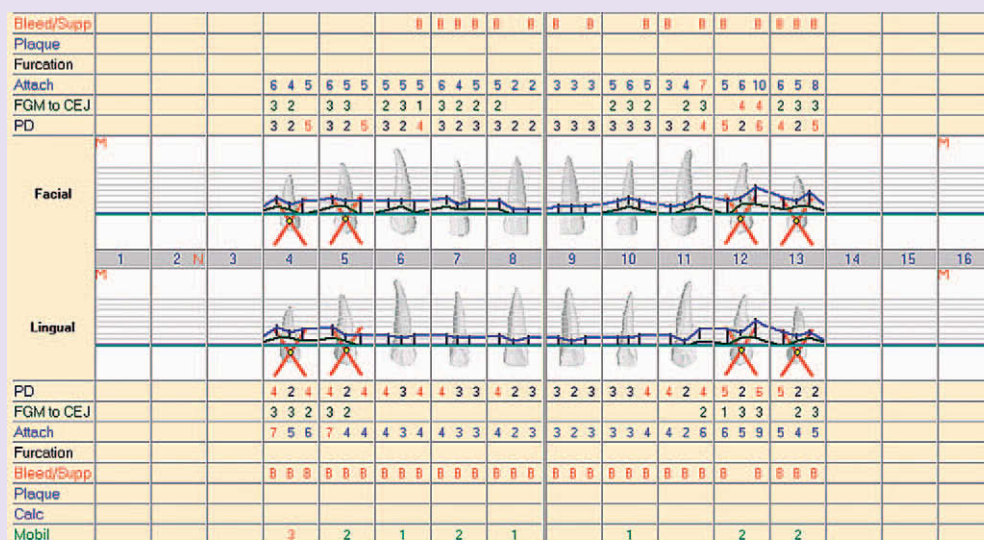
Figure 5-1, *D*, shows the 1-week postoperative view of laser treatment of the upper-right quadrant, as well as immediate postoperative view of laser treatment of the upper-left side. Figure 5-1, *E* shows the upper-left quadrant immediately after laser treatment. *F* shows the 1-month postoperative clinical view; *G* shows the preoperative charting; and *H* shows the 1-month postoperative charting.



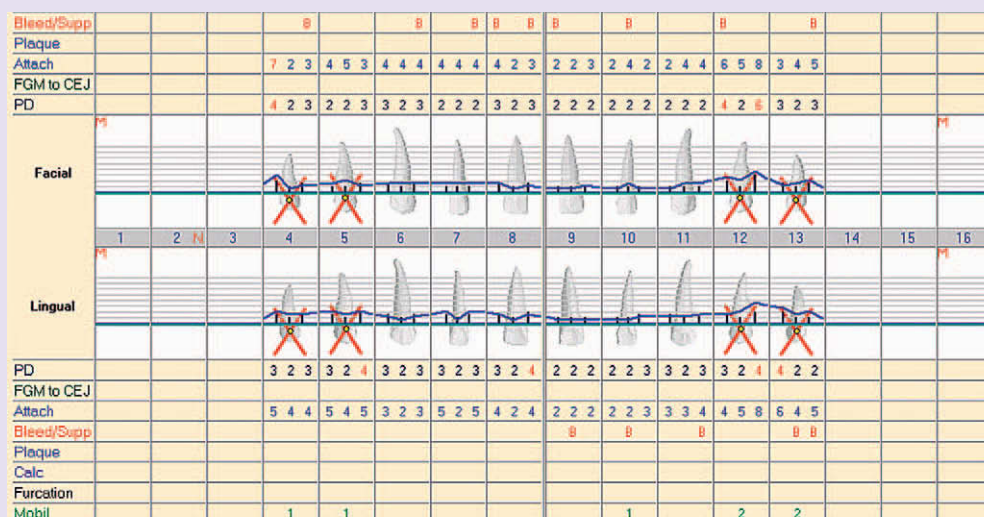
FIGURE 5-1 • Laser biomodification of periodontal pocket. **A**, Preoperative view of patient. **B**, Step 1: laser de-epithelialization of gingival margin. Note that this is not a cutting procedure; gingival height is not reduced, and no tissue is incised or excised. Surface epithelium is removed so that it does not interfere with the formation of a fibroblast-mediated soft tissue attachment. **C**, Step 2: reduction of bacterial contents in periodontal pocket. Laser is placed parallel to the long axis of the root. **D**, One-week postoperative view of laser treatment in upper-right quadrant, and immediate postoperative view of laser treatment of upper-left side.

Continued

5-1



G



H

FIGURE 5-1, cont'd • **E**, Immediate postoperative close-up of upper-left quadrant. **F**, One-month postoperative view of patient. **G**, Preoperative periodontal charting. **H**, Periodontal charting 1 month after final treatment session.

Case Study

5-2

Furcation and Biomodification

Surgical access was necessary because of an anatomical problem in this 52-year-old man with no relevant medical history. **Figure 5-2, A**, shows an upper-left second molar with a diseased furcation. Periodontal probing of the furcation is 10 mm. Because of the fluting and curvature of the mesiobuccal root distally toward the furcation, and the distobuccal root mesially toward the furcation, it was impossible to use conventional instrumentation in this patient to remove the etiological factors.

Figure 5-2, B, shows the surgical site after elevation of a flap. Exposure of the root surfaces showed a ball of diseased tissue in the furcation, firmly adherent to the roots. After use of conventional instruments (curettes) to remove the bulk of the diseased tissue, a laser was used to remove the remnants of soft tissue from the root surface and biomodify the root surface to increase the attachment of fibroblasts to the root surface.

Figure 5-2, C, shows the root surface after laser biomodification. The inner surface of the flap was then

de-epithelialized. Once again, the purpose of removing the epithelium from the flap is to allow the growth of a fibroblast-mediated soft tissue attachment to the biomodified root surface. Bone analog was placed in the furcation and the flap sutured into place. The laser was then used to de-epithelialize the gingival margin of the flap. The patient was dismissed with routine postoperative instructions. At 9 days postoperatively, the patient returned to the office. The sutures were removed, and the flap margin was again de-epithelialized. At 19 days the patient returned and had the gingival margin de-epithelialized once again.

The rationale for three sessions of de-epithelialization (day of surgery, 9 days, and 19 days postoperatively) is based on sound biological principles. The work of Rossmann, Centty, Israel, and others¹⁹⁻²³ showed that removal of epithelium at 10-day intervals over the first 30 days enhanced a soft tissue attachment.

Figure 5-2, D, shows the surgical site with a fully healed furcation 90 days postoperatively.

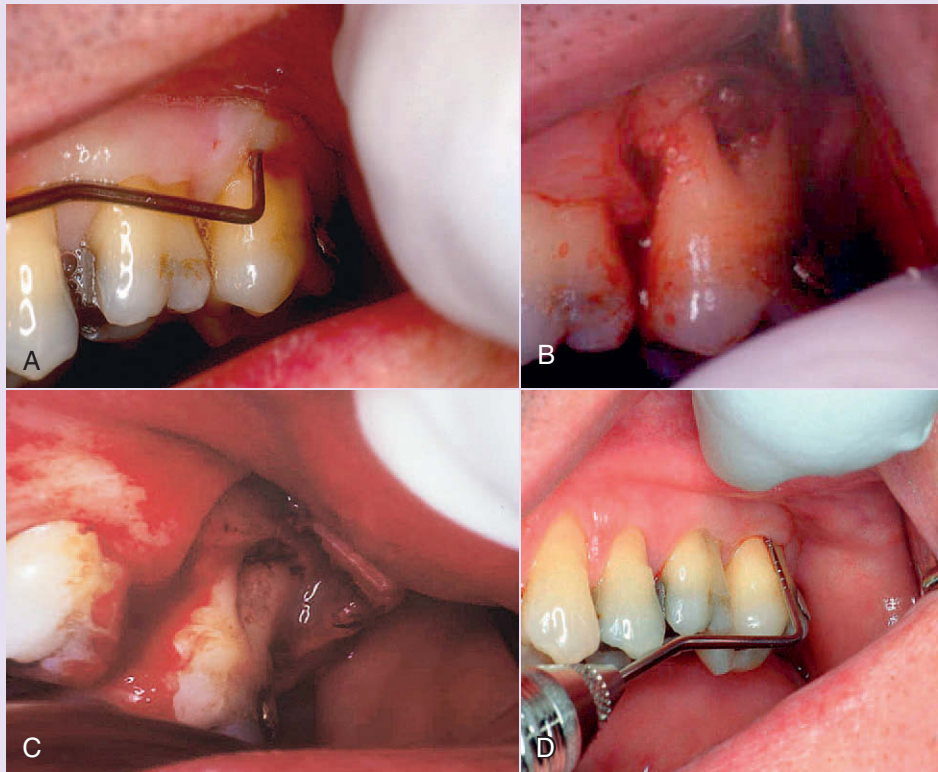


FIGURE 5-2 • Surface biomodification in laser treatment of furcation. **A**, Preoperative view of furcation involvement on maxillary second molar. **B**, Intraoperative view of exposed furcation after a flap has been elevated. Note presence of diseased soft tissue in the furcation. **C**, Immediate postoperative view of the surgical site. Diseased tissue has been ablated from the surgical site. Root surface has been prepared for a fibroblast-mediated soft tissue attachment. **D**, Three-month postoperative view of the surgical site shows excellent healing. The furcation can no longer be probed. (A and D courtesy Dr. Robert Convissar.)

that its safety and effects might be expected to be within the range reported for conventional mechanical debridement.⁶⁸

A meaningful comparison between various clinical studies or between laser and conventional therapy is difficult at best and likely impossible at present. Reasons include different laser wavelengths; wide variations in laser parameters; insufficient reporting parameters, which in turn do not allow calculation of energy density; differences in experimental design; lack of proper controls; variable severity of disease and treatment protocol; and measurement of different clinical end points. Despite these problems and the lack of human clinical trials, sufficient data are available to recognize trends in the results of laser-mediated treatment of chronic periodontitis.⁶⁷

CARBON DIOXIDE LASER

In chronological terms, the CO₂ laser (wavelength of 10,600 nm) was the first of the currently available wavelengths studied for effects on root surfaces. Even at power settings as low as 4 W, the results of the earlier studies were not particularly encouraging, in that charring and melting of the root surface were common findings. In addition, Fourier-transformed infrared spectroscopy analysis of charred surfaces revealed the presence of cyanamide and cyanate, both cytotoxic chemical residues.^{69,70} However, the parameters used in these early studies, as well as the temporal emission mode used, were completely different from parameters used today. These early studies used a continuous-wave CO₂ laser, which is known to damage hard tissues more than pulsed CO₂ mode.

Another issue is water cooling. It is important to use irrigation during CO₂ laser application to avoid collateral zones of heat damage and production of toxic substances.⁶⁹⁻⁷¹ Israel et al.⁷¹ compared three laser wavelengths—CO₂, Nd:YAG, and Er:YAG—for root surface changes after irradiation with and without air/water surface cooling. Those changes included cavitation defects, globules of melted and resolidified mineral, surface crazing (cracking), and production of a superficial char layer after irradiation with CO₂ and Nd:YAG. In contrast, the Er:YAG laser produced root surface changes that might be expected from acid etching: removal of the smear layer and exposure of the collagen matrix. Although sharply defined microfractures of the mineralized structure were noted with the Er:YAG laser, unlike the CO₂ and Nd:YAG lasers, there was no evidence of melting or surface char. Given the parameters of this study, it appears that both the CO₂ laser and the Nd:YAG laser adversely alter the root surface. The Er:YAG laser, however, when used at low energy densities, shows sufficient potential for root surface modification to warrant further investigation.

Barone et al.⁷² showed that heat-induced cracking of the root surface occurs when the CO₂ laser is used at power settings of 4 W or greater in a continuous mode. However, when used with pulsed wave in a defocused mode and at low power settings, the CO₂ laser appears to cause minimal damage. Although both laser delivery modes produced changes to the

treated root surface specimens, the changes from a defocused beam created a smooth surface, which may present an advantage in periodontal treatment.⁷² On the other hand, when used in continuous mode, the CO₂ laser (0.5 W, 20 sec, continuous circular movements) creates a molten, recrystallized (nonporous, glazed) surface on root dentin, with the tubules almost completely sealed.⁷³

Therefore, it can be concluded that using the CO₂ laser is safe in pulsed mode, in the vicinity of root surfaces, when performing bacterial reduction in the periodontal pocket, for example, as long as this laser wavelength is used with low power settings, controlled time of delivery, water coolant, and parallel direction of the beam in relation to the root. This conclusion proves that one of the most important determinants in choosing laser wavelength or manufacturer should be the amount of initial training and education given to the purchaser, as well as continuing education. With all laser wavelengths, using the correct parameters is critical to the success of the procedure.

After root planing, the instrumented root surface is invariably covered by a surface smear layer containing remnants of dental calculus, contaminated root cementum, bacterial endotoxins, and subgingival plaque. Studies have investigated alternatives to compensate for the limitations of mechanical root therapy. Crespi et al.⁷⁴ found that the resultant root surface had areas devoid of cementum, with completely sealed dentinal tubules. The dentin surface appeared as a melted layer showing a flat, smooth surface with apparent fusion of the smear layer. The dentin layer had the appearance of a glazed surface. No residual bacteria were observed on any roots after CO₂ laser irradiation. Other investigations show that the CO₂ laser used in a pulsed, defocused mode and at low power settings (1.0 sec at 3 W) completely removed the smear layer, leaving partially exposed dentinal tubules with minimal change in their diameter. Root surface modifiers (e.g., EDTA, citric acid) were also effective in removing the smear layer, but the exposed dentinal tubules showed funnel-shaped widening.⁷⁵

The CO₂ laser treatment, used at low power and in the defocused mode, combined with traditional mechanical instrumentation, could improve root surface debridement of periodontally involved teeth. Open dentinal tubules are a goal in periodontal treatment for optimal reinsertion of new connective tissue fibers to enhance regeneration of lost periodontal attachment.

Recent studies of the biocompatibility of the CO₂ laser with root surfaces show conflicting results, even at low energy densities. Crespi et al.⁷⁶ and Pant et al.⁷⁷ reported increased in vitro attachment of fibroblasts to laser-treated surfaces compared with controls (SRP only) or chemically treated surfaces. However, Fayad et al.⁷⁸ reported a total lack of fibroblast attachment to surfaces irradiated at only 1.25 mJ/pulse.

As for the periodontal soft tissue wall, Gopin et al.⁷⁹ evaluated soft tissue attachment to root surfaces after CO₂ laser irradiation in an animal model. After mucoperiosteal flaps

were raised, each laser-treated root surface was irradiated until a confluent char layer was visually evident. The settings and parameters were 6 W, 20 Hz, pulse length of 0.01 second, energy density approximately 240 J/cm², and 2 mm from target surface with a focal spot diameter of 0.8 mm. This application promoted a cytotoxic char layer on the root surfaces. Where charring was observed, histological examination revealed a lack of flap reattachment to the root surface. However, all specimens treated by SRP alone or by laser irradiation followed by SRP exhibited flap reattachment to treated surfaces. These findings led to the use of the pulsed CO₂ laser as an adjunctive treatment, along with SRP, in treatment of periodontal pockets in humans.

As for the use of the CO₂ laser on bone, in an animal model, osteotomy defects induced by laser (vs. rotary bur) exhibited a delayed healing response, apparently related to residual char in the osseous defect.⁸⁰ The healing response was severely delayed even in the presence of a surface cooling spray of air/water.⁸¹ Therefore the CO₂ wavelength is not appropriate for use on bone tissue.^{80,81}

Whether the final laser result on dental/periodontal tissues is favorable or damaging, most studies do not mimic the clinical scenario in their material and methods. Therefore the parameters and settings tested in laboratory trials should be evaluated and adapted before clinical application. Important aspects to observe in material and methods in all laser studies are (1) settings and parameters (power density, watts, hertz, time), (2) delivery system, (3) delivery mode, (4) direction of the beam, and (5) use of water cooling.

Besides the physical radiation parameters, the parameters of clinical handling, particularly the angulations of the application tip and hand speed when moving the laser through the pocket, strongly influence the root substance preparation.⁸² In a 2007 pilot study Mullins et al.⁸³ tested a manufacturer's suggested parameter to treat the periodontal pockets in patients diagnosed with advanced chronic periodontitis. The purpose was to evaluate, by scanning electron microscopy (SEM), the surface effects of a third-generation CO₂ laser (10,600 nm) treatment on the root surfaces and soft tissues and to investigate the effects of this "ultraspeed" laser on periodontal pathogenic bacteria compared to negative controls. A one-time irradiation by CO₂ laser at a power of 2.2 W, 50 Hz, 80-msec pulse length, and exposure rate of 1 mm per 5 seconds was applied in the periodontal pockets. DNA analysis of eight periodontal bacteria in samples collected from laser-treated and control sites before and immediately after treatment was compared between groups. In addition, block biopsies, including soft tissue, were harvested. SEM examination of test group specimens showed heat damage on the soft tissues in three of 17 specimens (17.6%). In addition, 11.7% (2 of 17) of the CO₂ laser-treated teeth exhibited localized slight damage to root surfaces. Microbiological results of the control sites indicated that 90.6% of the bacterial counts remained the same, 6% increased, and 3% decreased. In the test group, 71.25% of the bacterial count analyses for the eight

different periodontal microbes remained the same, 12.50% increased, and 16.25% decreased.

The study concludes that a one-time use of this particular CO₂ laser in periodontal pockets does not sterilize or substantially reduce subgingival bacterial populations compared with negative controls.⁸³ The damage seen on the root surface of laser-treated teeth can be explained by the excessive time of application. The recommendation is to move the delivery tip in the subgingival area in an exposure rate of 1 mm per 1 second, in a total time of 16 seconds per side of the tooth in a molar area, for example, reducing the application time in narrow teeth (mandibular anterior). As for the microbiological results, the lack of pocket "sterilization" may be affected by several variables (e.g., eventual contamination with saliva). However, the investigators agreed that it is unlikely, although unproved, that any significant amounts of residual microbial DNA survives laser irradiation at heat in excess of 100° C.

Another conclusion from this investigation is that a one-time use of this CO₂ laser in periodontal pockets did not substantially reduce subgingival bacterial populations.⁸³ It is therefore the manufacturer's recommendation to repeat the procedure in the periodontal pocket of moderate to advanced periodontitis every tenth day for at least 3 visits. Again, it must be emphasized that use of the correct laser parameters as well as the correct treatment protocols are critical to the success of the procedure.

NEODYMIUM:YAG LASER

Since the 1990s, clinical case reports have shown favorable results with the Nd:YAG laser (1064 nm) in periodontology, increasing the interest of clinicians in this wavelength.⁸⁴ Early studies looked at removal of periodontal pocket epithelium with a laser. In 1994, Gold and Vilardi⁸⁵ showed that the pulsed Nd:YAG laser can remove pocket epithelial lining in moderately deep pockets at 1.25 to 1.75 W of power and 20 Hz. Most samples showed a complete removal of epithelium, with no damage to the underlying connective tissue. In other studies, microbiological analysis of laser-treated periodontal pocket samples showed a posttreatment reduction in the levels of bacterial types tested: *Actinobacillus actinomycetemcomitans* (Aa); *Tannerella forsythia* (Tf), formerly known as *Bacteroides forsythus* (Bf); *Porphyromonas gingivalis* (Pg); and *Treponema denticola* (Td), compared with pretreatment levels and controls.⁸⁶⁻⁸⁹ In vitro investigations confirmed the finding that the pulsed Nd:YAG laser was able to ablate Pg without visible effect on blood agar plates.⁹⁰ The 810-nm diode laser destroyed both the pathogen and the gel, indicating that the pulsed Nd:YAG may selectively destroy pigmented pathogens, leaving the surrounding tissue intact.⁹¹

Reported clinical cases of laser ENAP (excisional new attachment procedure) demonstrated improved clinical measurements and radiographic evidence of bone regeneration in the areas treated.^{92,93} The Nd:YAG laser requires less quantity of infiltration anesthesia and promotes better control of

operative and postoperative bleeding than scalpel surgery.⁹⁴ Tissue necrosis was related to laser exposure time, type of laser delivery tip (fiber), and applied laser energy. The most homogeneous and extensive coagulation zone was seen after laser treatment with rather low laser energy and over a longer period.⁹⁵

Another consideration is the effect of the laser light on root surfaces and bone. In 1996, Radvar et al.⁹⁶ showed that the Nd:YAG laser applied in the periodontal pocket caused no damage to the root surface with settings of 50 and 80 mJ, delivery time of 3 minutes, and delivery tip parallel to the root surface. However, time of application in the pocket was excessive and damaging to soft tissues. Therefore, no improvement was noted on soft tissues treated with the Nd:YAG laser, using these parameters, compared with non-laser-treated controls.

Another conclusion in this study is that bacterial reduction can be achieved with SRP and that the use of laser alone without SRP is not the best treatment option.⁹⁶ The combination of lasers with mechanical debridement produces the best results for bacterial reduction, as confirmed by the 1997 investigation of Neill and Mellonig.⁸⁷

As for attachment of cultured fibroblasts, several *in vitro* and *in vivo* studies showed that applying the Nd:YAG laser to the root surface (per trial settings and delivery time) was damaging to the root surface with less attachment of cultured fibroblasts.^{69,97-100} In 1994, Thomas et al.¹⁰¹ evaluated *in vitro* the effects of the Nd:YAG laser either alone or combined with root planing or air-powder abrasive treatment on fibroblast attachment to nondiseased root surfaces. The root segments were randomly assigned to four treatment groups: control, laser-only treated, laser treated followed by root planning, and laser treated followed by air-powder abrasive treatment. Laser-treated root specimens were exposed for 1 minute with energy of 75 mJ at 20 pulses/sec using a 320- μ contact fiber. The contact fiber was held parallel to the root segments, which were kept moist with distilled water. The decreased fibroblast attachment observed in the laser-only treated group suggests a laser-induced biological incompatibility of the root surface. Observed surface alterations included ablation of cementum with exposure of dentinal tubules and crater formation. Results of cell counts of fibroblasts attached to specimens yielded the greatest difference between control (higher attachment) and laser surfaces (lower attachment). However, increased numbers of fibroblasts were seen attached to the laser-treated root segments after root planing or after exposure to an air-powder abrasive, indicating that the laser-induced bioincompatibility is reversible and most likely a surface phenomenon.

Interestingly, in this experiment the laser fiber was held parallel to the target tissue, which is similar to the current suggested clinical application for initial periodontal therapy. Also, removal of the toxic layer on the root surface after Nd:YAG laser application helps create a favorable environment for healing. This study by Thomas et al.¹⁰¹ confirmed the 1992 findings of Trylovich et al.¹⁰⁰ However, the direction of

the beam was perpendicular to the root surface for 1 minute in the latter study (Nd:YAG settings of 80 mJ at 10 Hz), and the organic matrix appeared to have been burned off, leaving a resolidified substance with a lavalike appearance.

In a 2005 *in vitro* study, Chen et al.¹⁰² examined the long-term effect of Nd:YAG laser irradiation on cultured human fibroblasts. The power delivery was 50 mJ $\times$ 10 pps (pulses per second), 0.5 W, with irradiation duration of 60, 120, 180, or 240 seconds. The optical fiber of the laser was kept perpendicular to the root surface at 2 mm from the cell layer. Laser delivery was not static, a major innovation in this study; instead, it was kept in a timed and controlled movement to cover the entire growth surface of the cell culture. The viability and collagen content of laser-irradiated human periodontal fibroblasts (hPFs) were assessed on day 5 after laser treatment by light microscopy and transmission electron microscopy (TEM). Therefore, with fixed power output and different exposure time, it was possible to investigate the long-term effect of pulsed Nd:YAG laser on hPFs. The statistically significant decrease of cellular viability and collagen content was only noted in the groups irradiated at higher energy density, but even with power output of 0.5 W, there was some cell damage and decrease of collagen content. These results suggest that the coexistence of viable cells and progressive degeneration of laser-damaged cells were associated with the *in vitro* mineralization of hPF cultures. The Nd:YAG laser irradiation could induce the formation of mineral deposits of hPF cells cultured in medium to differentiate further into osteoblast-like cells responsible for *in vitro* mineralization.¹⁰²

These results were confirmed by another study in which Nd:YAG laser irradiation (20 mJ, 10 Hz, 10 sec) had a stimulatory effect on the cell viability and proliferation of human osteoblast-like cell culture.¹⁰³ Increase in the pulse energy, pulse repetition rate, and power output had an inhibitory effect on cell viability and proliferation.

The direction of laser application is a major factor in the control of damage to target tissues. The Nd:YAG laser irradiation, even at low power settings (0.5-1.5 W), but with 1 minute of irradiation in a perpendicular direction of application, altered chemical organization of root structure proteins.¹⁰⁴ As with the diode laser, the Nd:YAG applied in a parallel direction, for example, produced root surface alterations that included fusion and resolidification of root dentin, with partial smear layer and debris removal at 1.5 W, 15 Hz (100 mJ), and 2 mm/sec.¹⁰⁵

On the other hand, the Nd:YAG laser caused greater damage on calculus than cementum or dentin,¹⁰⁶ and at 5 W, it is possible to remove the smear layer on root surface with small changes on the surface but increased temperature.¹⁰⁷ Several *in vitro* studies^{99,101} have demonstrated heat-induced morphological changes on the root surface after irradiation with the Nd:YAG laser, ranging from low settings of 156.2 to 166.6 J/cm² to a high of 571 J/cm². However, even the lowest energy density used in some studies still resulted in melting of

the mineral phase, as well as creating craters and charring of root surfaces. Therefore, Nd:YAG laser settings must be adjusted when adapted to an in vivo application.⁶⁷

At least two in vitro studies performed in the early 1990s demonstrate that the Nd:YAG laser, when used at low energy densities or in a combination of low energy density and defocused beam, can remove root surface smear layers without causing collateral damage to underlying cementum or dentin or increasing temperatures to a level that might trigger irreversible pulpal damage.^{107,108} Despite their effectiveness for smear layer removal, these parameters may not be appropriate for clinical use as an adjunct to conventional periodontal therapy because of the high power delivered (20 W) and distance of the laser beam from the target tissue (5 cm).

Another area of interest in periodontal treatment is the control of inflammatory cytokines with laser light. As mentioned earlier, the exaggerated inflammatory response in periodontitis increases the risk of breakdown. The cytokine interleukin-1 (IL-1), especially in the beta (β) form, has an important catabolic effect on bone tissue¹⁰⁹ and is implicated as the most potent known inducer of bone demineralization.¹¹⁰ Therefore, IL-1 may play a pivotal role in connective tissue remodeling and bone destruction during inflammatory disease, as well as in the pathogenesis of periodontal disease.¹¹¹ The purpose of the 1999 study by Liu et al.¹¹² was to evaluate the in vivo effects of Nd:YAG laser treatment on root surfaces when used alone or in combination with conventional SRP, through measurement of IL-1 β levels in crevicular fluid. Results show that combined SRP followed by laser (group 4), or vice versa, laser followed by SRP (group 3), appeared to have prolonged clinical improvement (gingival index, clinical erythema, edema) throughout the later periods of the study compared with laser therapy alone. These groups (3 and 4) also exhibited a greater reduction of mean crevicular fluid IL-1 β in all periodontitis-affected sites than with the laser treatment alone at 6 to 12 weeks. Of the four modalities tested, inclusion of SRP had superior IL-1 β response compared with non-SRP therapies, and laser therapy followed by SRP resulted in a more prominent reduction of this cytokine. Results of monotherapies (laser use alone vs. ultrasonic scaling) were not statistically significant.⁸⁹

The early studies demonstrating root damage used laser settings that are detrimental to tissues, and excessive amount of delivery time showed damage. Therefore the current clinical use of lasers applies parameters and settings that differ from those used in the initial clinical trials. It is now known that for bacterial reduction in the periodontal pocket or for root conditioning to occur, the outcome depends not only on the settings but also on time of application and direction of the beam.¹¹³ Distance from the target tissue must also be considered. For example, in contact mode the laser systems tested produced tissue effects that were highly different from those in noncontact mode. Health care providers performing laser treatments in close boundaries must be aware that changing

from noncontact to contact mode in laser application greatly influences the resulting tissue effects.¹¹⁴

Relative to regeneration of periodontal supporting tissues, laser-assisted new attachment procedure is a novel technique that demonstrates consistently positive histological responses in periodontal pockets in humans with a diagnosis of periodontitis and treated with a free-running pulsed Nd:YAG laser. As discussed earlier, Yukna et al.³³ found apparent periodontal regeneration (cementum, periodontal ligament, and alveolar bone) on a calculus and plaque-contaminated area of the root on two test teeth and cementum-mediated new attachment on the other four laser-treated teeth.

DIODE LASER

Surgical diode lasers have as active medium various semiconductor solids, such as aluminum (Al), gallium (Ga), and arsenide (As). The generated electrical energy becomes the laser beam, emitted in the infrared part of the spectrum, similar to the Nd:YAG. The main difference between the two is the light-generating mechanism, which makes the diode unit smaller and more economical.¹¹⁵ The FDA cleared the diode for use in soft tissue surgery in 1995 and for subgingival curettage in 1998.

Four surgical diode wavelengths are situated between 800 and 980 nm. The delivery system is through optic fibers of different diameter, typically used in contact with tissues, in continuous-wave or gated-pulse mode. As with the Nd:YAG, the diode laser is highly absorbed by pigmented tissues and hemoglobin. The penetration coefficient is lower, the amount of heat generated higher, and the coagulation deeper (showing surface carbonization) with the diode than with the Nd:YAG laser. Importantly, the experimental results obtained with one diode laser wavelength must not be extrapolated to justify use of the other three wavelengths. The absorption coefficient of each of the diode wavelengths in water is quite different; therefore the effect on soft tissue will be quite different. One of the diode wavelengths producing a specific effect or result does not mean that the other wavelengths will produce similar results.

The strongest indications for diode lasers are management of soft tissues for incision, excision, and coagulation and control of bacterial growth on open wounds.¹¹⁶ Another indication is root debridement in the periodontal pocket,¹¹⁷ to address the infectious etiology of periodontitis.¹¹⁸ Diode lasers with outputs of 500 mW or less are used in *low-level laser therapy* (LLLT) to provide biomodulation,¹¹⁹ wound repair,^{120,121} and pain relief¹¹⁵ (see Chapter 15). Because other wavelengths are better suited for periodontal regenerative procedures in regard to root preparation,¹²² little peer-reviewed information on diodes exists in this area.

Research on bacterial reduction with surgical diodes began in the middle to late 1990s. In a 1998 study, Moritz et al.¹²³ evaluated bacterial reduction after diode laser (805 nm) compared to SRP alone. Initial and final bacterial counts showed

considerable bacterial reduction in the diode-irradiated groups, more accentuated for *Aggregatibacter actinomycetemcomitans* (Aa), formerly known as *Actinobacillus actinomycetemcomitans*. Also, clinical parameters were improved in the laser group with more accentuated reduction in bleeding on probing and reduction in pocket depth. In an animal study¹²⁴ and in an in vitro/in vivo investigation Fontana et al.¹²⁵ observed some positive laser effects on bacterial levels of *Prevotella* sp and *Fusobacterium*. When applied at medium power and controlled irradiation time, it did not induce temperature variation high enough to cause irreversible thermal damage to the periodontal tissues investigated, establishing thermally safe working parameters.¹²⁵ However, more recent data show results with no statistically significant difference between the study and control groups, both in clinical aspects (reduced gingival inflammation) and evaluation of pain during SRP. The conclusion was that using a diode laser as an auxiliary in SRP provided no apparent clinical benefit for teeth with shallow to moderate pockets.¹²⁶

As discussed previously, one of the goals of periodontal therapy is to reduce bacterial deposits in the pockets and enhance clinical attachment. To achieve healing through new CTA, it is necessary to prevent epithelial downgrowth during healing by removing epithelium in the periodontal pocket during SRP. Romanos et al.¹²⁷ used a diode laser (980 nm) and compared it with conventional techniques in an animal model. No epithelial remnants were found in any laser sections. The laser at low power was able to remove the thin pocket epithelium in the same way in all samples. On the other hand, high-power settings caused significant damage to the underlying connective tissues. The control sites, which were instrumented with conventional curettes, demonstrated significant epithelial remnants in all the tissues. Histological findings showed that instrumentation of the soft periodontal tissues with the 980-nm diode laser leads to complete removal of epithelium compared with conventional treatment with hand instruments.

A similar human investigation showed that applying the diode laser in the treatment of inflammatory periodontitis at 1 W, continuous wave (CW), with 10 seconds of irradiation in the pocket, is a safe clinical procedure and can be recommended as an adjunct to conventional SRP.¹²⁸ The greater reduction in tooth mobility and probing depths is probably not related in a major way to the bacterial reduction in periodontal pockets achieved in this study, but it is related to the de-epithelialization of the periodontal pockets leading to an enhanced CTA.

Diode laser irradiation, however, may jeopardize pulp vitality. It must be recommended to limit power output to 0.5 W (CW) and the time of irradiation to 10 seconds when using the laser on the root surfaces of lower incisors and first maxillary premolars. With other teeth, a power output of 1.0 W (CW) and an exposure time of 10 seconds must not be exceeded to ensure a safe clinical application. Temperature elevations are associated in an energy- and time-dependent

manner. Dentin thickness has a significant effect on intrapulpal temperature changes.¹²⁹

Only a few studies with the diode laser¹²² address root preparation for periodontal regeneration and attachment of periodontal ligament cells.¹³⁰ Kreisler et al.¹³¹ evaluated possible morphological alterations of root surfaces treated with 809-nm GaAlAs diode laser under standardized in vitro conditions, as well as the effect of a saline solution and a human blood film on the root surface. Lasing dry specimens and specimens moistened with saline resulted in no detectable alterations, regardless of irradiation time and power output applied. There was severe damage to the root surface when segments were covered by a thin blood film at higher power settings. The result was little to no damage on the root surface at a power output of 1 W or less, whereas power selections of 1.5, 2.0, and 2.5 W produced varying degrees of carbonization (charring) and heat-induced surface cracking at a distance of 0.5 mm to the specimen. The angle of irradiation had a significant effect on the degree of root surface damage.

To avoid damage to the root surface, one alternative is the use of irrigation. Another possibility is to use the diode laser 1 to 2 days after SRP to decrease the possibility of laser-blood interaction. Borrajo et al.¹³² applied the diode laser in the periodontal pocket with abundant saline-solution irrigation. Settings were 2 W pulsed, fiberoptic tip applied parallel to the long axis of the tooth in constant motion, and 10 seconds per tooth face. Compared with SRP alone, results showed lower levels in papilla bleeding index (PBI) and BOP in the laser group, with no significant differences in clinical attachment level (CAL).

ERBIUM FAMILY OF LASERS

Erbium-doped yttrium-aluminum-garnet (Er:YAG) and erbium, chromium-doped yttrium-scandium-gallium-garnet (Er,Cr:YSGG) lasers (also referred to as erbium lasers or erbium-family lasers) have wavelengths in the near-infrared zone of the spectrum, 2940 nm and 2780 to 2790 nm, respectively. Although similar in wavelength, these two erbium lasers are slightly different in absorption coefficient, extinction length, and other wavelength-dependent parameters. As with diode lasers, it must be emphasized that the results of procedures performed with one of the erbium wavelengths may not be extrapolated to the other wavelength. A critical review of the literature is necessary to delineate the differences in clinical and experimental results for each wavelength.

The delivery system of both erbium lasers is through a crystal tip on an articulated arm, hollow guide, or special fiber and can be applied in contact or noncontact mode to the target tissue. These wavelengths are used preferably on hard tissue but can also be used on soft tissue. Ablation (removal of tissue) occurs when photons are absorbed by the target tissue and the resulting temperature increase is sufficient to vaporize tissue within the laser beam's path. A basic physical

characteristic of tissue ablation by laser energy is that as radiant exposure increases, the depth of tissue removal increases.¹³³ Erbium lasers are highly absorbed by water; therefore, effective ablation with a very thin surface interaction occurs on the irradiated tissues, with no major thermal damage to the irradiated and surrounding tissues.¹³⁴ These lasers are also highly absorbed by hydroxyapatite,¹³⁵ so most recent research on laser-induced root surface modification has involved the erbium lasers.

The use of erbium lasers was cleared by the FDA for several areas of oral care: in 1997 for hard tissue treatment (enamel and dentin),¹³⁶ in 1999 for soft tissues surgery and sulcular debridement,¹³⁷ and in 2004 for osseous surgery.^{138,139}

The erbium lasers are versatile and can be used for many different procedures in dentistry. The excellent ablation effect of these lasers for both soft and hard tissues has generated numerous periodontal investigations. In vitro and clinical studies have already demonstrated an effective application of erbium lasers for calculus removal¹⁴⁰⁻¹⁴⁴ and decontamination of diseased root surface in nonsurgical¹⁴⁵⁻¹⁴⁸ and surgical periodontal procedures.¹³⁴ These wavelengths also effectively remove smear layers on root surface cementum after SRP^{141,145,149} or inside the root canal.¹⁵⁰⁻¹⁵² Erbium lasers can remove cementum^{142,143,145,153,154} and cementum-bound endotoxins.^{155,156} Another important property is to promote fibroblast adhesion.^{157,158} Several studies show that erbium-lased root surface appears at least as biocompatible as that produced by SRP^{141,143,159-161} or other means (e.g., ultrasonic device).¹⁶²⁻¹⁶⁷

Other studies compared periodontal treatment with erbium laser plus SRP to SRP alone and evaluated clinical parameters only in patients with chronic periodontitis, with no statistical difference between the groups.¹⁶⁸ Long-term, split-mouth studies concluded that the CAL gain obtained after nonsurgical periodontal treatment with erbium laser or SRP can be maintained over a 2-year period.¹⁶⁹ Considering the bactericidal effect of lasers,¹⁷⁰ even when root surface preparation is comparable to that of conventional SRP, the advantage of using lasers is to promote healing by controlling the infection in the periodontal pocket.

Theoretically, the erbium laser absorption coefficient in water is 10 times higher than the CO₂ (10,600 nm) and even higher than the Nd:YAG (1064 nm) laser. Because the erbium laser energy is highly absorbed in water and other hydrous organic contents, when irradiating tissues with high water content, only a superficial interaction occurs. This explains less tissue degeneration and thin surface interaction.¹³⁹ The mechanism of hard tissue ablation with the erbium lasers is the theory of “microexplosions.”^{171,172} According to this theory, the energy is selectively absorbed in water; some vapor such as steam builds up internal pressure until explosive destruction of inorganic substance occurs before the melting point is reached. These effects are probably not explained completely by thermal effects, but by the microexplosions associated with water evaporation within the hard tissue. In other words, internal pressure increases in the

hard tissue, with rupture of the mineral structure. This phenomenon is also referred to as *spallation* or “explosive ablation”^{139,171,173} (see Chapter 11).

When lasers are applied to dental hard tissue, the major problem is thermal side effect. This thermal effect of the laser beam is based on the absorption of energy by tissue and subsequent transformation of laser energy into heat.^{174,175} Heat generation during laser irradiation often causes carbonization, melting, and cracking of the tooth structure, with inflammation and necrosis of the pulp.^{174,176} The application of the CO₂ and Nd:YAG lasers for hard tissue treatment tends to result in deleterious effects such as denaturation of proteins,¹⁰⁴ with formation of toxic substances, as well as compositional changes in the irradiated tissue.^{174,175}

Compared with the CO₂ and Nd:YAG lasers, erbium lasers show satisfactory results, with faster healing after irradiation of bone than seen with CO₂ laser or mechanical bur. A cell-rich granulation tissue with fibroblasts and osteoblasts was predominant in 7-day specimens of an Er:YAG laser group. Treatment with the erbium lasers may be advantageous for wound healing of bone tissue, with minimal delay before healing began and complete replacement by new bone after 56 days.¹⁷⁷ This healing presumably results from a favorable lased surface for cell attachment.¹⁷⁸

The erbium laser family has great potential for cutting hard tissues such as bone by ablation, with minimal thermal damage and a positive effect on the healing process.^{179,180} However, one drawback is their speed. Oral and maxillofacial surgeons have embraced the use of CO₂ lasers since the 1960s for soft tissue procedures but have yet to embrace erbium lasers because of their slow speed of hard tissue ablation. A second potential drawback is the size of these units, much larger than other dental laser wavelengths. The units, as well as replacement fibers, handpieces, and attachments, are also more expensive than other dental lasers.

The search for ideal parameters and settings has shown that when erbium lasers are used at low energy densities with a water spray surface coolant, the temperature elevation is minimal with minimal heat-induced tissue damage and with production of smooth surfaces.* These studies indicate that the erbium lasers remove dental hard tissue¹⁸³ and bone¹⁸⁴ as well as soft tissue without carbonization. Because of their characteristics of soft tissue ablation, erbium lasers can incise tissue atraumatically, with a bactericidal effect during irradiation.¹⁸⁵ However, erbium lasers cannot promote coagulation as well as the other three wavelengths (CO₂, Nd:YAG, and diode).^{66,67,139,186}

The traditional therapy for periodontal diseases affecting the supporting apparatus of the tooth is SRP. However, complete removal of bacterial deposits and their toxins from the root surface within the periodontal pocket is still difficult and not necessarily achieved with conventional mechanical

*References 140, 143, 152, 153, 155, 160, 181, 182.

therapy.¹¹⁸ Such instrumentation may be associated with extensive cementum removal, leading to increased surface roughness in both supragingival and subgingival areas, which might enhance plaque retention.¹⁸⁷ Achieving a biologically compatible root surface can be a challenge. Factors that increase difficulty of SRP are root anatomy, interproximal areas, furcation areas, the cemento-enamel junction, multi-rooted teeth, and distal sites of molars, all of which often exhibit residual calculus and plaque after treatment. Therefore, erbium laser scaling was recently introduced as an adjunct to conventional SRP procedures because of the property of calculus and smear layer removal as well as a strong bactericidal and detoxification effect.^{139,145,188,189}

Other applications for erbium lasers in periodontal treatment include elimination of bacteria in the periodontal pocket and inactivation of bacterial toxins diffused within root cementum.^{155,156} This suggests a possible advantage of laser periodontal therapy¹⁶⁰ by eliminating contaminated cementum and smear layer, detrimental to periodontal tissue healing after SRP because of the potential to inhibit or impair the reattachment of cells to the root surface.^{190,191} Once the treated root surface has been cleaned, it will be biocompatible and proper for the insertion of periodontal ligament fibers in a newly formed connective tissue.¹⁶³ When comparing erbium laser and ultrasound system to SRP alone, the former showed significantly higher numbers of attached fibroblast cells per mm² than the SRP group.¹⁵⁷

In an animal model, periodontal flap surgery was followed by degranulation and root debridement in the furcation areas using an Er:YAG laser or curette.¹⁹² Histological and histometric analysis was performed 3 months after surgery. Degranulation and root debridement were effectively performed with the Er:YAG laser without major thermal damage and somewhat faster than with curette. Histologically, the amount of newly formed bone was significantly greater in the laser group than in the curette group, although both groups showed similar amounts of cementum formation and CTA. Therefore, this laser irradiation can be safely and effectively used in periodontal flap surgery with the potential to promote new bone formation.¹⁹³

A similar design was tested in humans in a 2004 clinical study by Sculean et al.¹⁹⁴ comparing the healing of intrabony periodontal defects after treatment with access flap surgery, with and without debridement by Er:YAG laser (160 mJ, 10 Hz). In a parallel design, access flap surgery was followed by root surface and defect debridement using Er:YAG laser (test) or hand and ultrasonic instruments (control). The test group displayed a greater tendency for CAL gain, although this did not prove statistically significant. Within the limits of this pilot study, it can be concluded that at 6 months after treatment, both therapies led to significant improvements of the investigated clinical parameters, and the Er:YAG laser may represent a suitable alternative for defect and root surface debridement in conjunction with periodontal surgery.

Erbium lasers were also investigated for their potential to promote root preparation for regeneration, either alone or with materials to enhance healing. When used with enamel matrix proteins (Emdogain, EMD) and compared to SRP + EMD + EDTA, the Er:YAG laser showed that its combination with EMD does not improve the clinical outcome. Both treatment groups showed similar results.¹⁹⁵ Recombinant human platelet-derived growth factor BB (rhPDGF-BB) may be a potent stimulator and strong mitogen for human periodontal ligament cells. Er:YAG laser irradiation used alone or in combination with rhPDGF-BB application may offer a promising periodontal therapy for conditioning root surfaces, with the combined application slightly more effective. However, testing laser use in intervals and with parameters less than 60 mJ/pulse and 10 Hz is required to verify the minimum threshold values necessary to obtain complete root debridement and clarify optimal conditions for fibroblast cell attachment and growth. Further studies are needed to determine ideal parameters for creating the best environment for successful periodontal treatment.¹⁹⁶

Erbium lasers applied to enhance biocompatibility of root surfaces for fibroblast attachment show promising results.¹⁵⁷ Feist et al.¹⁵⁸ compared adhesion and growth of cultured human gingival fibroblasts on root surfaces treated by either irradiation with Er:YAG laser or curette alone (group A). The two laser groups received two irradiations at 60 mJ/pulse and 10 Hz, 10 seconds each with 10-second interval, 3 J/cm² in one group (B); the other laser group (C) also received two irradiations with laser at 100 mJ/pulse and 10 Hz, 10 seconds each with 10-second interval, 5 J/cm². The tip was applied at a 45-degree angle, in standardized motion and with continuous water spray. Human gingival fibroblasts adhered to and grew on all treated surfaces. Group B presented a significantly higher cell count than the other two groups at days 1 and 2. Three days after seeding, the cultured fibroblasts of groups A and B reached total confluence. The cell count of group B was significantly higher than that of group C. The surfaces treated with Er:YAG laser irradiation of 60 mJ/pulse promoted faster adhesion and growth than surfaces treated with root planing alone or 100 mJ/pulse. Again, the selection of settings has an impact on treatment outcome.

Low-level laser irradiation has been reported to enhance wound healing by activation of gingival fibroblasts (GF) with a potential for early wound healing in periodontal treatment. Low-level Er:YAG laser irradiation stimulates the proliferation of cultured gingival fibroblasts. The optimal stimulative energy density was found to be 3.37 J/cm². This result suggests that this laser irradiation may be of therapeutic benefit for wound healing.¹⁹⁷

When analyzing the effect of lasers on cell proliferation to promote healing there is at least one other study by Pourzarandian et al. (2005) investigating if prostaglandin E2 (PGE2) and Er:YAG laser irradiation could accelerate wound healing. Cultured fibroblasts were exposed to low-power Er:YAG laser irradiation with an energy density of

3.37 J/cm². Er:YAG laser irradiation appears to exert its stimulative action on gingival fibroblasts proliferation through the production of PGE₂ via the expression of COX-2 (early mediators in the natural healing process). This could be considered as one of the important regulatory pathways to accelerate wound healing after Er:YAG laser irradiation.¹⁹⁸ Therefore erbium laser light can be used not only to prepare root surfaces for the attachment of connective tissue fibers but can also be used to promote wound healing of the periodontal soft tissues.

Conditioning of the root surface after SRP has been widely studied. Surface morphology as well as biocompatibility of root cementum was evaluated histologically in regard to adhesion of blood components on these root surfaces¹²² and attachment of periodontal ligament fibroblasts.

Connective tissue repair to the root surface seems critically dependent on an attachment between the fibrin clot and the root. The demineralization of root surfaces with acidic agents promotes the establishment of a new connective tissue attachment. Maruyama et al.¹⁹⁹ compared erbium laser irradiation alone to irradiation followed by chemical and/or mechanical conditioning (tetracycline, EDTA gel, minocycline paste). Laser irradiation for all test groups was performed at an energy output on the laser control panel of 50 to 60 mJ/pulse, energy density 10.5 J/cm², and pulse repetition rate of 30 Hz, in the oblique contact mode at a 30-degree angle, in constant motion and 45 seconds for each application. Laser irradiation produced a thin affected layer (5.7 μ in thickness) with a superficial microstructure on the cementum. The characteristic microstructures of the lased surface were fragile and could be removed by chemical or mechanical conditioning treatments. In the cell attachment assay, the laser-alone group exhibited the lowest number of cells, suggesting that laser irradiation alone tends to hinder the early attachment of periodontal ligament cells. However, chemical or mechanical root conditioning treatment may improve and increase the biocompatibility of the Er:YAG laser-treated root cementum by removing the microstructures of the surface and further exposing the collagen fibers.¹⁹⁹ Also with the erbium lasers, studies have shown that roots are better prepared for fibroblast attachment when they are debrided after laser application.

It has been suggested that *pulse duration* is one of the major factors in generating thermal effects on biological tissues. Single pulse durations above 1 microsecond (μsec) were often associated with considerable thermal effects. The erbium laser system used in several of the studies previously described emitted pulse radiation with single pulse duration of 250 μsec. Therefore, it can be assumed that a certain degree of thermal change is inevitable when using erbium lasers in treating mineralized tissues, even when the operating field is irrigated with water. Additional mechanical treatment to remove the superficially changed layer, using acid etching or hand instruments, is recommended to enhance periodontal tissue healing.^{159,200}

PERIIMPLANTITIS

Studies on the biological effects of laser irradiation on titanium implant surfaces are increasing.²⁰¹ The aim of the 2008 study by Lee et al.²⁰² was to investigate the responses of osteoblast-like cells seeded onto laser-irradiated, anodized titanium discs, using a CO₂ or Er,Cr:YSGG laser, with reference to cellular proliferation and differentiation in vitro. The cells proliferated actively on all substrates; greatest cellular proliferation was observed in the group treated with Er,Cr:YSGG laser at 300 J/cm. These data show that irradiation with a CO₂ laser or Er,Cr:YSGG laser may induce a measurable positive effect on osteoblast proliferation and differentiation.²⁰³

The Er:YAG laser at 30 mJ/pulse and 30 Hz with water spray is capable of effectively removing plaque and calculus on the implant abutments without injuring their surfaces, indicating that the Er:YAG laser can be used for debridement of implant abutment surface.²⁰⁴ As for the influence of the Er,Cr:YSGG laser on surface structure and biocompatibility of titanium implants, one essay concluded that even though this wavelength exhibited high efficiency in removing plaque biofilm in an energy-dependent manner, it failed to reestablish the biocompatibility of contaminated titanium surfaces.²⁰⁵

The use of Er:YAG laser therapy in the treatment of peri-implantitis is promising. Implant surface debridement and granulation tissue removal were obtained effectively and safely with the erbium laser (95- to 105-mJ/pulse, with irrigation at a 30- to 45-degree angle) compared with plastic curettes. Histologically, a favorable formation of new bone was observed on the laser-treated implant surface, and the laser group showed a tendency to produce greater bone-to-implant contact than the curette group.²⁰⁶

Also, multiple studies using CO₂ lasers for healing of peri-implant defects, without or with bone-grafting material and collagen membrane, show excellent results in vivo.²⁰⁷ The effect of diode laser use in periimplantitis is difficult to elucidate because of the three different diode wavelengths available, different experimental protocols, and different fluences used. Nd:YAG lasers are contraindicated around implants because they can heat the implant surface and cause sloughing of titanium from the surface.²⁰¹

Chapter 7 further describes treatment of periimplant mucositis and periimplantitis²⁰⁸ with lasers.

CONCLUSION

Used in conjunction with or as a replacement for traditional methods, it is expected that specific laser technologies will become an essential component of contemporary dental practice over the next decade.²⁰⁹ Laser light application in regenerative procedures is an excellent tool as long as the operator

understands how to use the different wavelengths. Settings, parameters, time of delivery on target tissue, direction of the beam, and water-cooling/irrigation are all important aspects of laser use to promote a successful outcome. This unique therapy used in combination with conventional periodontal techniques has the potential to revolutionize the field of regeneration of diseased periodontium.

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Oral Surgery for the General Practitioner

6

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Advances in technology continue to expand the scope of the dental profession. The present curriculum at many dental schools incorporates the latest technology, including digital radiography, cone-beam computed tomography, dental implants, and lasers. The use of laser technology in particular has become increasingly popular in recent years. General practitioners, as well as specialists, are taking advantage of the continuous advances in laser technology and are now routinely using lasers to perform a variety of procedures.

The specialty of oral and maxillofacial surgery (OMS) has benefited from the use of lasers since the mid-1960s,¹ with the first documented use of a laser in OMS in 1977.² Lasers are quickly becoming the standard of care for many surgical procedures, given the advantages of improved visualization, hemostasis, and reduced discomfort. The introduction of lasers that incorporate computer interface technology has made lasers much more “user friendly,” contributing to their popularity in the dental profession. Manufacturers are also addressing the need for mobility and designing lighter, more portable equipment, making lasers easier to maneuver from room to room. Laser handpieces have interchangeable components that are more ergonomic and versatile, allowing better control when performing exacting procedures in the confines of the oral cavity.

INTRAORAL LASERS

Understanding laser physics and laser light’s biological interaction with tissues is essential when determining the appropriate laser for any given procedure. A wide array of lasing media with unique radiant-energy wavelengths has been employed successfully for varying indications and types of tissue, including the argon (Ar), carbon dioxide (CO₂), erbium:yttrium-aluminum-garnet (Er:YAG) and erbium, chromium:yttrium-scandium-gallium-garnet (Er,Cr:YSGG), holmium:YAG (Ho:YAG), neodymium:YAG (Nd:YAG), potassium titanyl phosphate (KTP), pulsed dye, and diode lasers.³ The diode, Nd:YAG, erbium, and CO₂ lasers are the most common intraoral lasers because of their wavelength-dependent properties (see Chapter 2).

DIODE LASER (805-1064 NM)

Many manufacturers produce diode lasers, delivering wavelengths in the range of 805 to 1064 nm. They are compact and portable in design and are relatively inexpensive surgical units, with efficient and reliable benefits for use in soft tissue oral surgical procedures. Diode lasers can be used in continuous-wave or gated-pulse modes, in contact or out of contact with tissue. The 980-nm diode laser has significantly higher absorption in water, which makes it cut more optically than thermally, with an optical penetration of less than 300 microns (μ , μ m). Romanos and Nentwig⁴ found that the 980 nm diode laser produced a more precise incision margin compared with other laser wavelengths. In addition to various soft tissue oral surgical procedures, 980-nm diode lasers have become as popular as CO₂ lasers in the treatment of periimplantitis because they offer a bactericidal effect without causing implant surface alterations⁵ (see Chapter 7).

NEODYMIUM:YAG LASER (1064 NM)

The Nd:YAG laser’s active medium is a crystal of yttrium, aluminum, and garnet doped with neodymium ions.⁶ By functioning in the near-infrared part of the spectrum at 1064 nm, the Nd:YAG laser exhibits minimal surface tissue absorption and maximal penetration; this allows for coagulation of tissue in depth.⁷ The optical delivery is free-running but must be in the “pulsed” mode because of Nd:YAG’s ability to penetrate deeply into soft tissues. Romanos⁸ believed that most procedures could be performed without local anesthesia because the pulse duration is shorter than the time required to initiate a nerve action potential.

Comparing Nd:YAG laser surgery to conventional scalpel surgery, White et al.⁹ concluded that the laser could be used successfully for intraoral soft tissue applications without anesthesia and with minimal bleeding. When the procedure involves significant ablation or resection of tissue, local anesthesia is necessary for patient comfort.⁷

As with the diode lasers, the Nd:YAG laser can be used in a contact (excision) and a noncontact (coagulation) mode. These properties have led to its use in a variety of maxillofacial procedures, including coagulation of angiomatous lesions,

hemostasis in bleeding disorders, arthroscopic surgery of the temporomandibular joint (TMJ), resections in vascular tissues (in combination with CO₂ wavelength), and palliation of advanced neoplasms.¹⁰ The Nd:YAG laser has demonstrated some benefit with minimally invasive periodontal therapies, including sulcular debridement and bacterial decontamination, resulting in potential new attachment of gingival tissues, regeneration of supporting bone, and regrowth of periodontal ligament¹¹ (see Chapters 3 to 5).

ERBIUM LASERS (2780-2940 NM)

The erbium family of lasers, including two similar wavelengths, has gained popularity in dental implant surgery because of several properties. The erbium lasers are free-running pulsed lasers with thermal effects that interact solely with the surface layers of soft and hard tissue.¹² The beams are reflected by polished metal surfaces such as titanium, so they have no adverse effects on dental implants.¹³ Application of the erbium lasers in dental implant surgery has been advocated for the preparation of hard tissue, second-stage surgery, revision of soft tissue, and treatment of periimplantitis.¹⁴⁻¹⁶

Although the erbium lasers are capable of osseous surgery, such as crown lengthening, harvesting autogenous bone grafts, and sectioning teeth, these procedures require more time than traditional methods. For this reason, oral surgeons, who spend much of their time treating osseous tissue, have not embraced erbium laser technology.

CARBON DIOXIDE LASER (10,600 NM)

The CO₂ laser has become the workhorse for intraoral soft tissue surgery. The emitted wavelength of 10,600 nm has ideal absorption by soft tissue because soft tissue is composed of 90% water, and CO₂ has excellent absorption in water. Cellular rupture occurs from the photothermal effect when intracellular water absorbs the energy from the CO₂ laser. The cellular vaporization is the basis for the CO₂ laser to function as a surgical tool.³ The wavelength allows for absorption into soft tissue, very quickly generating heat conducted into the surrounding tissue, and creates a very narrow zone of thermal necrosis approximately 500 μ or less.¹⁷ Lateral thermal damage of such a small area is an excellent advantage of CO₂ laser use, because it results in coagulation of vessels up to 500 μ in diameter and is clinically manifested by hemostasis and sealing of the lymphatics, which has been found to reduce postsurgical bacteremia compared with other methods of incision.¹⁸

The learning curve tends to be slightly higher with the CO₂ laser because it is the only soft tissue laser used without direct tissue contact. The delivery system is either an articulated arm or a hollow waveguide. The hollow waveguide requires the use of higher power because significant laser energy is absorbed internally by the laser delivery system. These delivery systems are acceptable when one has direct vision in the confines of the oral cavity. However, when performing endoscopic and

microscopic surgical procedures in which clinicians are challenged by limited visibility of an area, a flexible core system can provide a needed advantage. Development of the BeamPath fiber allows laser energy to be transmitted by an innovative photonic band-gap omnidirectional dielectric mirror lining, which guides the light through a flexible hollow core.¹⁹

The CO₂ laser energy can be transmitted in several different modes, including continuous, chopped/gated pulse, and various “superspeed” and “ultraspeed” modes. Lasers are generally perceived as a continuous beam of light. Dispersion of CO₂ laser energy in this manner is associated with a continuous wave. Continuous-wave (CW) CO₂ lasers were the forefront of technology in the 1970s and proved to be successful in medicine. However, the constant emission of laser energy delivered very high energy densities, causing unnecessary injury to the soft tissues. As this technology progressed, a shutter was integrated into CW lasers, causing interruption (gating, or chopping) of the continuous wave. These units delivered fluences of approximately 1200 to 1500 mJ/cm² of energy density. This development limited the use of these lasers in microsurgical applications. Newer laser technology can also operate in a pulsed-wave (PW) mode, meaning the energy bursts on and off very quickly. PW laser energy creates an instant burst of light energy, achieving a greater peak power than the CW mode.

Superpulsed CO₂ lasers were designed to regulate the energy density transmitted to soft tissue. By changing the radiofrequency of the pumping mechanism in the laser, the pulse-width and speed of transmission could now be predetermined. The superpulsed mode of transmitting CO₂ energy improved the working speed of pulses to 400 to 800 microseconds and decreased the energy density to 180 to 300 mJ/cm² on soft tissue. A direct result of the decreased energy density was less carbonization and charring, which resulted in a more consistent healing process in soft tissue procedures.

The next generation of technology currently on the market can create pulses of 20 to 80 milliseconds while generating a peak power of 320 W. However, the smaller beamwidth reduces the energy density transferred to the tissues. This technology can produce an extremely thin incision at depths of up to 4 to 5 mm in a single pass without carbonization or tissue damage. Many superficial soft tissue procedures using this technology generally do not require injectable anesthetics because of the minimal absorption depth of 0.10 mm, reducing lateral thermal damage and frequently resulting in minimal or no bleeding, discomfort, or swelling during the surgery and postoperative period.

ADVANTAGES AND DISADVANTAGES OF LASER SURGERY

BENEFITS

The benefits of using lasers in oral surgical procedures are significant, both for the dental surgeon and the patient. Laser

light is monochromatic, coherent, and collimated; therefore it delivers a precise burst of energy to the targeted area. Laser energy incises tissue more efficiently than the scalpel, generates complete vaporization, and coagulates blood vessels. For contouring procedures, the laser is perhaps the surgical instrument of choice because of its ability to sculpt soft tissues by selective ablation.

The hemostatic effect created when the laser's energy interacts with the soft tissue eliminates excessive bleeding, a factor that has traditionally discouraged dentists from performing surgery, and creates a clean surgical field, allowing increased precision and accuracy and greatly improving visualization of the surgical site, making laser surgery as straightforward as other dental procedures.

Examined histologically, laser wounds have been found to contain a significantly lower number of myofibroblasts.²⁰ This results in less wound contracture or scarring and, ultimately, improved healing.^{21,22} Mobility to the dynamic tissues (lips, tongue, floor of mouth, soft palate) is attained more readily postsurgically. As a result of improved healing and hemostasis, intraoral laser wounds can often be left without sutures, healing by secondary intention, except when cosmesis is a concern.

With laser technology, the patient typically experiences less postoperative swelling and pain.^{23,24} A compromised airway during oral surgery is less of a concern, partly because of the decreased swelling. Although not always predictable, the decreased postoperative pain can be managed with over-the-counter (OTC) nonnarcotic oral analgesics (e.g., ibuprofen) for most laser procedures. The physiology of this effect is still unknown but most likely correlates with decreased tissue trauma and an alteration of neural transmission.⁷

Because the patient has a painless surgical experience with minimal postoperative complications, many procedures are performed on an outpatient basis. Patients can often return to work within 1 day or even immediately after surgery.

DRAWBACKS

Despite the laser's many advantages, when determining the appropriate treatment for a patient, the clinician also must consider its drawbacks. Although the healing process following laser surgery is generally marked by decreased scarring and increased function, some have found that the speed of healing is slightly prolonged compared with other types of wounds.²⁵ This delay in healing is undoubtedly caused by the sealing of blood vessels and lymphatics and subsequent need for neovascularization in healing. Typical intraoral healing after laser surgery may take as long as 2 weeks for wounds that would otherwise take 7 to 10 days. If sutures are indicated, delayed healing times must be taken into account when considering suture removal, to prevent premature dehiscence of the wound.²⁴

Nonsutured CO₂ laser wounds heal forming a fibrinous coagulum that functions as a biological dressing. Because of

the slow epithelialization of CO₂ laser wounds, the fibrinous coagulum may be present beyond 2 weeks.²³ A clinician new to lasers should not confuse this healing process for infection and unnecessarily perform a wound debridement or prescribe antibiotics when there is no indication. Unlike postsurgical healing after conventional techniques, an increase in pain 4 to 7 days postoperatively may occur, which can normally be controlled with minimal oral analgesics (e.g., ibuprofen). The clinical appearance and anticipated discomfort after laser surgery should be discussed with patients to avoid confusion or perceived complications.²⁴

Virtually all laser wavelengths used for surgery to vaporize, coagulate, or cut tissue may produce particulate debris called *laser plume*. Clinicians, assistants, and patients may be at risk from exposure to laser plume. Laser plume may contain carcinogens, irritants, dusts, viruses, and bacterial spores, depending on the procedure. It may also contain carbon monoxide, polyaromatic hydrocarbons, various toxic gases, and chemicals such as formaldehyde, hydrogen cyanide, and benzene. There are currently no known potential chronic health effects from long-term exposure to laser plume. The literature on infectious transmission of laser plumes in medicine is equivocal. Several studies of patients positive for human papillomavirus type 2 (HPV-2) deoxyribonucleic acid (DNA), revealed no viable viral particles in the laser plume.²⁶⁻

³¹ Other studies have shown HPV DNA in the plume of laser-vaporized tissue.³²⁻³⁵ None of the studies reviewed involved treatment within the oral cavity. A review of the literature reveals no cases of any dental personnel becoming ill as a result of inhaling laser plume. In any case, contaminants generated by lasers can and should be controlled by ventilation, safe work practices, and personal protective equipment.

LASER TECHNIQUES AND PROCEDURES

Although the diode, Nd:YAG, and to a lesser extent the erbium wavelengths may be used in minor office oral surgical procedures, this chapter primarily describes surgeries performed with the CO₂ laser. The CO₂ laser, the OMS workhorse, is the most frequently used wavelength for these procedures, with more than 30 years of peer-reviewed literature justifying its use in the oral cavity. No other laser wavelength has been as extensively researched and studied in OMS as the CO₂ laser.

The basis of any laser technique starts with knowing the ins and outs of the laser system to maximize its use and prevent complications. Laser systems are equipped with an operator's manual, detailing the safety features. The operator and assistants should thoroughly review the manual before using the laser and should strictly adhere to the indications. No health care personnel should attempt to use a laser on a patient without proper training (see Chapter 16).

The most frequent injuries resulting from the use of lasers are caused by laser energy emitted beyond the working area and striking the surrounding soft tissues. This typically occurs when a specimen is horizontally transected, because the laser energy may redirect off a reflective metal surface being used as an oral retractor. These complications usually result in minimal or no injury, but a patient's initial response to the stimulus may be interpreted as pain. This can easily be avoided by obstructing the distant tissues with moist gauze or a tongue blade and by using matte-finished, nonreflective instrumentation.³

Wavelength-specific eyewear is mandatory, protecting the user from misdirected or reflected laser energy. During laser use, high-speed suction or evacuator and high-filtration masks should be worn to prevent illness from inhalation of the plume released at the site of energy-tissue interaction. To prevent ignition with flammable gases, nitrous oxide and oxygen must be temporarily discontinued during laser use to prevent serious harm to the patient. Because CO₂ laser energy is well absorbed by hydroxyapatite, a major component of tooth enamel, significant amounts of laser energy absorbed by the teeth can cause etching and pitting, weakening enamel³⁶ and increasing pulpal temperature.³⁷ When in proximity to a CO₂ laser, the teeth can be protected with moistened gauze or a fabricated tooth guard to absorb errant energy from the laser (Figure 6-1).

The clinician uses the following three fundamental photo-thermal techniques with a CO₂ laser to perform various intra-oral procedures:

- Incision/excision surgery (Figure 6-2)
- Ablation/vaporization procedures (Figure 6-3)
- Hemostasis/coagulation techniques (Figure 6-4)

All minor office-based oral surgical techniques, from frenectomy to incision for third molar surgery and apicoectomy, are based on these three techniques. The first procedure discussed here is biopsy; however, the techniques used to perform biopsies are applicable to virtually every intraoral procedure.

It is important to understand that one or more techniques may be necessary for any given clinical scenario, depending on three surgeon-controlled laser parameters: energy, time, and spot size.³ These parameters equate at the focal point of the laser energy emitted from any given laser handpiece. Altering the distance of the handpiece from the tissue serves to focus and defocus the laser beam's focal point, therefore altering the effect of the laser on the target tissue. A laser in focus will excise, incise, ablate, or coagulate with the most efficiency. When the laser is out of focus, there will be less efficiency in ablation/incision/excision and more efficiency in coagulation.

INCISION/EXCISION TECHNIQUES AND PROCEDURES

Focused mode is when the focal point of laser energy makes contact with tissue, maximizing the power per unit to a pin-

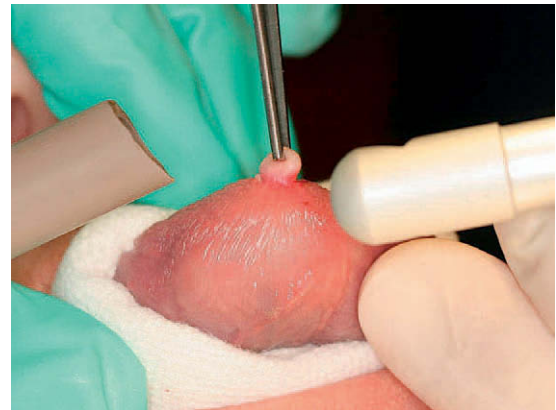


FIGURE 6-1 • Moistened gauze surrounding surgical site to absorb stray laser energy and protect surrounding structures before removal of a tongue lesion.

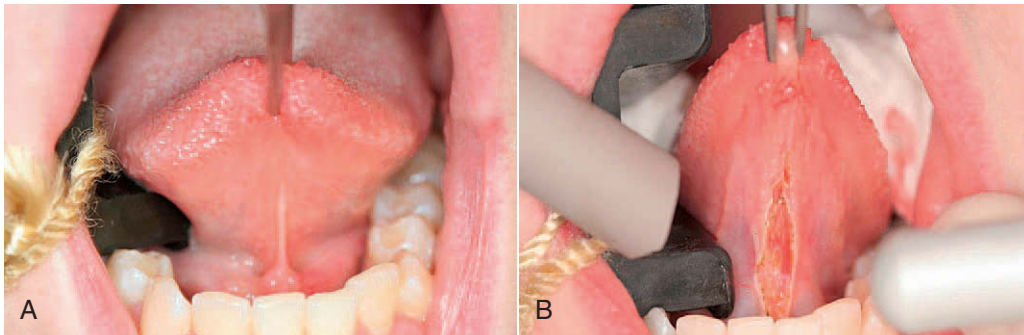


FIGURE 6-2 • Example of incision/excision procedure. **A**, Preoperative view, and **B**, immediate postoperative view, of lingual frenectomy.

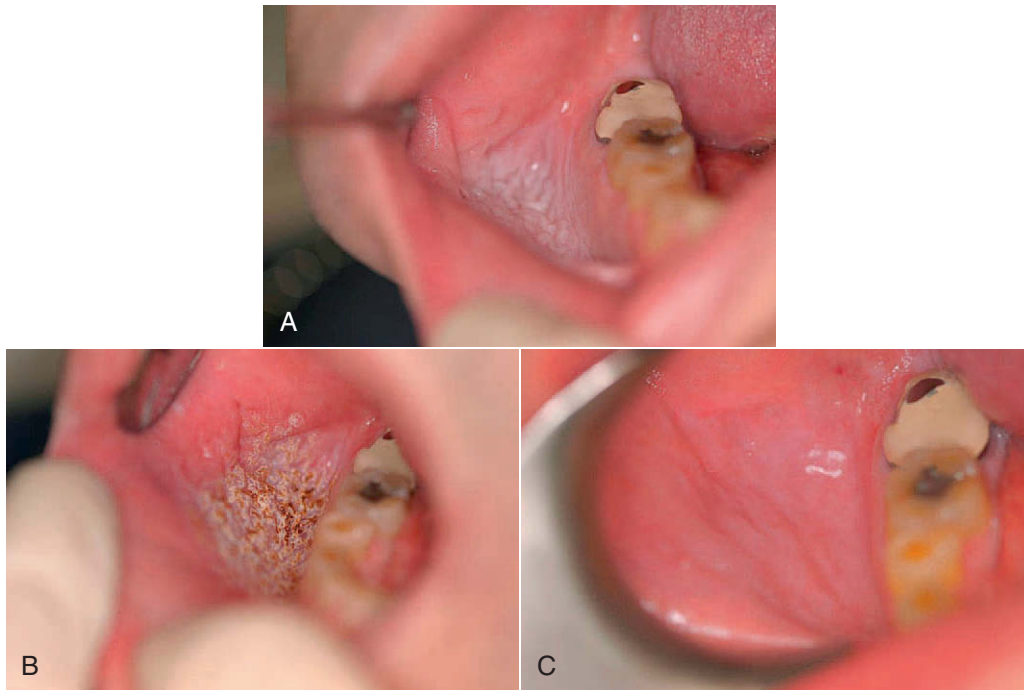


FIGURE 6-3 • Example of ablation/vaporization of a previously biopsied leukoplakia. **A**, Immediate preoperative view; **B**, intraoperative view; and **C**, 12-day postoperative view.

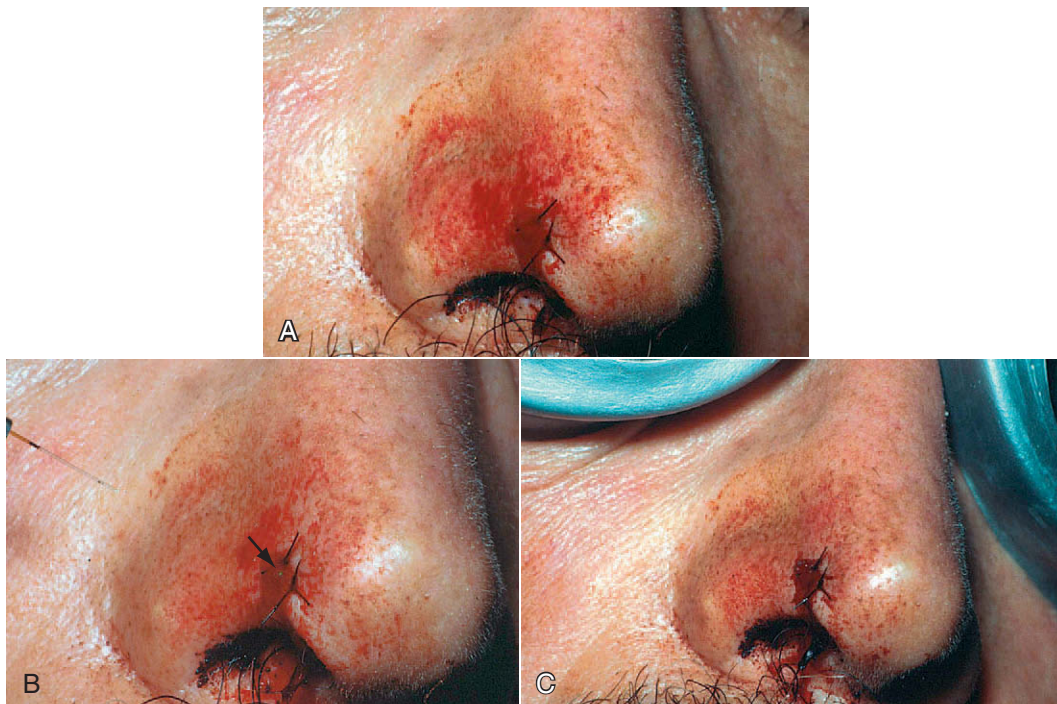


FIGURE 6-4 • Example of hemostasis/coagulation procedure. **A**, Preoperative view of patient taking “blood thinners” who is bleeding from a sutured excision biopsy of lesion on his nose. **B**, Intraoperative view of patient. Note Nd:YAG laser fiber on left side and aiming beam shining on bleeding site (arrow). **C**, Immediate postoperative view of patient after using laser to coagulate the bleeding site. (Courtesy Dr. Robert Convissar.)

pointed area. Using the CO₂ laser in a focused mode allows for increased depth, yet produces an incision thinner than a scalpel, functioning as a “light” scalpel. The characteristics of the CO₂ laser make it ideal for most intraoral procedures traditionally performed with a scalpel, such as incision and excision biopsy, lesion removal, and flap elevation.^{3,7,38}

BIOPSY PROCEDURE

Every patient requires a screening for cancer, which is accomplished by performing a thorough oral, head, and neck examination. Oral examinations can be made more efficient by inspecting high-risk sites, where 90% of oral squamous cell cancers arise: floor of the mouth, ventrolateral aspect of the tongue, and the soft palate complex.³⁹ Many screening and detection aids can be used as adjunctive tools to identify precancerous and cancerous tissues early and before they become visible to the naked eye, expediting diagnosis and improving the patient’s prognosis. Oh and Laskin⁴⁰ noted accentuation of some lesions when patients used acetic rinse, but there was no significant improvement in detection. Also, examination with the chemiluminescent ViziLite system produced reflections that made visualization more difficult.

When cellular changes are detected, the downfall of all adjunctive techniques is that a surgical biopsy procedure remains necessary to obtain a diagnosis.⁴¹⁻⁴³ Biopsy is the process of removing a sample of tissue from a patient for diagnostic examination. The procedure is required to determine the underlying process within the tissues that is causing changes in their clinical appearance. The diagnosis obtained ranges from “normalcy” to an inflammatory process, and “systemic disease” to a benign or malignant neoplasm. Ultimately, an accurate diagnosis guides the clinician in determining the necessary treatment. The five intraoral biopsy techniques are aspiration biopsy, cytological biopsy, brush biopsy, excision biopsy, and incision biopsy.

The brush biopsy technique has improved the sensitivity (92.3%) and specificity (94.3%) for detection of oral squamous cell carcinoma or dysplasia when tested on visually identified lesions.⁴⁴⁻⁴⁶ Note that brush biopsies in particular should be used only as a *screening* tool, and atypical cell identification or positive results from such biopsies require an additional step implementing a surgical procedure to confirm a diagnosis.⁴⁷

Formulating a definitive diagnosis generally requires the acquisition of a tissue specimen histologically representative of the lesion, using one of the two surgical biopsy techniques. Until recently, most surgical biopsies have been performed with “cold steel,” the scalpel. The disadvantage of using a scalpel is that patients frequently experience significant intraoperative and postoperative sequelae.

The preferred modality for the intraoral surgical biopsy is the laser, for several reasons. The laser’s hemostatic nature creates a blood-free surgical field compared to the scalpel. This is critical when treating vascular lesions or patients who

tend to bleed excessively; the laser minimizes blood loss. Other advantages of using a laser are reduced surgery time (superior precision of incision) and unparalleled visualization of the surgical site (lack of blood in surgical field). Decreasing the length of surgery reduces tissue manipulation and potential wound contamination. The laser’s thermal effect produces minimal lateral thermal necrosis but provokes enough response to attain a bactericidal effect. Patients are comfortable during the procedure with minimal local anesthesia. After laser surgery, patients quickly return to their daily routine, experiencing no bleeding or swelling. Discomfort is usually minimal and generally can be treated with OTC nonnarcotic analgesics such as ibuprofen. Surgical biopsies with a laser can often be performed on a patient’s initial visit, accelerating the diagnostic process and expediting treatment.

Incision Technique

The location and size of the lesion dictate whether incision or excision surgical biopsy technique should be used. The incision technique removes only a representative portion or portions of a lesion as well as adjacent normal tissue. Superficial lesions described as being leukoplakic and erythroplakic, with histological findings consistent with hyperkeratosis, lichen planus, leukoedema, epithelial dysplasia, carcinoma in situ, and squamous cell carcinoma, are usually treated with this technique. Frequently, lesions require multiple incision biopsies of several locations for microscopic examination when suspicion of oral cancer exists.

The incision biopsy using a scalpel typically is elliptical in shape, thin in width, and wide in depth for obtaining a deep tissue margin, taking into account the infiltrating properties of a carcinoma. When incising the lesion with the laser, it is imperative to generate an adequate specimen. Consideration must be given to the width and depth of both healthy and diseased tissue, with careful attention to the possibility of lateral thermal necrosis. The depth of the biopsy should extend into the submucosa to determine the depth of invasion, maximize the possibility of removing the lesion, and decrease the likelihood of invasion of the surrounding cells.

Excision Technique

Excision technique requires the removal of the entire lesion with at least 2 to 3 mm of peripheral margin (Figure 6-5, A-C). This technique is preferred for oral lesions 1 cm or less and for minor, solid, and exophytic lesions. Localized discrete lesions such as fibroma, papilloma, mucocoele, and pyogenic granuloma are most often excised with a laser.

When surgeons perform their first laser biopsy, they should take slightly wider margins than they would take with a blade, to decrease the possibility of thermal necrosis (a beginner’s mistake in biopsy technique) at the incision margins.³⁸

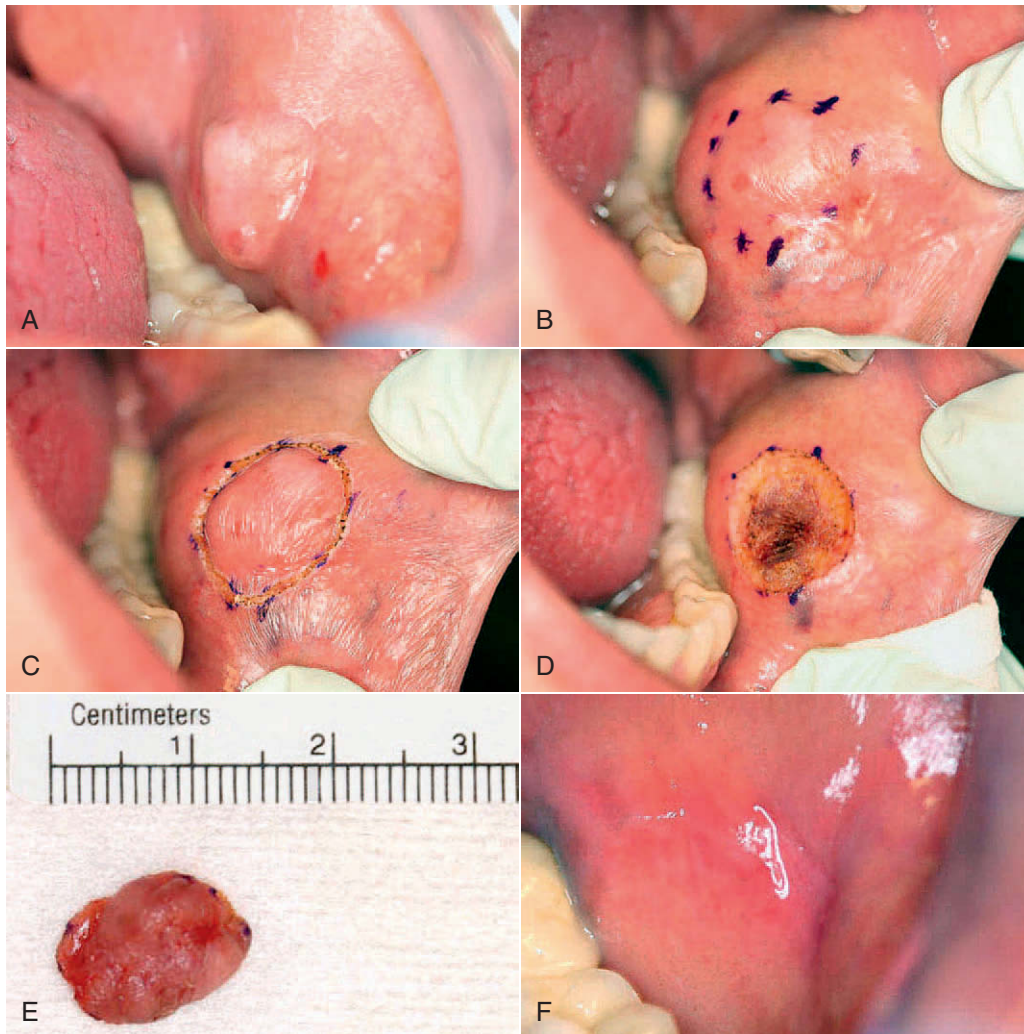


FIGURE 6-5 • Excision biopsy technique. **A**, View of presenting lesion shows surrounding anatomy. **B** and **C**, Outlining the planned incision with a marking pen or with the laser at a lower power setting allows for greater control with incision placement. **D**, Ideal hemostasis within surgical defect. **E**, Photographic documentation of specimen. **F**, One-week postoperative view.

When an excision biopsy is confirmed to contain a positive margin of disease, this procedure is classified as an “incision” biopsy. Additional treatment is then required to eradicate the disease.

Documentation

Digital photography is invaluable in dental practice today. A clinical photograph should be captured before administering anesthetic to preclude capturing any distortion in the involved tissues. It is vital to document all aspects of a patient’s treatment, including presurgical and postsurgical photographs, surgical margins, surgical defect(s), and the biopsy specimen (Figure 6-5, *E*). A specimen submitted for review should contain any pertinent medical and clinical history, with photo-

graphs attached to facilitate the pathologist in formulating a diagnosis. If a diagnosis is questionable or a malignancy suspected, it is best to discuss concerns with the pathologist and always perform another biopsy.

Anesthesia

Local anesthesia should be administered to provide optimal comfort for the patient. If local anesthesia is placed directly on the lesion or planned incision margin, the fluid content in the tissue from the injection may lead to both altered tissue removal and inconsistent cutting secondary to absorptive properties of the laser energy. Performing neural blocks, deep infiltration, or infiltration at least 1 cm away from the lesion is ideal and minimizes distortion to the surgical area.

Procedural Steps

- 1. Outline the planned surgical margins** with incremental spacing using an intermittent laser setting before actual incision.

This is good practice when performing a biopsy, because it ensures accuracy and allows the clinician to make any necessary changes before the point where a mistake will become irreversible. This also allows the clinician to evaluate the laser-tissue interaction so that the speed of incision and energy settings can be changed if deemed necessary.

- 2. Connect the outlined margins** with one to two passes using a controlled and rapid motion.

Maintaining a constant spot size will achieve an incision with a uniform depth. A slower motion increases the depth of the incision, energy absorbed by the tissue, and lateral thermal damage. When further depth to the incision is indicated, this is best achieved by increasing the level of energy or performing additional passes, moving the laser tip further into the incision. To make a shallow incision, increase the controlled motion of the handpiece instead of decreasing the level of energy. Once the maximal speed of motion is reached, reducing the setting may be appropriate.^{3,24} Incision depth is lesion-dependent; superficial lesions require 2 to 4 mm of soft tissue from the surface to obtain an accurate microscopic examination from the pathologist. Impingement on the lesion usually occurs at the deepest margin from improper angulation of the laser, frequently from attempting to use direct vision. A successful approach is achieved by maintaining a perpendicular position to the surface of the tissue until the desired depth of incision is obtained.

- 3. Undermine the lesion.**

The biopsy procedure culminates with gentle retraction away from the attached surface of the margin of the specimen using a surgical instrument or a retraction suture. As tension is applied to the retracted tissue, the focused, absorbed laser energy facilitates the separation of the specimen from the native tissue. Particular attention should be paid when undermining the specimen; carefully direct the laser into the margin, parallel to the base of the lesion, maintaining the desired depth. The operator can easily become misdirected during the excision, accidentally transecting the lesion and potentially leaving pathological cells in the native tissues, thus performing a deficient biopsy. Blocking the surrounding tissues with a moistened gauze or tongue blade during the horizontal laser dissection will help prevent injury from errant laser energy.

- 4. Tag and label the specimen** at the margin to ensure that correct orientation of the specimen is maintained. Accurate orientation facilitates treatment of suspicious

margins and establishes a baseline when discussing patient care between the pathologist and clinician.

- 5. Obtain hemostasis**, if necessary.

The surgical wound is inspected and bleeding controlled. Lasers normally provide excellent hemostasis; occasionally, however, bleeding related to a vessel larger than 0.5 mm or rapid movement of the handpiece can cause bleeding. This results from insufficient time allocation for lateral thermal diffusion and coagulation to take effect.³⁸ Applying pressure with sterile gauze will gain immediate control. Definitive control with the hemostatic technique is reviewed later.

- 6. Sutures** are rarely indicated when using laser biopsy techniques.

If sutures are necessary, it is best to undermine the peripheral margins to facilitate a tension-free closure and optimize healing. Initially, laser wounds demonstrate a delayed epithelialization compared with scalpel wounds, although studies show that the tensile strength will ultimately be the same.³⁶ Therefore, sutures placed in laser wounds should be removed 7 to 10 days after surgery, versus 5 to 7 days for scalpel wounds (see Figure 6-5).

- 7. Place a physiological bandage on the wound.**

Using the laser allows the clinician to form a carbonized layer over the surgical wound. This is accomplished by defocusing the laser and exposing the entire surgical area to laser energy. Deciding whether to place a thin, protective layer over the surgical site or to leave the site directly exposed is a subjective decision facilitated by sufficient clinical experience. The literature on the use of this technique is equivocal, being advocated in past with CW CO₂ laser techniques, but likely unnecessary with superpulsed and ultrapulsed CO₂ laser delivery systems. Some clinicians coat the lesion with petroleum jelly, others with vitamin E oil, and still others leave the wound as is.

OTHER INCISION/EXCISION PROCEDURES

Laser incision and excision techniques are also applicable to other intraoral procedures. These techniques are lesion independent; any lesion or tissue requiring incision or excision is treated by the same basic method previously outlined.⁷ Lasers have proved successful correcting many oral soft tissue anomalies.^{48,49}

The laser parameters for incision and excision procedures vary by laser, as well as by type of tissue being treated and experience of the clinician. "Cookbook" parameters occasionally described in the literature should be avoided; these may not be consistent with the expected tissue effect in a particular case.³

ABLATION/VAPORIZATION TECHNIQUES AND PROCEDURES

The CO₂ laser is unique in that it has the ability to function as a “light scalpel” and a photothermal vaporizer. Tissue ablation and vaporization is a technique performed with lasers in defocused mode and achieved by moving the laser away from the tissue beyond the focal point, causing an increase in spot size that directly decreases power density and depth of the cut. The absorbed energy vaporizes the tissue in a controlled, predictable manner. Cryosurgery and chemical peeling are similar but unpredictable because of their inability to achieve a constant depth and the difficulty of applying these modalities intraorally.

Laser vaporization is the safest, fastest, and most predictable surgical modality available today. The ablation technique is often used to treat discrete intraoral lesions, benign and premalignant surface lesions, and inflammatory disease, as well as for contouring gingival tissues for functional and esthetic purposes. The treatment of these lesions frequently includes the management of epithelial hyperkeratosis, hyperplasia, dysplasia, lichen planus, and nicotine stomatitis.

VAPORIZATION TECHNIQUE

Vaporization of a lesion precludes a histological diagnosis. Therefore, vaporization should only be performed in areas that previously had biopsy specimens taken or when a reasonable presumed diagnosis has been made.³

Vaporization is ideal for large surface lesions confined to the epithelium, located in areas such as the floor of the mouth, where incisions are likely to compromise the underlying anatomy. In such cases, traditional biopsy techniques using a scalpel would be considered aggressive because they eradicate too much tissue and may cause bleeding, scarring, and injury to adjacent structures. With most CO₂ lasers, each pass during tissue ablation penetrates from a few hundred microns to 1 to 2 mm. By selectively removing each cellular layer, laser ablation can be completed in a conservative fashion, causing minimal insult to the underlying tissue and structures. After laser ablation, tissue elasticity remains resilient, scarring is reduced, and fundamental function is preserved.^{21,22}

LESION TREATMENT

Superficial lesions that demonstrate leukoplakia, erythroplakia, or a combination are at greater risk of malignancy. Such patients have a 50- to 60-fold greater risk of developing oral cancer.⁷ Laser ablation of these lesions is considered controversial. Interventional laser excision or ablation of precancerous oral epithelial lesions offers unique advantages, including elimination of diseased tissue, control of blood loss, favorable patient acceptance, low morbidity with reduced complications, and successful healing.⁵⁰

Studies have demonstrated that laser ablation with regular follow-up is effective in controlling dysplastic lesions of all grades. The recurrence rates of premalignant lesions are not significantly different between scalpel excision and laser vaporization. Vedtofte et al.⁵¹ reported a 20% recurrence rate over 4 years in patients who underwent scalpel excision. Horch et al.⁵² reported a 22% recurrence rate over 37 months in patients who underwent laser vaporization. Thompson and Wylie⁵³ reviewed 57 consecutive laser-treated patients presenting over a 4-year period with histologically confirmed dysplastic lesions. Over 44 months, findings showed 76% of patients remained disease free, comparable to the 80% success rate in patients treated with surgical excision.

Laser vaporization is an effective, nonmorbid, inexpensive, quick, and relatively painless method of managing premalignant lesions. Many clinicians believe that the hemostatic effect of the laser results in decreased tendency for hematogeneous or lymphatic seeding of the malignant cells.^{54,55} The low morbidity and minimal pain generally associated with laser ablation make it a valuable tool in the management of premalignant mucosal lesions.

ABLATION TECHNIQUE

Regardless of the type of ablative laser used to perform this procedure, the ablation technique is accomplished using a defocused mode, which increases the spot size and decreases the power density and depth of cut. The depth of ablation is increased by using a higher power setting and decreased by moving the handpiece faster or by increasing the spot size. The size and depth of the lesion help determine the power setting and spot size for treatment.

As with excision biopsy, the clinician should begin by outlining the circumferential margins. The outlined margins serve as surgical boundaries, extending 0.5 cm beyond the identifiable lesion. Ablation of the lesion is accomplished through a continuous series of connecting and parallel U's within the delineated margins, taking care not to leave any lesion present. This method ensures an evenly ablated surface. Overlapping ablative passes can result in greater lateral thermal damage and increased depth. Ablated tissues easily become dehydrated; therefore, if lasing a previously treated area, increased lateral thermal damage may occur. To minimize the likelihood of a poor outcome, rehydrate the tissues with water and, using moist gauze, gently wipe away any surface carbonization between passes.³ To achieve deeper penetration, additional passes may be performed perpendicular to the initial ablative pattern to achieve complete coverage of the lesion (Figure 6-6 and Case Study 6-1).

INFLAMMATORY CONDITIONS

The inflammatory response is typically associated with swelling, pain, and possible systemic disease and infection. Inflammatory conditions such as periodontitis, implantitis,

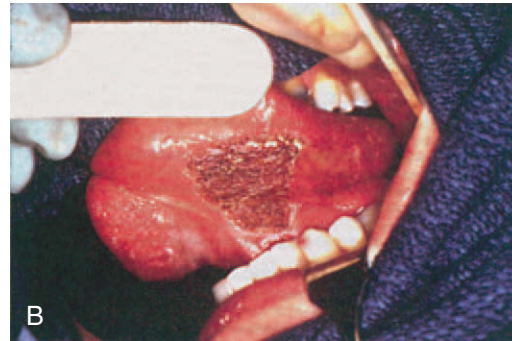
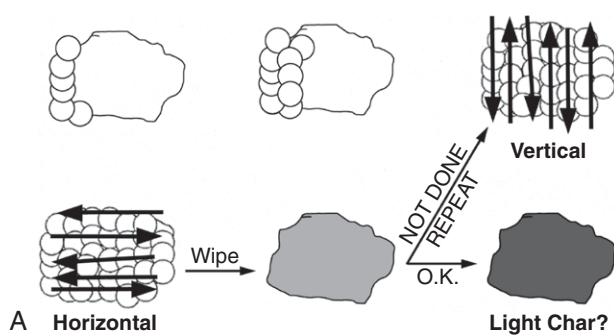


FIGURE 6-6 • Ablation technique showing proper use of inverted U's. (From Strauss RA: Laser management of discrete lesions. In Catone G, Alling C, editors: *Laser applications in oral and maxillofacial surgery*, Philadelphia, 1997, Saunders.)

Case Study

6-1

A 71-year-old white male presented for evaluation of a whitish plaque, 1.5×1.0 cm, located on the left lateral soft palate and present for an unknown duration (**Figure 6-7, A**). The patient was a 50-year, half-pack/day smoker with a history of moderate consumption of alcohol. Clinical examination confirmed a nonremovable plaque-like leukoplakia with irregular borders. A complete medical history was obtained and a thorough head and neck examination performed. No cervical lymphadenopathy was palpable. Incision biopsy under local anesthesia resulted in a diagnosis of mild dysplasia. Laser ablation was used to eradicate the mild dysplastic tissues. One carpule of 2% lidocaine with 1:100,000 epinephrine was administered by local infiltration. The laser was used in a defocused mode.

In these cases, it is recommended when ablating the lesion to start at the peripheral margin and systematically work back and forth, covering the entire lesion without overlapping. Once the initial pass is complete, the wound is debrided with moist gauze, removing necrotic tissues. Additional passes may be necessary, based on the severity of the diagnosis. Inspection of the tissues must confirm the absence of residual lesion. A thin eschar layer is left over the wound, and healing is complete in 2 to 4 weeks (**Figure 6-7, B**). Chlorhexidine oral rinse is recommended to reduce infection, and OTC nonsteroidal medication should provide adequate relief for pain. Follow-up examinations are critical to monitor recurrence and to ensure early detection of new lesions (**Figure 6-7, C**).



FIGURE 6-7 • **A**, Asymptomatic leukoplakic plaque-like lesion located on soft palate. **B**, Treatment using CO_2 laser involves two ablative passes with debridement, leaving a thin layer of eschar to help protect the area during initial healing. **C**, Two-month postoperative visit demonstrates complete healing with no evidence of active disease.

alveolitis, papillary hyperplasia, herpetic lesions, and aphthous ulcerations may be managed with laser vaporization therapy. Vaporization of herpetic and aphthous ulcers is an alternative to regularly prescribed medications, which provide temporary palliation at best (see Chapter 12). Laser therapy provides relief of symptoms and establishes an optimal wound for healing,

selectively eliminating pathogens and disease from the photo-thermal tissue interaction on these potentially recurrent lesions.⁵⁶ Palliation of the painful symptoms associated with aphthous and herpetic stomatitis⁵⁷⁻⁵⁹ and the oral manifestations resulting from acquired immunodeficiency syndrome (AIDS) are effectively being treated with laser therapy.⁶⁰

The ablation technique is useful in managing other functional and esthetic concerns in the oral cavity. Laser ablation in the hands of a skilled clinician has revolutionized soft tissue manipulation. Patients who present with fibrous tuberosities and gingival hyperplasia resulting from inflammation or drug therapy are now treated predictably with minimally invasive surgery.

DENTAL IMPLANTS

Currently, using the CO₂ laser for dental implant surgery is as important as cone-beam computed tomography (CBCT) and three-dimensional (3D) volumetric rendering, implant planning software. The ability to evaluate the underlying bony foundation before implant placement is invaluable. In many cases, implants can be placed with a flapless laser-assisted

approach, reducing contamination to the implant from adjacent mucosal tissue. The gingival tissues are decontaminated by the photothermal effect, and the emergence profile is sculpted for the indicated healing abutment without bleeding or swelling, improving the patient's dental implant experience.

Ailing implants also benefit from the photothermal properties of the laser. Local decontamination eliminates infection, optimizing the periimplant tissues, and prolonging an implant's ability to function (see Chapter 7).

CLINICAL SCENARIOS

Excision of Pyogenic Granuloma

A 46-year-old white female presented with a bothersome soft, fleshy, raised mass on the lower lip, which grew after striking her lip on cement after a fall 2 weeks earlier (Figure 6-8, A and



FIGURE 6-8 • A and B, Pyogenic granuloma is a recognizable clinical lesion and usually presents as an inflammatory soft, red, raised mass that often bleeds when traumatized. C and D, Incision is performed after delineating the surgical margins at lesion's periphery. E, Complete excision at base of lesion. F and G, At 10 days, suture removal is completed, noting an early esthetic result.

B). A 0.75 carpule of 2% lidocaine with 1:100,000 epinephrine was given by local infiltration. An excision biopsy was indicated. An elliptical incision followed the outside of the lesion with the laser beam positioned perpendicular to the tissue, to prevent undercutting at the deeper margin (*Figure 6-8, C and D*). Once the orbicularis oris muscle was identified, the specimen was gently lifted from a margin and excision performed following the supramuscular plane (*E*). Incising into the muscle will cause increased soreness and create potential for increased bleeding or unnecessary wound contracture. After excision, the specimen should be measured, photographed, and sent to a pathologist for microscopic examination. Suturing the wound is generally not necessary unless achieving a cosmetic result is a primary concern. A softer diet that limits the function of the lip is recommended after the procedure. The 10-day postoperative photographs show an excellent cosmetic result (*Figure 6-8, F and G*).

The mass was diagnosed as a pyogenic granuloma. These granulomas frequently present as soft red masses, possibly ulcerated, with fibrinopurulent casings, located intraorally on the gingiva between teeth or perhaps on the face. Pyogenic granulomas are stimulated by irritants such as calculus, rough restorations, or foreign bodies. They tend to bleed easily when traumatized. Surgical excision with 2-mm

margins at the periphery is curative if the causative factors are removed. Recurrence is unlikely, unless a local irritant remains present.

Excision of Tongue Lipoma

A 51-year-old white male presented with an asymptomatic, slow-growing mass on the left dorsum of the tongue that was first noticed 3 months earlier. Clinical examination demonstrated a nontender, soft, doughy mass 1 cm in diameter, with healthy overlying tissues (*Figure 6-9, A*). One carpule of 2% lidocaine with 1:100,000 epinephrine was administered by infiltration at the periphery and deep margins of the tongue mass.

The tip of tongue was retracted using gauze. A curvilinear “trapdoor” incision was made using a CO₂ laser. Once the initial incision was made, tissue forceps were used gently to support the tongue flap, with the laser used to dissect along the capsular plane of the yellow, doughy mass (*Figure 6-9, B*). A second retractor was used to grasp the round mass and retract away from the connected tissues. Dissection around the perimeter of the mass in all directions completed the excision biopsy (*Figure 6-9, C*). The exposed cavity was irrigated with a sterile saline solution. Hemostasis was achieved by defocusing the laser. The tongue flap was approximated and

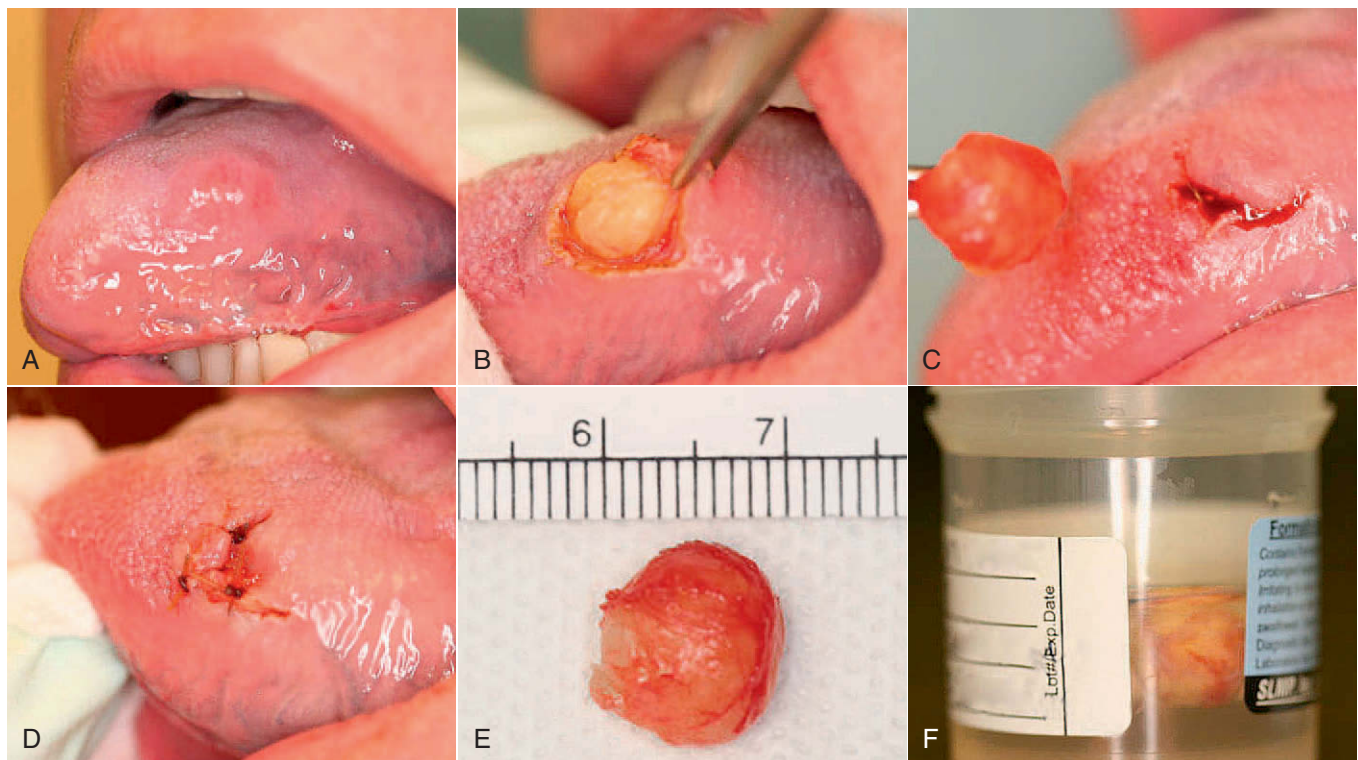


FIGURE 6-9 • **A**, Soft mass (1 cm) without mucosal ulceration bulging from left dorsal surface of tongue. **B**, Using retraction with tissue forceps while dissecting the mass with the laser will facilitate the separation. **C**, Complete excision of lipoma. **D**, Closure of “trapdoor” flap with interrupted suture. **E**, Specimen photograph demonstrating pericapsular lining. **F**, Mass is floating in container filled with formalin, suggesting a diagnosis of lipoma.

primarily closed with 3-0 chromic gut interrupted sutures (Figure 6-9, D). The specimen should maintain a pericapsular lining, visible on the photograph (Figure 6-9, E). The specimen was placed in formalin, prepared, and sent for microscopic examination.

Histopathology identified the mass as a solitary lipoma. Lipomas are proliferations of mature fat cells that arise in the submucosa. These frequently occur in adults age 40 to 60. Intraorally, they typically arise in the buccal vestibules and mucosa, floor of the mouth, and tongue. Solitary lipomas require exploration and local excision. The fatty nature of the tumor will cause bulging from the wound during treatment. The pericapsular lining is best left intact to ensure a complete excision. Because the density of fat is less than that of formalin or water, a lipoma should float when placed in either solution⁴⁷ (Figure 6-9, F).

Excision of Tongue Papilloma

A 72-year-old white male who had smoked heavily for 25 years presented with a suspicious stalklike lesion at the right posterior ventrolateral border of the tongue. The patient could not recall how long the lesion had been present. The lesion was 1.0 × 0.5 cm, with peripheral leukoplakic changes but without ulceration or bleeding (Figure 6-10, A). One carpule of 2% lidocaine with 1 : 100,000 epinephrine was given

by local infiltration. A marking pen was used to delineate the margins, and an excision biopsy was performed with a CO₂ laser. An elliptical incision was made on the perimeter of the lesion, with the laser energy aimed perpendicular to the tissue to prevent undercutting at the deep margin. Once the skeletal muscle of the tongue was identified and isolated, the specimen was gently lifted at the anterior margin and excision performed following the supramuscular plane (Figure 6-10, B). Once slight elevation of the specimen was achieved, the anterior margin was tagged with an interrupted 3-0 silk suture.

If there is a high suspicion of malignancy, the margins should be tagged and identified based on their location: anterior, posterior, medial, lateral, superficial, and deep. Tagging the specimen margins during the procedure will accurately identify the location of any residual tumor and ensure accuracy in the event that additional treatment is indicated. Biopsies are tagged using sutures with tails of varying lengths to indicate the specimen's anatomical orientation. After excision, the tagged specimen should be positioned on paper, labeled, and photographed (Figure 6-10, C). Closure of intraoral wounds is not necessary when treating with the CO₂ laser unless concerns of local irritation or bleeding exist. In this case, primary closure was necessary to prevent rubbing on the adjacent dentition. Complete healing should occur in approximately 2 to 4 weeks.

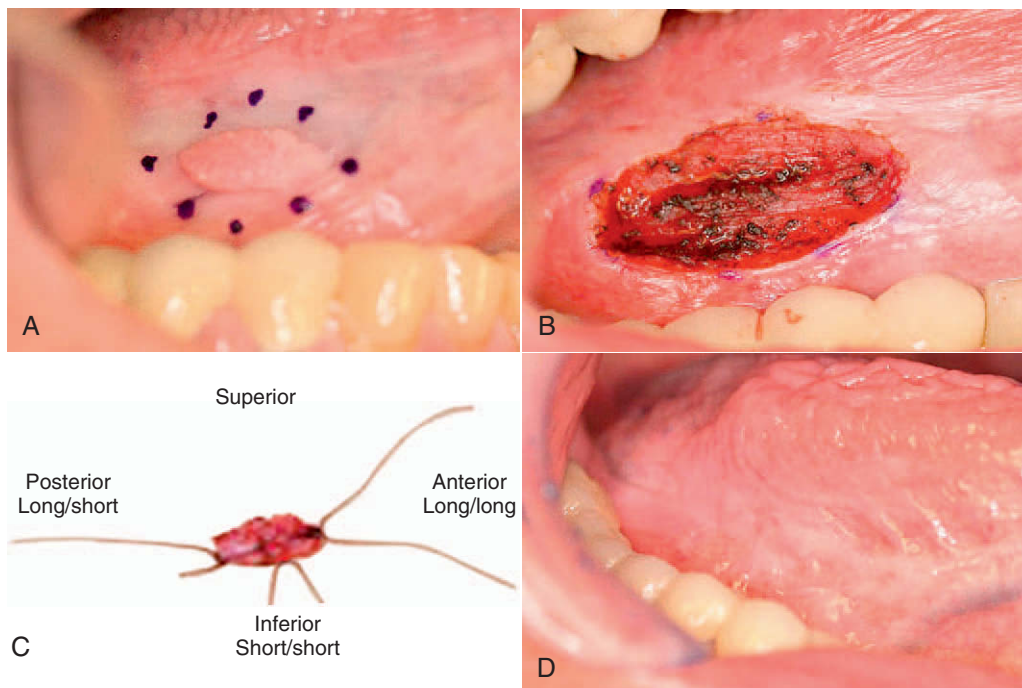


FIGURE 6-10 • **A**, Stalklike lesion located on posterior right lateral border of tongue and floor of mouth is suspected carcinoma. A marking pen delineates margins for the excision biopsy procedure. **B**, Complete excision of specimen at supramuscular plane. Proximity of adjacent teeth will cause direct irritation during the healing phase if not primarily closed. **C**, Specimen tagged with interrupted sutures of varying lengths of suture tails to indicate correct positioning of the excised lesion. **D**, One-month postoperative visit demonstrates complete healing.

Microscopic examination diagnosed the lesion as a squamous papilloma. Papillomas are common oral lesions with a predilection for the mucosa of the hard and soft palate, including the uvula and vermillion border of the lips. The lesion is not transmissible or threatening based on current studies and is not directly associated with HPV. Its clinical appearance frequently raises concern because it sometimes mimics an exophytic carcinoma, verrucous carcinoma, or condyloma acuminatum, a transmissible viral disease. Excision with 1-mm margins at the base into the submucosa should be curative. Recurrence should raise suspicions for retransmission of condyloma acuminatum or carcinoma.⁴⁷ The tongue completely heals while under function within 2 to 4 weeks with minimal to no discomfort (Figure 6-10, D).

Ablation of Mucocele

A 19-year-old white male presented with a painless, soft, fluid-filled vesicle in the mucosa of the lower lip (Figure 6-11, A). He first noted the lesion about 4 months earlier, after being struck in the face. He complained of intermittent swelling. The history and clinical presentation are consistent with mucous extravasation phenomenon, commonly known as a mucocele.

Mucoceleles are most often observed in the younger population, frequently after experiencing a traumatic injury that severs the minor salivary ducts, forcing extravasated mucin into the mucosa and eliciting an inflammatory reaction that causes localized fibrosis. Mucoceleles are easily identified given their bluish color and can present anywhere a minor salivary gland exists. They are most often found in the lower lip, palpated in the tissues paramidline, and usually measure 1 cm or less (Figure 6-11, B). Although frequently treated by local excision, the ablation technique can be implemented after repeated histologically confirmed excisions are consistent with the clinical presentation of a mucocele.

The laser ablation technique is a predictable, minimally invasive treatment. Local anesthesia, 1 carpule of 2% lidocaine with 1:100,000 epinephrine, is administered by local infiltration. The CO₂ laser is used in a defocused mode. It is recommended when ablating the lesion to begin at the peripheral margin of the mucosa, working in a circular spiral motion toward the epicenter (Figure 6-11, C). This technique helps ensure complete removal of damaged glands, whereas starting from the center may cause shrinkage of damaged glands and partial vaporization, leaving damaged salivary glands and resulting in potential recurrence. Once the mucosa is ablated and the epicenter reached, applying finger pressure on the

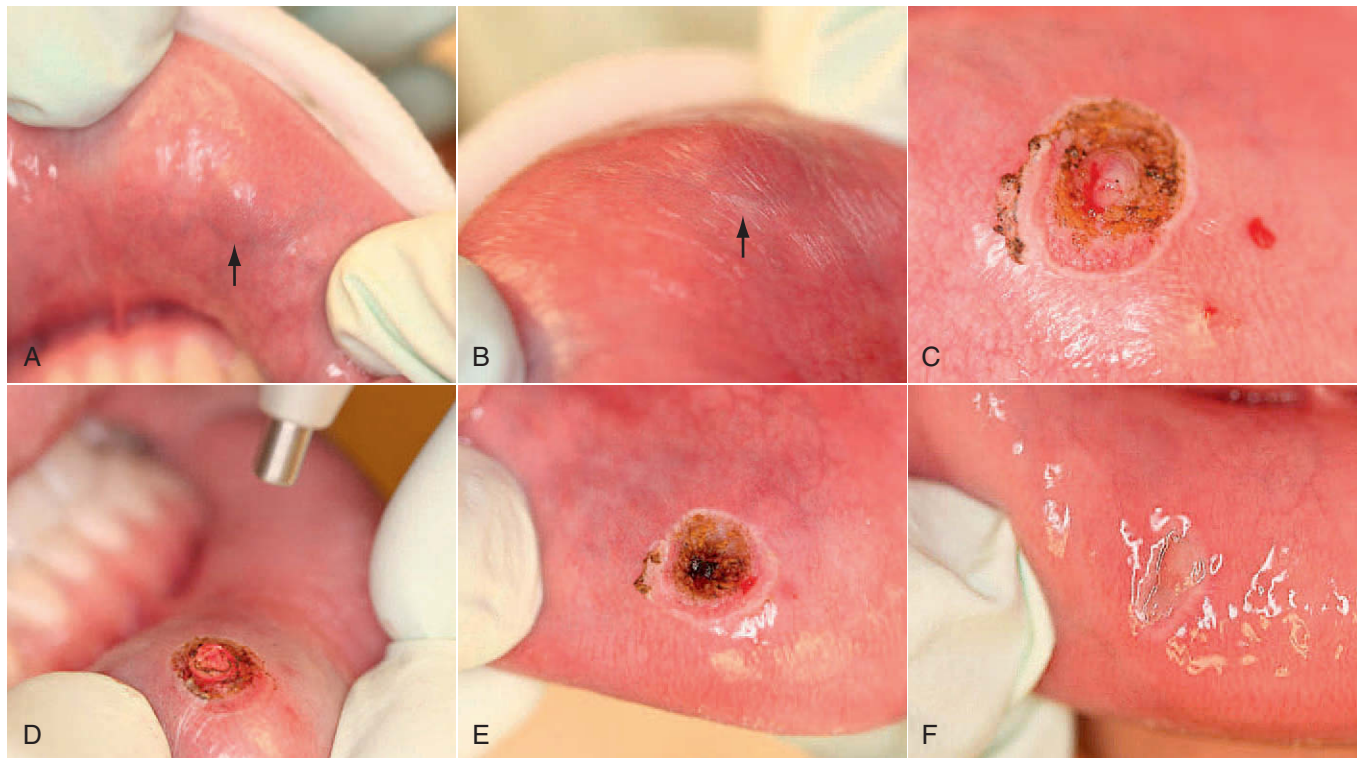


FIGURE 6-11 • A and B, Mucoceleles are frequently noted in the lower lip and are distinct because of their raised, rounded, and bluish appearance. C, Superficial ablation is performed starting from peripheral margin and working toward center. D, Applied finger pressure causes fibrotic glandular tissue to emerge, allowing complete ablation therapy. E, Ablation causes thermal contracture, decreasing the size of the open wound left to granulate, which expedites the healing process. F, One-week postoperative visit demonstrates the rapid healing process.

lesion frequently causes the enlarged fibrotic minor salivary gland to protrude, allowing complete vaporization of the damaged glandular tissues (Figure 6-11, D).

Inspection and palpation of the underlying tissues should confirm the absence of residual lesion. Further ablation is performed by defocusing the energy and working from the epicenter slowly toward the periphery, shrinking the size of the surgical wound by thermal contraction, with no bleeding noted (Figure 6-11, E). The wound is left to granulate. Figure 11, F, shows site 1 week postoperatively. A nonsteroidal OTC medication should provide adequate relief for pain.

Excision/Ablation of Peripheral Odontogenic Fibroma

A 9-year-old white female presented with a painless, firm, soft tissue mass on the gingiva. The growth was noted 8 months earlier, following the eruption of the lower incisors. The gingival mass prevented tooth #23 from appropriate alignment, interfering with normal function (Figure 6-12, A). Radiographic examination demonstrated no intraosseous component. Local anesthesia, 0.25 carpule of Septocaine with 1:100,000 epinephrine, was administered by local infiltration. An excision biopsy procedure was performed using the laser. An incision was made with the laser in focused mode, parallel to the facial surface into the sulcus, while reflecting the mass away from the tooth.

With tension placed on the mass in a superior direction, excision of the mass was completed at the level of the gingival crevice, to maintain the scalloped appearance. Proximity of the mass to the tooth increases the potential for injury to the tooth. A matrix band can be placed around the tooth as an extra precaution. Sculpting of irregular tissue, intrasulcular ablation, and hemostasis are accomplished by defocusing energy.

If persistent oozing is encountered, apply pressure for several minutes with moist gauze, and provide additional hemostasis as necessary (Figure 6-12, B). The 1-week and 2-month postoperative visits demonstrate rapid healing and significant improvement in the tooth position (Figure 6-12, C and D).

A diagnosis of peripheral odontogenic fibroma was made based on location of the mass and histological examination. The fibroma presents as a painless, firm, soft tissue mass with an intact mucosa, emerging directly from the gingival sulcus. These lesions are frequently found in the premolar or canine region and most often in females. The size of the fibroma is less than 2 cm, and it demonstrates no invasion or destruction to surrounding tissues. Radiographs will not show bony resorption as a reaction to its presence. Histologically, odontogenic epithelia are present, making this lesion appear to originate from the periodontal membrane.⁴⁷

Diagnosis and treatment of a peripheral fibroma require excision with 1-mm to 2-mm mucosal margins, including the attached periodontal ligament from which it arises.

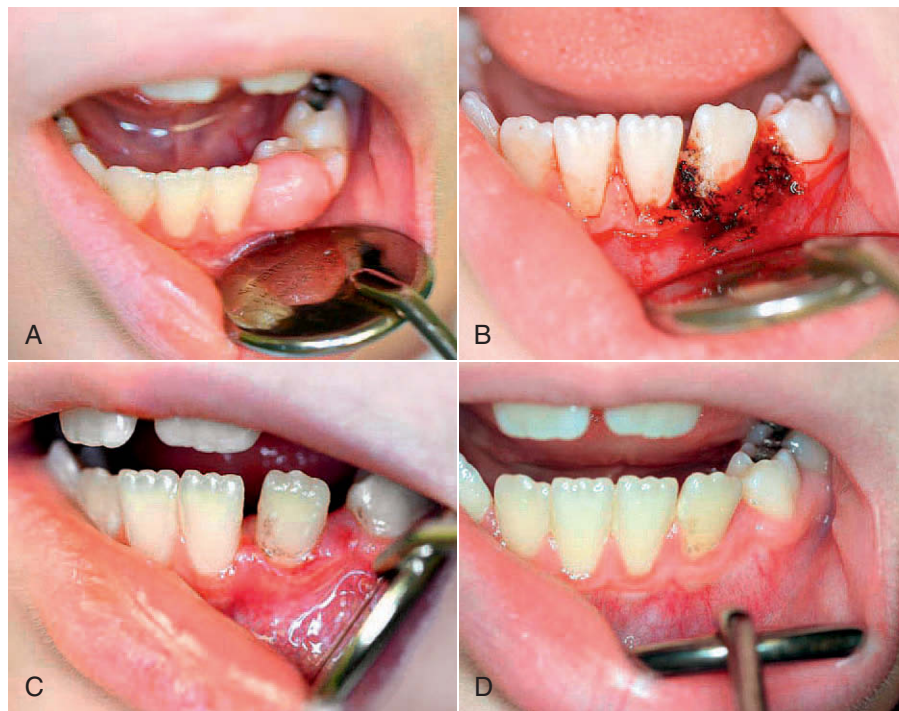


FIGURE 6-12 • A, Painless, firm, soft tissue mass emerging from gingival sulcus of tooth #23. B, Localized laser excision and ablation of gingival mass with hemostasis. C, One-week postoperative visit demonstrates the rapid healing after laser surgery. D, Two-month postoperative visit demonstrates the natural drifting of tooth #23 into appropriate alignment.

The recurrence rate is very low and typically associated with residual odontogenic epithelia. Excision performed with a scalpel often results in a periodontal defect because of the inability to excise at the depth of the gingival sulcus. Performing laser excision at the gingival margin, then completing the procedure with intrasulcular ablation of the remnants of the periodontal membrane, minimizes potential recurrence and improves the cosmetic outcome.

HEMOSTASIS/COAGULATION TECHNIQUES AND PROCEDURES

One of the greatest advantages of laser surgery is the ability to achieve hemostasis. Laser surgery is essentially performed in a bloodless field, improving visualization. Hemostasis is not caused by coagulation of blood but by contraction of the vascular wall collagen, which results in constriction of the vessel opening.

Although the argon, copper vapor, KTP, tunable dye, and Nd:YAG lasers have proved successful in the treatment of vascular lesions because they are highly absorbed by hemoglobin,⁶¹ they are generally not part of the dental armamentarium. Rather, these lasers are more often used to treat extraoral vascular lesions or intraoral lesions that would preclude excision with a CO₂ laser. On the other hand, CO₂ lasers can be used to excise many types of intraoral vascular lesions. As previously mentioned, the normal lateral thermal damage created by the laser results in contraction of collagen; therefore, sealing of vessels up to 500 μ in diameter occurs. In particular, capillary hemangiomas, cavernous hemangiomas, venous lakes, small telangiectasias, and varicosities benefit from the hemostatic technique, because vessels that supply capillary and small venous vascular lesions are coagulated, allowing for en bloc excision of vascular lesions.³

The hemostatic technique is achieved by defocusing the laser, which increases the spot size, dispersing the energy over a wider area. The laser is passed over the tissue until bleeding ceases. This simple exercise decreases the temperature of the energy absorbed by the laser tissues, causing coagulation. For direct hemostasis, the laser beam can be aimed at a specific bleeding area. These techniques are effective only if the surgical field remains absolutely dry of saliva and blood. Any surrounding body fluids will absorb the energy, thus reducing the laser's effect on the tissues. Continued bleeding indicates a vessel greater in diameter than the spot size of the laser; other, more conventional hemostatic techniques (Gelfoam, Surgicel, sutures) may be required. Other treatment modalities, such as topical thrombin and a tranexamic acid rinse, should always be available in the event that postoperative bleeding persists and additional hemostatic measures need to be taken.

Blood loss using a CO₂ laser is significantly less than with the traditional scalpel when treating patients with coagulation

disorders⁶² and those who take herbal preparations or anticoagulant medication. Patients taking oral anticoagulants can be treated with the CO₂ laser, without the need to discontinue medication, for routine oral soft tissue procedures. In fact, because the risk of postoperative bleeding is outweighed by the higher risk of thromboembolism after cessation of anticoagulant therapy, continuation of the anticoagulant regimen is encouraged. Laser-assisted oral surgery in daily practice has enabled surgeons to achieve controlled hemostasis and minimize intraoperative and postoperative hemorrhage without discontinuing anticoagulants.⁶³ Of course, a conversation with the primary care physician and knowledge of the patient's INR (international normalized ratio) is highly suggested.

HEMANGIOMA

Hemangiomas, most common in infants, are benign proliferations of blood vessels that resemble normal vessels. Half of all hemangiomas occur in the head and neck area, especially the tongue, buccal mucosa, and lips, and they have a predilection for females. The lesion can present as flat or exophytic, smooth surfaced or lobular, localized or diffuse, and can be single or multiple. Types of hemangiomas include atriovenous, juvenile capillary, cavernous, cherry, and varix.

The type of treatment modality for a hemangioma depends on its size, rate of blood flow, and proximity to other structures. When a hemangioma does not spontaneously involute, nonsurgical therapy consists of corticosteroid injection, with 75% success rate, and interferon alfa-2a. Surgical procedures include excision scalpel surgery for small lesions and laser removal for large lesions. Many other lesions can be treated similar to the lip hemangioma described in [Case Study 6-2](#), including aphthous ulcers and herpetic gingivostomatitis⁵⁶⁻⁶⁰ (see Chapters 12 and 15).

TOOTH EXTRACTIONS

The removal of teeth varies in degree of difficulty. Teeth exposed in the oral cavity may be easily removed when structurally sound, but caries compromises the integrity of the tooth, which usually results in a tooth crumbling into many fragments on attempted extraction. Incompletely erupted or impacted teeth require access to the tooth for removal.

Regardless of the circumstance, any tooth removal defined as a "surgical extraction," requiring an incision through mucosal tissue with flap reflection to achieve access for tooth removal, can benefit from the use of the CO₂ laser. Advantages include the absence of blood from the tissue incision, improved visibility, reduced swelling and discomfort to the patient, and decontamination of the surgical site secondary to the photothermal effects of the CO₂ laser. Patients who tend to bleed or have coagulopathies benefit from the CO₂ laser's hemostatic effect in the extraction site at surgery⁶⁴ ([Figure 6-14](#)).

Case Study

6-2

A laser ablation technique was used to treat a white female with a hemangioma of the lip (**Figure 6-13, A**). Local anesthesia, 1 carpule of 2% lidocaine with 1:100,000 epinephrine was administered by local infiltration, deep within the tissues after aspirating to prevent intravascular injection. Local anesthetic injected into the vasculature will cause a blanching appearance to the tissues, posterior to the injection site (**Figure 6-13, B and C**). The laser was used in a defocused mode. Again, when ablating the lesion, it is recommended to begin at the surface of the mucosa at the peripheral margin, working in a circular spiral motion toward the epicenter (**Figure 6-13, D-F**). This technique ensures complete removal at its widest dimension. Debridement between passes with moist gauze allows for improved penetration of laser energy. Additional ablative

passes are then made by defocusing the energy and working from the epicenter slowly toward the periphery, shrinking the size of the surgical wound by thermal contraction, with no bleeding noted. Charring of the tissues in a vascular lesion is more prevalent because of the absorptive properties of hemoglobin, but does not seem to affect the healing process. The wound is then left to granulate, with the patient instructed in performing daily care. Wound care consists of cleaning the area with diluted hydrogen peroxide and applying a thin layer of petroleum jelly four times daily. Prophylactic antibiotics are used when ablating vascular lesions to reduce the risk of bacteremia. A nonsteroidal OTC medication provides adequate relief for pain. Complete healing is achieved in 2 to 6 weeks, resulting in an esthetic outcome (**Figure 6-13, G-L**).



FIGURE 6-13 • **A**, Hemangioma of lower lip. **B and C**, Localized blanching secondary to injecting local anesthesia with epinephrine into the vascular tissue. **D to F**, Multiple sequences of ablation and debridement achieving extension into normal healthy tissue without demonstrating persistent oozing. **G**, One-week postoperative visit demonstrates the rapid healing process. **H and I**, Two-week postoperative visit demonstrates minimal irregularity with complete epithelialization. **J to L**, Complete healing, maintaining elasticity, with excellent esthetic result.

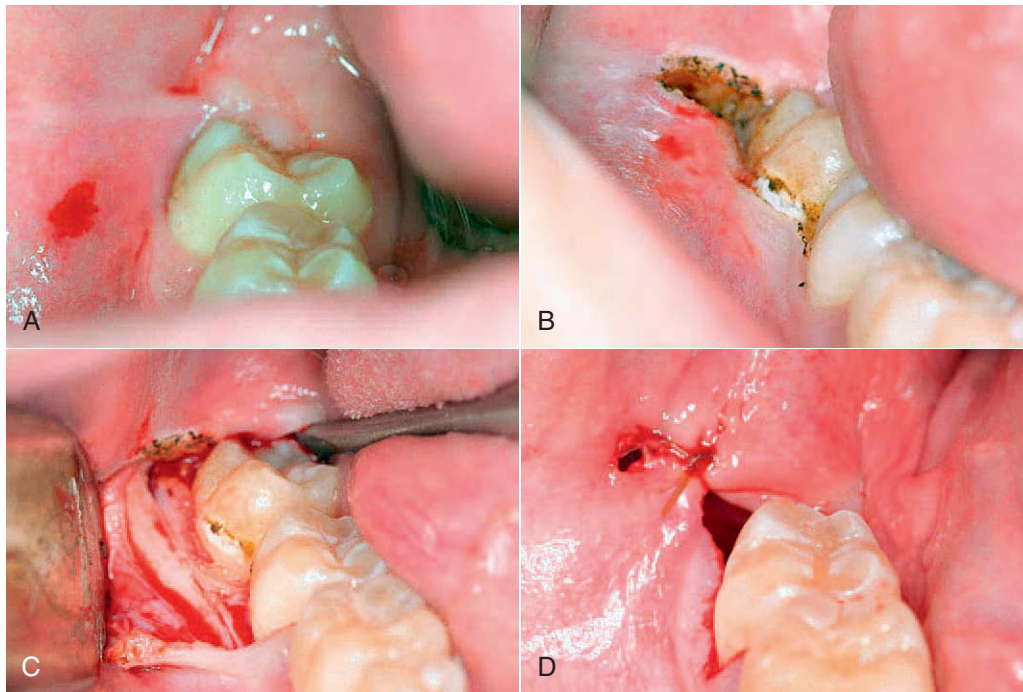


FIGURE 6-14 • **A**, Soft tissue–impacted third molar, #32 with operculum. **B**, CO₂ laser incision extending from mesial #31 with buccal release at the distal line angle of #32. **C**, Reflection of full-thickness mucoperiosteal flap, allowing access for small elevator distal to #31 to luxate and extract #32, without causing trauma to adjacent soft tissue. **D**, Approximating and securing flap with 3-0 chromic gut suture.

Exposing teeth that require extraction frequently necessitates a releasing incision for extended reflection of the soft tissue flap. The CO₂ laser causes less bleeding than traditional scalpel techniques, thus improving visibility. However, when the periosteum is reflected off the underlying bone, the perforating capillaries are torn, and osseous bleeding generally is noted. The bleeding encountered during this procedure is usually slight oozing, requiring no intervention other than intraoperative suctioning for visibility.

Adjunctive procedures such as sectioning the tooth or bone removal may be necessary to facilitate removal. Although an erbium laser can be used for tooth sectioning, traditional sectioning with a bur is faster and makes this one of the few procedures where traditional methods are preferred over laser use.

Once a tooth has been removed, the CO₂ laser can serve an important ancillary function. Localized infection within the extraction socket or surrounding gingival tissues can be treated with the photothermal effects of the laser. A reduced power setting on the CO₂ laser can be used to decontaminate areas of concern. This technique ultimately reduces bacterial infiltrate within the mucosal tissue or periodontal ligaments within the socket. Caution must be taken to maintain steady movement of the laser energy to prevent injury to the bone from the thermal insult. Socket curettage with complete removal of granulation tissues should be performed, followed by copious amounts of sterile saline irrigation into the socket.

Postsurgical bleeding from the socket walls is not unusual. Ancillary grafting procedures can be used at the clinician's discretion as appropriate. Approximation of the soft tissue flap to cover the underlying bone using sutures is indicated.

APICOECTOMY

Teeth that are compromised secondary to endodontic failure may be salvaged with endodontic re-treatment. Extirpation of the compromised pulpal tissue and treatment of the localized infection routinely resolve disease and prevent tooth extraction. If periapical pathology persists, however, an adjunctive surgical procedure known as *apicoectomy* is necessary to eradicate disease and improve the therapeutic outcome.

Apicoectomy procedures involve a soft tissue incision and removal of localized disease and frequently require apical sealing of the endodontically treated tooth. The CO₂ laser can be used for sterilization and removal of the infected root apex, as well as for enhanced hemostasis.⁶⁵ After surgical access and exposure to the apex of the involved tooth, whether through a CO₂ laser or conventional incision, the surrounding cavity can be curetted with a small instrument by removing the infected tissues or radicular cystic lesion (Figure 6-15).

Evaluation of the offending tooth and the apical seal from the endodontic procedure must be confirmed. If the seal appears inadequate, a retrograde filling is imperative for the

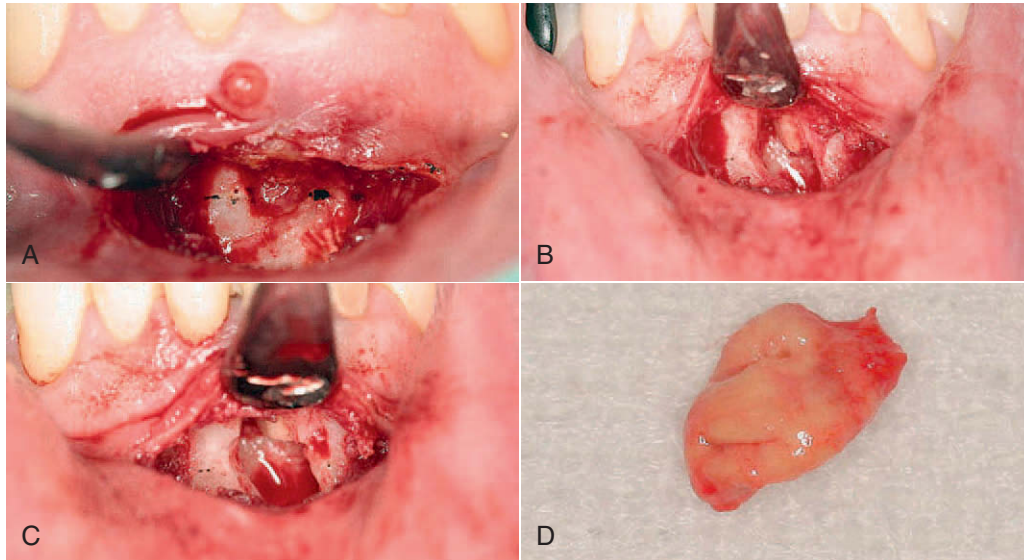


FIGURE 6-15 • **A**, Fistula below sulcus of tooth #25. The CO₂ laser was used to incise through freely movable mucosa below mucogingival junction, noting apical resorption. **B**, Complete curettage of periapical pathology. **C**, Amputation of exposed root tip with retrofilling completed. CO₂ laser used to decontaminate intraosseous cavity with low energy. **D**, Specimen removed was confirmed as a radicular cyst.

best prognosis. Root amputation with a high-speed drill and small, crosscut bur can be used with cooled irrigation to prevent thermal damage to the nonvital tooth. Removal of gutta-percha or filling material is achieved with a slow-speed drill and a small, round bur. Adequate retrograde access into the root canal is necessary for retention of the filling material. CO₂ laser treatment optimally prepares the tooth for final intraoperative filling because of sealing of the dentinal tubules, the resultant elimination of niches for bacteria, and the sterilizing effect of the laser.⁶⁶

Alternatively, the erbium laser can be used to amputate the tooth and prepare the root end for a retrograde filling.

CONCLUSION

The spectrum of oral disease involves systemic, infectious, mucosal, and neoplastic processes. These processes can be treated with multiple surgical modalities currently available. The results of surgery using CO₂ lasers have been consistent, particularly when treating soft tissue. Patients have minimal downtime and generally experience no bleeding, swelling, or pain after routine procedures. Laser technology continues to advance the dental profession, and therefore it is imperative to incorporate lasers into surgical practice.

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Lasers in Implant Dentistry

7

Jon Julian, DDS

Progress in the design and engineering of dental implants has continued over several decades. These improvements have led to a success rate of 95% or greater over 10 years and beyond.¹⁻³ Thus, implants have become an extremely successful treatment for the replacement of missing teeth.^{4,5}

In the field of dental education, a survey of the curricula of graduate programs shows that dental implantology is taught in oral and maxillofacial surgery (OMS), periodontics, endodontics, and prosthodontics. Most general dentistry residency programs and advanced education in general dentistry (AEGD) programs also include dental implants as part of their curricula. Even orthodontic programs are using dental implants as anchors to aid in moving teeth.⁶

As dental implants become more common in practices worldwide, the question becomes how to improve the means of delivering and supporting dental implant treatment.

This chapter discusses the therapeutic role of dental lasers in improving the presurgical, surgical, postsurgical, and prosthetic phases of implant dentistry. Lasers can be particularly useful in dealing with complications of implant therapy. From surgical placement, to prosthetic delivery, to treating infected periimplant tissues, lasers have proved to be beneficial in many ways. The different wavelengths of lasers each exhibit unique characteristics that enhance the clinician's approach to implants, as well as the patient's experience. However, the clinician must understand the benefits that each laser wavelength can provide, thereby matching the desired goals of a given procedure to the correct wavelength(s). Soft tissue lasers (e.g., diode, CO₂) and the hard tissue lasers (Er:YAG and Er,Cr:YSGG) both play a role in implant dentistry.

Generally, lasers aid the clinician in obtaining better visualization of the surgical site by reducing bleeding,⁷⁻⁹ thus often reducing the duration of a given procedure.¹⁰ By creating more sterile conditions both during and after surgery, complications and infections are reduced significantly.¹¹

Figure 7-1 shows an incision for a sinus lift using a carbon dioxide (CO₂) laser, with the expected excellent postoperative result. Had this same incision been performed with more conventional methods, such as a scalpel, postoperative redness and swelling would have been the rule rather than the exception. For the patient, the benefits of reduced pain and swelling and more rapid healing^{10,12,13} are invaluable.

LASER WAVELENGTHS

DIODE LASERS

Diodes come in different wavelengths, with 810, 940, and 980 nm the most common. The energy from these lasers targets pigments such as hemoglobin and melanin in the soft tissue. The energy is delivered by a fiber in contact mode. By conditioning, or *carbonizing*, the fiber, the tip heats up to between 500° and 800° C.¹⁴ This heat is transferred to the tissue and effectively cuts by vaporizing the tissue. The tissue is vaporized because of the physical contact of the heated tip of the laser with the tissue, rather than from the optical properties of the laser light itself.^{14,15} The 980-nm wavelength is absorbed into water at a slightly higher rate than the 810-nm wavelength. This makes a 980-nm diode laser potentially safer and therefore more useful around implants.

Absorption of the wavelength is the primary desired laser-tissue interaction; the better the absorption, the less the collateral thermal heat directed toward the implant.⁷ According to Romanos,¹⁶ the 980-nm diodes are safe for titanium surfaces even at higher power settings. Studies show that the 810-nm diode laser creates a high temperature rise at the implant surface.¹⁷ Romanos¹⁸ also reports that the use of 810-nm diode lasers may damage the surface of the implant. A literature search did not produce documents discussing the 940-nm diode wavelength with regard to implant therapy. For the purposes of this chapter, the 980-nm diode is the only diode considered useful in implant therapy.

Diodes are considered similar to neodymium-doped yttrium-aluminum-garnet (Nd:YAG) lasers in dental applications. The advantage of a diode is less depth of penetration than the Nd:YAG.⁷ This allows the operator greater control of the laser and reduces the risk of lateral thermal damage. Disadvantages include slowness in speed of cutting, and a gated-pulse delivery mode that translates into potential heat buildup in tissue, leading to lateral thermal damage. Thus, the clinician should be aware of the power density of the diode, especially when close to the surface of implants.¹⁷

The fiber delivery system of diode and Nd:YAG lasers allow debris to build up on the fiber tip. This requires frequent

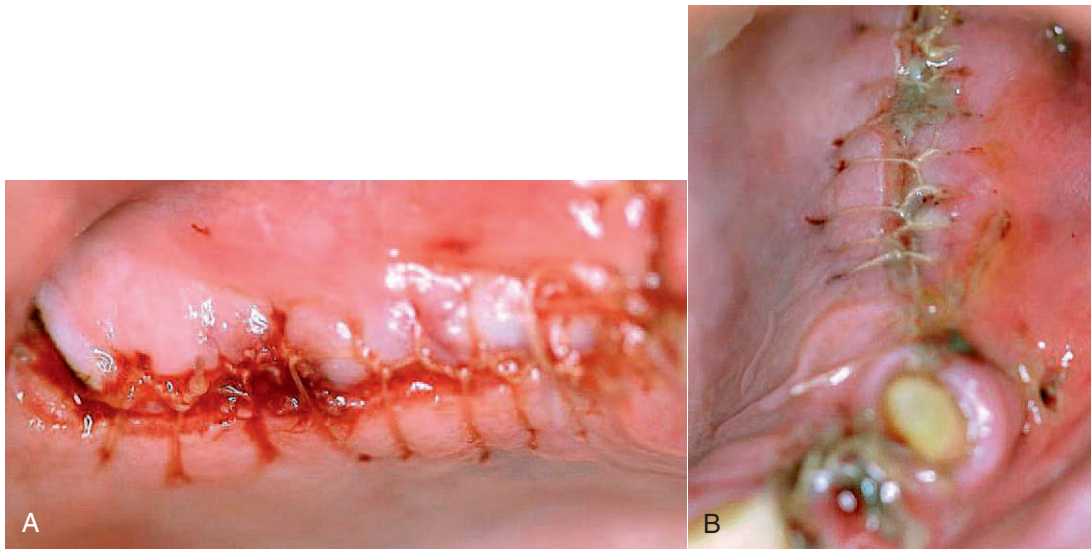


FIGURE 7-1 • **A**, Large surgical incision made with an ultra speed CO₂ laser for a sinus lift closed with continuous, sling-locking sutures. **B**, Photograph of site 48 hours postoperatively. Note normal tissue color and relaxed sutures, with minimal evidence of swelling.

cleaning and cleaving of the tip.¹⁹ Uncovering implants, if the tissue is relatively thin, is an appropriate use for a diode. A full-thickness flap or incision down to the periosteum to place implants is much more difficult with a diode than with a CO₂ laser.

In summary, a 980-nm diode laser can be used safely for some implant procedures, but with limitations in the depth of cut, speed of cut, and efficiency of cutting. The major advantages of a diode laser are its small size and relatively low cost.

NEODYMIUM:YAG LASERS

The Nd:YAG lasers operate at a wavelength of 1064 nm. These lasers are fiberoptic-delivered contact lasers that generate a free-running pulsed beam of energy. This pulsing mechanism is more sophisticated and the potential for heat penetration even greater than with a diode laser. The 1064-nm wavelength is poorly absorbed in water but readily absorbed into tissue pigments such as hemoglobin and melanin. The Nd:YAG laser is effective at producing coagulation and hemostasis but, because of its penetrating depth of up to 4 mm, has the greatest potential for damaging soft and hard tissues as well as implant surfaces.¹⁶ The energy is delivered through the carbonized tip of a fiber, as with a diode laser. However, the maximum peak power emitted by the Nd:YAG is much greater than for a diode and therefore could penetrate the carbonized debris at the laser tip.²⁰

The Nd:YAG laser is useful in periodontal therapy and has had positive effects in pocket therapy.²¹ However, Block et al.²²

report that the Nd:YAG laser energy can melt the surface of implants or remove the surface layer from plasma-coated titanium implants. This laser also produces craters and cracks on different surfaces of titanium. Further, Walsh²³ and Chu et al.²⁴ found contraindications for the use of Nd:YAG lasers near implants. Therefore, use of this wavelength is considered inherently unsafe for implant-related procedures or periimplant surgery. Nd:YAG lasers will continue to be used successfully in periodontal therapy.¹⁶

CARBON DIOXIDE LASERS

The CO₂ lasers have a wavelength of 10,600 nm and can be delivered in continuous-wave mode, gated-pulse mode, and more recently, in extremely short pulses of high peak power, labeled superpulsed and ultrapulsed (ultraspeed) modes. This wavelength is highly absorbed in water, collagen, and hydroxyapatite¹⁹ and thus is extremely efficient for soft tissue vaporization. The delivery system is usually a mirrored handpiece (thus noncontact) at the end of an articulated arm or a waveguide.

The CO₂ lasers have been used for decades in surgical procedures because of their speed and efficiency in cutting soft tissue.²⁵ They also offer strong hemostatic and bactericidal effects and create minimal wound contraction, thus minimizing scarring. CO₂ lasers also have minimal depth of penetration, reducing lateral thermal damage.^{12,16} The early devices produced a high degree of carbonization because of the high energy densities created. However, the newer pulsed models reduce the energy density to between 180 and 300 millijoules

per square centimeter (mJ/cm^2), delivered at an average speed of 400 to 800 microseconds (μsec). This creates less carbonization and charring of tissue and improves the working speed and efficiency of the CO_2 laser. This technology has been further refined with the advent of even shorter pulsing with higher peak powers. By increasing the speed of transmission and decreasing the pulsewidth, the laser can cut deeper and carbonize less tissue. Thus, energy density is now reduced to between 50 and $300 \text{ mJ}/\text{cm}^2$, delivered in speeds of 30 to $80 \mu\text{sec}$. This creates an extremely versatile CO_2 laser that can safely treat tissue in periodontal pockets and also make surgical incisions up to 4 to 5 mm deep rapidly and efficiently.

The CO_2 laser is safe around implants because the energy is absorbed into water and not pigments.^{26,27} By affecting the intracellular water of bacteria, the CO_2 wavelength can safely and effectively treat periimplantitis and mucositis,²⁸ because the energy is not absorbed into the implant's surface. At the same time, this laser's hemostatic properties are excellent, allowing the clinician to better visualize the surgical field, often decreasing procedure time and postoperative complications (pain, swelling).²⁹

With the newer devices, the energy is safe when it comes into contact with bone. When exposed to CO_2 laser energy, the water molecules on the surface of the bone are dehydrated, forming a thin, carbon layer of approximately 0.1 mm. The resulting surface will no longer absorb energy, and the damage to bone is clinically insignificant.³⁰ However, if the CO_2 energy causes hemostasis of bony structures during surgery, curetting the bone to reestablish bleeding for healing is indicated. In the author's opinion, the newer CO_2 laser technology creates the most versatile of all the soft tissue lasers available for implant therapy.

ERBIUM LASERS

The erbium family of lasers contains two similar wavelengths: The Er:YAG at 2940 nm and the erbium, chromium-doped yttrium-scandium-gallium-garnet (Er,Cr:YSGG) at 2780 nm. Both lasers are operated in a free-running pulsed mode. The method of delivery is by mirrored handpiece and articulated arm, waveguide, or trunk fiber and handpiece with a quartz or sapphire fiber tip. The delivery systems include a water spray to prevent heat buildup and to rehydrate the target tissues so that the energy will be absorbed more efficiently.

The erbium wavelengths are highly absorbed in water and hydroxyapatite. They are excellent for ablating hard tissues such as tooth structure and bone. When first introduced to the market, the erbium lasers were cleared by the U.S. Food and Drug Administration (FDA) only for hard tissue procedures. By vaporizing water molecules within the hard tissues, erbium lasers create microexplosions in the hydroxyapatite that breaks down the hard tissue during the ablation process. This is accomplished without charring or carbonization, and the heat generated is minimal. The erbium lasers will also

ablate soft tissue, but with limitations. It is most effective in lightly vascularized tissue where bleeding will not be an issue. The erbium wavelength is the least effective of all the dental wavelengths in achieving hemostasis.

Because it is absorbed into water, the erbium laser is safe around implants and can treat periimplantitis and mucositis safely.^{31,32} It will leave the bony surface bleeding (for healing), so curettage is not necessary, but it will not harm the implant's surface.³³ It has excellent bactericidal properties because the energy ruptures the cell membranes of bacteria when absorbed into intracellular water.

In summary, erbium lasers are versatile with excellent hard tissue applications, although their soft tissue applications are limited compared with true soft tissue lasers because of poor hemostasis.^{13,34}

LASER APPLICATIONS IN CLINICAL PRACTICE

PREOPERATIVE FRENECTOMY AND TISSUE ABLATION

In certain clinical situations, the clinician may need to alter the soft tissue architecture adjacent to the surgical site before the implant is placed. For example, a patient with a high muscle attachment too close to the surgical site would benefit from a frenectomy, to alleviate any tension on the tissue around the implant site. The more complex the surgery, such as bone grafting with a flap, the more important it is to release the muscle tension. The release of muscle tension provides a greater opportunity for success, without sutures pulling, with less postoperative pain and swelling. A frenectomy could be accomplished by any of the soft tissue lasers discussed earlier (Figure 7-2).

Before tooth extraction, the clinician also may need to alter the soft tissue if it is too thick or uneven in thickness. In Figure 7-3 (p. 18), by ablating 2 to 3 mm of tissue in a broad area distal and palatal to the upper right second molar, the resulting tissue thickness can then conveniently accommodate the abutment and crown with hygienically manageable architecture. The ability to remove tissue easily without bleeding, swelling, or postoperative pain is a tremendous advantage to both the clinician and the patient.

PREPARATION OF SURGICAL SITE

Site preparation is the first step of implant surgery. To prevent contamination of the surgical site, clinicians have used a variety of antimicrobial rinses, including chlorhexidine, before surgery.^{35,36} However, these decontamination efforts have been only partially effective because of the myriad bacteria in the oral cavity. Furthermore, if the site were to become contaminated with saliva during surgery, it would not be practical or effective to stop and rinse again.



FIGURE 7-2 • **A**, Pretreatment occlusal view of planned implant surgery site. **B**, Immediately after muscle release and frenectomy. Note that periosteum is intact. **C**, Midcrestal incision performed with an ultraspeed CO₂ laser and placement of the implant. **D**, Tissue former is placed and tissue sutured into place with two single sutures. No dressing was placed on the tissue. **E**, Radiograph of the implant with tissue former in place. **F**, Final crown seated on implant 4 months after placement.

Lasers present an excellent solution to the problem of surgical site contamination. All lasers are bactericidal. The clinician simply needs to expose the surgical site to the laser energy for a few seconds. The bactericidal effects are profound and almost instantaneous, and an implant site can be sterilized.³⁷ Before osteotomy development, the soft tissue can be sterilized much more effectively with a laser than with rinsing or swabbing. Further, if saliva were to contaminate the surgical site during the surgical procedure, it would be simple to release the area and reestablish sterility so that the procedure can continue with the greatest chance for success. The erbium and diode wavelengths can accomplish decontamination if the contact laser physically “touches” every square millimeter

of the surface to be sterilized.^{7,38} This requires a slow, deliberate application; the larger the osteotomy site, the longer the sterilization procedure.

Clinical Tip: With a contact laser, the best way to speed up the sterilization procedure is to use a large-diameter fiberoptic cable. Most clinicians who own Nd:YAG or diode lasers have just one or two fiber diameters, usually 300 to 400 μ . A decontamination procedure is most effective using a fiber diameter of 800 or 1000 μ .

**Clinical
TIP**

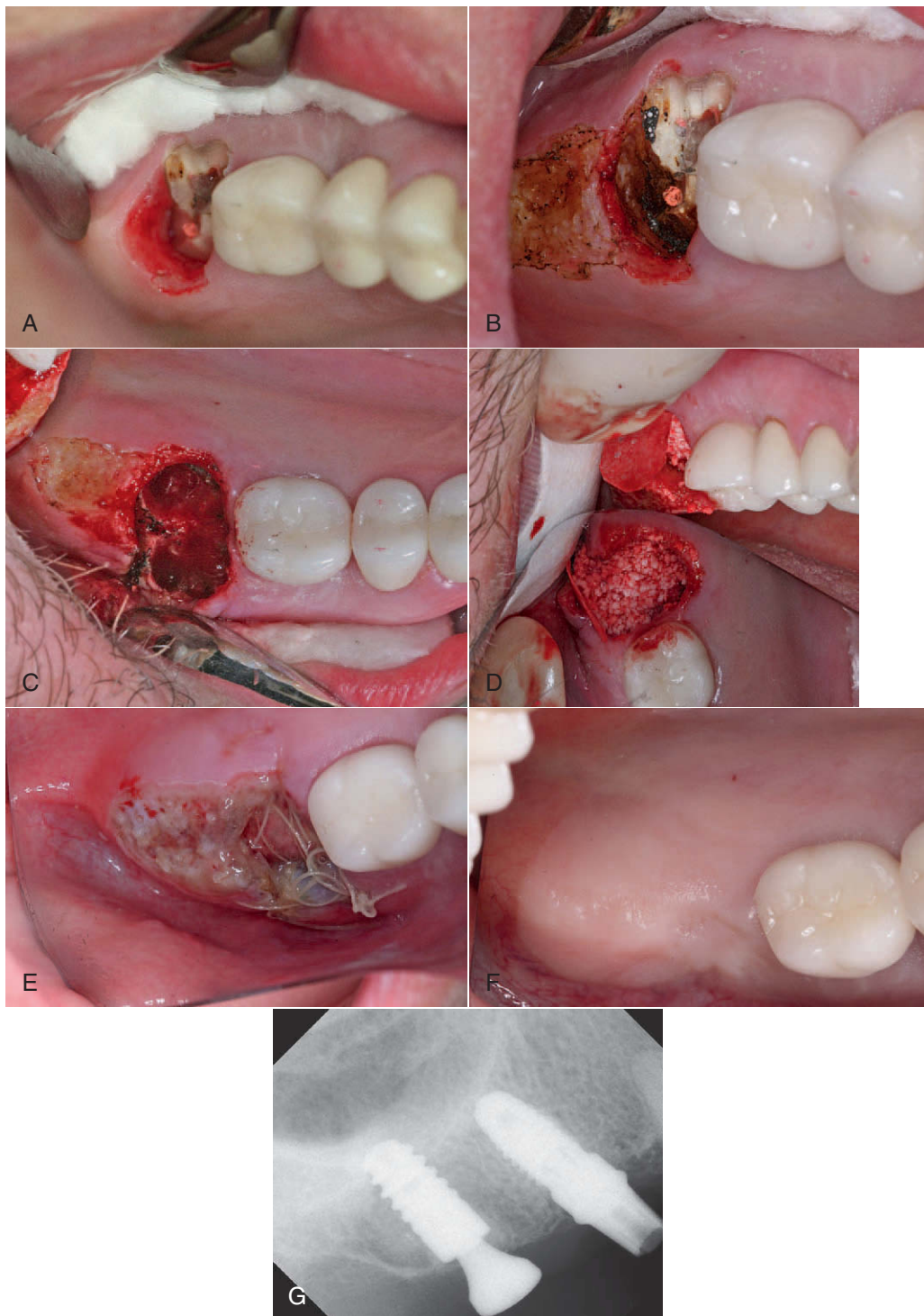


FIGURE 7-3 • **A**, Excessive tissue thickness distal and palatal to upper right second molar, which is to be extracted and replaced with an implant. **B**, Ultraspeed CO₂ laser was used to reduce the tissue contours where indicated. **C**, Extraction socket immediately after extraction of root. **D**, Socket with osseous grafting material in place. **E**, Postoperative view of extraction/graft site and tissue reduction at 24 hours. Note good pink color of tissue and lack of tension on sutures. **F**, Surgical site at 5 months postoperatively. **G**, Radiograph of implant with tissue former.

DECONTAMINATION AND IMPLANT PLACEMENT

A CO₂ laser has a distinct advantage over contact lasers. Because CO₂ lasers are noncontact, it is a simple procedure to place a wide-angle handpiece on the CO₂ laser and use the laser out of focus, which would increase the spot size on the tissue even more. Sterilization of a large osteotomy site with a CO₂ laser takes mere seconds. As the surgery progresses, the clinician and assistant should be diligent in keeping the surgical site free of saliva. In most single-implant scenarios, this can easily be accomplished. However, on large-scale surgeries with multiple implants or large incisions, it may be difficult to maintain a sterile environment. When necessary, laser energy can be redirected to the tissues to decontaminate the surgical site as often as the surgeon deems necessary, using an appropriate power setting for sterilization (Figure 7-4).

Another clinical situation requiring decontamination involves extracting teeth and placing implants immediately into the extraction site. In some cases the presence of infected tissues is obvious, and the clinician notices soft tissue around the apices of roots or in the furcation area of molars. If infection is not readily apparent, the clinician should assume that infection may compromise the procedure. The surgical goal is to eliminate all diseased soft tissues in the extraction site and to decontaminate all the bony surfaces within the site. The clinician uses a spoon curette to remove gross amounts of soft tissue easily and quickly, then uses a laser to remove any tissue tags. The entire inner surface of the extraction socket can then be decontaminated with a laser.

As with decontaminating the soft tissue of the surgical site immediately before raising the flap, it is difficult to “touch” all the surfaces of the socket with a diode or Nd:YAG fiber. Of course, neither diode nor Nd:YAG lasers are indicated for use on bone. The erbium wavelengths are effective in removing the remaining soft tissue and decontaminating the bony surfaces at lower power settings with a water-coolant spray.¹³ Because they are not as effective as other wavelengths in creating hemostasis, erbium wavelengths would leave the bone surfaces bleeding, which enhances healing of the socket, whether an implant or a graft is placed, or the socket is simply left to fill in. The CO₂ lasers are also a good choice because they will also remove soft tissue tags and decontaminate bony surfaces, again at low power densities.³⁹ However, CO₂ is an excellent hemostatic wavelength, so the effect of hemostasis³⁹ on the tissues must be overcome for healing.³⁵

The clinician should gently curette the bone to reestablish bleeding and maximize the healing potential of the implant or graft site. The laser energy must be delivered to *all* the bony surfaces within the extraction site. If a severely dilacerated root causes an inaccessible line of sight for the access of the laser beam, the clinician should allow the body’s natural defense mechanisms to heal the site over weeks and make it safe for reentry to perform the implant or grafting procedures.

Figure 7-5 (pp. 121-122) illustrates the procedure for decontaminating a surgical site and socket, involving an upper left central incisor planned for extraction. Note the frenum’s proximity to the surgical site. A laser frenectomy is performed to ensure no tension on the tissues immediately surrounding the site. After sterilizing the bony crypt and surrounding marginal tissue, the implant is placed with confidence that the soft and hard tissue of the surgical area is free of disease and bacteria.

Figure 7-6 (p. 123) illustrates a similar situation with an upper left first premolar planned for extraction and implant replacement. Both the internal and the external aspects of the surgical site are decontaminated with the laser. An abutment is placed so that the patient can wear a temporary fixed prosthesis in that quadrant. A soft tissue troughing procedure is performed on the molar. At 4 months, the soft tissue surrounding the implant is recontoured for a better esthetic result.

OSTEOTOMY Soft Tissue

The next objective in laser implant surgery is preparation of the osteotomy through soft tissues first and then hard tissues. The clinician must first decide on the desired pattern of entry through the soft tissue. In some cases a minimal entry, often referred to as a “punch procedure,” is the goal. The soft tissue is removed in a 3- to 4-mm diameter down to the crest of bone. This soft tissue may be 1 to 2 mm or 3 to 4 mm thick, depending on location and biotype. If the tissue is relatively thin (1-2 mm), any wavelength is acceptable. If the tissue is thicker, using a diode or Nd:YAG laser may take minutes versus seconds for erbium and CO₂ lasers. Depending on the tissue quality, bleeding might be an issue with erbium lasers. By quickly and efficiently cutting through the tissue and creating optimal vision for the surgeon, the time of the procedure may often be reduced compared to conventional techniques.

Other designs for tissue entry include small envelope flaps, often used to gain tissue height (as in anterior implants and other areas) and provide better-quality tissue around the abutment-crown complex (Figure 7-7, p. 124).

As the entry site increases in size and the flap design becomes more complex, going through multiple layers of both attached (keratinized) and nonattached (mucosal) tissues becomes a significant consideration in choosing the proper wavelength. Speed of cutting reduces procedure time, as does hemostasis, which also enhances vision. Thus, as more soft tissue is involved, diode and Nd:YAG lasers become less effective. Remember that these are contact lasers, so to incise larger amounts and layers of soft tissue, it requires more time to make the incision. Erbium lasers do not provide hemostasis as well as other wavelengths for larger incisions. The unobstructed vision, excellent hemostasis, and efficiency of cutting through all tissue biotypes and thicknesses make the CO₂ laser most suitable for these procedures.³⁹

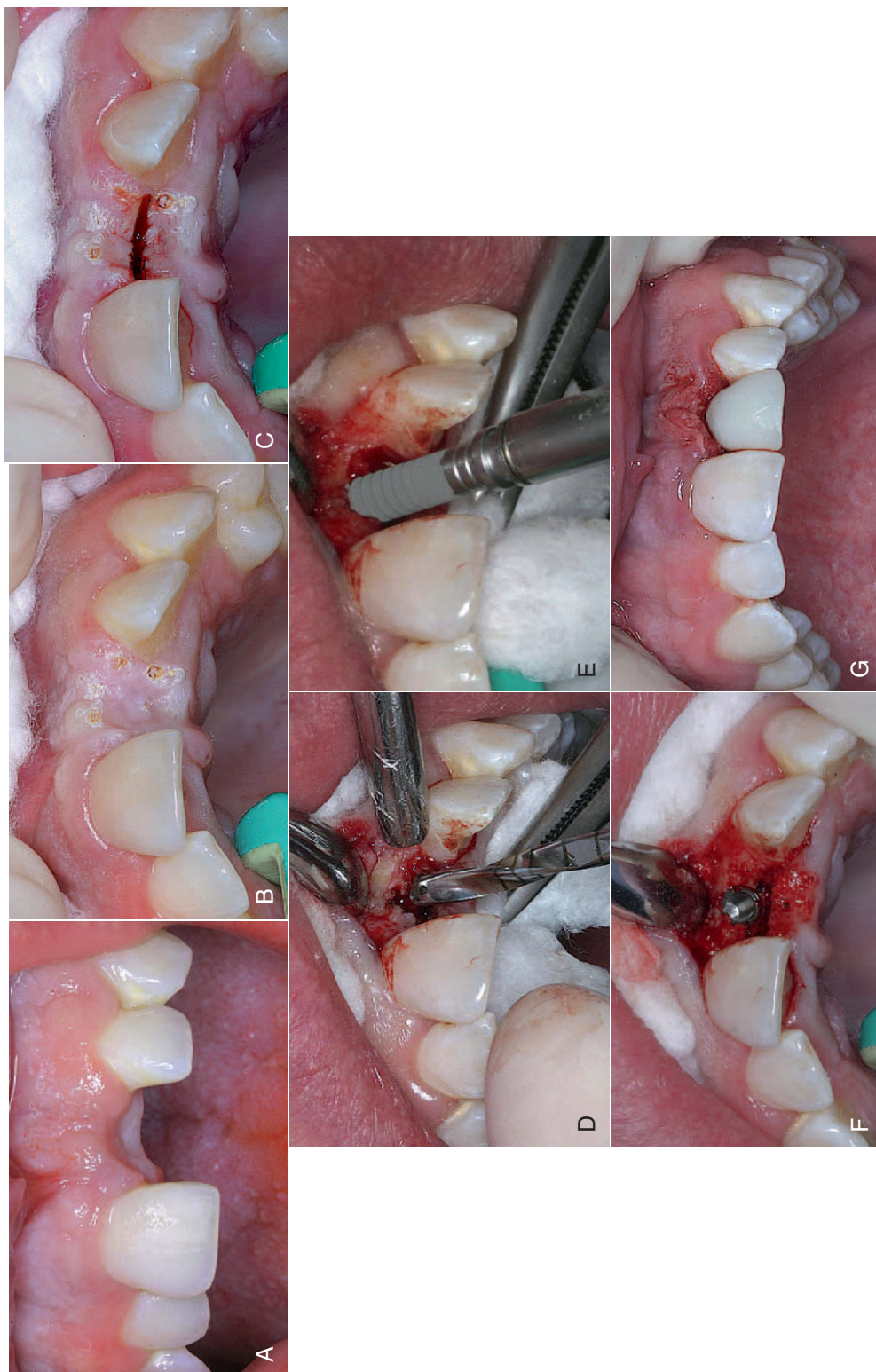


FIGURE 7-4 • **A**, Preoperative photograph of implant site for replacing upper-left central incisor. **B**, Surgical site decontaminated with an ultraspeed CO₂ laser. **C**, Midcrestal incision with the laser. **D**, The flap is elevated and the osteotomy site being prepared. Note excellent visualization of the surgical site with no bleeding to obscure the surgeon's vision. **E**, Implant being placed into osteotomy site. **F**, Completed implant placement. **G**, Immediate temporization of implant with abutment and temporary crown, and tissue reapproximated with two single sutures.

Laser Advantages

Using laser energy to make any incision has several benefits. First, a sterile cut is less likely to become infected. Lasers incise tissue without creating the cascade of events that leads to swelling and inflammation. Because lasers seal off lymphatics and blood vessels, there is a clinically measurable reduction in pain, swelling, and other postoperative complications.^{40,41} If swelling is reduced, sutures will not pull through the tissue or will be less likely to come undone. Fewer and milder analgesics and antibiotics are needed (with fewer prescription interactions) because patients experience a significantly less traumatic postoperative course. This is true for both minor and major surgical procedures.

Hemostasis

Another advantage of laser use involves patients who are anti-coagulated with common medications such as aspirin, clopidogrel (Plavix), and warfarin (Coumadin). Some patients also take herbal remedies that can significantly alter their clotting time. The main question with anticoagulated patients is whether their medication should be stopped before surgery. The clinician needs to be aware of the individual patient's circumstances and consult with the primary care physician. Before any dental surgery, the patient's health history must be reviewed and updated. If there is a concern with the patient's medications, the appropriate laboratory work, including an international normalized ratio (INR), must be ordered.



FIGURE 7-5 • **A** Clinical, and **B**, radiographic, pretreatment views of tooth #9 with internal root resorption and inevitable extraction. **C**, Tissue recontouring and frenectomy performed with an ultraspeed CO₂ laser. **D**, Postextraction view. Bony crypt is sterilized with surrounding marginal tissue using an ultraspeed CO₂ laser. **E**, Implant is placed immediately after the extraction. **F**, Before impression taking 3 months later, site is evaluated for tissue height and thickness. *Continued*

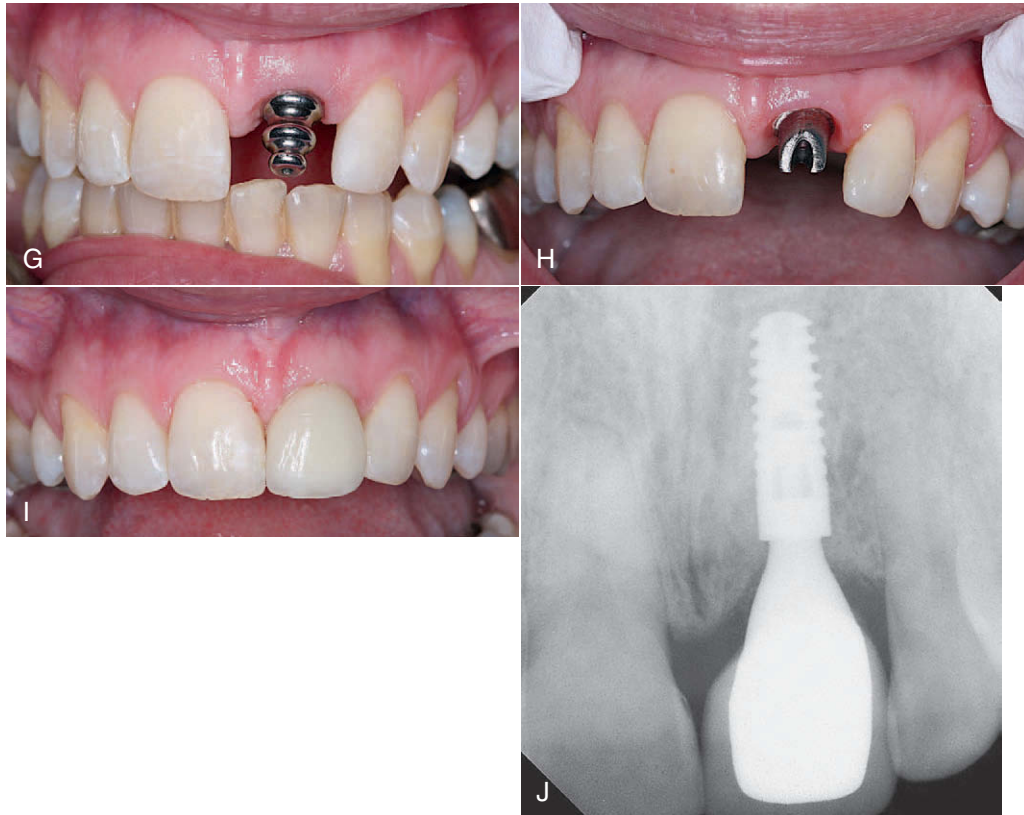


FIGURE 7-5, cont'd • **G**, Impression coping is in place. **H**, Abutment is seated 4 months after extraction. **I**, Final crown is seated; clinical view shows excellent soft tissue contours. **J**, Radiograph shows excellent bone height.

Recent studies on altering a patient's medications before dental surgeries reveal few if any reasons to change the anticoagulant if the patient has an INR less than 4.0,^{42,43} although the final decision rests with the primary care physician. Patients receiving anticoagulant therapy will benefit more from the use of lasers in dental surgical procedures than healthier patients. Lasers have excellent hemostatic properties that lead to decreased bleeding, so intraoperative hemorrhage control is less of an issue.

Also, the use of lasers leads to decreased postoperative swelling and superior tissue healing. This can be attributed to decreased tissue damage, a less traumatic wound, more precise control of the depth of tissue damage, and fewer myofibroblasts in laser wounds compared with scalpel wounds.²⁵ The traditional scalpel does not induce hemostasis, so the control of bleeding must be addressed by more conventional means. For example, application of pressure by biting on gauze or teabags, suturing, placing oxidized cellulose, applying topical thrombin, or using tranexamic acid mouthwashes can all be used to help control hemorrhage.⁴² These treatments become unnecessary during laser surgery. The lack of hemorrhage control with the scalpel leads to obstructed vision

at the surgical site and more assistant time suctioning the area and maintaining a dry field.³⁹

Figure 7-8 (p. 125) shows an elevated flap with excellent visualization and an essentially bloodless incision in a patient with a congenitally missing upper right lateral incisor. The implant is placed with bone grafting for a facial osseous defect. A laser is also used to make a bloodless releasing incision at the distal of the upper right canine.

Hard Tissue

Once access is gained through the soft tissue, the clinician must decide how to deal with the hard tissue. To ablate bone, the erbium family of lasers is used. An erbium laser can remove bone to begin the osteotomy. Laser ablation of bone is less damaging to osseous tissues than conventional techniques, because this is a noncontact procedure with no friction between laser tip and bone. Friction from the bone-cutting drills may overheat the bone and cause necrosis at the bone-implant interface. The temperature increase in osseous tissue using an erbium laser is minimal as long as the clinician is familiar with the proper laser parameters and uses an ade-



FIGURE 7-6 • **A**, Pretreatment site where tooth #12 is to be extracted and an implant placed. **B**, Tooth has been extracted and site lasered both externally and internally. **C**, Radiograph of the immediately placed implant. **D**, Abutment is placed and temporary bridge seated from #14 to the implant. **E**, When patient returns in 4 months, tissue is modified at the same settings as previous troughing. **F**, Final three-unit bridge cemented in place.

quate water spray. Thus, controlled ablation without thermal damage is achieved. Studies show better healing and faster new-bone formation when erbium lasers are used versus conventional bone drills^{13,44-46} (Figure 7-9, p. 126).

Laser technology has not yet advanced to the point that the entire osteotomy can be completed with erbium lasers. However, laser companies are performing research with the goal of replacing bone drills with erbium “drills” for osteotomies.

BLOCK GRAFT PROCEDURE

When performing any surgical procedure, concentration must be focused on each step. If a clinician is measuring

points on a bony surface to cut or prepare, then looks away a few seconds, the clinician may lose orientation and need to remeasure and refocus. However, if the clinician could “draw” on the bone with an indelible marker, a map on the bone could guide the steps and regain focus if diverted.

The ultraspeed CO₂ or erbium wavelengths can be used at a low energy setting to mark measurements on the bone surface, creating an indelible marking. Now an “x marks the spot” placement of implants can be accomplished. The receptor site for a block graft can be visualized with this technique, and the donor block can be outlined and measured before cutting.

After the block of bone is cut and sized, the screw holes could be created with an erbium laser, thus eliminating the

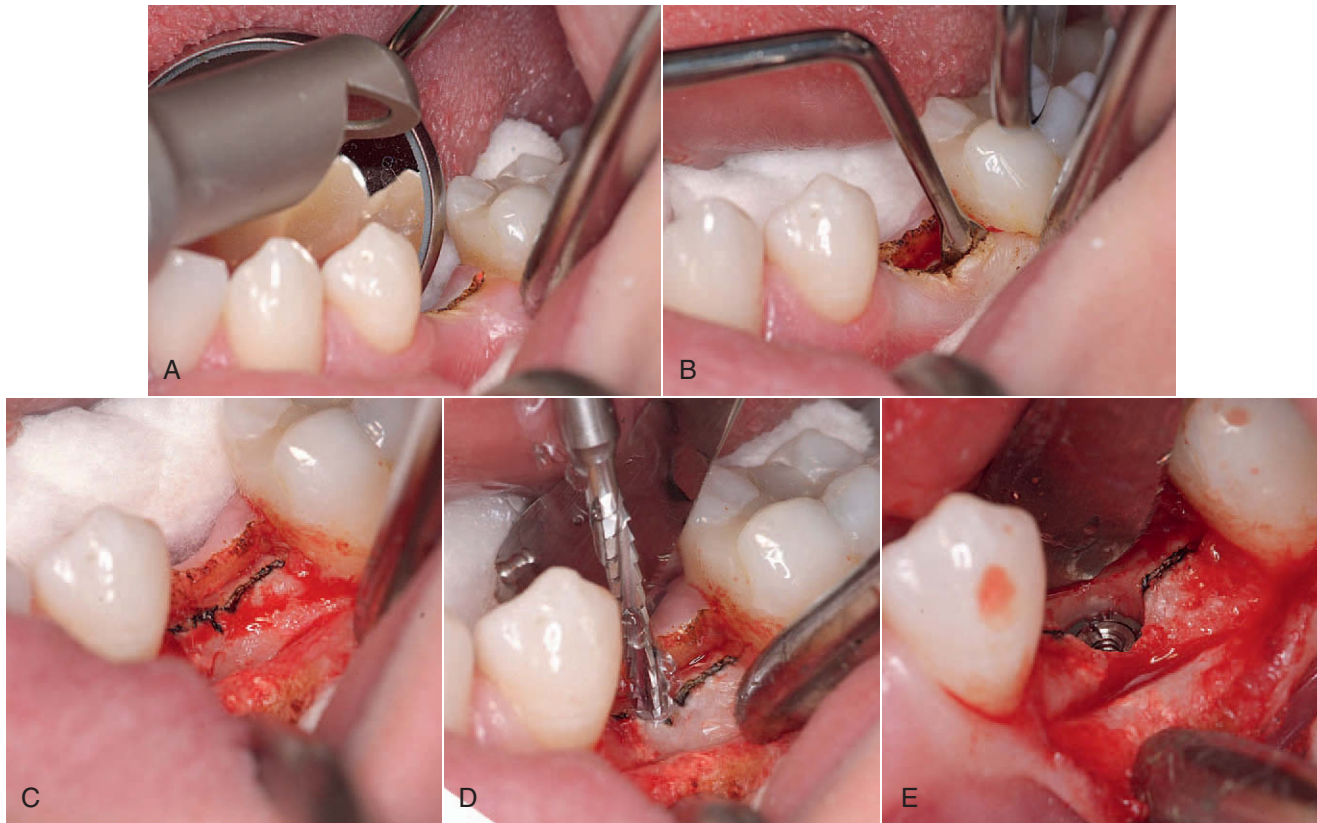


FIGURE 7-7 • **A**, An ultraspeed CO₂ laser creating incision for implant placement for tooth #20. **B**, Reflecting small envelope flap with minimal bleeding. **C**, Flap reflected. Note excellent visualization of surgical site. **D**, Beginning osteotomy site with bone drills. **E**, The 3.5-mm implant is placed 2 mm below the crest of bone, with excellent vision maintained throughout the procedure.

mechanical and frictional stresses of using a drill. The block can be sanded and modified with the erbium laser as well, also eliminating the mechanical/frictional trauma from a bur.

Lateral Window Sinus Lift

Lasers can enhance the sinus surgery that builds a foundation of bone for the eventual placement of dental implants. A typical *lateral window* approach in a posterior edentulous ridge involves a long incision from the distal aspect of the second molar along the crest of the ridge mesially to the cuspid area.⁴⁷ Here, a vertical releasing incision is made. The CO₂ laser is most efficient in making such an incision.

After the flap is elevated and the bony aspect of the surgical site visualized, the clinician prepares to cut a window in the bone. By drawing this window outline, as previously discussed for the bony surface, the surgeon is then prepared to cut the bone with a bur in a handpiece, or with a piezotome, to enter the sinus cavity. Using the CO₂ or erbium laser to “draw” on the bone creates a visible marking on the surface without damaging the bone’s integrity.⁴⁸ The erbium lasers are then used to cut through the bone, especially if the bone covering the

sinus is thin, approximately 1 mm in thickness; however, the erbium laser will also cut soft tissue, a potential problem.⁴⁹

The first goal of a well-performed sinus lift is to gain access through the bone without damaging the schneiderian membrane (nasal mucous membrane). The second goal is to deposit graft material in sufficient quantities to support the future implant placement.⁵⁰ Once exposed, the schneiderian membrane is carefully and gently elevated away from the inferior and medial surface of the sinus floor. If kept intact, this membrane helps to contain the graft material and prevent migration of the graft particles freely in the sinus cavity. If this membrane is cut or damaged, however, the graft material can migrate and cause a foreign body response, leading to complications or infection and possibly a failed graft procedure. Although a damaged membrane can be repaired, this simply complicates the procedure and introduces more risk.^{51,52}

The erbium lasers cut hard tissue and soft tissue, so there is no way to penetrate bone without penetrating the soft tissue that is intimately attached to the bone. Cutting the bone with a bur and a handpiece requires skill and practice to create the window without damaging the membrane. Perhaps the most promising tools for this purpose are the piezo surgical devices,

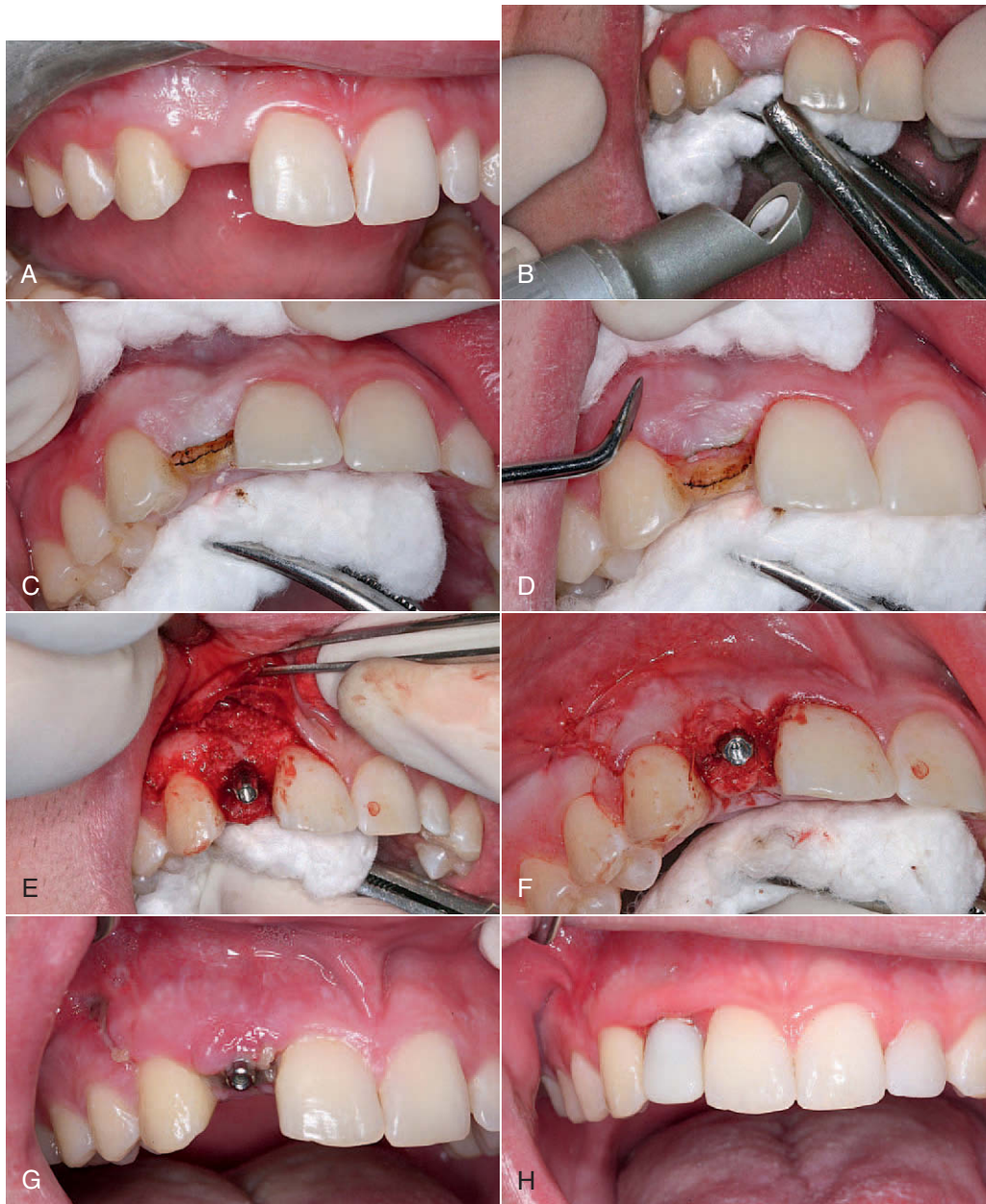


FIGURE 7-8 • **A**, Pretreatment photograph of congenitally missing tooth #7. **B**, Sterilizing surgical site with an ultraspeed CO₂ laser, 2.0 W at 80 Hz for 10 seconds. **C**, By increasing power to 4.5 W at 80 Hz, a midcrestal incision is accomplished. **D**, Bloodless incision allows good vision as flap is elevated. **E**, Implant placement with bone grafting for a facial defect. Note releasing incision distal of #6 also done with the laser. **F**, Flap is closed and sutured. **G**, At 72 hours, tissue color is normal and swelling nonexistent. **H**, At 2 weeks, temporary crown is in place and tissue is healing uneventfully.

which cuts by vibration through the bone and will not cut soft tissue.⁵³

The true benefit of lasers in the block graft procedure lies in the postoperative effects. The minimal inflammatory response by the soft tissues increases patient comfort and minimizes swelling. Sutures stay relaxed and intact. Prophylactic antibiotics may be used against postoperative sinus infections as the clinician sees fit, but localized infec-

tions at the surgical site are rare (Figures 7-10, pp. 127-128, and 7-11, p. 129).

UNCOVERING IMPLANTS

When the clinician needs to uncover an integrated implant after healing is complete, occasionally the implant body is not only covered by soft tissue, but also by newly formed bone up



FIGURE 7-9 • **A**, Laser incision for osseous graft procedure. **B**, Decortication of bone using erbium laser. **C**, At 24 hours, postoperative photograph shows good color and relaxed sutures with no swelling. **D**, Implants placed 4 months after graft procedure. **E**, Final restorations in place.

to 2 to 3 mm thick. After locating the implant radiographically, the soft tissue must be removed. This can be accomplished with any laser wavelength except the Nd:YAG, because of its adverse effects on implants. If the tissue is not too thick (1-2 mm), all wavelengths except Nd:YAG work well. If the tissue is significantly deeper, the diode laser would become too slow and inefficient. If the tissue is extremely vascular, the erbium laser may be a poor choice because bleeding might obstruct vision. For thick tissue, the CO₂ wavelength is most efficient to remove significant tissue quickly and maintain excellent visualization of the surgical site (Figures 7-12, p. 130, and 7-13, p. 131).

If bone has formed over the top of the implant, the clinician must decide the best approach. The CO₂ laser could affect

a thin layer of bone and facilitate removal of the bone with a hand instrument.³⁹ For any thickness of bone, however, the erbium lasers can efficiently and safely accomplish the uncovering process. The bone and the implant surface would remain unharmed (Figure 7-14, p. 132).

In implant dentistry, having too much soft tissue architecture has not been a common problem. In fact, the most common issue is trying to preserve more soft tissue. However, some implant designs do exhibit the characteristic result of large volumes of soft tissue. This tissue must be sculpted and shaped to allow for impression taking, abutment seating, and crown cementation. Maintaining a dry, clear visual field is imperative. Lasers are excellent tools for these cases (Figure 7-15, p. 133).

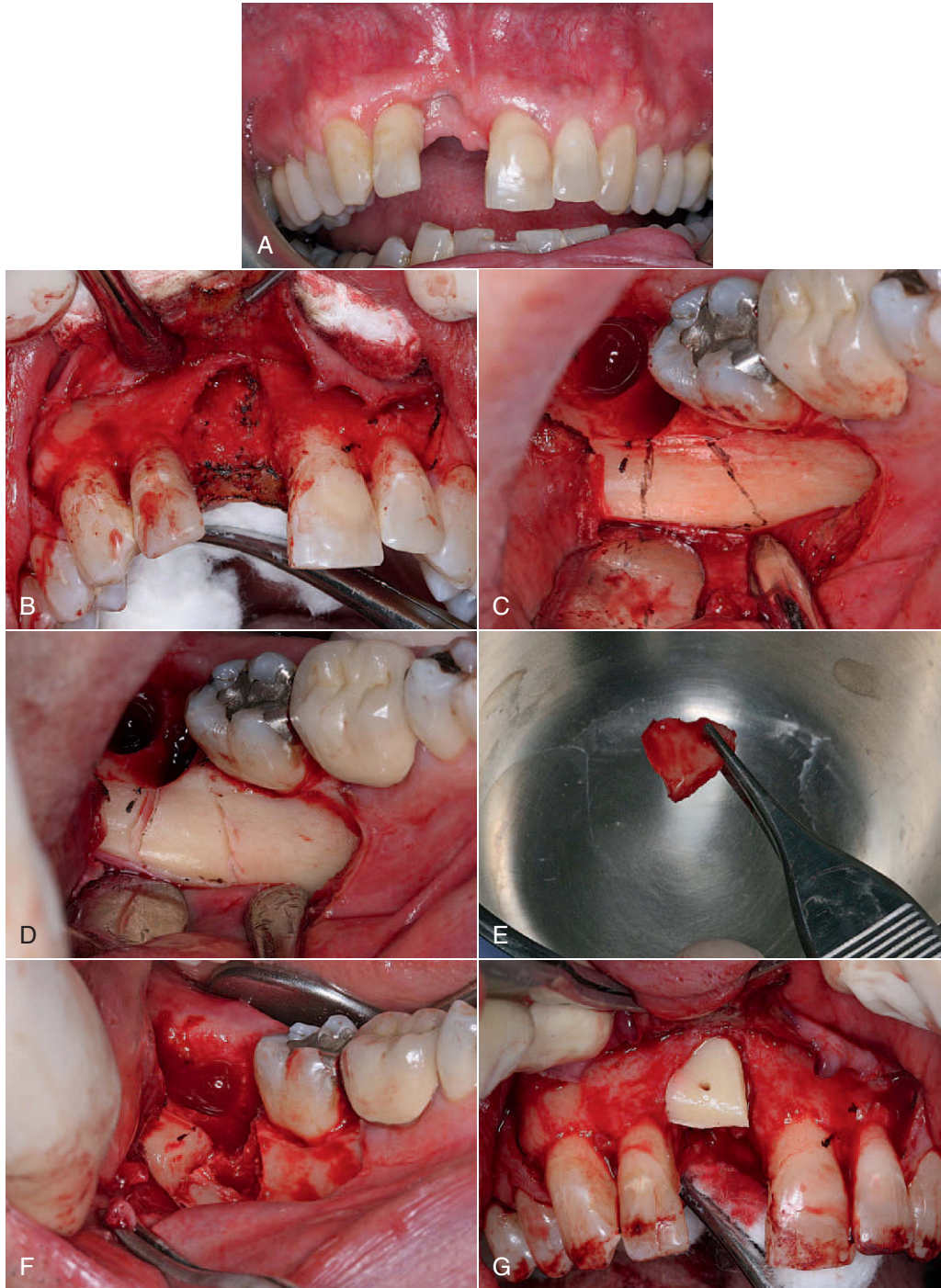


FIGURE 7-10 • **A**, Pretreatment view of recipient site for block graft. **B**, Releasing incisions performed with laser. Large flap exposes the bony defect. **C**, Flap is created at donor site, which is measured and marked with the laser. Slight char layer on bone could be described as an indelible marker. **D**, Bone saw cutting on drawn lines to obtain block of bone. **E**, Donor block removed from donor site. **F**, Donor site after harvesting the graft. **G**, Screw hole created safely in the block with erbium laser.

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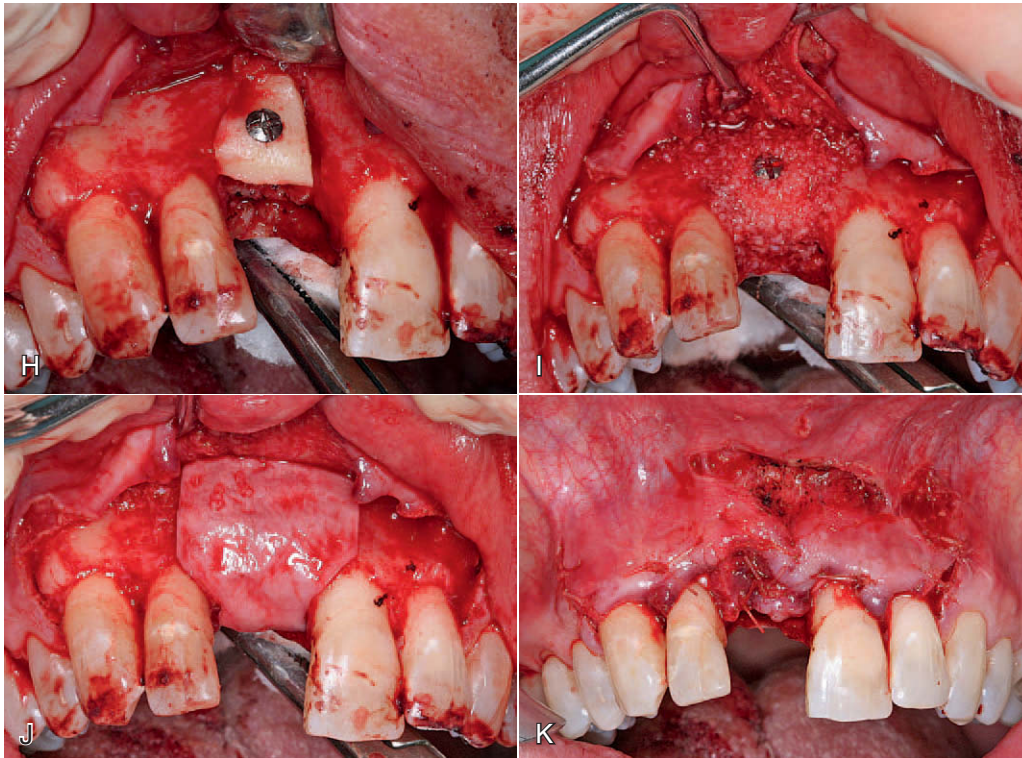


FIGURE 7-10, cont'd • H, Screw in place to secure block. I, Particulate graft placed over block. J, Resorbable barrier membrane fitted over graft site. K, Flap sutured in place and frenectomy performed to prevent tension on surgical site.

MUCOSITIS AND PERIIMPLANTITIS

The greatest complication in implant dentistry may be a late-stage infection after the implant has integrated with the bone. *Mucositis* is simply a soft tissue infection around the abutment-crown-implant complex, typically at the cervical third of the implant. *Periimplantitis* is an infection around the body or apex of the implant that leads to loss of bone.⁵⁴ Both these conditions are characterized by an inflammatory reaction to anaerobic plaque bacteria associated with a biofilm. Typically, this results in swelling and inflammation of the soft tissues and loss of bone surrounding the implant.

The many causes include the following factors: tissue quality surrounding the implant, design of the implant, surface texture of the implant, alignment of the implant, mechanical loading of the implant in occlusion, and the presence of bacteria. Clinically, the surrounding tissues exhibit inflammatory changes, bleeding, suppuration, possibly a fistula, color changes from a normal appearance, and radiographic bone loss. In severe cases the implant may need to be removed.

CONVENTIONAL THERAPY

If the implant is still stable and the bone loss is not too severe, the implant can be treated. Surgery and debridement is the treatment of choice, accompanied by administration of anti-

biotics, attempted mechanical removal of all diseased tissue from around the implant, and removal of as much bacteria as possible. Therapeutic tools include plastic instruments, citric acid, chlorhexidine, and topical tetracycline.⁵⁵⁻⁵⁷ After debridement, bone-grafting material is placed in the void in the bone in an attempt to regenerate the periimplant hard tissues. The patient is evaluated for possible mechanical overload, which is corrected if present. Finally, the patient's oral hygiene is reevaluated and possibly improved.

Unfortunately, the success rates with conventional technologies are not good. Leonhardt⁵⁸ reported a 42% failure rate for implants treated with conventional therapy for periimplantitis.

LASER-ASSISTED THERAPY

Lasers provide a new treatment modality for patients with mucositis and periimplantitis. If an erbium laser is used, the steps may proceed as follows:

- Implant is accessed with an appropriate laser incision.⁵⁹
- Once the implant and the surrounding bone are exposed, the diseased tissue is vaporized by laser energy.
- The implant surface and bony crypt are decontaminated by the laser.⁶⁰
- By ablating a thin layer of bone, necrotic bone is removed and the area decontaminated.

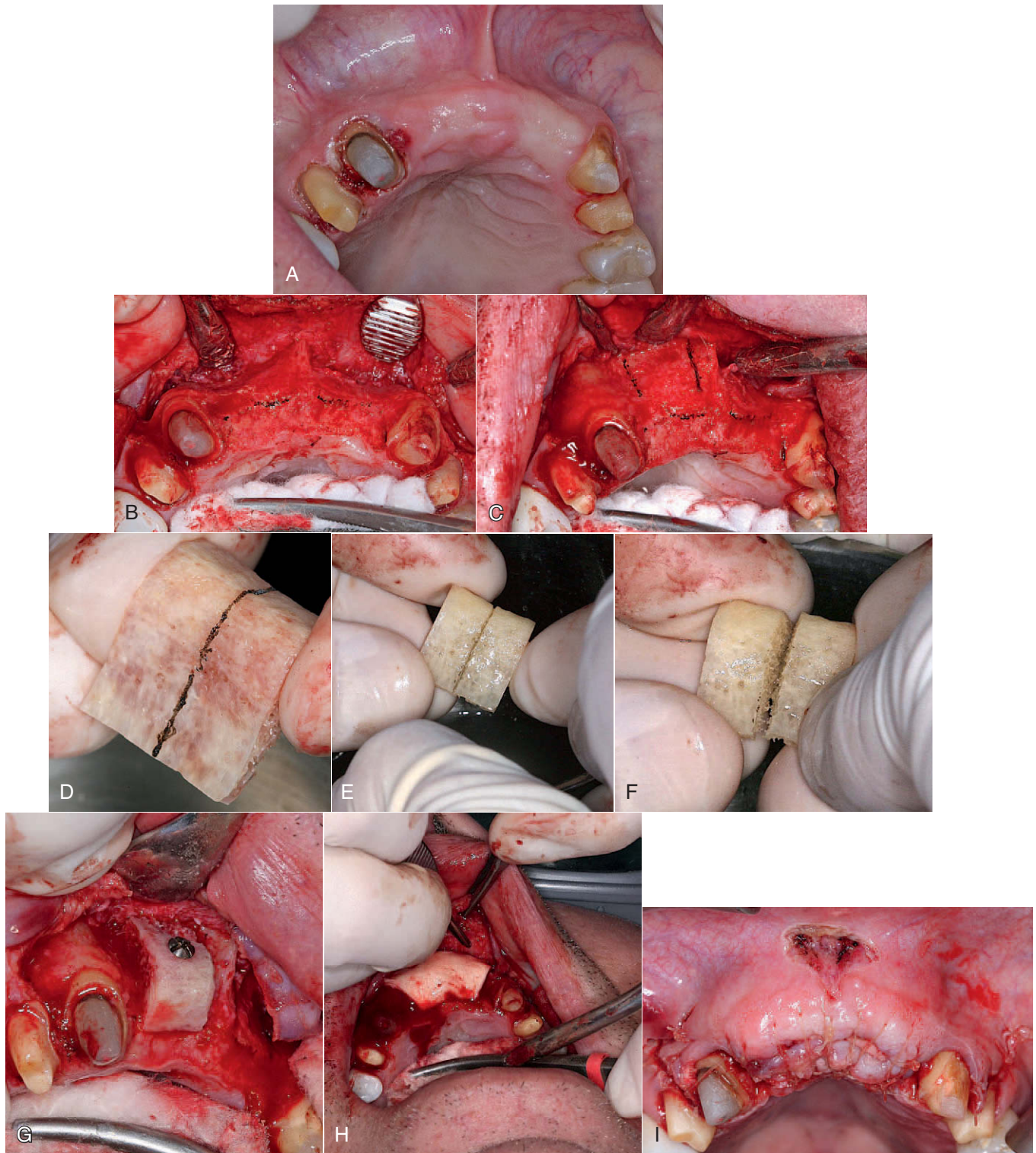


FIGURE 7-11 • **A**, Pretreatment view of recipient site for block grafts. **B**, Recipient site marked with laser. Note the light, indelible markings on the bone. **C**, Recipient site for a J Block graft showing light indelible char marking on the bone. **D**, J Block marked with laser. **E**, J Block being cut with erbium laser. **F**, J Block cut complete. Note that complete cut is smooth and atraumatic to the bone. **G**, J Block segment secured into recipient site with one screw; screw hole created with erbium laser. **H**, Membrane over J Block. **I**, Dual-block graft site with flap sutured. Note laser frenectomy to prevent tension on flap.

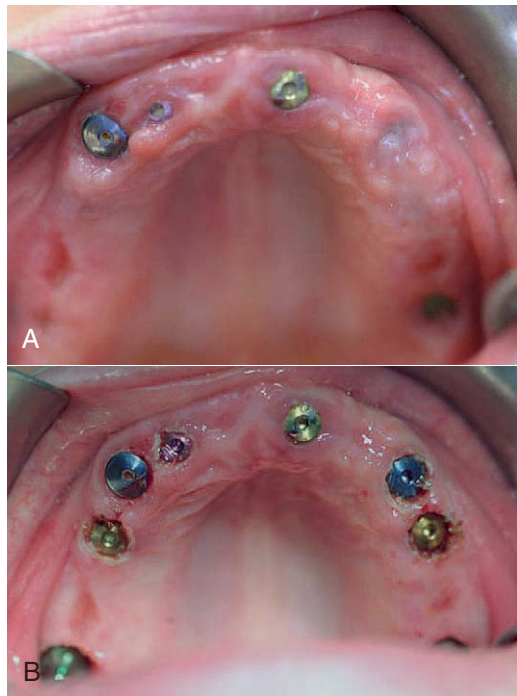


FIGURE 7-12 • **A**, Multiple implants partially covered by soft tissue. **B**, Implants exposed with laser.

Thus, debridement and decontamination are accomplished with a single instrument. Bone grafting, if necessary, can then be performed. Healing is enhanced because of reduced inflammation and postoperative pain.¹²

If a CO₂ laser is used, the clinician begins with an appropriate laser incision to expose the implant body, the bone, and the diseased soft tissue. This tissue is easily ablated and the implant surface safely decontaminated. The bony surfaces are also decontaminated, but CO₂ laser energy causes carbonization of the bone, with resulting hemostasis. Before grafting, the bony surface is mechanically scraped free of the carbonization layer with a curette, and bleeding is reestablished. The graft may then be performed. Success is greatly improved because a more sterile environment has been created. [Figure 7-16](#) (p. 134) shows an ultraspeed CO₂ laser debridement of a periimplantitis site, with healthy tissue response.

A diode laser can also be used to remove the granulation tissue and decontaminate the implant surface. [Figure 7-17](#) (p. 135) shows diode laser debridement and decontamination at the site of a fistula above an upper left cuspid implant, with excellent healing at 1 year.

Nonsurgical Therapy

Nonsurgical treatment of crestal mucositis with bone loss has also been studied. Deppe and Horch³⁹ explored sterilizing exposed implant surfaces with lasers to rehabilitate “ailing implants.” In a clinical study of 16 patients with 41 ailing implants, a CO₂ laser was used in a closed (nonflap) proce-

dure. After 4 months the implant sites decontaminated with a CO₂ laser and soft tissue resection demonstrated statistically better results than the sites decontaminated conventionally.

Erbium Laser

Schwarz et al.⁶¹ used an Er:YAG laser to treat lesions in 20 patients who had at least one implant with moderate to advanced periimplantitis, for a total of 40 implants. An Er:YAG laser was used on half the implants and mechanical debridement with plastic curettes and antiseptic therapy with chlorhexidine digluconate (0.2%) on the other half. The criteria evaluated were plaque index, bleeding on probing, probing depth, gingival recession, and clinical attachment level. After 3 and 6 months the sites decontaminated with the laser showed more improvement than conventionally treated sites.

Carbon Dioxide Laser

Romanos¹⁶ showed that a power setting of about 3 W with a CO₂ laser will decontaminate a periimplantitis-affected restoration. He theorizes that the CO₂ laser may be reflected off the implant surface and vaporize the bacteria in deep bony lesions, leading to a more thorough decontamination of the implant site. This creates better conditions for healing and reosseointegration.

Deppe et al.²⁶ showed in beagle dogs that decontamination of ailing implants is optimized with the CO₂ laser and can lead to periimplant bone growth. The procedure is performed by placing a CO₂ tip into the sulcus. The laser energy is delivered circumferentially around the implant body. The diseased soft tissue is vaporized and the bacterial count significantly reduced. No bone-grafting procedure is done and no flap raised. In the author’s clinical experience, this procedure, which takes only minutes to perform, should be repeated three or four times every 7 to 10 days. This interval coincides with the time it takes for a complex subgingival biofilm to form.⁶² By interrupting the formation of this biofilm for 3 to 4 weeks, the body’s natural defenses and immune response are able to heal the lesion. Assuming that other causative factors are resolved, such as mechanical overload and oral hygiene, the pathological process will be stopped, and in some cases, regeneration of bone will occur. Although the extent is not yet predictable, implants with bone loss of up to 6 mm have been shown to regenerate 1 to 4 mm of new bone and to regenerate healthy periimplant soft tissue.^{26,63}

To conclude, the most conservative early- to middle-stage treatment of a mucositis involving bone loss at the cervical aspect of the implant is a nonsurgical approach using laser energy, without an incision or flap and not requiring a bone-grafting procedure. If the problem is more complex or involves the apical portion of the implant, a laser-assisted surgical approach is appropriate, typically involving incisions, flaps, and bone grafting. With either approach, the results to

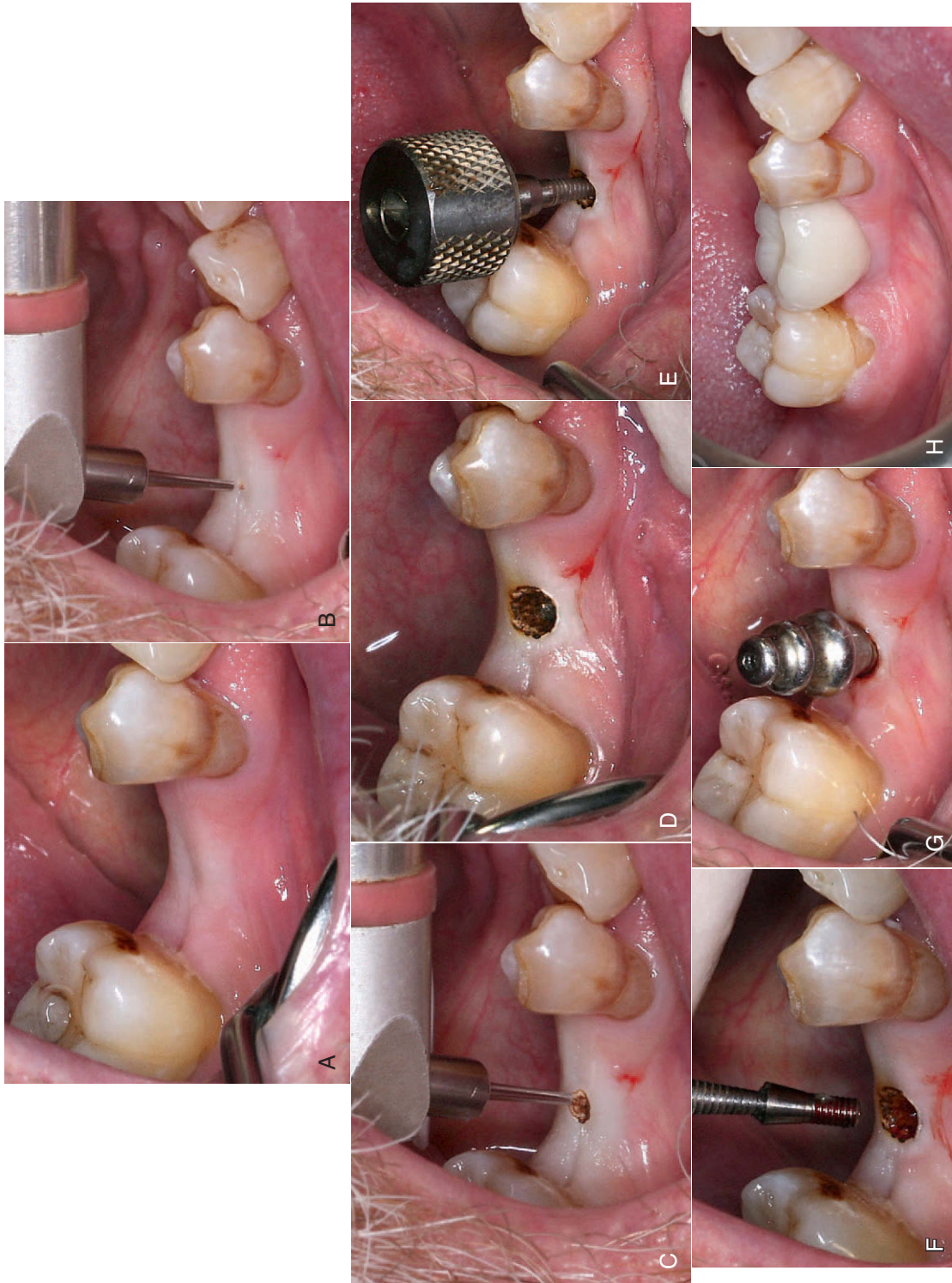


FIGURE 7-13 • A, Healed implant site ready to be uncovered. B, Laser beginning to uncover implant. C, Tissue being ablated by laser. D, Implant uncovered after 30 seconds of exposure to laser energy. E, Device to remove sealing screw in position. F, Sealing screw easily removed. G, Impression coping placed easily with no hemorrhaging. H, Final crown seated 4 weeks later.

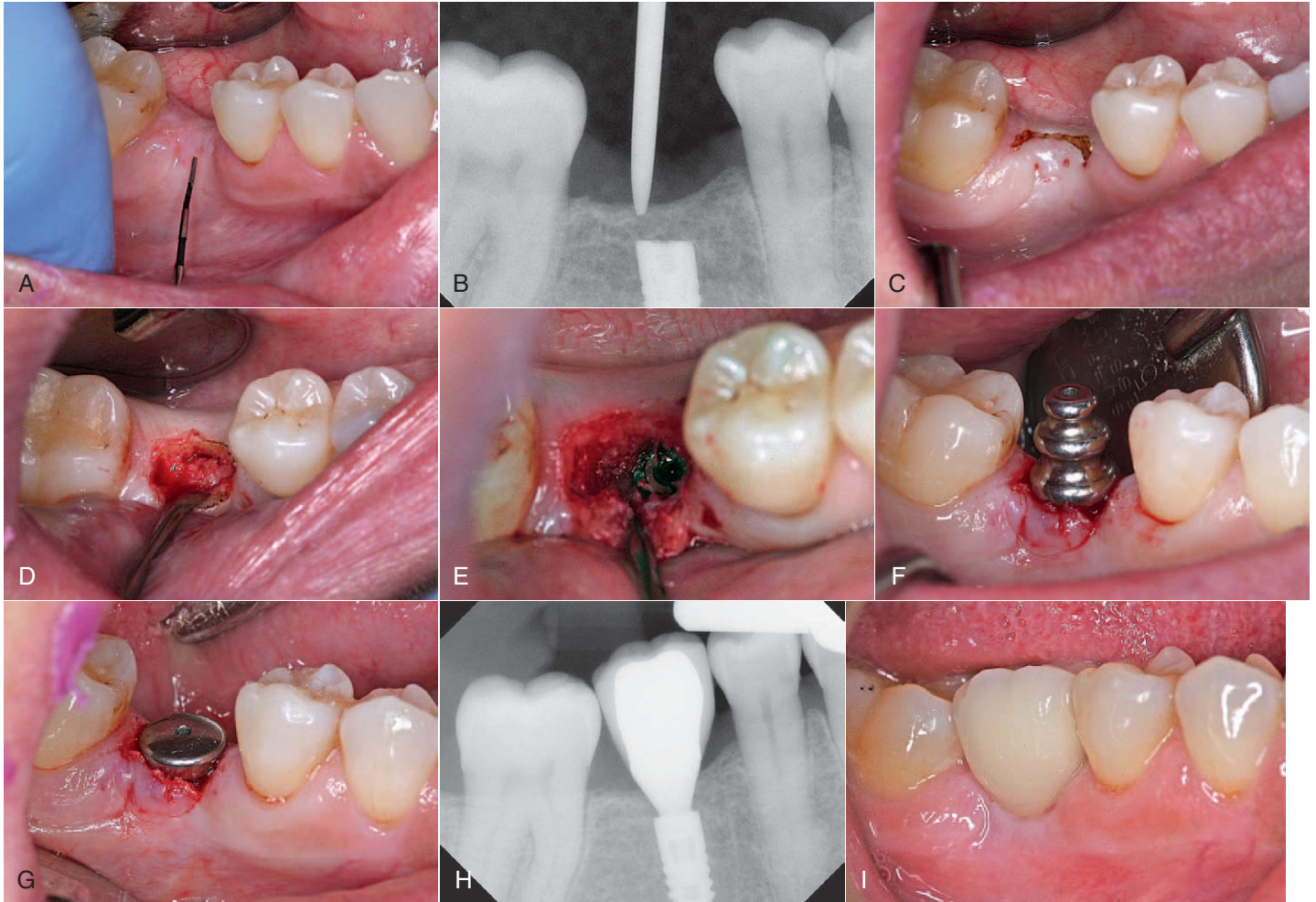


FIGURE 7-14 • **A**, Photograph of healed implant site ready to be uncovered. **B**, Radiograph of site is used to help locate the integrated implant and reveals bone growth over implant. **C**, Incision in soft tissue down to bone with single pass of laser. **D**, Bone over implant exposed. **E**, Removal of bone with erbium laser. Total laser exposure time was 2 minutes. **F**, Transfer coping in place. **G**, Tissue former in place. No suturing was done. **H**, Final radiograph of abutment and crown placed into integrated implant 1 month after uncovering. **I**, Clinical photograph of final crown on day of cementation.

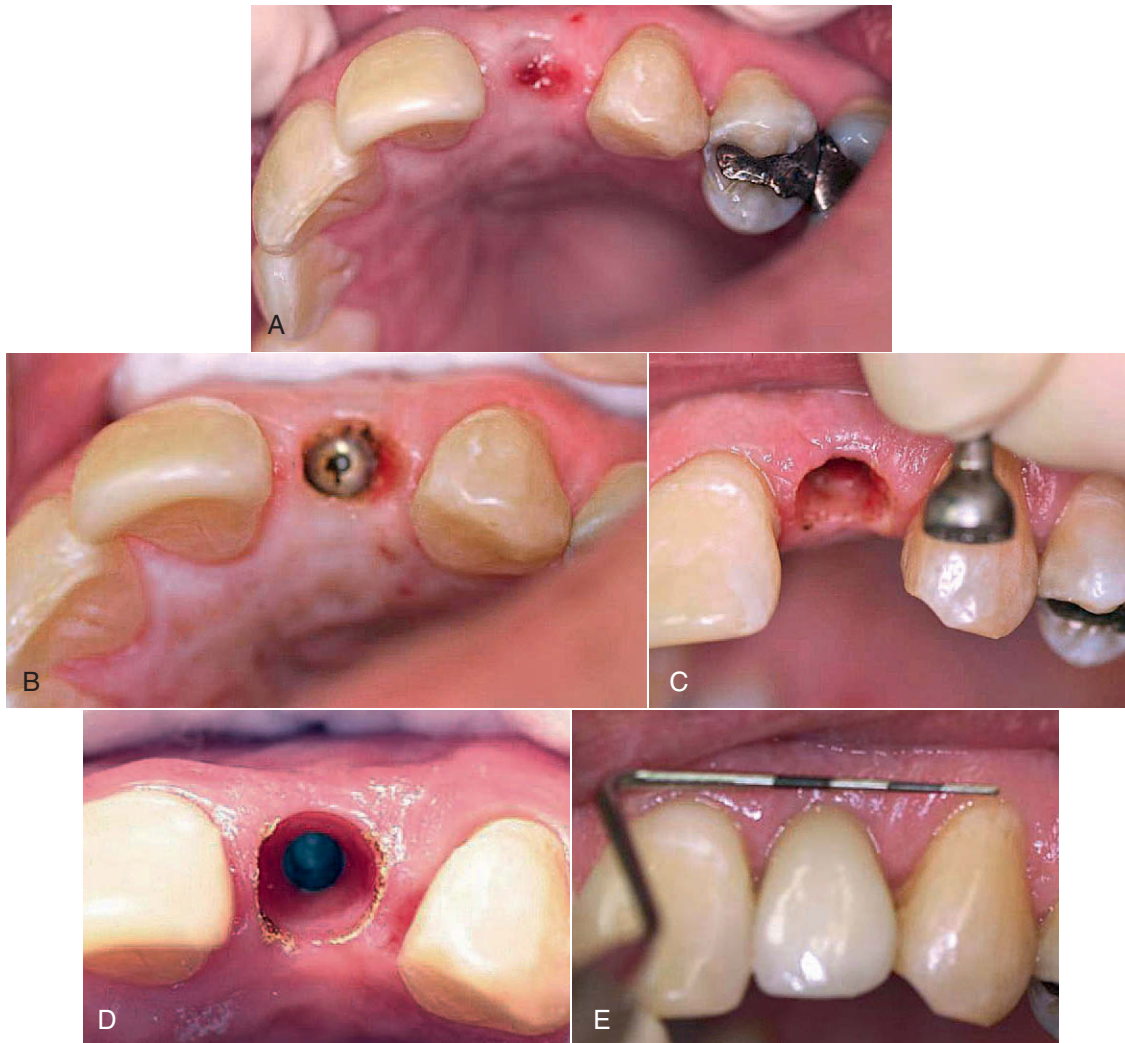


FIGURE 7-15 • **A**, Laser uncovering an implant. **B**, Incisal view of modified tissue. Sufficient tissue was removed to allow placement of larger-diameter tissue former without blanching tissue. **C**, Tissue former removed and tissue recontoured. **D**, Contour resulting from the new tissue former. **E**, Restoration cemented in place.

date are promising and appear to have a higher success rate than traditional methods.^{39,64}

Figure 7-18 (p. 136) shows an implant with temporary crown for a left lateral incisor. When this patient returned after leaving the area for 6 months, mucositis was evident, and the patient was treated with a CO₂ laser nonsurgically. The tip was positioned circumferentially and applied for 30 seconds facially, lingually, mesially, and distally. The patient returned at 1-week intervals for three treatments. At 1 month, the final abutment and crown were seated and the tissue was treated once more. After the patient again left for an extended period, bone regeneration was seen at 10 months postcementation (Figure 7-18, F).

Diode versus CO₂ Scenarios

If an implant was placed below the crest of the bone and the bone remained intact at the crest, the result would be a large

volume of tissue surrounding the implant body. This tissue might need to be sculpted in one of several approaches: simply seating a tissue former or healing abutment; changing to a larger-size tissue former after initial healing; seating the final abutment, depending on its size and shape; or seating the final crown. In each case, hemorrhage control indicates a diode or CO₂ wavelength would be most appropriate. The CO₂ laser would have the benefit of speed and efficiency over the diode.

Also, if an impression was needed of an abutment in place whose margins were below the tissue crest, a *troughing* procedure with a CO₂ or diode laser would create a good environment for an impression, while being less traumatic to the tissue than the traditional retraction cord technique (see Chapter 8). Further, cementation of crowns below the tissue crest can irritate the tissue if some cement is not removed. If this situation is suspected, a *troughing* procedure around the crown to visualize all the margins would

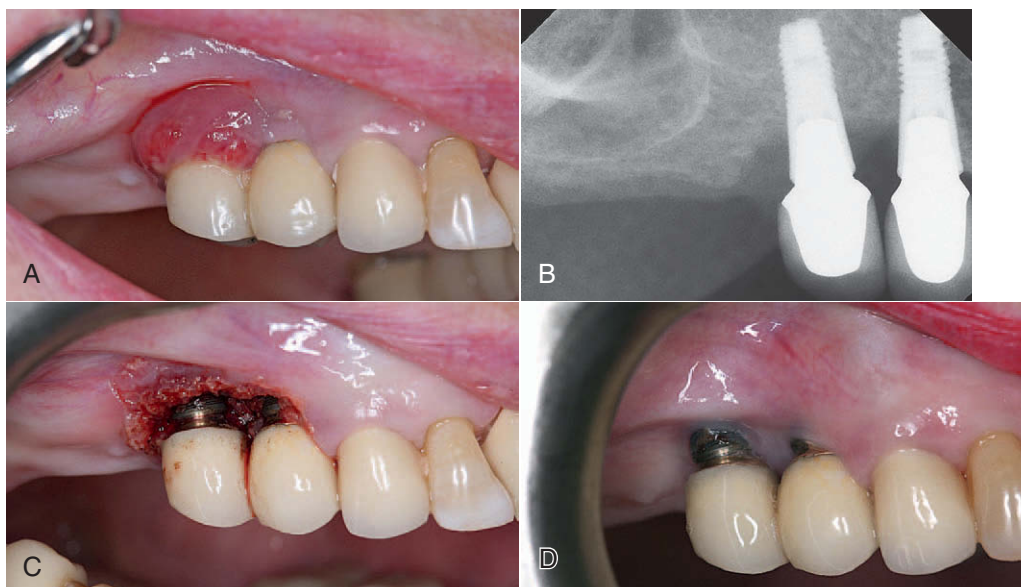


FIGURE 7-16 • **A**, Periimplantitis affecting implant in upper-right second premolar space. **B**, Radiograph of bone loss. **C**, Area after closed ultraspeed CO₂ laser debridement of periimplant tissue. **D**, Beautiful tissue response to laser and healthy periimplant tissue.

be beneficial. The CO₂ wavelength would be the logical choice because of its hemostatic effects, because it is less traumatic to tissue than other soft tissue lasers, and it is less likely to affect the marginal tissue, so esthetics would be preserved.

FUTURE OF LASERS IN IMPLANT DENTISTRY

As clinicians become more experienced with laser technology in their practice, the adjunctive uses expand. The use of lasers in implant dentistry is even more promising. The ability to control depth of cutting would allow the use of erbium lasers in osteotomy site preparations instead of bone drills. The mechanical action of a drill creates friction and can overheat the bone.⁴⁷ A nonsterile drill can contaminate the surgical site. An erbium laser could make the same cut in the bone without mechanical trauma. Also, because lasers sterilize as they cut, using a laser in the osteotomy site would reduce the postoperative risk of infection and promote a successful outcome.¹³

El-Montaser et al.⁶⁵ showed that healing in an implant site prepared with an erbium laser was better than a bur-prepared site. Their results showed that bone ablation with the Er:YAG laser promotes ingrowth of new bone around inserted titanium metal implants and that osseointegration can occur. For erbium lasers to replace current implant drills, precision in the depth and diameter of cut is necessary.

In another area, low-level laser application is thought to improve wound healing, with evidence of accumulated collagen fibrils, accelerated cell reproduction, and increased prostaglandin levels.⁶⁶ More studies are needed, but the science is sufficiently encouraging to state that lasers will be able to accelerate wound healing and enhance patient comfort (see Chapter 15).

CONCLUSION

Lasers have significant benefits in modern clinical dentistry, especially in implant dentistry. Diode, CO₂, and erbium lasers have the potential to improve the clinician's ability to deliver the highest quality of care, while providing a more comfortable experience for the patient with fewer postoperative problems. Each laser emits a different wavelength in the electromagnetic spectrum, and each has a unique effect on hard and soft tissues. Therefore, each wavelength has advantages and disadvantages depending on the clinical goals, skill and experience of the clinician, and the target tissue type.

It is evident that most, if not all, of the steps in implant procedures can be accomplished or enhanced with lasers. It is incumbent on the clinician to learn about the choices. The dental profession and the patient can benefit greatly from embracing laser technology, and experienced clinicians will find further uses for lasers as technology continues to improve. Any dentist placing or restoring dental implants will find lasers invaluable in making procedures easier and more successful.

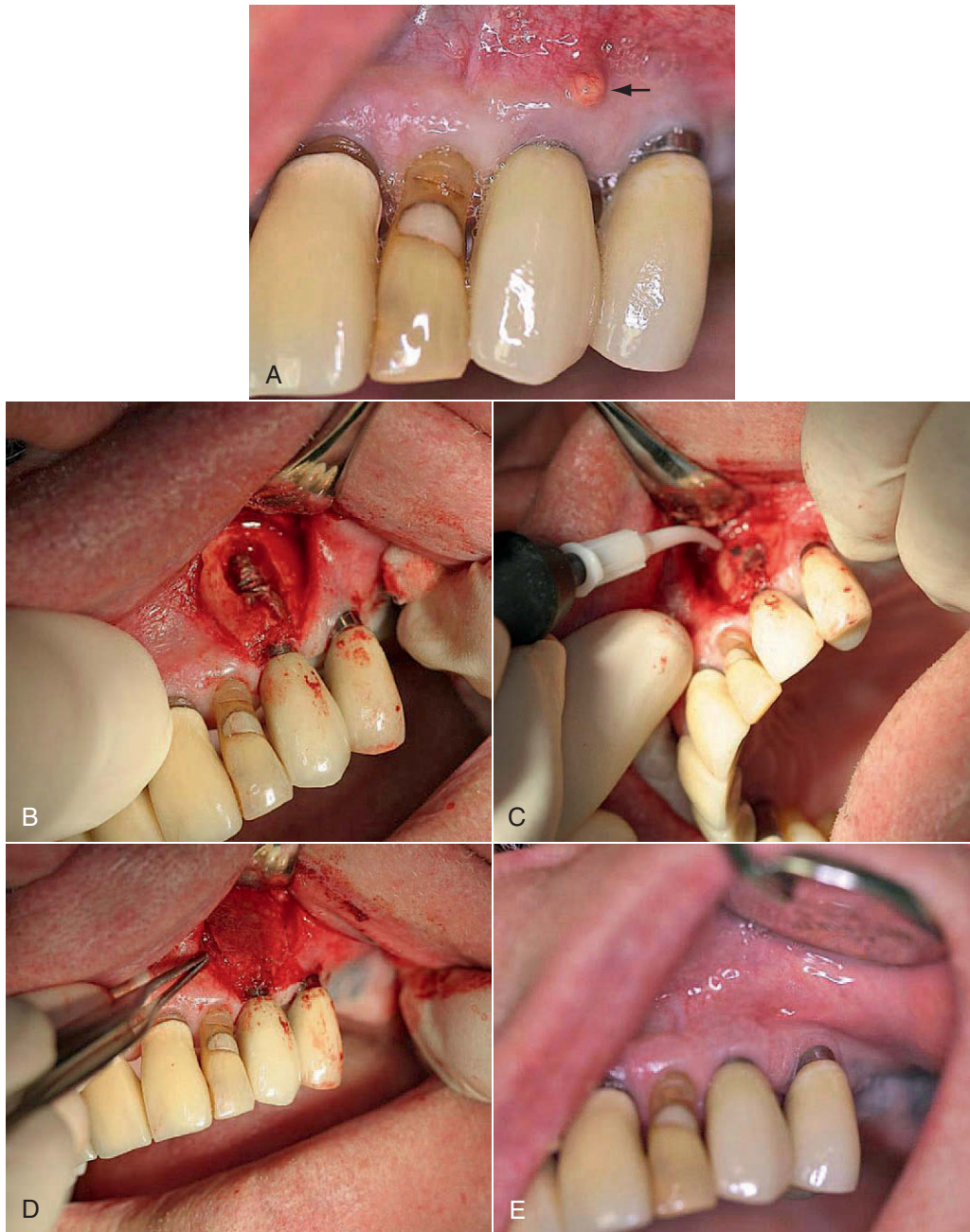


FIGURE 7-17 • **A**, Fistula (*arrow*) above the upper left cuspid implant. **B**, After conventional access with scalpel, the site was exposed. **C**, Diode laser (980 nm) is used to debride soft tissue and decontaminate site. **D**, Osseous graft placed over decontaminated site with a resorbable membrane in place. **E**, Postoperative photograph at 1 year shows excellent healing.

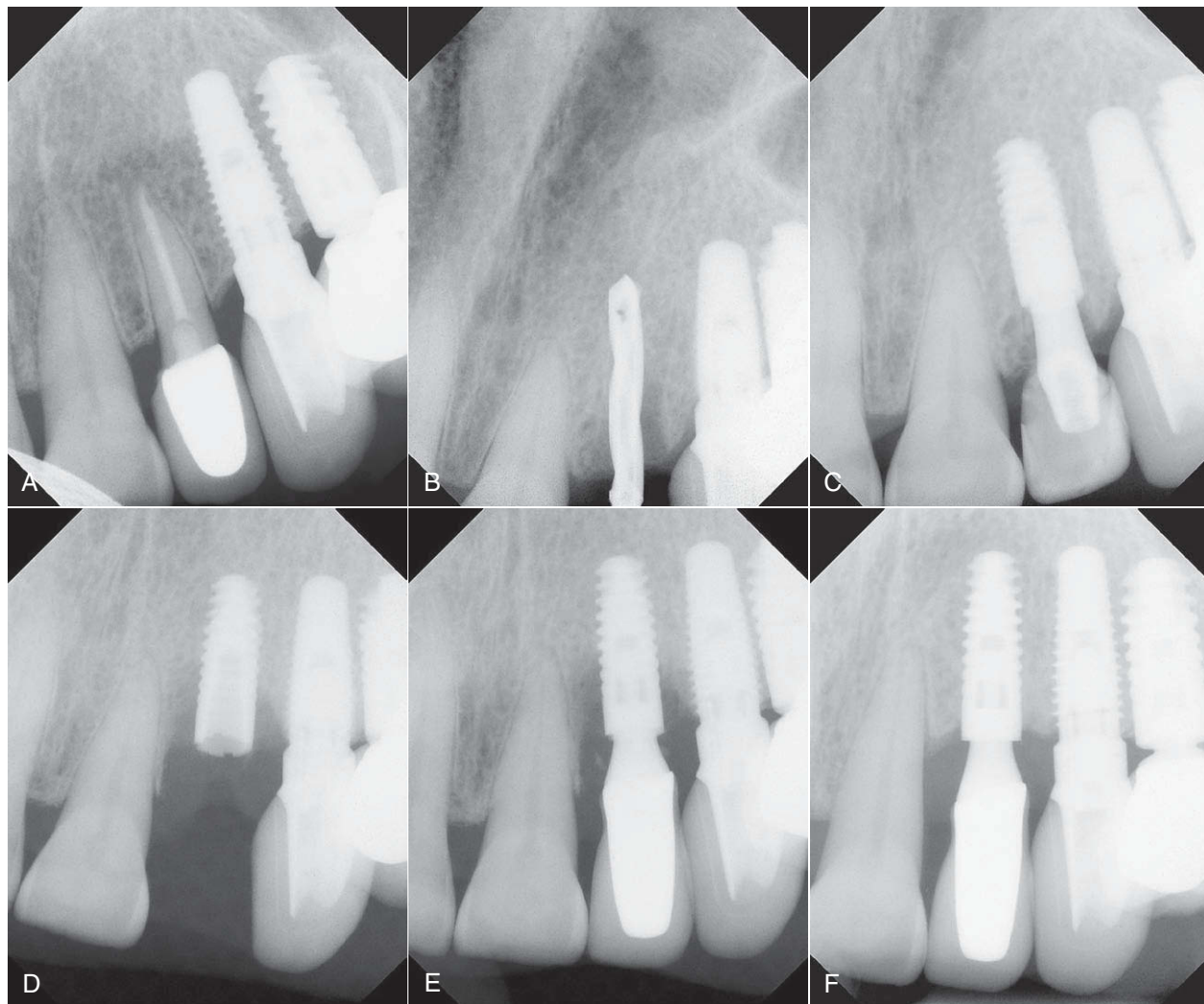


FIGURE 7-18 • **A**, Pretreatment radiograph of upper left lateral incisor to be extracted. **B**, Radiograph showing implant site being prepared immediately after extraction. **C**, Radiograph of immediately placed implant loaded with temporary crown. **D**, Bone loss to fourth thread. **E**, Radiograph at 1 month after treatments. **F**, Radiograph at 10 months after cementation. Bone has regenerated to within 1 mm of top of implant. No flap was raised and no grafting performed.

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Lasers in Fixed Prosthetic and Cosmetic Reconstruction

8

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This chapter describes the clinical applications of laser dentistry with respect to soft tissue and hard tissue alterations for prosthetic and cosmetic reconstruction. Clinical advantages of laser use clearly benefit both dentist and patient. A clear field of vision resulting from excellent hemostasis and moisture control allows the dentist to perform all procedures with precision. Major advantages of laser use include reduced intraoral bleeding, much less postoperative pain, and remarkably less swelling compared with conventional techniques such as electrosurgery.

The procedural techniques routinely encountered during fixed prosthetic and cosmetic reconstruction include the following:

- Troughing for impression taking
- Hard and soft tissue crown lengthening
- Emergence profile creation
- Ovate pontic design
- Melanin depigmentation
- Laser bleaching

Before each of these procedures is discussed, the role of various laser wavelengths and biologic width in cosmetic and prosthetic reconstruction is reviewed.

LASER WAVELENGTHS FOR COSMETIC/PROSTHETIC PROCEDURES

CARBON DIOXIDE LASERS

The CO₂ wavelength of 10,600 nm is delivered through an articulated arm or waveguide that terminates at a handpiece. Most CO₂ laser manufacturers have multiple handpieces with different angles (straight and contra-angle) and different focal points to perform different procedures (e.g., vaporization, coagulation, tissue modification).

Oral soft tissue is 90% to 97% water. The CO₂ wavelength is highly absorbed by water, more so than any other soft tissue laser wavelength. CO₂ lasers are therefore the most efficient lasers because they are best absorbed by soft tissue. Soft tissue excision or incision surgery is accomplished at 100° C, at

which vaporization of intracellular and extracellular water causes ablation of biological tissue.¹

The mode of operation for CO₂ lasers historically has been a continuous wave, which means energy is emitted constantly for as long as the laser is activated. Mechanical and electrical controls can produce a chopped, gated, “superfast,” or ultrafast pulse of this continuous wave, which minimizes the heat transferred to the tissue. This in turn reduces thermal damage to the target and surrounding tissue.

ERBIUM LASERS

The erbium-doped yttrium-aluminum-garnet (Er:YAG) wavelength is 2940 nm and the erbium, chromium-doped yttrium-scandium-gallium-garnet (Er,Cr:YSGG) 2780 nm. Erbium laser wavelengths are transmitted through semiflexible hollow waveguides, low-OH⁻ fiberoptic cables, or articulated arms. All the delivery methods terminate in a handpiece that may use sapphire or quartz tips to transmit the energy to the target tissue. These wavelengths are highly absorbed by the OH⁻ radical and thus work well in hard tissue, targeting the OH⁻ in hydroxyapatite. The erbium lasers can also cut soft tissue, but with much less hemostatic ability than soft tissue lasers.²

Erbium lasers are safe when ablating tooth decay and working close to the pulp. Because this laser wavelength penetration is not significant in depth, patients may not need anesthesia. Erbium laser cavity preparation is less traumatic to the tooth's pulpal tissues than techniques that involve rotary bur drilling. The vibration and heat produced by rotary instruments, which are the main reasons for discomfort during procedures, do not occur with the erbium wavelengths.³

One disadvantage compared with conventional techniques is diminished bond strength, particularly tensile strength, when etching with erbium lasers.⁴ Another disadvantage is that erbium wavelengths cannot remove gold or metal crowns, vitreous porcelain, or amalgam restorations (see Chapter 11).

DIODE LASERS

Diode lasers deliver wavelengths at 810 to 1064 nm. Diode lasers are compact and portable solid-state units. They are

used strictly for soft tissue procedures and penetrate 2 to 3 mm or more into soft tissue, depending on the wavelength and tissue biotype. Diode lasers are absorbed by pigmented structures, making them ideal for cutting melanotic soft tissues and providing hemostasis.⁵

The usefulness of the diode laser can be greatly expanded by proper carbonization of the fiber tip. This allows for vaporization with limited peripheral damage to nonpigmented tissue.⁶

NEODYMIUM:YAG LASER

The Nd:YAG wavelength of 1064 nm delivered in a free-running mode can be used for numerous soft tissue procedures. Similar to the diode and CO₂ lasers, the advantages of the Nd:YAG laser are a relatively bloodless surgical field, minimal swelling, reduced surgical time, excellent coagulation, and in most cases, reduced or no postoperative pain.⁷

The main disadvantage of the Nd:YAG laser is the depth of penetration into the target tissue. The Nd:YAG wavelength penetrates deeply into tissue because it is poorly absorbed by water, the main component of gingival tissue. The clinician must be alert to the risk of unnecessary collateral tissue damage, particularly to the underlying bone or pulpal tissues. Tissue vaporization is slower than with other, better-absorbed laser wavelengths (e.g., CO₂). Applications of a topical photo-absorbing dye can shorten the lag time for absorption of the laser energy.⁸ Nd:YAG laser light directed at the clinical crown or root surface of the tooth is a concern. Heating of the pulp may be of sufficient magnitude to cause pulpal inflammation and possibly irreversible damage to pulpal tissue.⁹ This would occur only if incorrect laser parameters were used. This highlights the critical role of proper laser training when performing laser-enhanced prosthetic and cosmetic dentistry (see Chapter 16).

BIOLOGIC WIDTH

Biologic width is defined as the combined height of connective tissue and epithelium that isolates the bone from the oral cavity. It is the distance from the most apical extent of a dental restoration to the osseous crest necessary to maintain periodontal health¹⁰ (Figure 8-1).

The biologic width distance is approximately 2 mm, with about 1 mm of the 2 mm composed of the connective tissue attachment and 1 mm consisting of the junctional epithelium. Because it is impossible to restore a tooth perfectly to the precise coronal edge of the junctional epithelium, the 1 mm of depth of the sulcus can be added to the biologic width to establish a margin of safety.¹⁰

This distance is important to consider when fabricating dental restorations, because the dentist must respect the natural architecture of the gingival attachment to avoid complications. The problem is not the restoration but rather *bacteria*, which will always find shelter in the interface between the restorative margin and the tooth structure. When

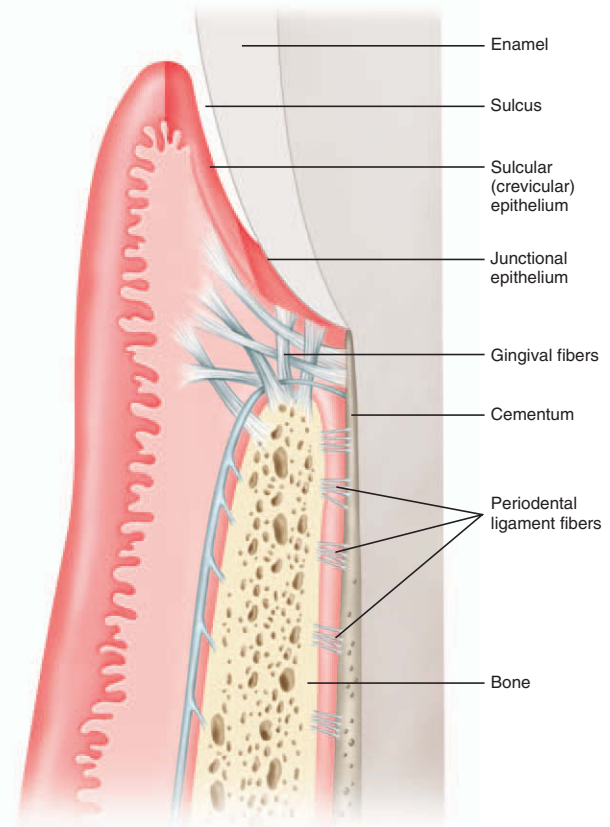


FIGURE 8-1 • The tooth is attached to the surrounding gingival tissue and alveolar bone by fibrous attachments. The gingival fibers run from the cementum into the gingiva immediately apical to the junctional epithelium attachment, and the periodontal ligament fibers run from the cementum into the adjacent cortex of the alveolar bone. (Modified from Rose LF, Mealey BL: *Periodontics: medicine, surgery, and implants*, St Louis, 2004, Mosby.)

restorative procedures do not take these considerations into account and violate biologic width parameters, the following three possible issues may arise¹⁰ (Figure 8-2):

- Pocketing develops, with progressive periodontal soft tissue loss.
- Gingival recession and localized bone loss occur, most dramatically in cases with thin labiobuccal bone.
- Localized gingival hyperplasia develops, with bone loss.

SOFT TISSUE TROUGHING WITH AND WITHOUT GINGIVOPLASTY

The *gingival trough* is defined as the narrow space between the free margin of the gingival epithelium and the adjacent enamel of a tooth.¹¹ At times, permanent alteration of the gingival



FIGURE 8-2 • **A**, Biologic width impingement in the anterior segment. Note localized gingival hyperplasia, redness, and inflammation surrounding the porcelain veneers. **B**, Photograph of site 2 weeks after correction of biologic width using an erbium laser in a closed-flap procedure to establish new bone level.

contours around a tooth or teeth is necessary to ensure a superior, long-lasting cosmetic restoration. The outcome of a direct or indirect restoration is related to the dentist's ability to control the surgical site. In controlling the site for delivery of a superior restoration, lasers provide the following functions:

1. Enable the dentist to access and visualize the operative site and all the margins clearly, especially when the margins are subgingival.
2. Create a blood-free environment and achieve hemostasis if necessary.
3. Control saliva and contamination of the field.
4. Ensure "sterility" of the field, leading to superior gingival health around the margins.
5. Control the soft tissue contours for a harmonious esthetic and functional relationship between the soft tissues of the periodontium and the restored hard tissues of the teeth and underlying bone.

These steps are essential for the fabrication of precise impressions, for digital scanning, or for direct placement of restorative materials. The displacement of gingival tissue is critical for finalizing tooth preparation and impression taking or restorative material placement.¹² A common challenge to the dentist is placement of the preparation "finish line" at or below the crest of the gingiva so that the margin does not show. Retraction of the gingival tissues can be performed mechanically with the retraction cord impregnated with medicaments for hemostasis. Packing of retraction cord around the circumference of the tooth to reflect the gingiva away from the

tooth and to absorb crevicular fluids is the conventional technique used to record the finished preparation margin or to complete placement of the direct restorative material.

CONVENTIONAL TECHNIQUES

A technique widely used for gingival retraction is a *double-cord technique* for subgingival reflection of tissue. Removing the second (top) retraction cord before injecting the impression material or creating an optical impression allows for complete capture of the finish line. This time-consuming technique has its disadvantages, including potential injury to the periodontal ligament if excessive force is used; difficulty in removing the retraction cord without creating bleeding, and postoperative discomfort.¹³

Another conventional gingival troughing technique, *electrosurgery* or *radiosurgery*, allows for contouring of gingival tissues and hemorrhage control. Healing after electrosurgery can produce a delayed wound-healing response, asymmetrical gingival heights, crestal bony recession, and moderate postoperative discomfort.¹⁴ In a study of electrosurgical gingival troughing with a fully rectified current on rhesus monkeys, Wilhelmsen et al.¹⁵ showed statistically significant recession of the gingival margin along with apical migration of the junctional epithelium. Deep soft tissue resections close to bone repeatedly produced gingival recession, bone sequestration and necrosis, loss of bone height, furcation involvement, and tooth mobility. Electrosurgery (or radiotherapy) is contraindicated around dental implants¹⁶ and must not be used in patients with pacemakers, with previous radiation to the jaws, with poorly controlled (or uncontrolled) diabetes, or with blood dyscrasias, immunodeficiencies, or other diseases that cause delayed or poor healing.¹⁰

Scalpel techniques are primarily used to resect tissue to provide access and visualization of the target site. Scalpel incision surgery may result in gingival attachment loss with apical repositioning, exposure of sensitive root surface to the oral environment, asymmetrical gingival margins, and the postoperative pain and discomfort typically associated with periodontal surgery.¹⁷

LASER TROUGHING

In contrast to conventional techniques, laser troughing allows for clear, clean visualization of gingival margins. Most lasers are excellent coagulation devices, with minimal to no bleeding. Unlike blade or electrosurgical techniques, laser gingival troughing with a laser gingivectomy can be performed at the same appointment as impression taking. The patient saves a trip to the office, greatly reducing valuable chair time. The ease with which necrotic tissue debris is removed from around the preparation finish line simplifies impression taking. Retraction cord is not necessary for reflection around the tooth or teeth; no placement or removal of cord from multiple pockets is required when taking impressions of multiple teeth or a full arch. Laser treatment causes no recession or

repositioning of the gingival margin.¹⁸ Laser troughing promotes an ideal environment for current impression scanning devices.

Dentists who practice laser surgery and laser gingival troughing have reported high patient acceptance and comfort. Neill's survey¹⁹ on patient comfort after laser gingival troughing revealed that 3 hours after treatment, patients were comfortable, with half "extremely" comfortable. The overall pain rating was 1.9 (scale of 0.0-10.0), indicating patients experienced minimal to no pain.

Laser vaporization of fibrotic tissue around crown margins is extremely fast, with virtually no bleeding, swelling, or post-operative pain. There is predictable stability of the gingival tissue on healing, with the additional benefit of elimination of pathogens from the periodontal pocket.^{20,21}

Slightly different techniques are used for each wavelength. The laser dentist must learn the specific procedure and follow the specific protocols for the particular device employed.

Clinical TIP

Clinical Tip: The new laser dentist should never start with procedures in the esthetic zone. Start gingival troughing with molars, where the tissue is slightly thicker. Once you become proficient with molars, work your way more anteriorly until you have confidence to perform this procedure in the esthetic zone. New laser users should always start with power settings at the lower end of suggested parameters until they become experienced with the modality of treatment. Thermal tissue damage in the anterior region could potentially result in undesirable gingival contours.

Erbium lasers use thin tips made of sapphire or quartz for troughing. When using erbium lasers on soft tissue, the water spray is usually turned off. This aids in hemostasis. CO₂ lasers have thin hollow metal tips for troughing. The Nd:YAG and diode lasers use optical fibers that come in various diameters to perform gingival troughing.

Procedure

The laser troughing procedure is simple to perform. The laser tip is placed parallel to the long axis of the tooth, barely into the periodontal sulcus. The tip should glide circumferentially around the margin of the tooth, with slight to no resistance. Watch for any yellowing of the gingival tissue, which will indicate thermal collateral damage.

To maximize its efficiency, the tip must be kept free of debris. With CO₂ lasers, a stream of air blown through the tip keeps the hollow tip patent. Debris may accumulate on the outside of the tip, however, in which case the tip should be wiped down with dry gauze or replaced. Nd:YAG and diode lasers use glass/quartz fibers, which become dulled and scratched after multiple uses. A dull tip may cut tissue poorly,

like cutting tissue with a broken piece of glass, leaving tissue tags.⁷ The tip must therefore be cleaved periodically to ensure optimal results. Some diode lasers are supplied with multiuse disposable tips, but the cost of tips is much greater than simply using a standard 3-meter optical fiber. The tip must also be "activated" so that the depth of penetration of the wavelength is minimized, with no deep thermal damage.

During troughing to establish gingival proportions with the diode and Nd:YAG lasers, the clinician may note carbonization on the tissues.^{8,22} This can be quickly removed with a 3% hydrogen peroxide diffusing-brush syringe (Figures 8-3 and 8-4).

Clinical Tip: Remember that the laser tip should "glide" through the tissue with minimal to no resistance. If the Nd:YAG or diode fiber meets resistance or moves irregularly through the sulcus, the tip needs to be cleaved.

Clinical TIP

CROWN-LENGTHENING PROCEDURES

Crown-lengthening procedures have progressively become more driven by esthetics because of the increasing popularity of "smile enhancement" procedures. Crown lengthening is a surgical procedure performed to expose a greater gingivo-incisal length of tooth structure, usually to restore the tooth prosthetically. This involves excising a small amount of gingival tissue around the tooth and predictably removing a given height of *only* gingival tissue (*soft tissue* crown lengthening) or *both* gingival tissue and alveolar bone (*osseous* crown lengthening). Although many general dentists perform this procedure, many others refer crown lengthening to a periodontist or oral surgeon. Conventional techniques of osseous crown lengthening generally involve a full-thickness flap procedure to establish the new gingival level.¹⁰

SOFT TISSUE

The crown-lengthening procedure is basically an excision of gingival soft tissue. Conventional methods of executing this technique involve surgical scalpels, periodontal knives, or electrosurgery. Recommended placement of the excision is at least 2 mm coronal to the bottom of the gingival attachment, to reduce the risk of root exposure and violation of biologic width rules.²³

HARD TISSUE

Surgical crown-lengthening procedures may involve the hard tissue as well. To provide adequate biologic width from the margin of the restoration, a minimum of 3 mm of attached

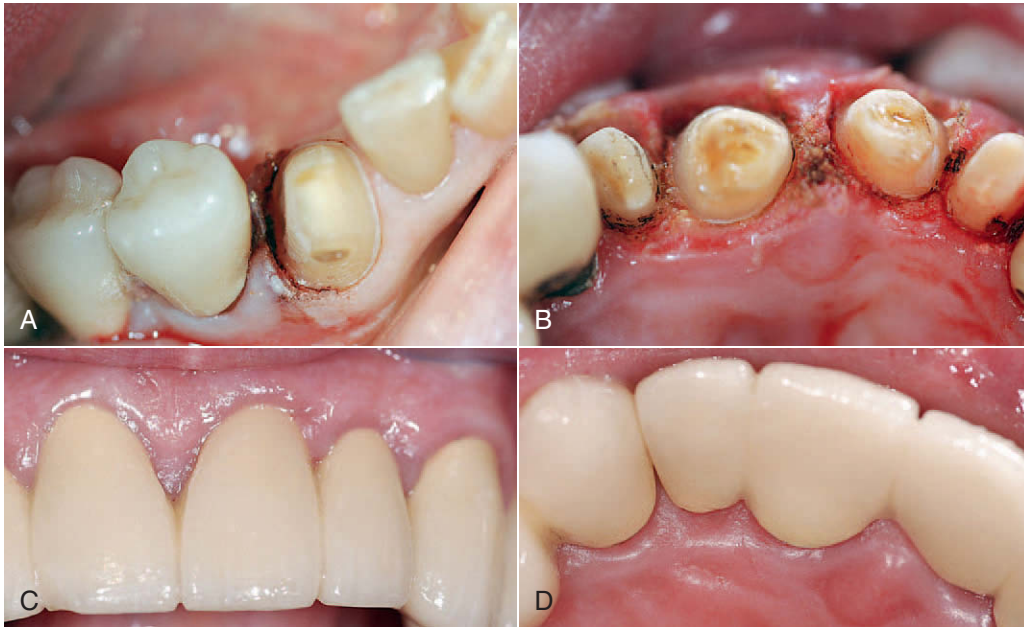


FIGURE 8-3 • **A**, Treatment of deep distal decay in tooth #28 involves use of CO₂ laser to create gingival trough around entire tooth and minor gingivoplasty of tissue on distal surface of tooth. Note dry field and excellent visualization of gingival margin. **B**, Soft tissue troughing and soft tissue crown lengthening to expose tooth structure without violating biologic width dimensions in 83-year-old man with traumatic mouth injury. **C**, Anterior facial view of final splinted prosthesis 1 month after delivery. **D**, Lingual-incisal view of final restoration 1 month after delivery. Gingival tissues responded well to treatment.



FIGURE 8-4 • **A**, Gingival troughing of soft tissue with diode fiber tip gliding along axial surface of tooth for precision. Technique uses slow, continuous strokes, taking care not to blunt interproximal papillae. **B**, During gingival troughing to establish gingival proportions with diode laser, carbonization may be seen on the tissues. This can be quickly removed with 3% hydrogen peroxide solution in diffusing-brush syringe. Keep tip of laser parallel to the tooth surface or slightly angled away from the surface to avoid absorption of the wavelength by hard tissue. **C**, Final postoperative facial view of healthy tissue after gingival troughing and placements of restorations.

gingiva must remain over the underlying bone to create a healthy periodontal environment.

Use of flap surgery with osseous resection is the traditional method of choice when crown margins will impinge on the biologic width. Rotary instruments have long been used to remove or recontour the alveolar ridge. Bone recontouring can be achieved conventionally with fissure or diamond burs, using copious amounts of water, or with bone chisels. Thinning of the bone around the tooth or teeth reduces craters or ridges that create sharp, uneven soft tissue topography. Sufficient bone is removed to create a 3-mm space between the crest of the bone and the new restoration's finishing-line margin. However, poor esthetics may result from enlarged gingival embrasures, root sensitivity, transient mobility, and root resorption.²³

In some cases the need for osseous recontouring may be localized to one specific area because of a subgingival restoration, carious lesion, or fractured cusp. The erbium lasers can be useful in localized osseous removal for establishing a new biologic width without raising a gingival flap. In allowing for careful removal of osseous tissue in a closed-flap technique, along with soft tissue removal, the clinician can create the biologic width for the final restoration and complete the impressions for the indirect restoration in the same appointment.²⁴ The closed-flap, hard tissue crown-lengthening procedure is very technique sensitive and is not recommended for the novice laser dentist; advanced training in open-flap periodontal surgery is highly recommended.

Erbium lasers have end-cutting tips with the advantage that the cooling water spray prevents the surgical site from overheating, in contrast to the rotary friction heat released by conventional burs. Collateral thermal tissue damage when using erbium lasers is less than with conventional techniques.⁴ The use of rotary diamond or carbide burs for osseous tissue removal risks possible damage to adjacent tooth structure. Healing after conventional osseous surgery is associated with swelling and postoperative pain.²⁵ Bleeding from a conventional (blade) incision compromises visualization of the surgical site compared to a laser incision, and visualization is critical when working on osseous structure. The risk of bony damage caused by dissipated heat from rotary burs outweighs the risk of trauma from a water-cooled erbium laser when correct parameters are used.

The procedure starts by measuring the amount of needed reduction of the bony crest. Paralleling the laser tip with the root surface, the activated laser tip penetrates the crestal bone to the desired depth. Miniature osteotomies are produced with the laser tip. Once at the desired depth, the laser tip is withdrawn and moved 1 to 2 mm laterally, and the next osteotomy is performed to the desired depth. This continues across the facial or buccal, mesial, distal, and lingual walls until the entire circumference is treated, if necessary.²⁴

Once completed, the laser tip is inserted into the osteotomy site. A swiping motion to the mesial or distal is performed to remove the osseous tags between osteotomy sites. Soft

smoothing of the underlying bone removes troughs or craters and creates a scalloped topography from facial to interproximal.²⁴ The gingival tissue reflects the underlying bone, and preservation of the interproximal bone height will prevent loss of the interproximal papillae. A literature review reveals that the contact area between teeth should be positioned 5 mm incisal to the height of the interproximal bone to maintain the papillae.²⁶ Provisionalize the area, and allow 3 to 4 weeks for healing before final impression.

If conventional (rotary or bone chisel) open-flap osseous recontouring is performed, any laser wavelength (or a scalpel) may be used to open and reflect the flap. With a laser incision for full-thickness flap reflection rather than conventional techniques, visualization of the bony crest is enhanced because bleeding is minimal. The surgical site is cleaner, free of blood at the site, and more "sterile" because the laser destroys bacteria as it incises the soft tissue.

Contour the bone so that the bone structure has no craters or ledges. If these are present, the soft tissue will become thick and hinder good impression taking, in addition to ending with deep probing depth after the restoration is cemented. Before suturing, make sure the flaps are lying without tension and preferably hiding where the crown margin will be placed. Flaps will not lie correctly if the bone is not contoured properly.¹¹

Sutures should be removed within 7 to 10 days. If crown lengthening is done correctly, the impression can be made 3 to 6 weeks after the open-flap surgery for posterior teeth and a few weeks longer for anterior teeth, where soft tissue esthetics is critical (Figure 8-5). (See also Chapter 4.)

EMERGENCE PROFILE

Emergence profile is defined as the axial contour of a tooth or crown as it relates to the adjacent soft tissue.²⁷ When developing an optimal emergence profile during procedures that close spaces between teeth (e.g., diastema closure, ovate pontic formation, implant placement), there tends to be interproximal tissue that has no papillae point. This characteristically fibrotic tissue can be an esthetic challenge when treating with crowns and veneers. The clinician needs to measure the periodontal pocket to establish a biologic width requirement. The general rule for creating the ideal emergence profile of the restoration is that for every millimeter of additional width added to each tooth to close a diastema, the margin of the restoration must be prepared an additional 1 mm subgingivally.²⁸

Emergence profile is critical for gingival health and esthetic contours. Axial contours of the prepared tooth structure will be reflected in the final restoration contours as well. The contact point of the restoration(s) will influence the overall appearance of the gingival tissue and restoration. Proximal contacts between the posterior teeth are located in the occlusal to middle third of the crowns. The contact must be more



FIGURE 8-5 • **A**, Hard tissue crown-lengthening procedure. Miniature osteotomies are produced with laser tip. Once at desired depth, laser tip is withdrawn and moved 1 to 2 mm laterally, and next osteotomy is dropped to desired depth. Osseous tissue is dropped to desired depth to create a biologic width zone. Osseous troughing circumferentially with Er,Cr:YSGG laser, followed by soft tissue contouring with diode laser to expose tooth structure. **B**, Incisal view after hard tissue and soft tissue crown lengthening on tooth #11. **C**, Dry view established for post-and-core buildup and ready for impression. **D**, Provisional restoration with opened interproximal papillae development. **E**, Final restoration 5 years postoperatively.

than just a point occlusogingivally; it also must not extend too far gingivally to encroach on the gingival embrasure. The axial surface of the restoration cervical to the proximal contact point should be flat or slightly concave to prevent encroachment on the interdental papilla.²⁶

Overcontouring of the proximal surfaces apical to the contact area creates convex surfaces, which in turn violates the space of the interproximal papilla, creating biologic width encroachment issues. The most common error with regard to axial inclination is the creation of a bulge or excessive convexity, especially at the gingival third of the restoration. Dental technicians frequently overemphasize this feature. Overcontouring promotes the accumulation of food debris

and plaque, and gingival inflammation is encouraged rather than prevented.²⁹

Provisional restorations should be used to create and preview the acceptable gingival contours of the final restorations. The provisional restorations may be used to guide the healing contours of the gingival tissue and to fabricate a similar emergence profile in the definitive prosthesis²⁴ (Figure 8-6).

ADVANCED EMERGENCE PROFILE DILEMMA

The goal of reconstructing an alveolar ridge is to restore the health of the periodontal apparatus so that the patient can

continue to function normally. Success is based on not only the final state of health of the gingival tissues, but also the stability and esthetics of the case. Some restorative cases may have irregular bone loss. As bone loss progresses, the anterior ridges become knife edged with loss of papillae and a scalloped gingival contour.³⁰

The absence of the interproximal papilla has adverse esthetic and phonetic results. Restoring the papilla requires surgical precision and soft tissue manipulation to create the desired outcome. Any mistake may be catastrophic because of the small size and meager blood supply of the papilla. Even the use of vasoconstrictors in anesthetics and

in conventional retraction cords may cause necrosis. A laser procedure reduces the risk of gingival migration while not affecting the blood supply necessary to support the interdental papilla.²¹

Procedure

Probe the gingival sulcus to determine the biologic width. Treat this case as you would a small diastema closure at the gingival embrasure. Measure the embrasure from root surface to adjacent root surface (e.g., 2 mm). Employ the rule of thumb that for every millimeter of space closure needed, drop



FIGURE 8-6 • **A**, Preoperative view of patient with old porcelain veneers removed. Diastema between teeth #8 and 9 is 2.5 mm wide. Periodontal probing reveals gingival pocket depth of 3 mm. Each tooth will be widened by 1.25 mm to close diastema. **B**, Gingival trough 1.25 mm deep is created on tooth #8 for lowering preparation margin to establish new emergence profile of restoration. Laser tip is guided along axial tooth surface for precise control, using slow, continuous strokes from facial-mesial-lingual. Soft tissue wall is tapered toward new papillae point. **C**, Continue creating gingival trough on #9 with same 1.25-mm depth as on #8. Slope tissue trough toward new interdental papilla, and always leave 1 mm of tissue island. Apically position preparation margin on mesial side of #8 and #9 for new emergence profile. Impressions can be taken at this appointment and provisional restorations placed. Allow space for papilla to develop while patient is wearing provisional restorations. **D**, Facial-incisal view after removal of provisionals. Note well-developed, healthy stippled interproximal papilla. **E**, Incisolingual view of developed interproximal tissue before final placement of restorations. **F**, Anteromesial view of interproximal papillae with stippled tissue. **G**, Try-in of final restorations. Note slight blanching of interproximal tissue between #8 and #9. On final cementation, firm pressure is applied to seat the restorations fully before tack curing. **H**, Diastema of 3 mm in width in a similar case. Emergence profile will begin 1.5 mm subgingivally on mesial aspect of both #8 and #9. **I**, Postoperative facial view of diastema closure in patient in figure **H**. Gingival tissue framing is proportionate to the teeth, and restorations have been fabricated with long contact points incisogingivally.

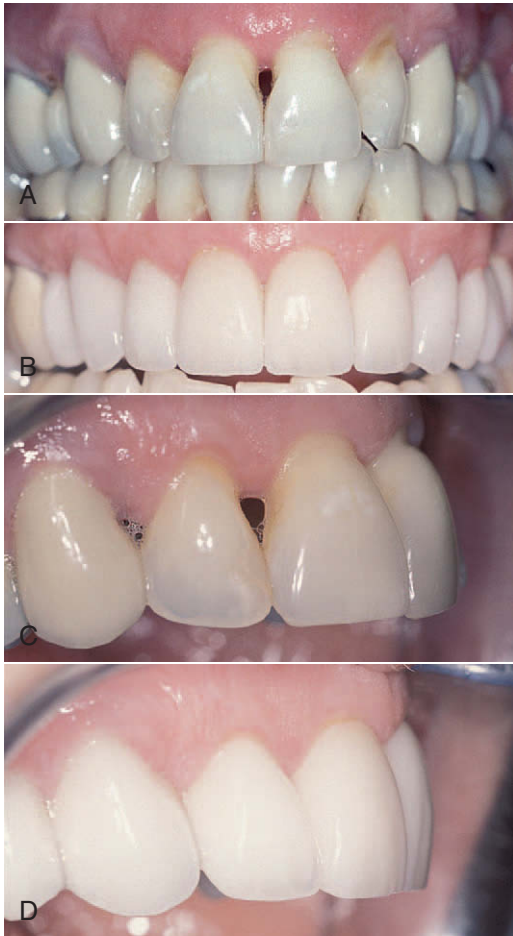


FIGURE 8-7 • **A**, Site after periodontal surgery. Note wide gingival embrasures. Absence of interproximal papilla has adverse esthetic and phonetic results. **B**, Final restorations in place with long incisogingival contacts. Serrated interproximal papilla creates esthetic soft tissue framing of restorations. **C**, Lateral incisor–central incisor embrasure. Treat this in the same manner as a diastema closure technique between teeth #8 and #9. **D**, Lateral view of interproximal papillae with healthy stippled tissue.

the margin of the restoration similarly. If the gingival embrasure is a 2-mm gap, divide 2 mm in half to have proper proportions, and drop each restoration margin 1 mm subgingivally to create the emergence profile mesially and distally. The trough created is only interproximal to the lingual aspect. Any zenith correction can be done at this time, if necessary (Figure 8-7).

Clinical TIP

Clinical Tip: Ask the dental laboratory technician to fabricate the restorations with a long contact point.

OVATE PONTIC DESIGN

Ovate pontic design enhances esthetics to make the pontic appear to be emerging from the gingival crest as would a natural tooth, unlike a ridge lap design. The cosmetic advantage of the ovate pontic is its ability to replicate natural contours, maximizing esthetics. The clinical advantages of the ovate pontic include excellent emergence profile for natural contours, ease of cleaning, an effective seal to prevent food entrapment under the prosthesis, and elimination or minimization of the “black triangle” gingival embrasures.³¹

The conventional ovate soft tissue design is created with a gingivoplasty using either a round carbide bur or a football-shaped diamond bur. Major disadvantages with this technique are tissue mutilation, bleeding, inability to take the final impression during procedures, and delayed tissue development and healing.³¹

To prevent development of a poor pontic site, immediately after removal of a tooth, perform a socket preservation procedure (fill the socket with bone material) to maintain the tissue height.³²

An ovate pontic site requires a significant facial-lingual width (depending on location in the dental arch) and apical-coronal thickness to surround the ovate pontic within the edentulous space. A thin, knife-edge ridge is often a contraindication for an ovate-type pontic; however, if the dimensions mentioned are inadequate, a surgical augmentation procedure can be considered. Various soft tissue augmentation techniques exist for this purpose, depending on the complexity of the ridge defect (Figure 8-8).

A molar pontic requires the ovate site to be designed in the middle of the ridge. As the sites move more anteriorly, the ovate site design moves more to the buccal-facial aspect. Aligning the adjacent gingival heights can be accomplished so that the pontic tooth does not appear to be a short, clinical crown. Alteration of the gingival edentulous site is started in the middle of the ridge. Sounding the bony ridge to determine gingival thickness allows for evaluation of the biologic width. The center of the convex ovate will be the deepest segment.

The formation of an ovate pontic site using a laser starts with the removal of tissue from the center of the site. In a circular manner the diameter of the ovate site is then slowly increased, ending it 2 mm from the adjacent abutment tooth mesially and distally. Begin to slope the gingival tissue of the ovate site to where the deepest portion is the center. Think of an egg and how it will be able to sit into that divot. By leaving 2 mm of gingival tissue mesially and distally, the interproximal papillae can be developed³² (Figures 8-9 and 8-10). The procedural steps are as follows:

1. Locate the center of the desired pontic site, and mark this with the laser tip.
2. With the laser, create an ovate outline on the ridge.
3. Start small in diameter from the center, and swirl outward to the peripheral boundary of the ovate pontic.



FIGURE 8-8 • **A**, Starting at left: posterior ovate site placement in center of ridge; bicuspid ovate site placement toward midbuccal area of ridge; anterior ovate site placement to facial area of ridge. **B**, Provisional restoration removed to expose ovate site for try-in of fixed bridge. Note development of interdental papilla mesial and distal to pontic site. **C**, Note ovate pontic fabricated by dental laboratory technician to sit into ovate site. **D**, Occlusobuccal view of interdental papillae development. Ovate site is red and inflamed because of no plaque control while patient was wearing provisional restoration. **E**, Prosthesis try-in. Note slight blanching of ovate site at teeth #5 and #3. These sites may need to be modified at this visit by deepening the ovate site with a laser before cementing the prosthesis.



FIGURE 8-9 • **A**, Posterior ovate site between teeth #18 and #20. Ovate pontic site should be placed in center of ridge for a molar site. **B**, First, mark site by outlining planned ovate area. **C**, Start to apply laser energy in center of ovate site, then swirl outward to peripheral boundary of ovate pontic site. Slope the deepest part of ovate (center) up to interproximal area of adjacent teeth. Leave the slope 2 mm from adjacent teeth to create interproximal papillae.



FIGURE 8-10 • **A**, Anterior view of patient with lateral #7 and #10 missing. Ovate pontic designed to facial side of gingival ridge and to match adjacent apical gingival heights. Leave 1 to 2 mm of interproximal tissue to create papillae mesial and distal to pontic. **B**, Seated three-unit bridges #6-8 and #9-11. Note gingival heights and interproximal papillae on ovate pontics #7 and #10. **C**, Anterior lower ridge site for ovate pontic development with diode laser. **D**, Mark ridge with diode laser for ovate pontic site. Stay to facial side of ridge. Deepen center portion of pontic and slope up to interproximal papillae. **E**, Deepen ovate sites for pontics to sit within gingival ridge, as opposed to overlapping it. Check for overheating of gingival tissue. If tissues develop yellowish tint during procedure, correct by reducing the wattage. **F**, Ovate pontic site on lower anterior ridge at 5 years. **G**, Ovate pontic site developed around implants in anterior lower segment. Site deepened for the ovate pontic. **H**, Final restoration in place at 6 months shows excellent interproximal papillae.

The sculpting of gingival tissue is exceptionally precise with the laser. Controlled laser energy for these procedures minimizes postoperative swelling, decreases postoperative pain and reduces healing time.^{17,20} These procedures are all performed at initial treatment, whereas using conventional methods would mean performing these soft tissue alterations several weeks before preparation/impression day. A two-stage soft tissue treatment would be likely with conventional techniques because of the swelling associated with electrosurgery, rotary instrumentation, or soft tissue scalpel surgery. Soft tissue results would be unpredictable if these methods were used at the preparation/impression appointment.^{14,20}

HARD TISSUE OVATE PONTIC SITE FORMATION

If less than 2 mm of gingival tissue is present between the bony crest and the pontic site, other treatment modalities must be considered. Using an erbium laser to remove bone from the pontic site would be the solution. Sufficient bone must be removed so that there is no violation of the biologic width. Place a provisional restoration in the area, and leave at least 2 mm of space between the tissue side of the pontic and the crestal bone, to allow the gingival tissue to granulate inward before proceeding with final impression.²⁴

LASER DEPIGMENTATION

Deeply melanotic gingiva can be a social stigma in many cultures, and thus laser melanin depigmentation of a patient's gingiva is becoming more common. All the current dental lasers can perform gingival depigmentation, and as with most procedures, dentists have their preferences regarding which wavelength is "best." Some prefer Nd:YAG and diode wavelengths because these are attracted to pigment, such as melanin. Others prefer the erbium and CO₂ wavelengths because these are easily attracted to the water in the gingival tissue, simplifying the procedure. Most dentists who perform gingival depigmentation believe that laser treatment is the most reliable and satisfactory method.³³⁻³⁷

Diode, Nd:YAG, and erbium lasers all use the same basic technique: Because the technique is not to cut the tissue but rather to have the laser energy absorbed by the pigment deep in the epithelium, a low power is used. Nd:YAG and diode lasers may be used out of contact with a nonactivated tip, which will not be absorbed by the superficial layers of tissue but will penetrate the tissue until absorbed by the melanin. As the laser energy is absorbed by the melanin, the tissue lightens in color. Alternatively, the diode and Nd:YAG can be used in light contact with very light, brushstroke-like movements through the tissue. If this technique is used, care must be taken that the tip does not accumulate tissue debris. Once debris accumulates on the tip, the laser becomes "activated"

and will work superficially, rather than penetrating into the melanin.

Regardless of the wavelength, laser gingival depigmentation is usually performed with only topical anesthetic.

Clinical Tip: Diode and Nd:YAG lasers usually come with just one size of fiber, usually a 300- μ or 400- μ diameter; however, manufacturers make many different sizes of fibers, from 100- μ to 1000- μ diameters. For dental use, the 100- μ and 200- μ fibers are too thin and fragile to be useful for many procedures. Attempts to use these fibers in periodontal pockets led to breakage of fibers within the pocket. A 300- to 400- μ fiber is an "all-purpose" fiber, capable of performing most routine dental tasks. For melanin depigmentation where the goal is to cover a large surface area quickly, having a 600-, 800-, or even 1000- μ fiber available will make this procedure go quickly.

**Clinical
TIP**

Carbon dioxide lasers may be used to perform a de-epithelialization technique. Again, the goal is not to cut the tissue, but to remove the epithelial layer that contains the melanocytes. Low power is used with a large spot size; the latter minimizes the power density and enables the dentist to cover a large area more quickly. Similarly, erbium lasers can be used in contact with the tissue, gently removing the tissue layer by layer until the epithelial layer containing the melanocytes is removed (Figure 8-11).

LASER BLEACHING

The pursuit of whiter teeth and use of bleaching techniques have been documented since the nineteenth century. Chemicals used for bleaching vital teeth have included oxalic acid; ether peroxide; hydrogen dioxide, and hydrogen peroxide (H₂O₂). In the early twentieth century, 35% H₂O₂ was recognized as the most effective bleaching agent. In 1918, Abbot used high-intensity light, raising the temperature of H₂O₂ rapidly to accelerate the bleaching process. In the late 1960s, Klusmier noted that a 10% carbamide peroxide solution placed in a nightguard to improve the gingival health of his patients also resulted in bleaching. In 1989, Haywood and Heymann³⁸ introduced and published this technique. By the 1990s, this procedure became commonplace in dentistry.

Some patients cannot complete the home bleaching process because of the lengthy time investment, discomfort or irritation from the trays, or discomfort from the bleaching agents caused by gingival recession. For these patients, a single-visit in-office procedure produces the results quickly without these problems.

"Power bleaching," which originated with Abbot in 1918 and progressed to heat lamps and heated spatulas in the 1980s, has been effective, but with many side effects, including pulpal



FIGURE 8-11 • **A**, Preoperative view of patient with deep melanotic gingiva. **B**, Erbium laser performing melanin depigmentation. **C**, Immediate postoperative view. **D**, Two-week postoperative view. **E**, Six-week postoperative view of laser melanin depigmentation with new anterior crowns in place.

necrosis caused by the inability to control the highly reactive and caustic 35% H_2O_2 solution. The goal of a single-visit power-bleaching procedure is to whiten efficiently using controlled temperature elevation of the H_2O_2 on the tooth to prevent pulpal necrosis. The development of bleaching agents that combine H_2O_2 or its analogs with thickening agents, buffers, catalysts, or coloring agents has made power bleaching safer and more reliable.

The objective of laser power bleaching is to excite the bleaching agents using a very efficient source of light energy—a laser. Many studies have shown a relationship between exposure time and adverse pulpal responses: the greater the exposure time of the bleaching agent to the tooth, the greater the risk of pulpal necrosis. By placing photons of one specific wavelength that approximates the absorption spectrum of the bleaching agent, rather than using a light source that emits multiple wavelengths, the chemical reaction proceeds at a

faster rate, thereby decreasing exposure time of the bleaching agent to the tooth.

Wetter et al.³⁹ compared bleaching using no light source; a light-emitting diode (LED); and a diode laser. The results showed that the best overall results were obtained with the laser activation of the bleaching agent. Zhang et al.⁴⁰ reported similar results in comparing a potassium titanyl phosphate (KTP) laser, a diode laser, and an LED. The selection of laser wavelength is not important; any laser wavelength will successfully bleach tooth structure as long as the emission spectrum of the laser matches the absorption spectrum of the bleaching material.

Torres et al.⁴¹ evaluated the amount of coloring agent placed in bleaching agents. Their results showed that greater bleaching resulted when double and triple the amount of coloring agent was placed in the gels. The light energy from the laser excites the highly reactive H_2O_2 molecules, and as the

molecules absorb the laser energy, the peroxide decomposes and ionizes into the following compounds:

Hydroxyl ions (OH^-)

Perhydroxyl ions (HOO^-)

Water (H_2O)

Oxygen ions (O^{2-})

Hydrogen ions (H^+)

Oxygen (O_2)

The perhydroxyl ions are considered to be the strongest free radicals formed during the breakage of the H_2O_2 bonds. Using a laser maximizes the perhydroxyl concentration without increasing exposure time (i.e., increased concentration of bleaching free radical without increased contact time). Free radicals are unstable and immediately seek an available target with which to react. These free radicals react with the chromophilic structures of the larger, longer-chained, darker organic compounds in the tooth. As a result, the compounds dissociate into smaller, shorter-chained molecules with different optical properties. The cosmetic result is a visually whitened tooth structure.

GENERAL PROTOCOL

After a general review of the medical history, the patient's oral habits, diet, lifestyle, and expectations must be discussed. Patients who drink four cups of black coffee daily and one or two glasses of red wine with dinner every night will have different expectations than a patient who drinks neither coffee nor red wine.

Patients with severe tetracycline staining must be informed that their treatment may take multiple applications and appointments; treatment alternatives should be discussed. Evaluate the patient for presence of fluorosis and white-spot lesions, and discuss the type of results that can be expected with these lesions. Confirm the starting shade of the patient's dentition by arranging the Vitapan Classical Shade Guide values in the following order (see Figure 8-12):

B1-A1-B2-D2-A2-C1-C2-D4-A3-D3-B3-A3.5-B4-C3-A4-C4

Document with photographs.

Discuss the existing restorations and the necessity to replace composite restorations after the bleaching process, because these restorations will not change color during bleaching. Discuss any posterior stains, such as amalgam shining through on the buccal surfaces of posterior teeth, which will not change without replacing the restoration. Discuss possible treatment problems, such as gingival recession and exposed root surfaces, causing possible sensitivity during and after treatment. Discuss the use of take-home trays to complement the in-office treatment.

Assemble the following:

- First-aid kit, including vitamin E ointment or aloe vera gel for accidental exposure of soft tissue to the bleaching agent
- Laser safety glasses

- Bibs
- Cotton rolls
- Cheek retractors
- Preparation kit
 - Pumice and a prophylaxis angle
 - Floss
 - Interproximal strips
 - Fluoride gel and/or potassium nitrate gel
 - Rubber dam (conventional or paint-on)
- Bleaching kit
 - Bleaching agent
 - Brushes, mixing pad, spatula

Procedure

1. Make certain all personnel are wearing wavelength appropriate safety glasses.
2. Pumice all surfaces to be bleached. Do not use conventional prophyl paste; these pastes usually contain fluoride and oils which will interfere with the bleaching process.
3. Isolate teeth with rubber dam or light-cured dam.
4. Prepare the bleaching agent and carefully place on the enamel.
5. Activate the laser and follow manufacturer's instructions for exposure time. Many power-bleaching materials change color when the oxidation process is complete. *Do not continue to expose the bleaching agent to any more laser energy once the color change of the bleaching agent has been completed.*
6. Wipe off the used bleaching agent with wet gauze/cotton and reapply fresh material. *Do not rinse with water between applications.* Use just enough water on the gauze/cotton to remove the used agent.
7. Reapply fresh agent and reactivate the laser.
8. Wipe off the used agent, and reapply fresh agent for the third time, or apply according to manufacturer's instructions.
9. When the third application is complete, wipe off all the used agent, irrigate with water, remove the isolation material, and rinse thoroughly for 1 minute with a fluoride rinse. Apply a nonstaining fluoride solution.
10. Confirm new shade with the shade guide, and photograph the result.
11. Provide a home bleaching kit, or schedule a follow-up appointment if necessary.

Note: To establish an objective method for validating the color changes as a result of the bleaching processes, all tooth shade values preoperatively and postoperatively were taken with a fully calibrated spectrophotometer in Figures 8-12 to 8-15.

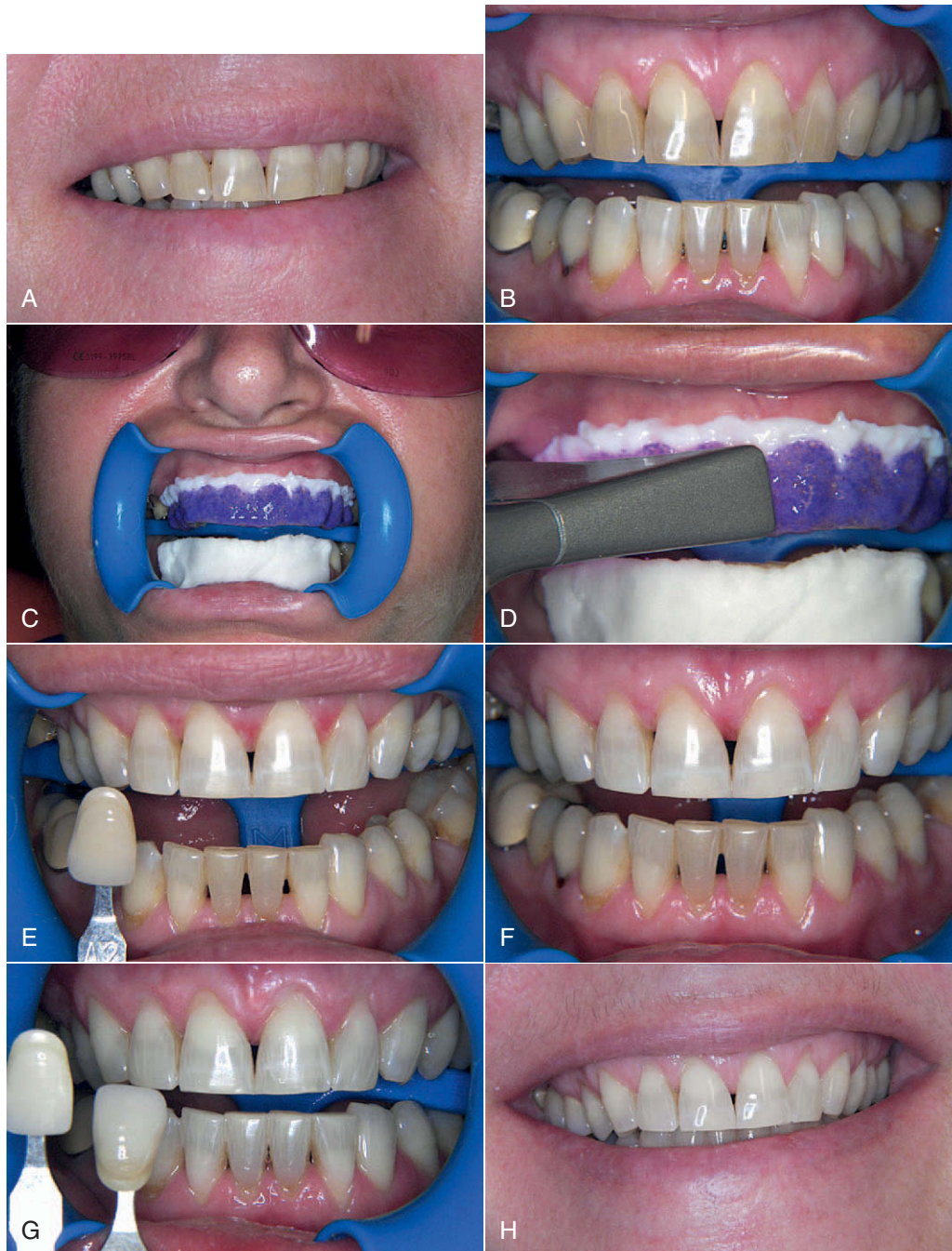


FIGURE 8-12 • **A**, Preoperative view of patient who will undergo bleaching. Initial shade of upper teeth measured A3 on gingival and middle thirds and A4 on the incisal third. Lower teeth measured C4 on Vitapan Classical Shade Guide. **B**, Lower arch after 10 days of home tooth whitening (upper arch before bleaching) with cheek retractors in place. Lower teeth changed from C4 to D3, a six-step change in value-oriented Vitapan shade guide. **C**, Laser-assisted bleaching of upper arch. Paint-on dental dam is in place, and bleaching material has been applied to teeth. Note cotton protecting lower arch and safety glasses protecting patient's eyes. **D**, Diode laser handpiece applying laser energy to bleaching material. **E**, Completed laser bleaching of upper arch. **F**, Postoperative view of laser bleaching results at 48 hours. Upper teeth measured A1 on gingival and middle thirds (seven-step change) and B2 on incisal third (10-step change). **G**, Immediate postoperative view of second laser bleaching on upper arch and first laser bleaching on lower arch. Upper arch teeth changed from A1 gingival and middle thirds and B2 incisal third to A2 gingival third and A1 middle and incisal thirds. Ten days after laser bleaching, lower teeth changed from D3 to D2, a six-step change. These results show that one laser-assisted bleaching session of the lower arch produced the same degree of change (six steps) as 10 days of home bleaching. **H**, Six-month postoperative view of laser bleaching.

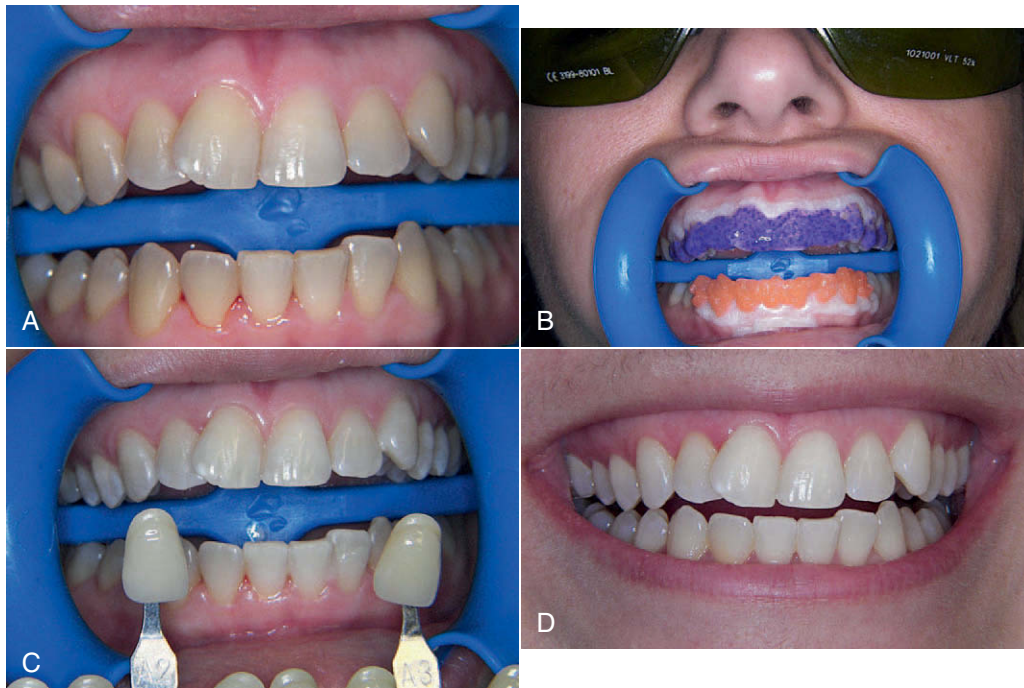


FIGURE 8-13 • **A**, Preoperative view of laser-assisted bleaching of upper arch (shade A4) and LED bleaching of lower arch. **B**, Paint-on dental dam in place and bleaching material on teeth. Note mouthprop in place and laser safety glasses protecting patient's eyes. Bleaching material for upper arch is wavelength specific for the diode laser to be used, and bleaching material for lower arch is wavelength specific for the LED unit. **C**, Immediate postoperative view of laser bleaching of upper arch and LED bleaching of lower arch. Upper arch measured B2, a change of 12 steps on the Vitapan value-oriented scale. **D**, Six-month postoperative view of bleaching shows two-step rebound to A2.



FIGURE 8-14 • **A**, Preoperative view of male patient undergoing 810-nm laser bleaching on right side of mouth and 940-nm laser bleaching on left side. Preoperative shade of upper arch was A4. **B**, Immediate postoperative view. **C**, Postoperative view at 48 hours. Final shade was A2, a 10-step difference. **D**, Postoperative view at 3 weeks. The upper arch was completed in two sessions. The lower arch was completed in one session. The maximum results were visible at 48 hours, though there was a slight relapse after 3 weeks.

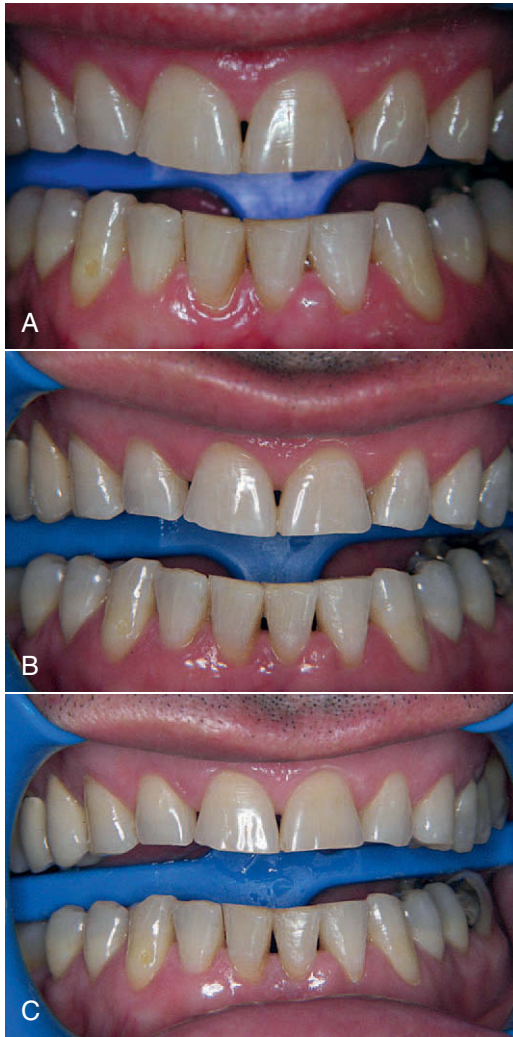


FIGURE 8-15 • **A**, Preoperative view of patient undergoing 532-nm laser bleaching of upper arch and 810-nm diode laser bleaching of lower arch. Preoperative shade of upper-left canine was A3.5 and of lower-left canine, B4. **B**, Immediate postoperative view. **C**, Postoperative view at 2 months. Final shade of upper-left canine was A2, a seven-step difference, and of lower-left canine, also A2, an eight-step difference.

CONCLUSION

Laser-based techniques have been in use for many years with extremely predictable results. The advantage of laser prosthetic/cosmetic reconstruction surgery over traditional techniques is documented strongly in the literature. These refinements will continue to improve the overall healing time and the patient's postoperative comfort. The addition of laser treatment modalities enhances a dentist's ability to perform more clinical procedures, increase confidence and experience, and keep the procedures in office instead of referring to specialists. The increased patient awareness of laser treatments intensifies the patient-dentist relationship for comprehensive treatment.

Soft tissue lasers are especially increasing in popularity because of their potential value in prosthetic and cosmetic gingival procedures. The ability of soft tissue and hard tissue lasers to control moisture and facilitate hemostasis appears particularly promising for dentists excising gingival tissues and performing esthetic crown lengthening.

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Laser-Enhanced Removable Prosthetic Reconstruction

9

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Before fabrication of a fixed prosthesis, the clinician ensures that both the hard tissue and the soft tissue foundation for the prosthesis is solid; that the teeth are firm with minimal pockets or bone loss, and that the periodontal apparatus surrounding the abutments is capable of supporting a prosthesis. When a treatment plan for a patient involves a *removable* device, however, the health of the supporting apparatus is not always considered. This dichotomy between fixed and removable prosthetic care results from many factors, including the realization that a fixed prosthesis will fail if the supporting structure is not in optimal shape, but a removable prosthesis will function (although poorly) even with insufficient or inadequate support. Dental school curricula focus more on the health of the oral cavity when teeth are present than when the oral cavity is edentulous. With the success of implant dentistry as a superior alternative to removable prostheses, treatment planning for a partially or fully edentulous patient before removable prosthetic reconstruction has become less important in dentistry.

However, a removable prosthesis may be the only treatment plan available for many patients because of finances or underlying medical conditions. Blanchaert¹ lists diabetes, disorders of bone metabolism, radiotherapy, and chemotherapy as important factors in the decision to place implants. Smoking is also a significant contraindication to implant treatment. Holahan et al.² found that implants placed in smokers were 2.6 times more likely to fail than implants placed in nonsmokers. Mundt et al.³ found similar results in their study of 663 implants placed in 159 patients. Michaeli et al.⁴ reported an increased risk for failure in diabetic patients. A diagnosis of Paget's disease or other diseases of bone may also contraindicate placement of implants, depending on the quality of the bone.⁵

These contraindications show that a significant number of patients will still require non-implant-retained removable prosthetic care. Even if a patient is a candidate for implants, however, the fabrication of implant-retained overdentures must still take into account the health of the residual ridge, soft tissue, and supporting structures. Therefore, every dentist should still recognize the importance of ensuring that the structures supporting a removable prosthesis are in optimal health.

Lasers can be used as adjuncts to removable prosthetic care for many different procedures, including the following:

- Epulis fissuratum reduction
- Hyperplastic tissue reduction/vestibuloplasty
- Soft tissue tuberosity reduction
- Osseous tuberosity reduction
- Torus/exostosis reduction
- Papillary hyperplasia, nicotinic stomatitis, and other pathologies under maxillary dentures
- Osseous adjustment of undercut and irregularly resorbed ridges
- Denture stomatitis

The general advantages of using lasers during removable prosthetic treatment planning are essentially the same as when using lasers in periodontal, pedodontic, cosmetic, or oral surgical treatment plans. Kesler⁶ enumerated the advantages of using lasers in removable prosthetics as follows:

1. Reduced overall treatment time because of less mechanical trauma and edema.
2. Decreased bacterial contamination of the surgical site.
3. Reduced swelling, scarring, and wound contraction at the surgical site.
4. Excellent hemostasis, leading to superior visualization of the surgical site.

EXCISION OF EPULIS FISSURATUM

Kruger⁷ describes the conventional (blade) correction of epulis: excision of the fold if small, or submucosal dissection followed by sharp submucosal excision, with suturing of the flap to the periosteum. However, this method frequently fails because of extensive contracture of the vestibule, with loss of height. This will exacerbate the problem: a denture that does not properly fit in the vestibule. Lack of relapse and contracture is one advantage of using a laser versus conventional techniques.

Keng and Loh⁸ used a carbon dioxide (CO₂) laser to remove epuli from 20 patients, with follow-up at 1 day, 1 week, and

2, 3, 4, and 8 weeks postoperatively and continuously over 2 years. No patient experienced hemorrhage, infection, aspiration, or pain that could not be relieved by common oral analgesics. No sutures or packing was used, and healing was uneventful. All patients had stable results. Interestingly, many patients were apprehensive about conventional (scalpel) surgery but agreed to participate in the study if a laser would be used. This major advantage of patient perception of the advantages of laser surgery over conventional surgery was supplemented by a second advantage: lack of wound contraction and relapse. Other advantages of laser surgery included lack of scarring, good re-epithelialization, and precise tissue destruction. The authors concluded that the CO₂ laser represents a significant advance for the management of epulis fissuratum.⁸

In a larger study involving 126 patients with epulis, Barak et al.⁹ concluded that the CO₂ laser is ideal for this procedure. Other authors evaluating laser treatment of epulis also report positive results. Gaspar and Szabo¹⁰ reported a relapse rate after epulis reduction with conventional methods of 12.8% versus 7.9% for laser methods. They also performed a successful epulis reduction in a hemophiliac patient with no adverse affects. Komori et al.¹¹ performed epulis removal on seven patients using a CO₂ laser without anesthesia. All lesions were

successfully removed with no pain reported by the patients during the procedure.

There are no contraindications to the use of lasers for epulis treatment. Moritz¹² describes the removal of an epulis in a 64-year-old diabetic patient and of an irritation fibroma interfering with a maxillary denture in an 83-year-old patient. No complications or adverse postoperative sequelae occurred in either patient.

TYPICAL LASER REMOVAL OF EPULIS FISSURATUM

A 64-year-old edentulous man presented with elongated rolls of tissue in the maxillary mucolabial fold area (Figure 9-1, A). He was functioning with a poorly fitting, 20-year-old complete denture with no major symptoms. The flabby tissue appeared to have a central groove from the extension of the denture flange. This condition, *epulis fissuratum*, also called *denture-induced fibrous hyperplasia* (DIFH), is a common consequence of an improperly fitting denture and can generally be diagnosed based on the patient's clinical history and findings. Laser excision is generally suggested; if the lesion size is relatively small, however, laser ablation will suffice. Because these lesions may represent a squamous cell carcinoma that

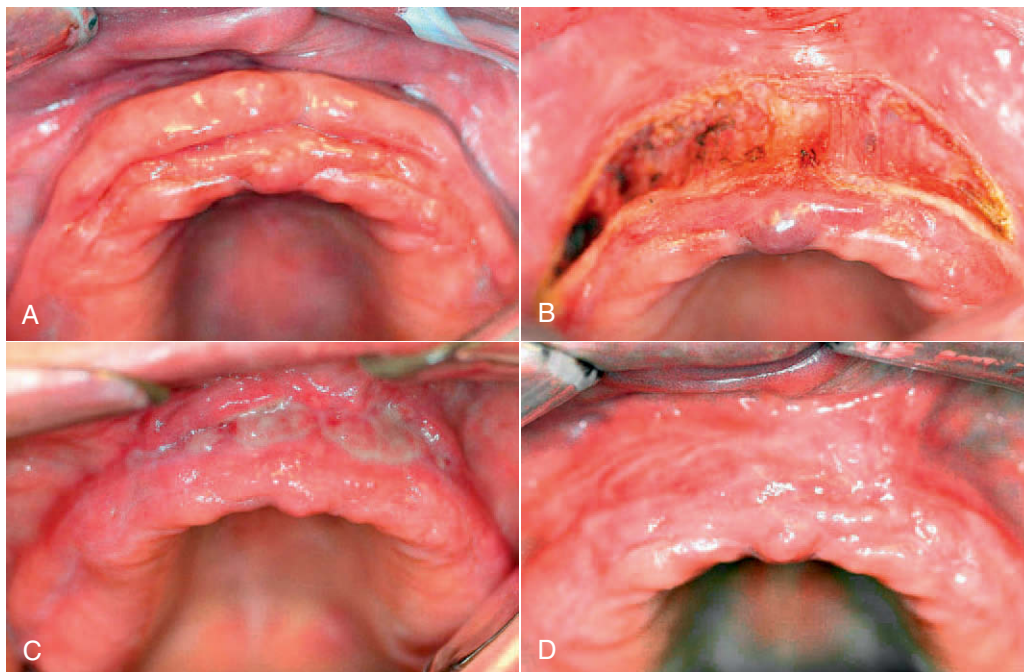


FIGURE 9-1 • Epulis reduction. **A**, Large epulis fissuratum consisting of elongated mass of flabby hyperplastic tissue in mucolabial fold. **B**, Complete bilateral excision of the hyperplastic tissue along supraperiosteal plane with excellent hemostasis. **C**, At 1-week postoperative visit, a fibrous coagulum is present and functioning as a natural protective dressing during healing. **D**, At 3-month postoperative visit, complete healing is achieved, and the area of supraperiosteal dissection has granulated with attached keratinized tissue.

has proliferated around a denture flange, suspicious tissues should be managed with excision and biopsy to eradicate the disease and rule out malignancy.¹³

During this patient's initial visit, a CO₂ laser excision was performed after administration of local anesthesia. A suprapariosteal excision was started along the alveolus, perpendicular to the tissue attachment level. The growth was retracted laterally with tissue forceps while performing a parallel ridge dissection superior to the height of the denture flange.

**Clinical
TIP**

Clinical Tip: As with any excisional procedure, from a frenectomy to a biopsy to an epulis excision, tension on the redundant tissue facilitates separation from the suprapariosteal plane. There should be no movement to the periosteal tissue; if movement is observed, the dissection is not in the correct plane. Performing this step correctly will significantly reduce the need for additional corrective procedures.

The procedure is completed by excising the tissue beginning at the lateral margins, then proceeding toward the midline bilaterally, while retracting the tissue away from the alveolar ridge (Figure 9-1, B). If bleeding occurs, hemostasis can be achieved by defocusing the laser. A denture tissue conditioner material (e.g., Coe Comfort) should be placed in the existing denture for comfort; except for removal during daily cleaning, the patient should wear this while tissue is granulating. A fibrous coagulum will form during the initial phases of healing (Figure 9-1, C), which should not be confused with infection. Complete healing occurs within a few weeks, and if necessary, the old prosthesis may be discarded and a new prosthesis fabricated (Figure 9-1, D).

VESTIBULOPLASTY

A common condition involves alveolar bone resorption with shallow vestibular depth, resulting in a patient's inability to wear a denture with the desired comfort and function. Although traditionally this has been treated surgically using a scalpel, the CO₂ laser is ideal in this situation for a bloodless suprapariosteal vestibuloplasty, with excellent results. Neckel¹⁴ treated 40 patients requiring vestibuloplasty with either traditional scalpel surgery or a laser. Results showed equivocal gain in vestibular height between the two groups, but with less postoperative pain and discomfort in the laser group.

Beer and Beer¹⁵ performed CO₂ laser maxillary vestibuloplasty in 10 patients whose vestibular height ranged from 3 to 7 mm. A laser incision was performed from the second molar region of one side all the way to the second molar region of the contralateral side. After laser treatment, the patients' old prostheses were adjusted with denture material to support the

new vestibular heights. At the conclusion of the study, all the vestibules were increased a minimum of 3 to 8 mm. Many of the patients showed increases of 10 to 12 mm with no relapse. No complications were seen at follow-up 6 to 10 months postoperatively.

LASER TECHNIQUE

Figure 9-2 illustrates a technique for laser vestibuloplasty in a patient requiring excision of an epulis and a vestibuloplasty. After administration of local anesthetic, the anterior vestibule is tensed by pulling the lower or upper lip outward. The laser is then used to create a suprapariosteal dissection.¹⁶ The tension maintained on the lip allows effortless establishment of an adequate plane of dissection. The tissue margin is then maintained in place with sutures or a stent. If desired, an autogenous or allogeneic soft tissue graft can be used to cover the denuded area. Immobilization of the graft is key to its survival, which can be accomplished with suturing or placement of a stent.¹⁷

In patients not undergoing soft tissue grafting, postoperative discomfort is minimized and may be further reduced by initial, continuous wear of a soft-relined denture or a tissue-conditioned denture, although this is not mandatory. In patients with significant resorption of the mandible, the genioglossus and mylohyoid muscle attachments often preclude adequate extension of the lingual flange of the denture.¹⁸ In this case a floor-of-mouth lowering procedure can be performed to increase lingual vestibular height. This can be done with a laser as previously described, again using suprapariosteal dissection and either sutures or a stent to fix the lowered tissue in place. At least half the musculature attached to the genial tubercles should remain to ensure adequate function of the tongue and oropharyngeal muscles.

TUBEROSITY REDUCTION

During treatment planning for a full or partial upper denture, significant diagnostic criteria include the size and shape of the maxillary tuberosity. The tuberosity may be small and inconsequential in treatment planning. However, the tuberosity also may be large, flabby, and pendulous, with bilateral undercuts that would prevent fabrication of a properly fitting prosthesis. If the tuberosity is a concern, a radiographic series must be taken to evaluate the type of tissue; palpation alone will not necessarily provide the clinician with sufficient information to determine its consistency. The tuberosity may consist solely of hypertrophied soft tissue, requiring a surgical procedure to reduce the soft tissue. The tuberosity also may consist of a thin layer of fibrotic tissue and mucosa overlying a thick, prominent mass of osseous tissue, requiring a hard tissue tuberosity reduction. Occasionally, the tuberosity may consist of a thin layer of fibrotic tissue and mucosa overlying a pneumatized

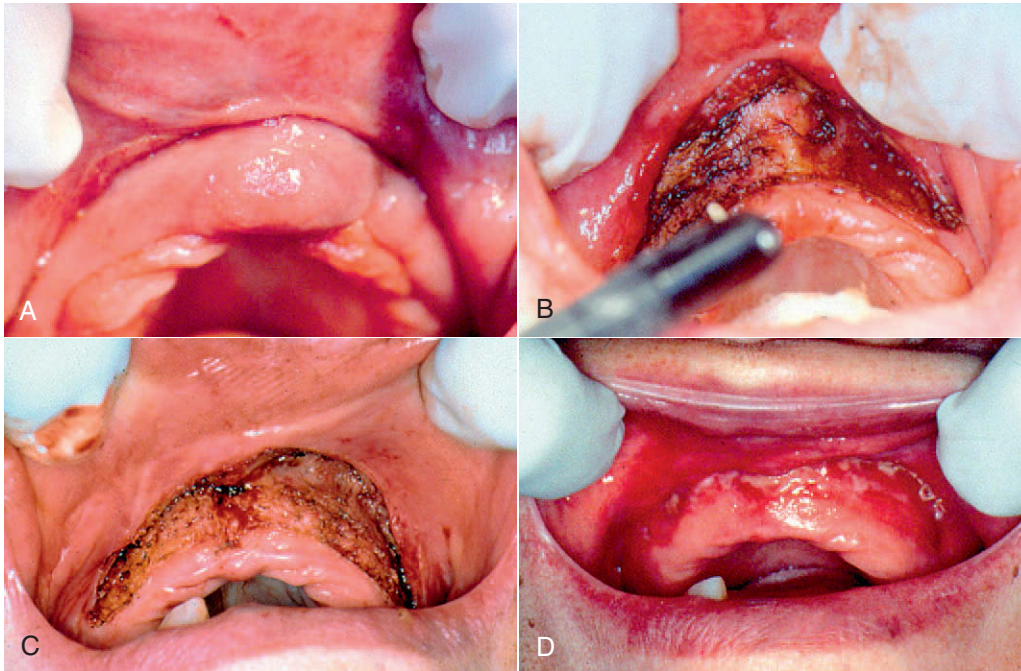


FIGURE 9-2 • Vestibuloplasty with epulis excision. **A**, Preoperative view of maxillary ridge epulis fissuratum. **B**, Epulis excision with a CO₂ laser in continuous-wave mode. Note residual muscle on the periosteum. The laser is used to incise the muscle attachments, allowing them to migrate vertically. As the muscle is being dissected superiorly, tension is being applied to the lip. **C**, Supraperiosteal dissection and vestibuloplasty completed. Note clean periosteum. **D**, Postoperative appearance at 10 days, with excellent vestibular depth and re-epithelialization.

sinus, and a sinus lift procedure may be performed (see Chapter 7).

Terry and Hillenbrand¹⁹ state that soft tissue tuberosity reductions are performed to provide enough maxillomandibular clearance to allow sufficient space for the denture base and denture teeth. They suggest a minimum of 5 mm of clearance between the tuberosity and the mandibular mucosa.

Costello et al.²⁰ describe the procedure for a soft tissue tuberosity reduction as follows:

1. An elliptical incision is performed over the tuberosity.
2. The mucosa is undermined.
3. The fibrous tissue is removed.
4. Excessive mucosal tissue is removed.
5. Primary closure is obtained.

If the tuberosity is primarily osseous tissue, once the elliptical incision is made, the mucoperiosteum is reflected and the bone removed with a rongeur or bur. The area must be smoothed with a bone file, irrigated, and closed. Care must be taken to avoid perforating into the maxillary sinus.²⁰ Guernsey²¹ warns that during conventional techniques, care must be taken to avoid the greater palatine artery and its branches.

LASER TUBEROSITY REDUCTION

Each step just outlined may be performed with a dental laser. Compared with conventional techniques, Pick²² states that laser tuberosity reduction offers numerous advantages. Common problems arise after the elliptical incision is made and the fibrous wedge of tissue removed, because the flaps of tissue need to be thinned and trimmed. Often, the flaps are trimmed more than once to achieve the correct size for primary closure. Occasionally, the flaps are trimmed so that they are too short to achieve primary closure. This area is also difficult to suture.

When a laser is used for a soft tissue tuberosity reduction, the procedure is performed without an incision and therefore with no need to suture. The soft tissue of the tuberosity is simply vaporized layer by layer until the correct maxillomandibular space has been achieved. This procedure may be performed both in patients currently wearing full dentures and in patients with treatment plans to receive a full or partial denture.

Convissar and Gharemani²³ describe a soft tissue tuberosity reduction in a patient before making a final impression for an immediate insertion of a full upper denture. By using a laser, the treatment period was shortened by at least 3 to 6 weeks.

because of the accelerated healing and less traumatic technique. The final impression for the immediate-insertion prosthesis was taken only 3 weeks after the tuberosity reduction, with confidence that no further tissue shrinkage would occur and result in a poor fit of the prosthesis. With no incision or sutures, healing occurred quickly and uneventfully. Sinus perforation is not possible because the bloodless surgical site is clearly visualized; easily distinguishing between mucosa and periosteum avoids a potential perforation. Because lasers cauterize and coagulate, inadvertently severing a palatine artery or one of its lesser branches is less of a risk.

Pogrel²⁴ described laser tuberosity reduction on four patients (average treatment time, 6 minutes). There was no report of bleeding, swelling, or recurrence of the excess tissue. One patient had a bilateral tuberosity reduction: one side done with a conventional (scalpel) technique and the other with a laser; the laser side felt more comfortable to the patient. The laser side healed before the scalpel side, and laser reduction took less time. Pogrel concluded that the advantages of the CO₂ laser appear to be applicable to soft tissue preprosthetic surgery.

Soft Tissue

The procedure for a soft tissue laser tuberosity reduction is relatively simple and straightforward (Figure 9-3). After diagnostic radiographs to determine the location of the sinus floor and mounted study casts to determine the amount of surgical reduction necessary, local anesthetic of choice is administered to the tuberosity both buccally and palatally. After selecting a large spot size/fiber or handpiece, the laser of choice is used at a surgical setting to ablate the tissue of the tuberosity layer by layer until sufficient maxillomandibular space is created. The laser should be kept as parallel to the tuberosity as possible to ensure maximum efficiency. The laser is then moved out of focus to ensure adequate hemostasis. At this time the tissue is evaluated for irregularities or tissue tags; any such tissue is ablated with the laser. The denture may then be relined with a tissue conditioner or soft reline material. The patient receives postoperative instructions (maintaining clean surgical site, stressing oral hygiene) and follow-up appointment.

Hard Tissue

Many options are available for a hard tissue laser tuberosity reduction, depending on the wavelengths available. If only a soft tissue wavelength (CO₂, diode, Nd:YAG) is available, an elliptical incision is made into the tuberosity with the laser, exposing the osseous structure. The osseous tissue is then reduced with the clinician's instrument of choice, including a rongeur, a round bur with copious water spray in a high-speed handpiece, or a bone file. All the advantages of using a laser over a scalpel are apparent when using this technique, including the excellent visualization resulting from superior hemostasis and a dry surgical site. The surgical site is then closed with sutures.

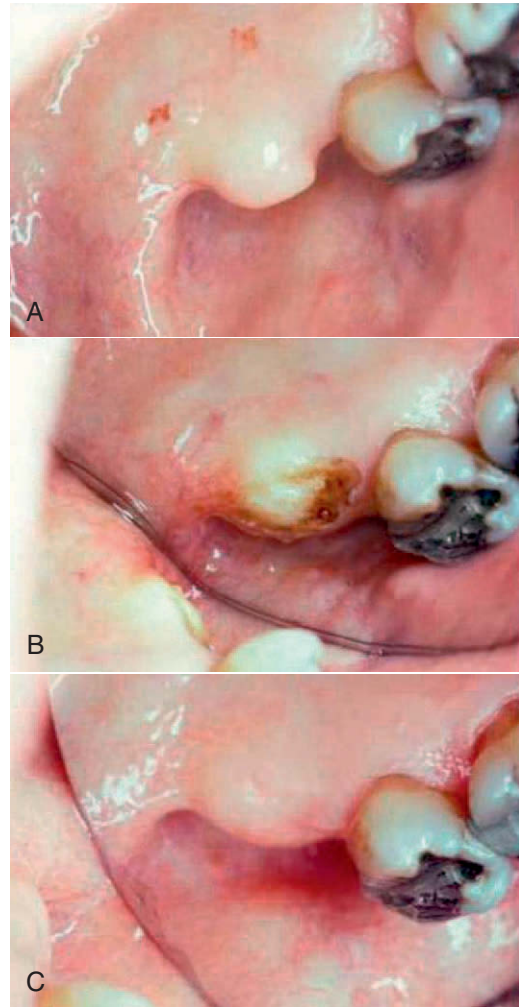


FIGURE 9-3 • Tuberosity reduction. **A**, Preoperative photograph of enlarged soft tissue tuberosity. **B**, Tuberosity reduction performed through a simple ablation technique, with no incision or sutures. **C**, Postoperative view of surgical site at 17 days. Note excellent healing and re-epithelialization of surgical site. Excellent contours of site have been achieved without incisions or sutures. (Courtesy Dr. Stuart Coleton, Chappaqua, NY.)

If a hard tissue laser is available, such as the erbium:yttrium-aluminum-garnet (Er:YAG) or erbium, chromium:yttrium-scandium-gallium-garnet (Er,Cr:YSGG), the elliptical incision into the soft tissue may be made with the laser to expose the bone. The hard tissue laser is then used to ablate the bone slowly and methodically. To ensure maximum hemostasis with the hard tissue laser when using it on soft tissue, it is advised to shut off the water spray when incising the soft tissue. Once the hard tissue is ready to be removed, make certain that a copious water spray is used on the bone. This ensures maximum spallation (see Chapter 11) and cutting efficiency, with minimal thermal damage to the bone.

LASER TORUS REDUCTION

Mandibular tori are present in 8% of the population, affecting men and women equally.²⁵ Tori occasionally can interfere with fabrication of a full or partial denture, in which case a torus reduction is indicated. The procedure for performing a laser torus reduction is similar to a hard tissue tuberosity reduction: an incision is made to expose the bone, the bone is reduced, and the incision is sutured closed.

Although inherent in any surgical procedure, complications may be more serious when performing surgery on or close to the floor of the mouth; reports include hemorrhage and infection²⁰ and a life-threatening swelling after a mandibular vestibuloplasty.²⁶ Mantzikos et al.²⁷ describe three cases of hematoma formation on the floor of the mouth after periodontal surgery combined with extensive torus and exostosis reduction. Terry and Hillenbrand¹⁹ also describe hematoma formation as a complication of torus reduction. A literature search found no reports of hematoma formation during laser mandibular torus reduction. The superior ability of lasers to coagulate and cauterize surgical sites is probably responsible for this significant advantage to using lasers for this procedure.

Payas²⁸ describes a mandibular *lingual* torus reduction using a combined CO₂/erbium laser. An incision is made with a scalpel to expose the osseous tissue. The erbium laser is then used to cut the torus into sections. The sections are grasped and removed with a hemostat, and the surgical site is closed with multiple black-silk sutures. The CO₂ laser was used for hemostasis. Healing was uneventful.

In this procedure, the soft tissue incision could have been made with any laser or with a scalpel and the osseous tissue reduced with a high-speed bur in a handpiece using copious water spray, with a rongeur, with a hard tissue laser, or with a bone file. Hemostasis could have been achieved with a laser or with digital pressure and gauze packs. There is no “best” technique.

In the “ideal” technique, the clinician maximizes use of the laser wavelength(s) available while staying within his or her comfort zone of laser use. Many practitioners embrace the use of hard tissue lasers for both torus and hard tissue tuberosity reductions; others, especially oral and maxillofacial surgeons, have not embraced the use of the erbium wavelengths because of the slowness of its cutting compared with conventional techniques. The practitioner is advised always to recognize the capabilities and limitations of the wavelengths available.

Palatal tori occur in 25% of female patients with torus, twice the incidence in male patients.²⁵ Palatal torus reduction is rarely necessary before fabrication of a maxillary prosthesis. When necessary, however, complications can include the following^{19,20}:

- Nasal perforation
- Hematoma
- Oronasal/oroantral fistula
- Palatal fractures
- Palatal tissue necrosis

As with osseous tuberosity and mandibular torus reductions, for palatal torus an incision is made over the osseous protuberance to expose the bone. The bone is usually removed by sectioning with a bur and removal with a chisel, or by judicious use of a large, round bur with water spray. Although an erbium laser would be slower than conventional techniques, this is one clinical situation in which speed of the procedure is secondary to safety. The erbium lasers may be used safely to ablate the osseous material layer by layer, until a sufficient amount has been removed. A slow but steady ablation of bone prevents the accidental perforation of the palate or creation of a fistula. Many clinicians advocate the use of palatal stents to prevent hematoma formation. Any laser wavelength can be used to create hemostasis and decrease the risk of hematoma.

ALVEOLAR RIDGE ABNORMALITIES

Even if a patient had no torus or tuberosity to interfere with fabrication of prostheses, the residual alveolar ridge might be unsuitable for placement of a denture. Kesler⁶ describes a variety of conditions that could interfere with fabrication of a prosthesis, including irregularly resorbed and irregularly undercut ridges. An insufficiently compressed tooth socket after extraction could lead to an irregular shaped alveolus. A prominent premaxilla may present with significant undercuts.

Ogle²⁹ describes the presence of prominent mylohyoid and internal oblique ridges, ridge undercuts that interfere with proper extension of a denture flange, and sharp residual ridges. Meyer³⁰ describes three types of sharp ridges: saw-toothed, razorlike, and with discrete projections. All these ridge types will affect the fit and the comfort of the denture. If the denture does not sit equally well on the entire residual ridge, the occlusal load on one part will be significantly more than on other parts of the ridge. This will lead to discomfort and even more irregular ridge resorption, compounding the problem.

If ridge augmentation is not part of the treatment plan, all these conditions may be addressed with laser assistance. The soft tissue may be flapped open to expose the ridges and erbium lasers used to reshape the ridge, resulting in a ridge better able to support the prosthesis comfortably.

SOFT TISSUE ABNORMALITIES

One of the more common soft tissue abnormalities diagnosed during full-denture fabrication, or during routine examination of a patient wearing a complete maxillary denture, is *papillary hyperplasia*. Regezi and Sciubba³¹ report an incidence of 10% in the population of patients wearing a full maxillary denture. This can result from poor hygiene, poor fit of the denture causing a localized irritation, or occasionally from a fungal infection.

The use of lasers for treatment of papillary hyperplasia is well established in the literature.^{19,20,22,24,32} Terry and Hillenbrand¹⁹ state that the most common complication of conventional treatment of papillary hyperplasia is hemorrhage. The use of any soft tissue laser wavelength to perform this procedure will result in coagulation and cauterization of the site, preventing any hemorrhage. In a study of 11 patients who underwent laser treatment of papillary hyperplasia, Pogrel²⁴ reported no bleeding, swelling, or (in mandibular arch treatment) mental nerve damage. Advantages of using CO₂ lasers to treat papillary hyperplasia include the following²²:

- The ability to negotiate curves and folds in the tissue easily
- No bleeding during or after the procedure
- A dry field
- Speed
- Minimal postsurgical pain
- Minimal postsurgical swelling
- Superb effectiveness in vaporization of the tissue

Papillary hyperplasia is a superficial mucosal lesion, so laser treatment does not involve an incision/excision procedure. The laser is used with a large fiber/spot size or

handpiece in a defocused mode, covering the entire lesion with laser energy. This defocused laser energy is insufficient to incise through the tissue but more than sufficient to perform a superficial vaporization of the affected tissue. Infante Cossio et al.³³ describe a patient with inflammatory papillary hyperplasia initially treated with a topical antifungal gel for 1 month, which did not heal the lesion. A CO₂ laser successfully vaporized the lesion, with no recurrence at 3 years postoperatively.

Figure 9-4 shows a patient with a severe case of papillary hyperplasia. A CO₂ laser was used in a defocused mode to perform surface vaporization of the lesion. Removal of char layer revealed complete vaporization of the lesion.

Clinical Tip: Note the parallel horizontal lines of vaporization on the palate in Figure 9-4. Performing this procedure with parallel horizontal lines, rather than in a back-and-forth technique, prevents the clinician from releasing tissue. Lasing already-lased tissue may result in severely charred tissue, leading to postoperative discomfort and possibly sloughing of the tissue.

**Clinical
TIP**

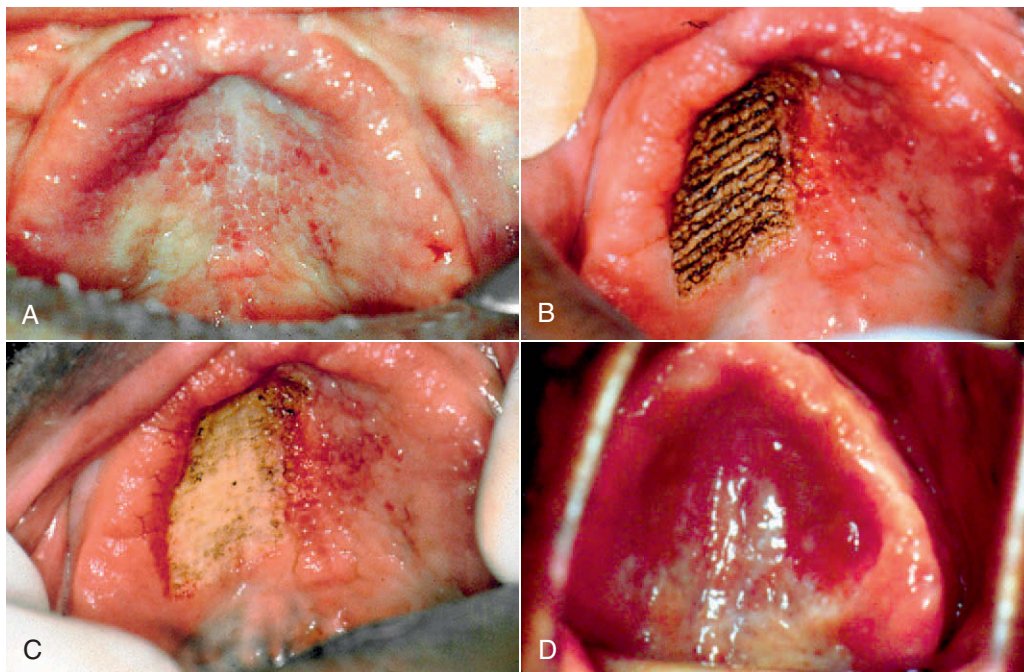


FIGURE 9-4 • Papillary hyperplasia. **A**, Preoperative view of severe papillary hyperplasia. **B**, Horizontal defocused ablation of right side of palate. Because this is a vaporization/ablation procedure rather than an incision/excision procedure, the laser is used slightly out of focus. **C**, Wet gauze used to remove char layer, which was expected using older-model CO₂ laser unit. The newer superpulsed or ultraspeed CO₂ lasers no longer create char layers on tissue because their high peak powers are delivered in a much shorter burst, or pulse of energy. **D**, Postoperative view at 2 weeks shows good initial healing.

Other oral mucosal lesions associated with the wearing of removable prosthetic devices include the following³⁴:

- *Denture stomatitis*, which affects the palatal mucosa in up to 50% of all patients who wear a partial or full denture.
- *Traumatic ulcers*, which occur in about 5% of all denture wearers.
- *Angular cheilitis*, which affects 15% of all full-denture patients.

All these lesions are amenable to laser treatment using defocused energy.

These lesions may also be treated with low-level laser therapy (LLLT). Marei et al.³⁵ divided 18 patients into three groups: removal of the denture for a specified period, relined the dentures with tissue-conditioning material, or low-level laser irradiation. Results showed that LLLT group had superior healing compared to the other two groups (see Chapter 15).

Figure 9-5 illustrates CO₂ laser treatment of a patient with a severe case of angular cheilitis. The laser was used with low power in a vaporization technique to remove the lesion. Note the excellent healing of the lesion at 2 weeks (Figure 9-5, E

and F). The patient must be told at this point that the lesion will unquestionably recur unless a new prosthesis is made with an increased vertical dimension.

Clinical Tip: To speed healing of this lesion, the patient in Figure 9-5 applied vitamin E liquid to the surgical site four times a day for 2 weeks.

**Clinical
TIP**

CONCLUSION

Laser dentistry is a continuously evolving discipline. As more dentists discover the superior results that laser treatment provides compared with conventional techniques, the discipline will increase in size and scope. Although removable prosthetic reconstruction of the partially or fully edentulous patient is less common than in the past because of the increased popularity of implants, a certain percentage of the population still will require a removable prosthesis to replace missing teeth.

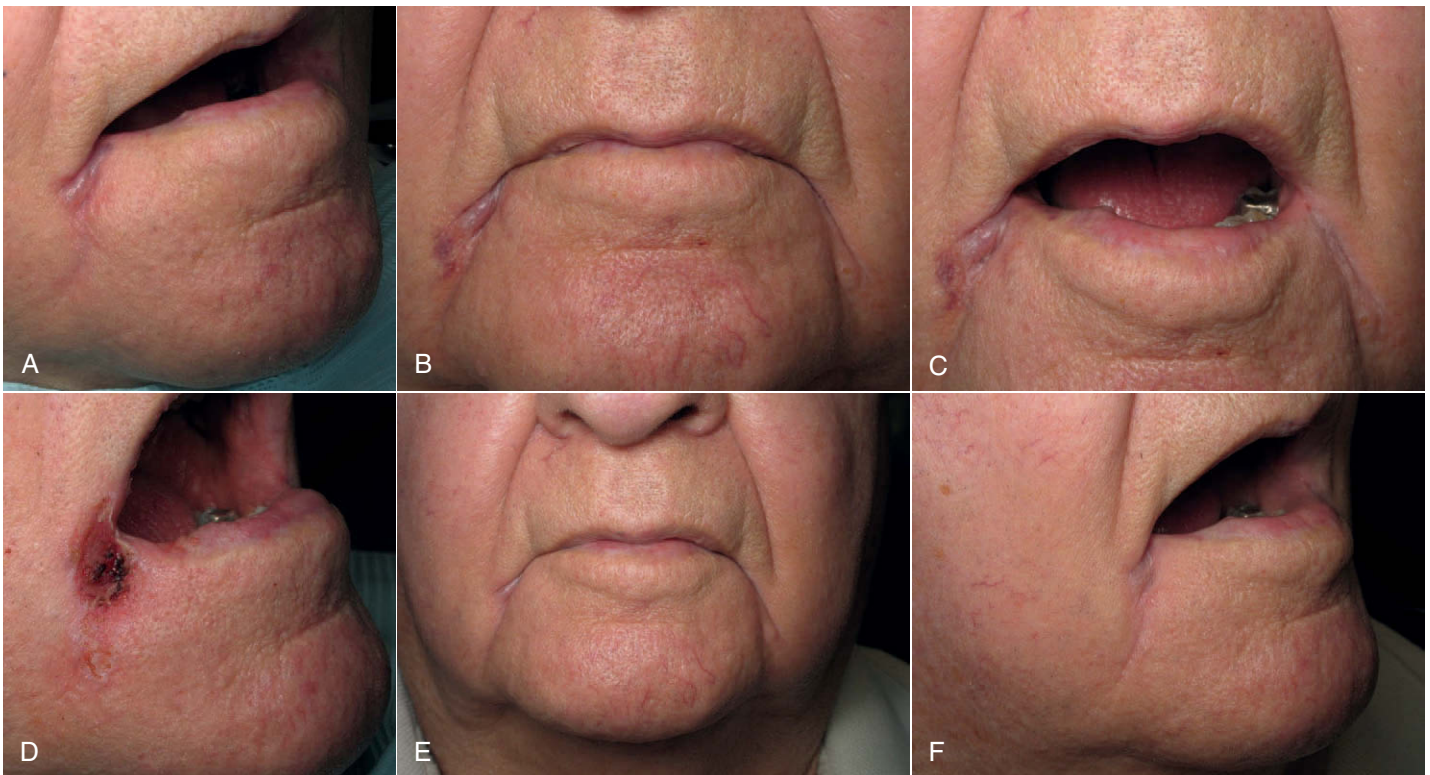


FIGURE 9-5 • Angular cheilitis. **A**, Preoperative right-side view of angular cheilitis. Note lesion at right corner of the mouth. **B**, Preoperative anterior view with patient's mouth closed. Note overclosed vertical dimension, which contributes to the condition. **C**, Preoperative anterior view with patient's mouth open. **D**, Immediate postoperative view after treatment with CO₂ laser. **E**, Two-week postoperative view of treated area with patient's mouth closed. **F**, Two-week postoperative view of treated area with patient's mouth open. (Courtesy Dr. Rick Kava, Sioux City, Iowa.)

The advantages of laser dentistry noted throughout this textbook also apply to the patients with removable prostheses. Unparalleled hemostasis and maintenance of a dry, sterile surgical field resulting in increased visualization of the surgical site are as important in treating the partially or fully edentulous patient as the patient with a complete dentition. A decontaminated postoperative field with much less postoperative pain will result in less need for prescribing analgesics and antibiotics for the patient. The greatly reduced potential for negative drug interactions is a critically important advantage when dealing with elderly edentulous patients who already take multiple medications. As part of a comprehensive treatment plan for the partially or fully edentulous patient, laser treatment benefits both the dentist and the patient.

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The rapid development of laser technology combined with better understanding of laser-tissue interaction increases the spectrum of possible laser applications in endodontics. The development of new delivery systems, including thin and flexible fibers as well as new endodontic tips, allows this technology to be applied to the following endodontic procedures:

- Pulp diagnosis
- Pulp capping and pulpotomy
- Cleaning and disinfecting the root canal system
- Obturation of the root canal system
- Endodontic re-treatment
- Apical surgery

Although interest in clinical laser systems for endodontic procedures is increasing, concerns still exist, especially the lack of well-designed clinical studies that clearly demonstrate the advantage of lasers over currently used conventional methods and techniques. Selection of a suitable wavelength from current laser systems requires advanced training and understanding of the different characteristics of each system. This chapter discusses the clinical applications of lasers in endodontics.

PULP DIAGNOSIS (LASER DOPPLER FLOWMETRY)

Pulp vitality may be difficult to assess at times because current tests are poor indicators. A false diagnosis of pulp vitality may lead to an unnecessary endodontic procedure. Histological evaluation of the exact condition of the pulp tissue is not feasible because creating an opening into the pulp chamber for evaluation will require removal of this tissue and subsequent root canal treatment.

Laser Doppler flowmetry (LDF) was developed to assess blood flow in microvascular systems. It can also be used as a diagnostic system for measurements of blood flow in the dental

pulp.^{1,2} LDF uses low-power settings (1-2 mW) of helium-neon (HeNe) or diode (810 nm) light sources.^{3,4} This technique may represent a sensitive and accurate means for pulp vitality testing because it reflects *vascular* rather than neural responsiveness compared with other methods.⁵ The laser beam must be directed through the clinical crown structure to the pulpal blood vessels, where flowing red blood cells (RBCs) cause the Doppler shifting of the frequency of the laser beam. Some of the light is backscattered out of the tooth and is detected by a photocell on the tooth surface. The output is proportional to the number and velocity of RBCs^{6,7} (Figure 10-1).

Interest in LDF has mostly been in the field of dental traumatology. Studies have found that LDF is effective in the assessment of revascularization of replanted immature dog teeth,^{8,9} as well as in patients with avulsed permanent maxillary incisors treated with reimplantation and splinting.^{4,10}

Limitations in LDF mainly derive from environmental contamination, and therefore it may be difficult to obtain laser reflection from certain teeth.¹¹ The anterior teeth, in which the enamel and dentin are thin, usually do not present a problem. Molars, however, with their thicker enamel and dentin and the variability in the position of the pulp within the tooth, may cause variations in pulpal blood flow.^{1,3} Also, differences in sensor output and inadequate calibration by the manufacturer may dictate the use of multiple probes for accurate assessment.¹² Further, the probe design and bandwidth may affect the laser Doppler readings from vital and nonvital teeth.¹³ It has been suggested that up to 80% of the laser Doppler blood flow signal recovered from intact human teeth without a rubber dam in place is of nonpulpal origin, and that the role of the periodontium in some pulpal blood flow recordings has probably been underestimated.¹⁴ The use of rubber dam in combination with a rigid splint is recommended to enhance the validity of recordings.¹⁵

Frentzen et al.¹⁶ reported the following problems with LDF:

- Scattering of signals from the surrounding tissues.
- Difficulty in obtaining laser reflection in posterior teeth.
- Difficulty in obtaining laser reflection in restored teeth because of insufficient transmission.

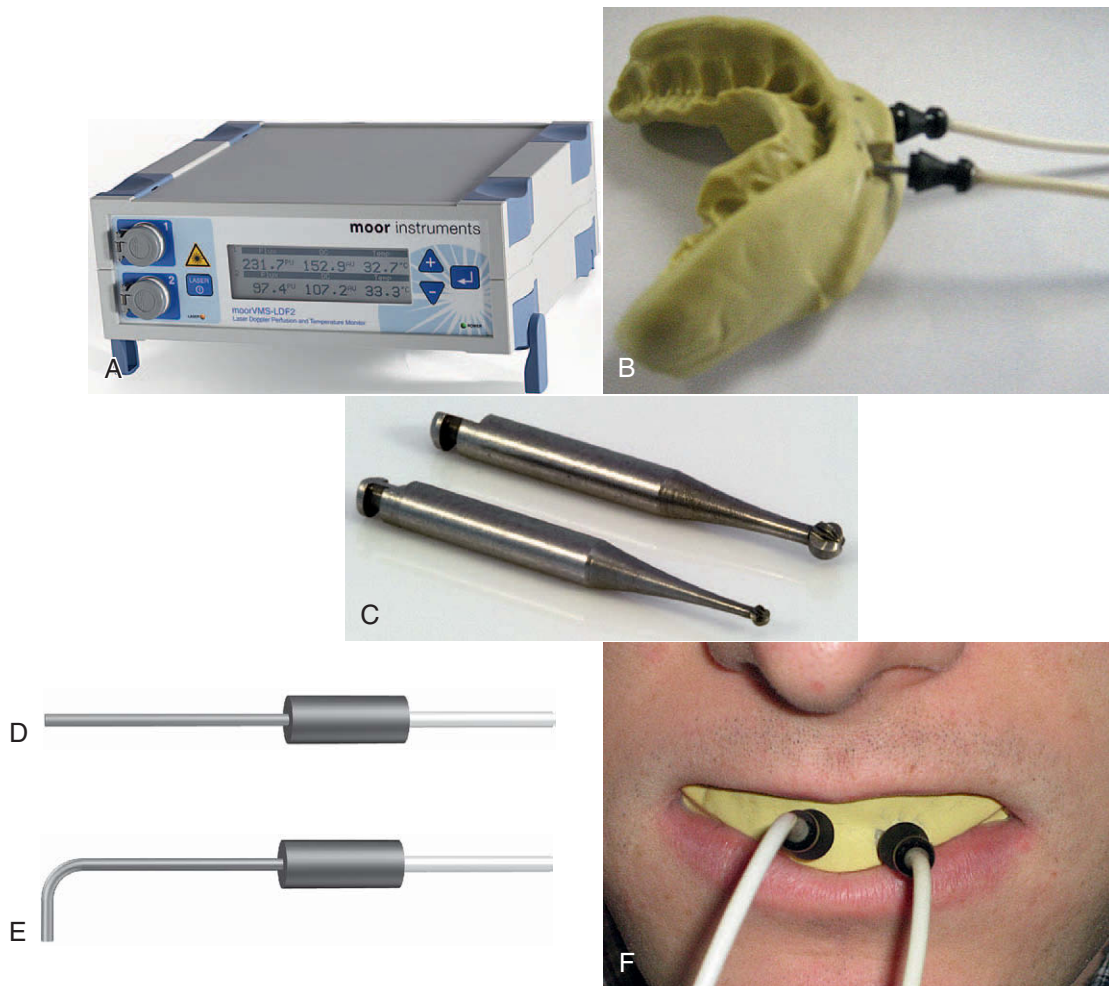


FIGURE 10-1 • **A**, Laser Doppler flowmeter unit. **B**, Mold made of quick-set putty with two laser Doppler probes embedded. **C**, Slow-speed round burs used to make holes in putty for placement of probes. **D** and **E**, Laser Doppler probes for assessment of blood flow in anterior teeth (**D**) and posterior teeth (**E**). **F**, Quick-set putty with dental probes in position in the mouth.

Continued

In traumatized teeth, where excitability of the pulp is reduced, LDF could present an acceptable alternative to conventional methods of vitality testing (thermal and electrical stimuli). Further investigations and technological improvements are still required. When equipment costs decrease and clinical application improves, this technology could be efficiently used for patients who have difficulty in communicating or for young children whose responses may not be reliable.²

PULP CAPPING AND PULPOTOMY

Pulp capping, as defined by the American Association of Endodontists, is a procedure in which “a dental material is placed over an exposed or nearly exposed pulp to encourage the formation of irritation dentin at the site of injury.” *Pulpotomy*

entails surgical removal of a small portion of vital pulp as a means of preserving the remaining coronal and radicular pulp tissues. Pulp capping is recommended when the exposure is small (≤ 1.0 mm^{17,18}) and the patient is young. Pulpotomy is recommended when the young pulp is already exposed to caries and the roots are not yet fully formed (open apices).

The traditional pulp-capping agent is *calcium hydroxide*, or $\text{Ca}(\text{OH})_2$.^{19,20} When it is applied to pulp tissue, a necrotic layer is produced and a dentin bridge formed. The same may occur when the pulpotomy procedure is performed. A newer material, *mineral trioxide aggregate* (MTA), shows favorable results when applied to exposed pulp. It produces more dentinal bridging in a shorter time with significantly less inflammation. However, 3 to 4 hours is necessary for complete setting of the MTA.²¹⁻²³ The success rate of pulp capping, whether direct or indirect, ranges from 44% to 97%. In pulpotomy, the same agents are used until root formation has

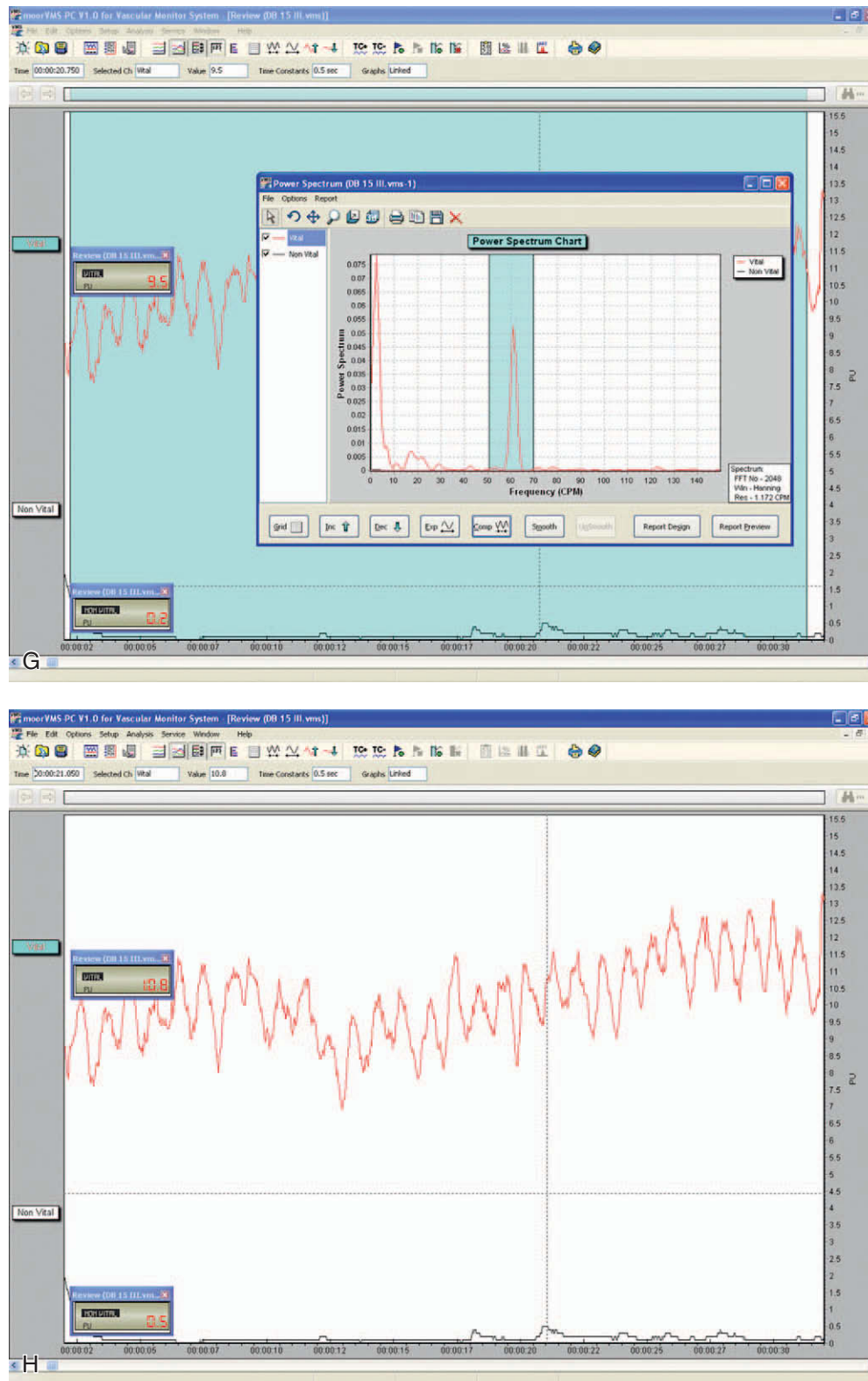


FIGURE 10-1, cont'd • **G**, Blood flow analysis readout. **H**, Fourier analysis of blood flow data. (**A**, **D**, **E**, Courtesy Moor Instruments Ltd.)

been completed. Whether full root canal treatment should then be initiated is debatable.^{24,25}

Since the introduction of lasers to dentistry, several studies have shown the effect of different laser devices on dentin and pulpal tissue. Whereas ruby lasers caused pulpal damage, Melcer et al.²⁶ showed that the carbon dioxide (CO₂) laser produced new mineralized dentin without cellular modification of pulpal tissue in beagles and primates. Shoji et al.²⁷ applied CO₂ laser to the exposed pulps of dogs, using a focused and defocused laser mode and a wide range of energy levels (3, 10, 30, and 60 W). Charring, coagulation necrosis, and degeneration of the odontoblastic layer occurred, although no damage was detected in the radicular portion of the pulp.

Jukic et al.²⁸ used CO₂ and neodymium:yttrium-aluminum-garnet (Nd:YAG) lasers with an energy density of 4 J/cm² and 6.3 J/cm², respectively, on exposed pulp tissue. In both experimental groups, carbonization, necrosis, an inflammatory response, edema, and hemorrhage were observed in the pulp tissue. In some specimens a dentinal bridge was formed.

Moritz et al.²⁹ used a CO₂ laser in patients requiring direct pulp-capping treatment. An energy level of 1 W at 0.1-second exposure time with 1-second pulse intervals was applied until the exposed pulps were completely sealed. The pulps were then dressed with Ca(OH)₂ (Kerr Life). In the control group, the pulps were capped with Ca(OH)₂ only. Symptoms and vitality were examined after 1 week and monthly for 1 year; 89% of the experimental group had no symptoms and responded normally to vitality tests, versus only 68% of the control group.

In cases of deep and hypersensitive cavities, indirect pulp capping should be considered. A reduction in the permeability of the dentin, achieved by sealing the dentinal tubules, is paramount. Nd:YAG and 9.6-micron (μ) CO₂ lasers can be used for this purpose. The 9.6-μ CO₂ laser is well absorbed by the hydroxyapatite of enamel and dentin, causing tissue ablation, melting, and resolidification.³⁰ The use of the 9.6-μ CO₂ laser did not cause any noticeable damage to the pulpal tissue in dogs.³¹

White et al.³² found that use of a pulsed Nd:YAG laser with an energy level less than 1 W, with a 10-Hz repetition rate, and overall 10-second exposure time did not significantly elevate the intrapulpal temperature. According to results, these may be considered safe parameters because the remaining dentinal thickness in cavity preparations cannot be measured in vivo. It is therefore recommended that clinicians choose laser parameters lower than these safety limits.

CLEANING AND DISINFECTING THE ROOT CANAL SYSTEM

Bacterial contamination of the root canal system is considered the principal etiological factor in the development of pulpal

and periapical lesions.³³⁻³⁵ Creating a root canal system free of irritants is a major goal of root canal therapy, traditionally achieved through biomechanical instrumentation. Because of the complexity of the root canal system, however, complete elimination of debris resulting in a sterile root canal system is difficult.^{36,37} Also, a smear layer, which covers the instrumented walls of the root canal, is formed during this treatment.³⁸⁻⁴⁰

The *smear layer* consists of a superficial layer on the surface of the root canal wall approximately 1 to 2 μ thick and a deeper layer packed into the dentinal tubules to a depth of up to 40 μ.⁴⁰ It contains inorganic and organic substances that include microorganisms and necrotic debris.⁴¹ In addition to possible infection of the smear layer itself, it can also protect the bacteria already present deeper in the dentinal tubules by preventing intracanal disinfection agents from penetrating into the tubules.⁴² Pashley⁴³ states that a smear layer containing bacteria or bacterial products might provide a reservoir of irritants. Thus, complete removal of the smear layer would be consistent with the elimination of irritants from the root canal system.⁴⁴

Also, Peters et al.⁴⁵ clearly demonstrated that more than 35% of the canals' surface area remained unchanged after instrumentation of the root canal using four different nickel-titanium (NiTi) preparation techniques. Because most current intracanal medicaments have a limited antibacterial spectrum and limited ability to diffuse into the dentinal tubules, newer treatment strategies designed to eliminate microorganisms from the root canal system should be considered. These must include agents that can penetrate the dentinal tubules and destroy the microorganisms located in an area beyond the host defense mechanisms, where they cannot be reached by systemically administered antibacterial agents.⁴⁶

Numerous studies have also documented that CO₂,⁴⁷ Nd:YAG,⁴⁷⁻⁴⁹ argon,^{47,50} Er,Cr:YSGG,⁵¹ and Er:YAG^{52,53} laser irradiation has the ability to remove debris and the smear layer from the root canal walls after biomechanical instrumentation.

However, the intracanal use of lasers has several limitations.⁵⁴ The emission of laser energy from the tip of the optical fiber or the laser tip is directed along the root canal and not necessarily laterally along the root canal walls.⁵⁵ Thus, it is almost impossible to obtain uniform 360-degree coverage of the internal aspect of the root canal system surface using a laser.^{54,55} Also, because thermal damage to the periapical tissues is possible, safety must always be considered.⁵⁵ Direct emission of laser irradiation from the tip of the optical fiber in the vicinity of the apical foramen of a tooth may result in transmission of the energy beyond the foramen. This in turn may adversely affect the supporting tissues of the tooth and can be hazardous in teeth close to the mental foramen or to the mandibular nerve.^{55,56}

Matsumoto et al.³ emphasize the possible limitations of laser use in root canal systems, suggesting that "removal of smear layer and debris by laser is possible, however it is

difficult to clean all root canal walls, because the laser is emitted straight ahead, making it almost impossible to irradiate the lateral canal walls.” They strongly recommend improving laser endodontic tips to enable irradiation of all areas of the root canal walls.

Erbium lasers have gained increasing popularity among clinicians after their clearance by the U.S. Food and Drug Administration (FDA) for use on hard dental tissues.⁵⁷ Stabholz et al.^{55,56} describe a newer endodontic tip that can be used with an Erbium laser system. The beam of the Erbium laser is delivered through a hollow tube, permitting development of an endodontic tip that allows lateral emission of the irradiation (side-firing) rather than direct emission through a single opening at the far end. This new *endodontic side-firing spiral tip* was designed to fit the shape and the volume of root canals prepared by NiTi rotary instrumentation. It emits the Erbium laser irradiation laterally to the walls of the root canal through spiral slits located all along the length of the tip. The tip is sealed at its far end, preventing the transmission of irradiation to or through the apical foramen of the tooth. Examining the efficacy of the endodontic side-firing spiral tip in removing debris and smear layer from distal and palatal root canals of freshly extracted human molars, scanning electron microscopy (SEM) of the lased root canal walls revealed clean surfaces, free of smear layer and debris (Figures 10-2 to 10-4).⁵⁶

The dentinal tubules in the root run a relatively straight course between the pulp and the periphery, in contrast to the typical S-shaped contours of the tubules in the tooth crown.⁴¹ Studies have shown that bacteria and their byproducts, present in infected root canals, may invade the dentinal tubules. Also, the presence of bacteria in the dentinal tubules of infected teeth was found at approximately half the distance between the root canal walls and the cementodentinal junction.^{58,59} These findings justify the rationale and need for developing effective means of removing the smear layer from root canal walls after biomechanical instrumentation. This would allow disinfectants and laser irradiation to reach and destroy microorganisms within the dentinal tubules.

In various laser systems used in dentistry, the emitted energy can be delivered into the root canal system by a thin optical fiber (Nd:YAG, KTP-Nd:YAG, Er:YSGG, argon, diode) or by a hollow tube (CO₂, Er:YAG) (Figure 10-5). Thus, the potential bactericidal effect of laser irradiation can be effectively used for additional cleansing and disinfecting of the root canal system after biomechanical instrumentation. This effect was extensively studied using CO₂,^{60,61} Nd:YAG,⁶²⁻⁶⁵ potassium titanyl phosphate (KTP)-Nd:YAG,⁶⁶ excimer,^{67,68} diode,⁶⁹ and Er:YAG⁷⁰⁻⁷² lasers.

The apparent consensus is that laser irradiation emitted from dental laser systems has the potential to kill microorganisms. In most cases the effect is directly related to the amount of irradiation and to its energy level. *Case Study 10-1* illustrates the use of erbium laser energy to clean and disinfect a root canal system.

OBTURATION OF THE ROOT CANAL SYSTEM

Obturation of the prepared root canal space is done (1) to eliminate all avenues of leakage from the oral cavity or from the periradicular tissues into the root canal system and (2) to seal within the system any irritants that cannot be fully removed during the cleaning and shaping procedures.⁷³ The rationale of introducing laser technology to assist in obturating the root canal system is based on the following two assumptions about the laser's ability:

- Using the laser irradiation as a heat source for softening gutta-percha, which is employed as the obturating material.
- Using the laser as a means to condition the dentinal walls before placing an obturation bonding material.

The concept of *thermoplasticized compaction* is not new and covers any technique that is based entirely on the heat softening of gutta-percha combined primarily with vertical compaction. The technique has many different names, including warm sectional technique, vertical compaction with warm gutta-percha, and the Schilder technique. Schilder⁷⁴ first described the technique to fill root canals in three dimensions more than 40 years ago. At present, some dental practitioners still use this technique, whereas others use newer, warm-gutta-percha techniques such as thermomechanical compaction, thermoplasticized gutta-percha, and injected softened gutta-percha, which have been introduced to simplify the root canal filling procedure.

The first laser-assisted root canal filling procedure used the wavelength of the 488-nm argon laser. This wavelength, which is transmitted through dentin, was used to polymerize a resin placed in the main root canal. Testing the ability of this biomaterial to penetrate into accessory root canals showed that the resin in the lateral canals was readily polymerized at low energy levels (30 mW). Further use of this wavelength became irrelevant because of its poor properties for most other dental procedures.⁷⁵

Anic and Matsumoto⁷⁶ were the first to compare different root canal filling techniques used to fill single rooted teeth. Lateral condensation, vertical condensation, low-temperature gutta-percha (Ultrafil), and laser-cured resin with different wavelengths (argon, CO₂, Nd:YAG) were used. The apical sealing ability achieved by the various filling techniques was compared by measuring apical dye penetration after placement of the samples in a 1% solution of methylene blue. Gutta-percha softened with an argon laser created an apical seal similar to that obtained with the lateral condensation and Ultrafil technique.

Maden et al.⁷⁷ used the dye penetration method to measure apical leakage by comparing lateral condensation, System B technique (a thermoplasticized compaction), and Nd:YAG-softened gutta-percha. No statistically significant differences

The palatal root of a maxillary molar tooth

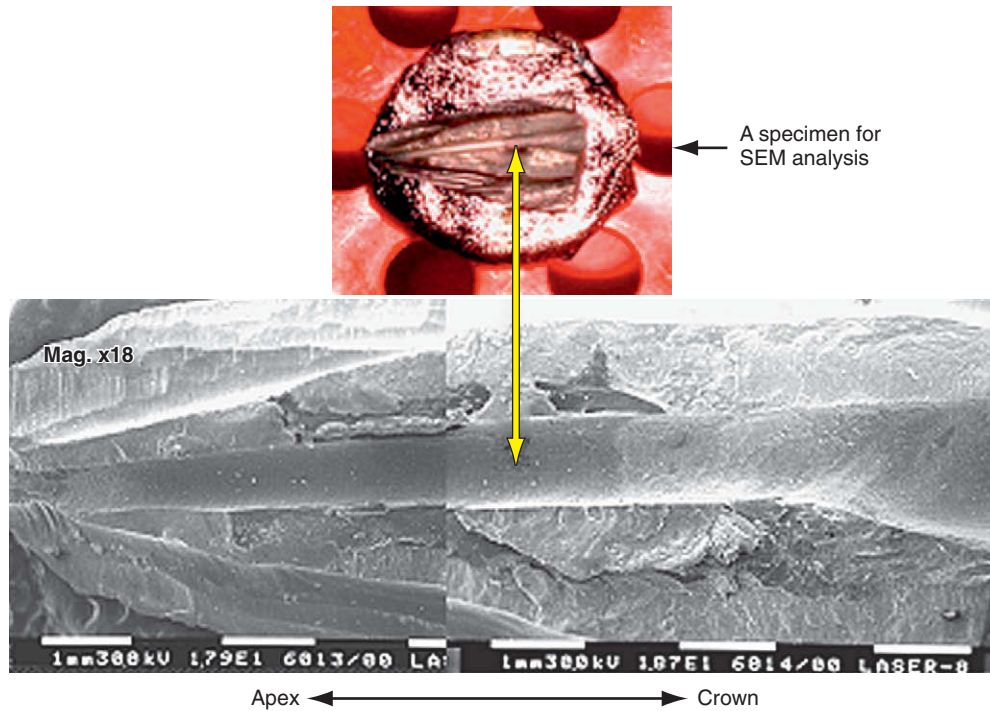


FIGURE 10-2 • Longitudinally split palatal root of maxillary molar, sputter-coated by gold and ready for scanning electron microscope (SEM) evaluation. Vertical arrow indicates the root canal as shown on SEM photograph.

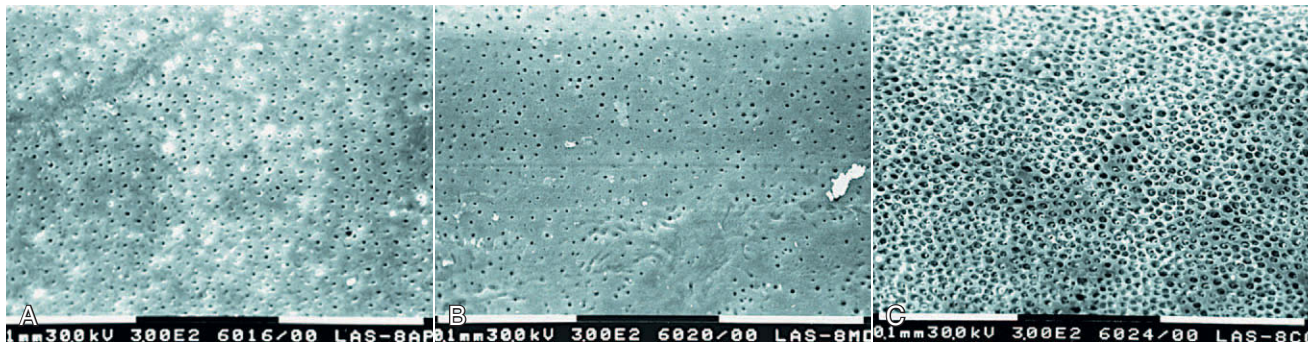


FIGURE 10-3 • A to C, Scanning electron microscope photographs of lased wall of root canal at its apical, middle, and coronal parts, respectively, demonstrate clean surfaces of root canal walls, free of smear layer and debris, and clean, open dentinal tubules. (Magnification $\times 300$.)

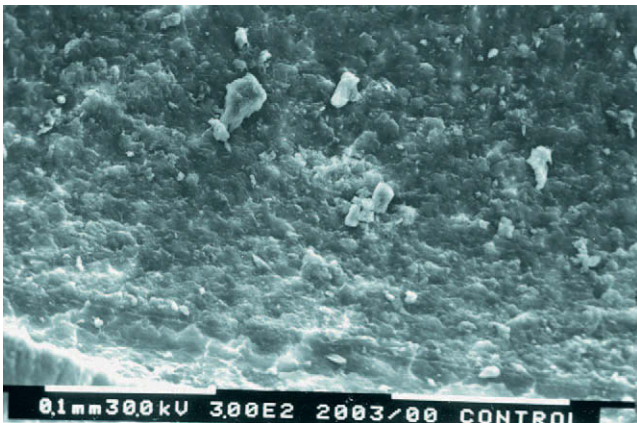


FIGURE 10-4 • Scanning electron microscope photographs of a nonlased wall of root canal at its middle part demonstrates unclean surfaces of root canal walls, with smear layer and debris. Dentinal tubules cannot be seen. (Magnification $\times 300$.)

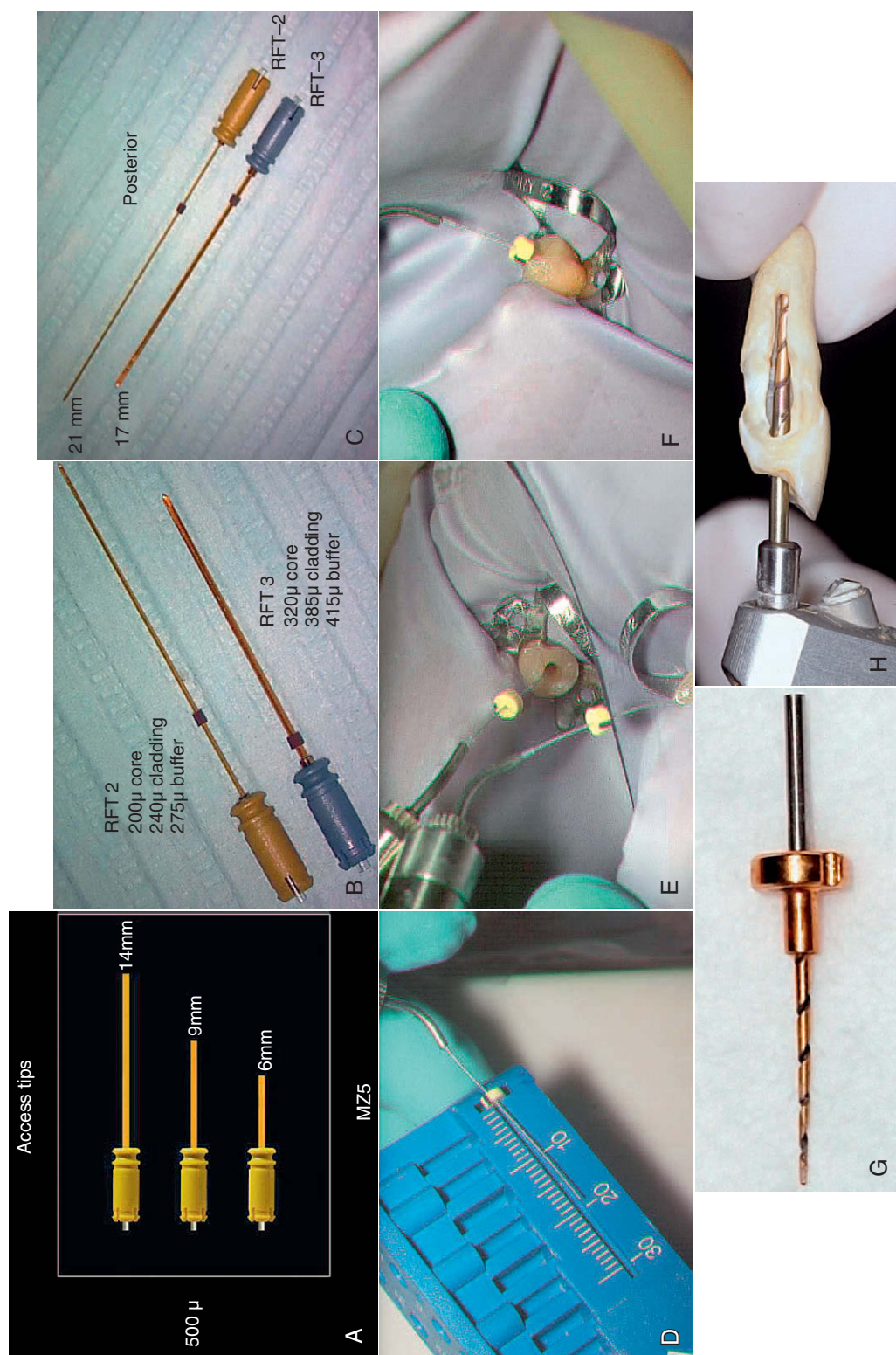


FIGURE 10-5 • **A** to **C**, Erbium, chromium:yttrium-scandium-gallium-garnet (Er,Cr:YSGG) laser tips to create endodontic access openings (**A**) and to instrument canals in anterior teeth (**B**), and posterior teeth (**C**). **D** to **F**, Erbium:yttrium-aluminum-garnet (Er:YAG) laser tip being fitted for a stopper for working length minus 1 mm of endodontic canal (**D**), entering the canal (**E**), and reaching measurement depth (**F**). **G**, RCLase Side Firing Spiral Tip. **H**, Prototype of RCLase Side Firing Spiral Tip is shown in root canal of extracted maxillary canine in which side wall of root was removed to enable visualization of tip. (**A** to **C** courtesy Dr. David Browdy, Lynbrook, NY; **D** to **F** courtesy Dr. Donald Coluzzi, Redwood City, Calif.)

Case Study

10-1

An 18-year-old girl came with her mother to the endodontic clinic complaining of a bad taste in her mouth and the presence of a lesion in her gums in the front of her mouth. Clinical examination revealed a sinus tract opening close to the apex of the right lateral maxillary incisor. The radiograph showed internal resorption and a large radiolucent area in the apical third of the root. A diagnostic/length measurement radiograph showed root perforation in the apical third of the root.

The patient and her mother were advised of the poor prognosis of the tooth and the option of placing a dental implant to replace the lateral incisor. The mother asked if an attempt could be made to try to save the tooth because her daughter was leaving on a trip that could not be postponed.

The tooth was opened and the root canal cleaned and shaped using conventional methods. $\text{Ca}(\text{OH})_2$ was placed for 3 months and then replaced with another freshly prepared mix of the same material. The sinus tract was still present after 6 months, with no signs of healing. The mother and patient were informed that as a last resort, the tooth could be treated with a newly developed laser side-firing spiral endodontic tip (RCLase), which might provide better disinfection of the infected root canal system. The canal was treated with the erbium laser energy and sealed with gutta-percha. A two-year follow-up radiograph revealed complete healing of the periapical lesion. The sinus tract disappeared, and patient is free of symptoms (Figure 10-6).

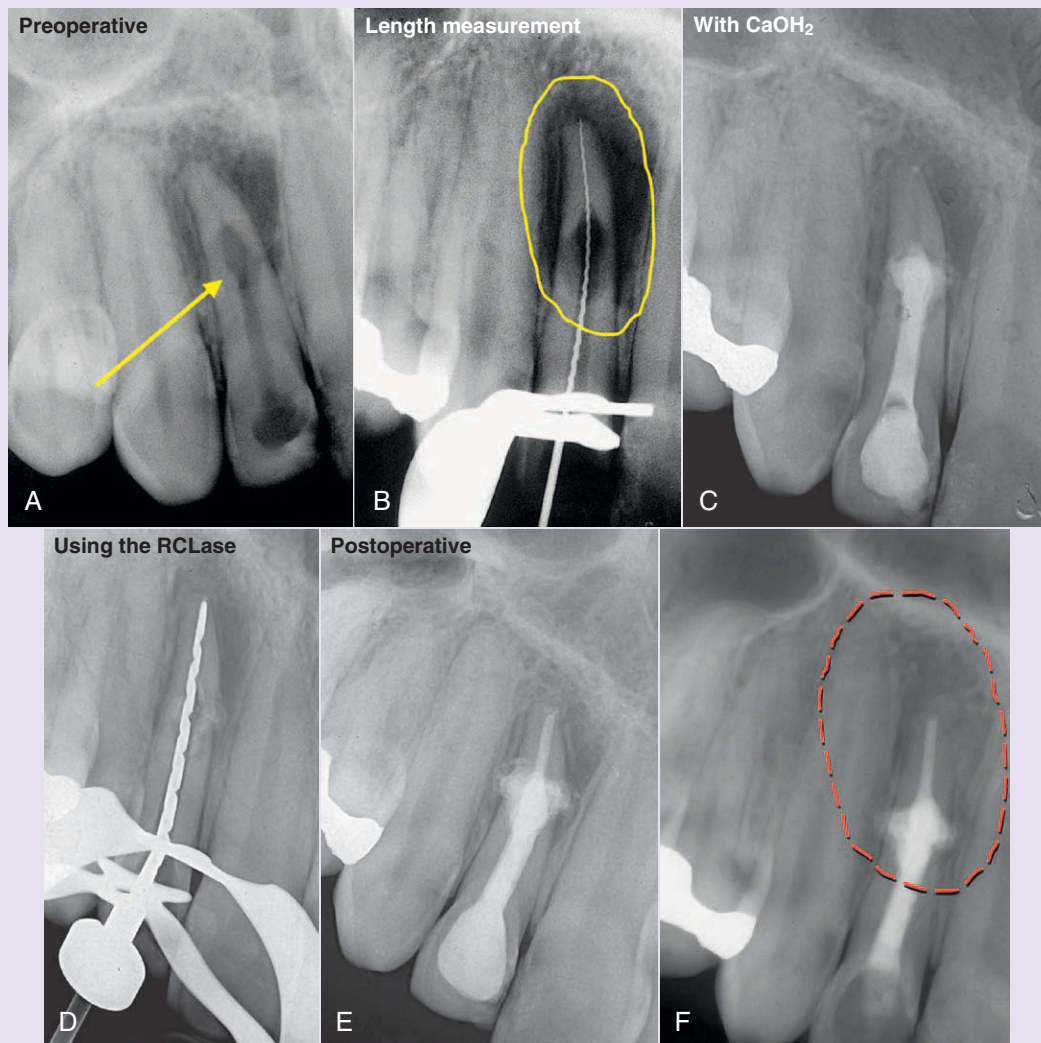


FIGURE 10-6 • Laser-assisted root canal filling. **A**, Preoperative radiographs of upper-right maxillary incisor showing internal resorption. **B**, A root perforation is visible on the measurement radiograph. **C**, Tooth filled with calcium hydroxide. **D**, Laser tip in canal. **E**, Lateral maxillary incisor after completion of root canal filling using hot gutta-percha technique. **F**, Two-year follow-up radiograph reveals complete healing of periapical lesion. Sinus tract disappeared, and patient is free of symptoms.

among the different groups were reported. Anic and Matsumoto⁷⁸ reported that the temperature elevation on the outer root surface was 14.4° C using the Nd:YAG and 12.9° C using the argon laser. Such a temperature increase may be detrimental to the tissues of the periodontal ligament and attachment apparatus of the teeth, and thus the implications of using such treatment methodologies remain questionable.

To examine whether laser irradiation does improve the adhesion of endodontic materials to the dentinal walls of the root canal and reduce apical leakage, Park et al.⁷⁹ used different sealers and two root canal filling techniques. They concluded that Nd:YAG laser irradiation at the end of the root canal preparation (5 W, 20 Hz) reduced the apical leakage regardless of the sealer or the technique used. Kimura et al.⁸⁰ used Er:YAG laser (170–250 mJ, 2 Hz) and showed that irradiation of the root canal did not affect apical leakage after obturation compared with conventional methods. Also, using the Nd:YAG laser with black ink at the apical stop helped reduce apical leakage.⁸¹

Gekelman et al.⁸² reported significant improvement in the quality of the apical sealing of root canals using the Nd:YAG laser (100 mJ/pulse, 1 W, 10 Hz). Sousa-Neto et al.⁸³ also demonstrated that application of Er:YAG laser (200 mJ, 4 Hz) for 60 seconds enhanced the adhesion of epoxy resin-based sealers compared with zinc oxide–eugenol (ZOE)–based root canal sealers.⁸³

The clinical evidence on laser-assisted obturation is currently insufficient. For example, it has not been determined whether the use of an optical fiber as a heat source to soften gutta-percha is safe for the surrounding structures of the tooth. Also, it is not clear if the softening of gutta-percha is homogeneous in all parts of the filling, as previously suggested, when vertical condensation techniques are used.⁸⁴ However, studies show the significant role of endodontic sealers when warm-gutta-percha compaction techniques are employed.⁸⁵ It is agreed that root canal sealers affect the quality of the apical seal of vertically condensed gutta-percha, and without a sealer, significantly more apical leakage occurs. Thus, the use of sealers is recommended (e.g., ZOE-based sealers).

Currently, procedural simplification seems to be the only proven advantage of laser use. Some questions concerning the effectiveness of lasers for assisting in the obturation process of root canals remain. The clinician should determine the most suitable wavelength and ensure adequate parameters.

ENDODONTIC RETREATMENT

Endodontic failures can be attributed to inadequacies in cleaning, shaping, and obturation; iatrogenic events; or reinfection of the root canal system when the coronal seal is lost after completion of root canal treatment. Regardless of the initial cause, the common etiology is *leakage*. The objective of nonsurgical re-treatment is to eliminate the root canal space as a source of irritation to the attachment apparatus.⁸⁶

Some failures may be managed by endodontic re-treatment, which can be effective in eliminating clinical and radiographic signs of pathosis. A variety of techniques have been described to remove deficient root canal filling and metallic obstructions that may lead to undesirable results.⁸⁷

The rationale for using laser irradiation in nonsurgical re-treatment may involve the need to remove foreign material from the root canal system, which is difficult to eliminate using conventional methods.

Farge et al.⁸⁸ examined the efficiency of the neodymium:yttrium-aluminum-perovskite (Nd:YAP) laser (1340 nm) for root canal re-treatment (200 mJ, frequency 10 Hz) in removing previously placed gutta-percha and ZOE-sealer root canal filling, as well as silver cones and broken instruments. They concluded that using laser radiation alone could not completely remove debris and obturation materials from the root canal. Yu et al.⁸⁹ used the Nd:YAG laser at three output powers (1, 2, and 3 W) to remove gutta-percha filling (70% of samples) and broken files (55%) from the root canal space. Anjo et al.⁹⁰ reported that the time required for removing root canal obturation materials using laser ablation was significantly shorter than using conventional techniques. It appeared that some orifices of the dentinal tubules were blocked with melted dentin after laser irradiation. The authors concluded that Nd:YAG laser irradiation is an effective tool for the removal of root canal obturation materials and may offer advantages over conventional methods.

The efficacy of the Er:YAG laser in removing zinc oxide sealers and phenoplastic resins from root canals has also been studied.⁹¹ In straight root canals, laser irradiation of 250 mJ/pulse at a frequency of 10 Hz was useful to eliminate zinc oxide sealer material, in combination with hand instruments but without a specific solvent. Nevertheless, in curved root canals, the procedure had to be stopped due to the risk of lateral perforation of the root canal wall. Under the same experimental conditions, when laser irradiation was delivered to remove phenoplastic resins, ledging of the root canal occurred, and it was not possible to return to the previously established working length.

A clinical advantage that should be further explored is the possibility of eliminating the current use of toxic solvents when removing semisolid materials such as gutta-percha from the root canal system.

Although it was shown that filling materials can be removed from the root canal using lasers such as Nd:YAG and Er:YAG,^{89–92} the decisive advantage in using lasers for this purpose remains to be confirmed (Figure 10-7).

APICAL SURGERY

Surgical endodontic therapy is indicated when teeth have responded poorly to conventional treatment or when they cannot be treated appropriately by nonsurgical means. The goal of endodontic surgery is to eliminate the disease and to

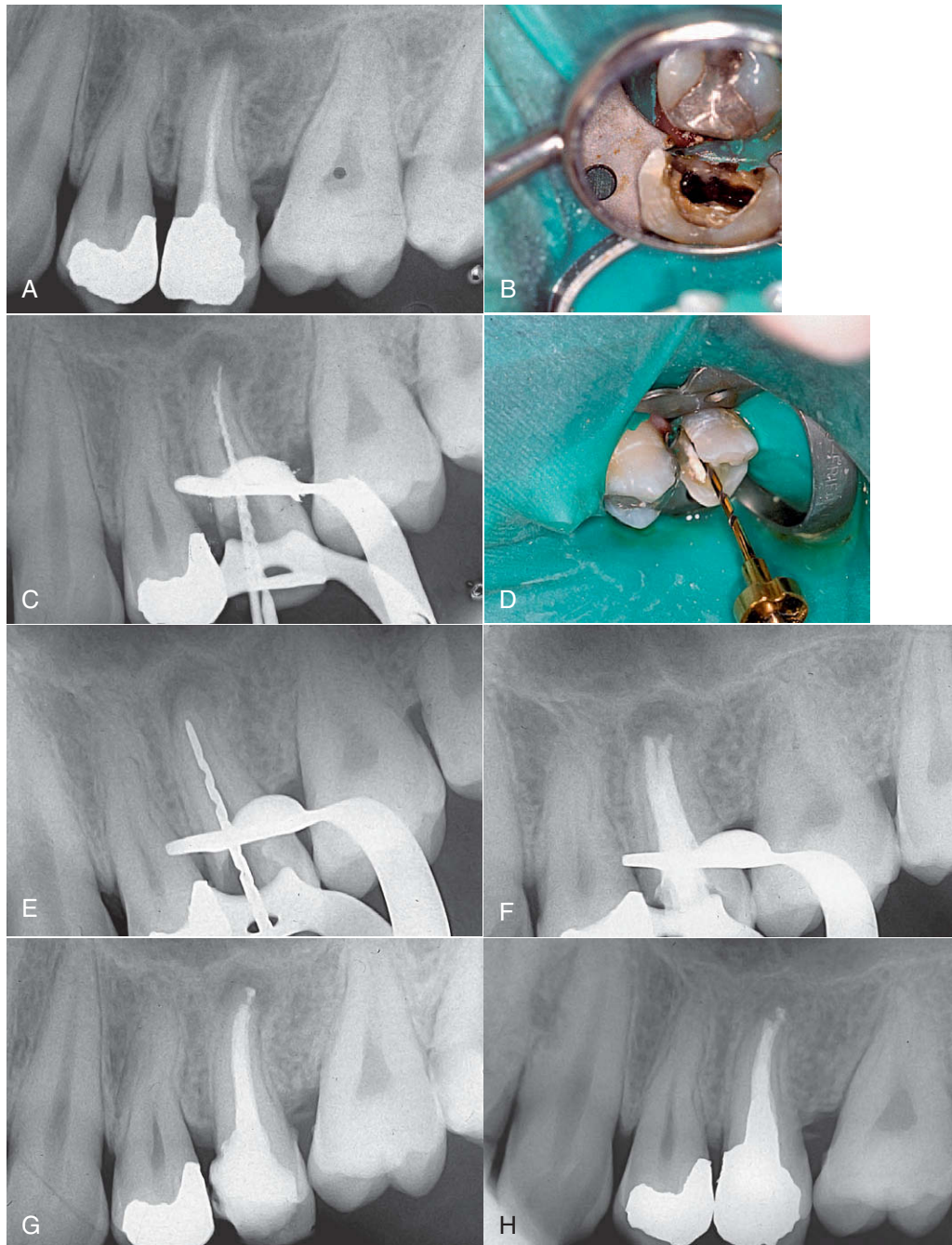


FIGURE 10-7 • **A**, Preoperative radiograph of second left maxillary premolar with chronic apical periodontitis. A periapical radiolucent area can be clearly seen; root canal re-treatment is indicated. **B**, After access opening, previous root canal filling material was removed; occlusal view shows unclean root canals. **C**, Length measurement radiograph shows two separate root canals. **D**, Using Er:YAG laser irradiation for cleaning of root canal system. RCLase Side Firing Spiral Tip is introduced to root canal after completion of biomechanical preparation of canal with NiTi (ProTaper) files. **E**, RCLase tip in root canal. **F**, Master point radiographs showing both root canals filled with gutta-percha. **G**, Completed endodontic therapy. **H**, Six-month postoperative radiograph shows good repair.

prevent it from recurring.⁹³ The surgical option should be considered only when a better result cannot be achieved by nonsurgical treatment.^{94,95}

Egress of irritants from the root canal system into the periapical tissues is considered the main cause of failure after apicoectomy and retrograde filling.⁹⁶ The irritants presumably penetrate mainly through a gap between the retrograde filling and the dentin. A second possible pathway is through the dentin of the cut root surface after apicoectomy and retrograde filling. The dentin of apically resected roots is more permeable to fluids than the dentin of nonresected roots.⁹⁷

The first attempt to use lasers in endodontic surgery was performed to seal the apical foramina of extracted teeth in which the pulp was extirpated.⁹⁸ The apices of these specimens were irradiated using a high-power CO₂ laser. Melting of the cementum and dentin was observed with a “cap” formation that could be easily removed. Miserendino⁹⁹ used a CO₂ laser to irradiate the apex of a tooth during apicoectomy and described the advantages of improved hemostasis and concurrent visualization of the operative field. He also emphasized the potential sterilization effect on the contaminated root apex, as well as the reduced permeability of the root surface dentin. Recrystallization of the apical root dentin appeared smooth and suitable for placement of a retrograde filling material.

Duclos et al.¹⁰⁰ used a CO₂ laser to perform apicoectomies and advocated the use of a “mini” contra-angle head for efficient delivery of the laser irradiation at a 90-degree angle, to facilitate access to the apical part of teeth in the posterior areas.

However, the unfavorable results of an *in vivo* study on dogs, with no improvement in success after apicoectomy using the CO₂ laser,¹⁰¹ failed to support the rationale previously described by Miserendino.⁹⁹ A prospective study of two retrograde endodontic apical preparations with and without CO₂ laser, in which 320 cases were evaluated, did not show that the CO₂ laser improved the healing process.¹⁰²

In vitro studies using the Nd:YAG laser have shown a reduction in the penetration of dye or bacteria through resected roots.¹⁰³⁻¹⁰⁶ Stabholz et al.¹⁰⁴ suggested that the reduced permeability in the lased specimens was probably the result of structural changes in the dentin after laser application. Although SEM examination showed melting, solidification, and recrystallization of the hard tissue, the structural changes were not uniform, and the melted areas appeared connected by areas similar to those in the nonlased specimens. The authors postulated that this explained the reduced, but not completely eliminated, permeability of the dentin. Homogeneously glazed surfaces would presumably be less permeable than partially glazed surfaces.

Ebihara et al.¹⁰⁷ used the Er:YAG laser for retrograde cavity preparations of extracted teeth. They found no significant difference in dye penetration between the lased and ultrasonic groups. This is not surprising because the

Er:YAG laser neither melts nor seals the dentinal tubules; therefore, one would not expect any reduction in dentin permeability.

Gouw-Soares et al.¹⁰⁸ evaluated the marginal permeability in teeth after apicoectomy and apical dentin surface treatment by Er:YAG and 9.6-μ TEA (transversely excited at atmospheric pressure) CO₂ lasers. Both showed a reduction in permeability to methylene blue dye.

Using the Er:YAG laser at low power in apical surgery, it is possible to cut the apices of extracted teeth, with smooth and clean resected surfaces, devoid of charring.^{109,110} Also, although the cutting speed of the Er:YAG laser is slightly slower than conventional high-speed burs, the absence of discomfort and vibration and the reduced risk of contamination at the surgical site and trauma to adjacent tissues may compensate for the extended treatment time.¹¹¹

In a 3-year clinical study, Gouw-Soares et al.¹¹² reported a new protocol to be used in apical surgery. An Er:YAG laser was applied to perform the osteotomy and root resection, while the Nd:YAG laser irradiation was used to seal the dentinal tubules and reduce possible bacterial contamination of the surgical cavity. The improvement in healing was achieved by the use of a low-level gallium-aluminum-arsenide diode laser. The clinicoradiographic follow-up showed significant decreases in the radiolucent periapical areas, with no clinical signs and symptoms (see Chapter 15).

Studying the preparation of apical cavities by Er:YAG laser and ultrasonics, Karlovic et al.¹¹³ found lower values of microleakage when the root end cavities were previously prepared with Er:YAG laser, regardless of the material used to seal those cavities.

After the appropriate wavelength of melting the hard tissues of the tooth has been established, the main contribution of laser technology to surgical endodontics is to convert the apical dentin and cementum structure into a uniformly glazed area that does not allow egress of microorganisms through dentinal tubules and other openings in the apex of the tooth. Hemostasis and sterilization of the contaminated root apex are additional benefits.¹¹⁴

CONCLUSION

Lasers are making significant contributions to every step in the practice of endodontics, from diagnosis using Doppler flowmeter technology to preventive measures involving pulp capping and pulpotomy. Lasers may be used for cleaning, disinfecting, and obturating root canal systems. When conventional endodontic therapy fails, laser-assisted endodontic retreatment is now a viable treatment alternative. As a last resort to prevent extraction of a tooth, lasers may be used in apical surgery to seal the root end of the tooth to prevent bacteria from entering the root canal system. As this technology matures, more uses and perhaps more wavelengths will deliver superior endodontic care.

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Despite the advances in preventive dentistry, the primary occupation of the general dental practitioner remains the repair of carious teeth. Together with the modification of tooth structure associated with cosmetic restorative procedures, caries repair accounts for the dilemma of achieving hard tissue manipulation while preserving healthy surrounding natural tooth tissue and pulp vitality. The cutting of dental hard tissue during restorative procedures challenges the ability to remove diseased carious tissue selectively and to maintain the integrity of supporting tooth tissue without structural weakening. The additional requirement of preventing further breakdown in the restoration places the choice of instrumentation and clinical technique as prime factors for the dental surgeon. Essentially, the quest for an alternative treatment modality to the conventional dental turbine has been patient driven and has led to the development of various mechanical and chemical devices, including lasers.

CARIES REMOVAL: BACKGROUND AND DEBATE

The U.S. National Health and Nutrition Examination Survey (NHANES), in an extrapolated survey (1999-2002) of the noninstitutionalized civilian population, found that 41% of children age 2 to 11 years had dental caries in their primary teeth, and that 42% of children and adolescents age 6 to 19 years and about 90% of adults had dental caries in their permanent teeth.¹ Other studies reflect even greater prevalence of caries, as high as 96% of children 6 to 7 years old in Saudi Arabia.² Such findings indicate a continued demand for interceptive restorative treatment.

In addition to such prevalence, there is growing skepticism toward the two basic mainstays of restorative dentistry: the cavity classification of G.V. Black (Classes I-V) and the continued use of amalgam as a restorative material.³ Black's ideology, based on the dogma "extension for prevention," has often resulted in the removal of large areas of otherwise healthy enamel and dentin. This has been exacerbated by the

need to address the mechanical success of amalgam: outline form and retention form of cavity design. Studies assessing the iatrogenic damage to natural tooth tissue during restorative procedures report microfractures adjacent to cavity margins, damage to teeth adjacent to interproximal cavity design,⁴ and thermal injury to the pulp caused by rotary instrumentation.⁵

Despite the gradual reduction in amalgam restorations being placed (56 to 52 million in United States⁶; 8 to 6 million in United Kingdom⁷), there is still a considerable need to service existing metal restorations. However, the evolution of non-metal-based composite restorations as well as a desire for cosmetic treatment from a patient base with greater awareness and expectations has resulted in a shift toward smaller restorations, a change in cavity design (e.g., "tunnel" restorations⁸), and a greater reliance on acid-etch micro-retention techniques.^{9,10}

INSTRUMENTATION AND LASERS

The most common procedures for removing diseased dental hard tissue during restorative procedures involve the use of excavators and burs. The accuracy of such instrumentation is questioned,¹¹ with minimal objective analysis of total removal of demineralized, infected enamel and dentin, as well as the possible removal of excessive amounts of sound tissue.¹² On the other hand, the use of a suitable laser wavelength may allow a much more conservative approach to the preservation of sound, mineralized tooth tissue, with preferential removal of higher-water-content caries, greater precision, and reduced bacterial contamination of the laser-prepared cavity.^{13,14}

A key factor in pulpal injury is thermal conduction from the range of instrumentation chosen.¹⁵ Studies have established that rotary instrumentation can cause conductive thermal elevation in excess of 20° C above 37.4° C.^{16,17} With regard to laser irradiation of dental tissue, the explosive defragmentation resulting from water-assisted mid-infrared (IR) wavelengths allows much of the heat to escape from the cavity (carried in ablated particles), resulting in pulpal thermal increase of less than 5° C.¹⁸⁻²⁰ The affinity of mid-IR laser wavelengths for water allows the main absorption to take place in demineralized tissue richer in organic material and



FIGURE 11-1 • **A**, Dental caries presents a risk to natural tooth structure and vitality of dental pulp, as well as a challenge to the clinician. In treating dental caries, it is essential to avoid precipitating further damage to the tooth. **B**, Using Er:YAG laser, selective removal of dental caries can be achieved with maximal preservation of healthy, mineralized tooth tissue. **C**, Completed restoration achieves functional and cosmetic form.

with a higher percentage of water, thus protecting any sound tissue overlying the pulp, with a reduced penetration of the beam (Figure 11-1). With adoption of operating parameters to standardize the normal clinical use of each instrument, evidence-based protocols now serve to provide what is best for the patient. As treatment shifts toward early diagnosis and interceptive action that is more selective in preserving healthy tissue, the choice of a laser may become more common, placing the dental profession in an increasingly responsible position to deliver patient-centered restorative care.

The claimed precision of laser use in many areas of surgery would appear to complement the demands of the restorative dentist. Also, although a tooth was the first tissue to be exposed to laser light in Maiman's 1960 investigations,²¹ the first "dental" laser did not become commercially available until 1989. The chosen wavelength of this laser, neodymium-doped yttrium-aluminum-garnet (Nd:YAG), emitting at a wavelength of 1064 nm, has limited therapeutic action on hard dental tissue. More recent developments led to the erbium, chromium-doped yttrium-scandium-gallium-garnet (Er,Cr:YSGG, 2780 nm) and Er:YAG (2940 nm) laser wavelengths, for use in cavity preparation.

In addition to laser use in dental surgery, other, nonsurgical laser wavelengths have been developed for diagnostic procedures, to assist the clinician in caries detection and assessment of the effective removal of diseased tissue.

Table 11-1 summarizes the advantages of laser use in dental restorative procedures.

LASER PHOTONIC ENERGY—HARD TISSUE INTERACTION

Healthy coronal hard tissue comprises enamel and primary and secondary dentin. By volume, enamel is 85% mineral (predominantly carbonated hydroxyapatite), 12% water, and 3% organic proteins; most free water exists in the periprismatic protein matrix. Dentin has higher water content, being 47% mineral (carbonated hydroxyapatite), 33% protein (mostly collagen), and 20% water. Water content in carious dentin may be as high as 54%.²²

Each of these compounds represents a target *chromophore*, a tissue element or molecule capable of selective absorption of photonic laser energy. Current understanding of chromophores that offer clinically acceptable laser-tissue interaction identifies high absorption of photonic energy by water (free molecules and OH⁻ radical) at about 3.0-micron (μm) wavelength; by the phosphate (PO₄) radical of carbonated hydroxyapatite (about 7.0 μm); and by the carbonate (CO₃) radical of the tooth mineral, about 9.6 μm. Assuming the incident photonic energy is above the nominal ablation threshold of the target, the resulting conversion of photonic energy into heat can lead to structural or phase change in the target material (photothermal change). With the application of laser energy in restorative dental procedures, demineralized and carious hard tissue must also be considered, where the greater water and protein (pigmented, ~1.0-μm wavelength) content are chromophores.

Table 11-1

Summary of Comparable Benefits of Laser Use over Rotary Instrumentation ("Airtor") in Tooth Cavity Preparation

Restorative Procedure	Rotary	Laser*
Cutting enamel/dentin	Yes	Yes
Selective removal of caries	No	Yes
Precision	Precise >1000-2000 μ	Precise <300 μ
Smear layer	Smear layer produced	No smear layer
Thermal rise	Thermal rise >15° C	Thermal rise <5° C
Risk of iatrogenic damage	Greater	Less
Noise/vibration	>120 dB/vibration	<120 dB/no vibration
Bactericidal action	No	Surface decontamination
Speed of cutting enamel	Fast	<30% rotary speed
Speed of cutting dentin	Fast	Comparable
Contact with tooth tissue	Contact required	Noncontact possible
Pain response	High	Less pain/no pain

*Erbium family (Er:YAG, Er,Cr:YSGG).

With such a breadth of target elements, several laser wavelengths have been investigated as possible adjuncts in cutting tooth cavities and removing carious tissue. The absorption coefficients of water and mineralized dental tissue are shown in [Figure 11-2](#).

Early investigation into the Nd:YAG laser, first marketed for soft tissue laser dentistry in the United States, was done to establish its utility for hard tissue.²³⁻²⁷ This included the ablation of pigmented and diseased tissue, the antibacterial effect of this laser wavelength, and the possible effects on the vital dental pulp. Although studies established safe and effective parameters, the Nd:YAG's clinical significance was of only marginal benefit to the restorative dentist because of its very low absorption in sound enamel or dentin. Further, several studies concluded that the Nd:YAG wavelength could cause unwanted heating effects, such as cracking and melting of mineral structures²⁸⁻³⁰ ([Figure 11-3](#)).

Early studies on enamel ablation also used the other available laser wavelength, carbon dioxide (CO₂, 10,600 nm), but this laser gave poor interactions, with reports of charring, cracking, and damaging heat buildup in tooth and bone structure.^{31,32} Although examination of absorption data of this wavelength with hydroxyapatite shows an effective interaction, current CO₂ lasers employ continuous-wave (CW) emission with no cooling water, which results in very high energy deposition in the hard tissue ([Figure 11-4](#)).

In the mid-1990s, use of a more suitable laser wavelength by Keller and Hibst^{33,34} led to the development of the Er:YAG (2940 nm) wavelength, a free-running (inherently micro-second-pulsed) mid-IR laser for effective ablation of dental hard tissues. This was followed by similar investigations into

the use of another mid-IR free-running wavelength, the Er,Cr:YSGG (2780 nm), which could effect photothermic vaporization of interstitial water and ablative disruption of target tooth mineral safely and precisely.³⁵⁻³⁸

Relatively, enamel, dentin, bone, cementum, and carious tissue have descending mineral density and ascending water composition.^{39,40} Both Er,Cr:YSGG and Er:YAG laser wavelengths are absorbed well in water, with the Er:YAG slightly more strongly absorbed in water than the Er,Cr:YSGG (see [Figure 11-2](#)). This absorption is several orders of magnitude greater than seen with the Nd:YAG wavelength ([Figure 11-5](#)).

The absorption in water with the erbium wavelengths results from a relatively broad water band of about 3000 nm, together with small absorption at about 2800 nm by the hydroxyl group of the (carbonated) hydroxyapatite mineral of the tissues.⁴¹⁻⁴⁴

When incident laser energy directed onto hard dental tissue is absorbed by the prime chromophores (either water or carbonated hydroxyapatite), one of two effects occurs. For both Er:YAG and Er,Cr:YSGG wavelengths, this energy is absorbed primarily by the water and is rapidly converted to heat, which causes superheating and a phase transfer in the subsurface water, resulting in a disruptive expansion in the tissue. Through this mechanism, whole tissue fragments are ejected and a hole is cut in the tooth, with little or no alteration to the mineral itself. A common term for this effect is *spallation* ([Figures 11-6 to 11-8](#), pp. 186-187).

Relatively high fluences (energy density–laser photonic energy per unit area) are needed at these wavelengths for spallation to occur. The emission mode of current mid-IR

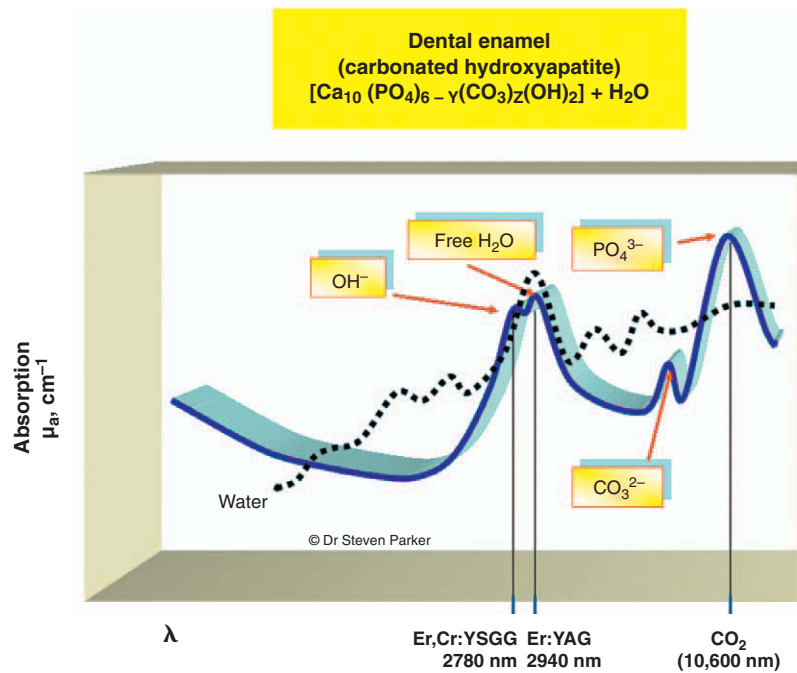


FIGURE 11-2 • Graphic representation of absorption by water and carbonated hydroxyapatite (CHA) of varying mid-infrared and far-infrared laser photonic radiation energy. Coincident peaks of water and CHA between 2780 and 2940 nm represent the great affinity of these wavelengths for water. In CHA, high absorption of laser energy of approximately 10,600 nm is primarily caused by interaction with phosphate radical of mineral molecule.

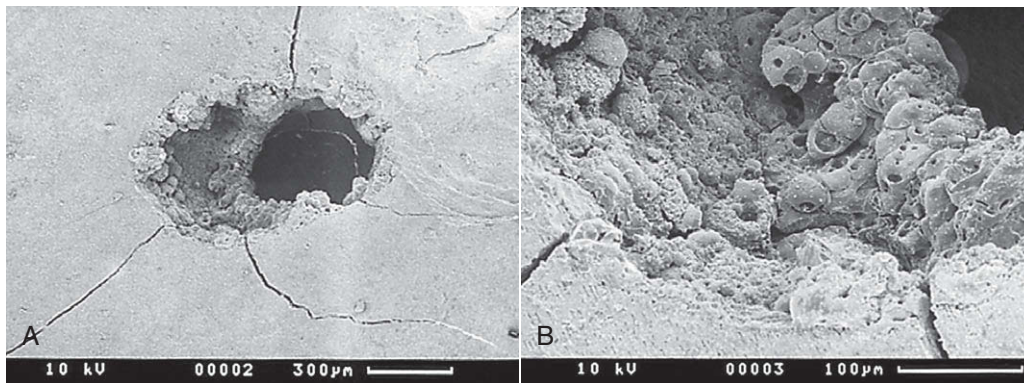


FIGURE 11-3 • **A**, Environmental scanning electron micrograph (SEM) showing effect of incident Nd:YAG laser photonic energy on human enamel. The cracks represent thermal overload of the mineral matrix. **B**, Higher-power ($\times 300$) SEM of tooth tissue. Thermal damage has melted the crystalline mineral, resulting in globules of amorphous hydroxyapatite. Ironically, such re-formed mineral displays greater resistance to acid dissolution, leading to claims that such laser treatment may help prevent dental caries.



FIGURE 11-4 • **A**, Buccal cavity in lower-right canine tooth. Patient requested laser-assisted treatment, and the author erroneously assumed the high absorption of the CO₂ laser in hydroxyapatite would allow efficient ablation. **B**, Lack of water spray and continuous-wave laser emission caused damaging carbonization to surface caries. Consequently, cavity was prepared using hand instruments. **C**, Completed restoration. Tooth later tested positive for pulp vitality. Use of CO₂ laser in this case predated commercial availability of erbium laser wavelengths.

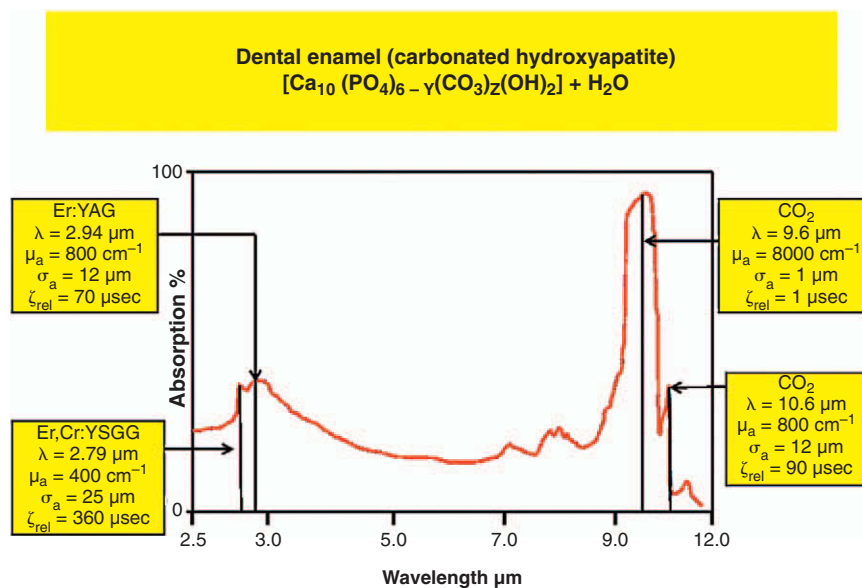


FIGURE 11-5 • Comparative interaction (absorption) of four laser wavelengths (λ) with carbonated hydroxyapatite: Er:YAG (2940 nm); Er,Cr:YSGG (2780 nm); CO₂ (9600 nm); and CO₂ (10,600 nm). Other symbols used: μ_a = absorption coefficient, σ_a = surface penetration of the beam (microns), ζ_{rel} = thermal relaxation (microseconds). (Data from Fried D, Ragadio J, Akrivou M, et al: *J Biomed Opt* 6(2):231-238, 2001.)

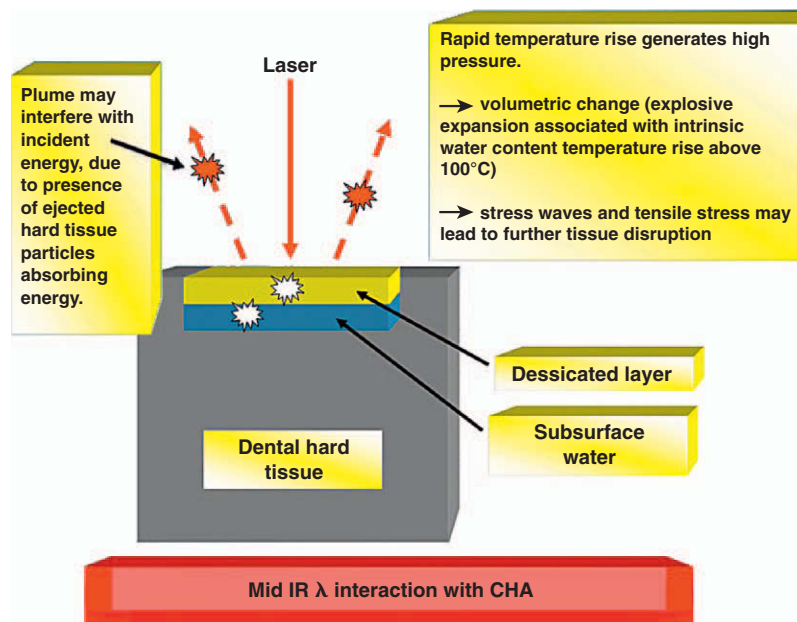


FIGURE 11-6 • Graphic representation of interaction of mid-infrared laser wavelength with tooth (mineralized) tissue. Incident-pulsed laser photonic energy of mid IR wavelength is preferentially absorbed by water contained within the target dental tissue. Rapid heating beyond the vaporization temperature of water causes volumetric expansion and structural fragmentation of associated mineral. Such action may be accompanied by an audible “pop” and visual evidence of a microcrater formation in the tooth surface.

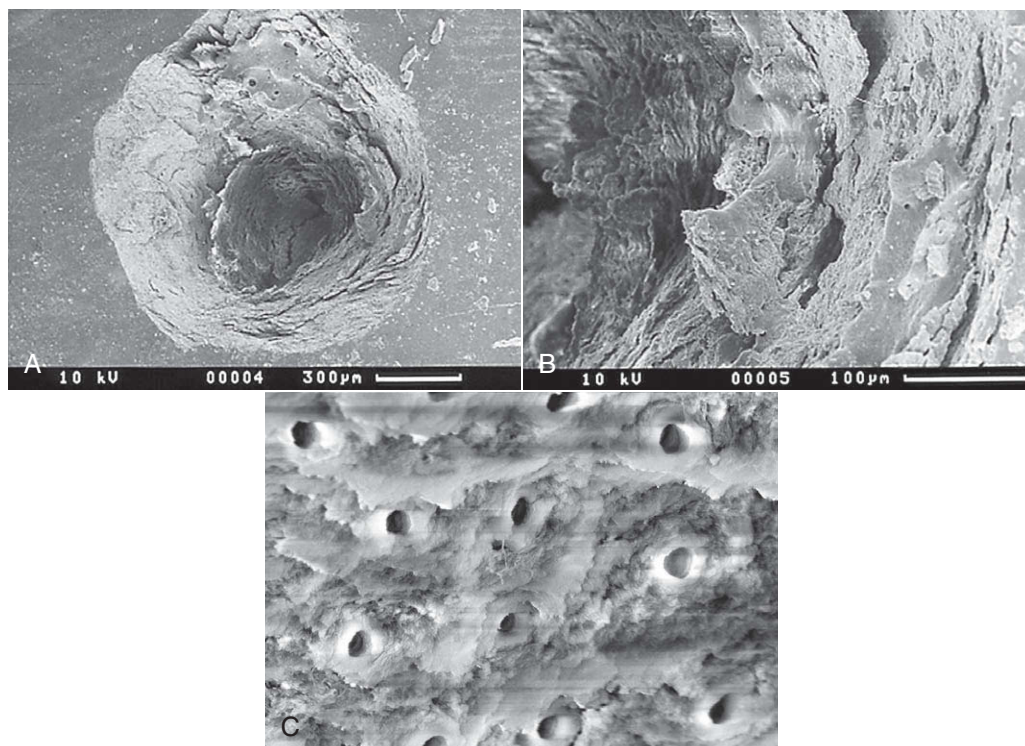


FIGURE 11-7 • Scanning electron micrographs showing effects of pulsed Er:YAG (2940 nm) laser energy with water spray on human enamel and dentin. **A**, Laser-enamel interaction. Note effects of spallation and absence of signs of thermal change in mineral structure or cracking. **B**, Higher-power ($\times 300$) SEM of same specimen (**A**). **C**, Laser-dentin interaction. Note absence of thermal damage, no smear layer, and open dentinal tubules.

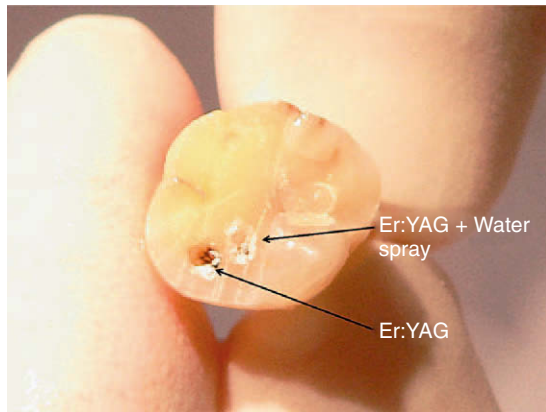


FIGURE 11-8 • Hand-held (sectioned) specimen (human molar) exposed to pulsed Er:YAG (2940 nm) laser energy. Use of laser energy without water spray results in carbonization of target tissue.

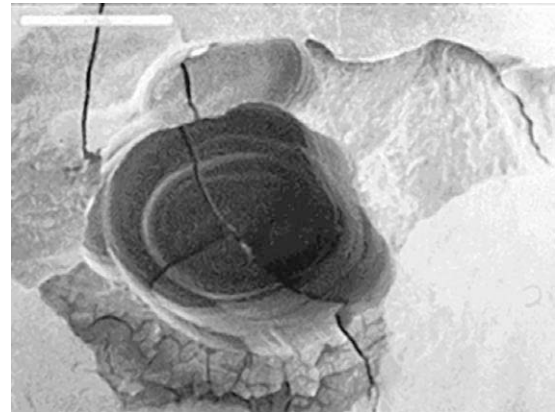


FIGURE 11-9 • Scanning electron micrograph of human enamel showing cavity prepared with high-speed rotary instrumentation. Note cracking caused by vibration.

lasers is defined as free-running pulsed. Currently available lasers emit a pulse train of 50 to 250 microsecond pulses on average, which, when delivered in rates of 3 to 50 Hz (pulses per second), represent high peak-power values sufficient to ablate tooth mineral tissue. Although pulse durations are close to the thermal relaxation times of enamel and dentin, further examination of ultrashort pulsewidths (and associated high peak-power values) is needed to create sufficient ablative force without inducing collateral thermal damage.^{45,46}

The rate of ablation of dental hard tissue depends on the amount of incident laser energy delivered to the tissue, as well as the effects of wavelength, pulse duration, pulse shape, repetition rate, power density, thermal relaxation time of the tissue, and delivery mode.^{47,48} In addition, heat buildup in the tissue (and unwanted heat conduction to the pulp) must be avoided and accumulation of products of ablation (char), prevented.

Mid-IR ablation of dental hard tissue has led to the concept of two wave fronts of interaction: an *ablation* front and a *thermal* front. It is important that the ablation front always precedes the thermal front, to avoid the risk of a damaging heat increase through the accumulation of ablation debris within a deep cavity.⁴⁹ Studies therefore have examined the effects of excessive incident power and the buildup of ablation products, or their removal by means of a coaxial water spray.⁵⁰ The vital dental pulp is acutely sensitive to thermal change. The explosive defragmentation of mineralized dental tissue, resulting from water-assisted erbium wavelengths, allows much of the heat to escape from the cavity, carried in the ablated particles and resulting in pulpal thermal increases of less than 5° C.⁵¹⁻⁵³

LASER USE VS. CONVENTIONAL INSTRUMENTATION

To any clinician who chooses to use a laser in restorative dentistry, the high-speed rotary drill is seen as the “gold standard.” Ease of use and speed are often accepted as plausible, despite several studies showing that high-speed drilling leads to surface and pulpal temperature rise, tissue cracking, and unnecessary removal of healthy surrounding tissue during cavity preparation⁵⁴⁻⁵⁶ (Figure 11-9).

The precise and selective ablation of tooth tissue with the Er,Cr:YSGG and Er:YAG laser wavelengths is well documented. Generally, the only drawback appears to be the lower “speed” of cutting compared to a slow-speed drill,⁵⁷⁻⁵⁹ with claims of 80% slower in enamel and comparable speed in dentin. Also, the desire to match laser cutting speeds with those of rotary instruments has led to power delivery greatly in excess of that postulated by Keller and Hibst, relative to the ablation threshold of enamel. Coexistent with such power levels and heat conversion, studies have shown that by reducing the pulse duration of the laser energy (pulsewidth), peak-power values rise, ablation is more efficient, and heat transfer is minimized,^{33,60-62} to establish a clinically acceptable rate of interaction commensurate with treatment time.

Different handpiece tips have been designed to address the needs of energy delivery, efficiency of cutting, and access. Round cross-sectional tips may vary in diameter from 200 to 1300 μm . Care should be exercised in assessing the power density of the emitted beam; as the spot size (diameter) is reduced, the energy per exposed target area increases dramatically, assuming all other variables remain the same, and

places a high risk of tissue overheating. Most delivery tips are made of quartz and provide efficient transmission of laser energy to the target. With the spallation effect of hard tissue ablation, impact damage from ejected ablation products may cause irregularity in both the beam configuration and the cutting dynamics. Thus, the tips should be regularly inspected for damage, and the ends can be repolished using fine discs and diamond paste. Tips made of sapphire offer marginal improvements in energy delivery, as do hollow-bore tips.^{63,64} However, sapphire tips are more expensive and less able to be reconditioned, and the rigidity places these tips at greater risk of fracture during use.

**Clinical
TIP**

Clinical Tip: Other factors, such as fluoridation of the tissue, incident angle of the delivery tip relative to the tooth, and presence of ablation products, also affect the speed of ablation.

Several anecdotal reports have shown the effectiveness of directing the delivery tip parallel to the axis of the enamel prisms, to access the interprismatic, higher water-content structure.

LASER USE IN CAVITY PREPARATION

The use of Er:YAG and Er,Cr:YSGG lasers for more than a decade in clinical practice has resulted in protocols governing their use in restorative dentistry, from simple fissure sealing to complete cavity management and surface preparation for direct composite-resin veneers. The spallation effect of exposing the enamel surface to laser energy results in a micro-cavitation; although ideal for further acid etching and direct bonding, it is considered impractical to use such a surface to place indirect ceramic veneers. Nonetheless, some advocate laser use in crown and veneer preparation^{65,66} despite the lack of peer-reviewed literature to validate the use of these lasers for crown preparation. (Figures 11-10 and 11-11).

Early erbium lasers had rudimentary handpieces that were comparatively heavy. In addition, the delivery of laser energy through a noncontact sapphire window proved inaccurate in the delivery of precise cutting action. Newer developments have resulted in balanced waveguides or low-OH⁻ fibers (e.g., germanium oxide), together with handpieces that are similar to turbines, use contact tips, and have coaxial illumination and water spray. This allows more precise interaction with tooth tissue, using instrumentation familiar to the surgeon (Figure 11-12, p. 190).

Tissue ablation results from end-on emission of laser energy from the tip. Progressive tissue removal is through surface ablation; as such, this is at variance with the rotary bur, which predominantly uses side-ablating action. Ideal laser ablation of tooth tissue is achieved by approximating the

handpiece tip just out of contact with the tooth surface; during laser emission the tip should be moved back and forth over the target to develop cavitation. Once entry into the tooth cavity has been achieved, it is important to allow adequate access for the water spray, both to provide cooling and to prevent accumulation of ablation debris. It is recommended that the laser tip be pumped in and out of the laser cavity to ensure adequate water spray (Figures 11-13 and 11-14, p. 190).

No laser is capable of removing amalgam or gold restorations; intrinsically, the significant risk of beam reflection may result in nontarget exposure to laser energy. In addition, the rapid buildup of heat in the metal may cause pulpal damage and, with amalgam, result in the liberation of toxic metal fumes. Similarly, thermally fused indirect ceramic restorations are subject to rapid spot-heat buildup, leading to carbonization and crazing.

However, in an emerging world of minimal intervention and early caries management of the natural tooth, laser use may be seen as indispensable. Cavity preparation can proceed similar to rotary instrumentation (“airotor”) to define the cavity and remove caries. Access form and restoration form are determined by the extent of the caries, but retention form may be viewed differently. The microexplosive ablation of mineral results in a rough surface, which together with an acid-etch technique, produces a strong bond for composite-resin restoratives and often reduces the need for physical undercuts in the cavity margin.^{67,68}

During cavity preparation, an audible popping sound is heard as laser ablation occurs. The audible level is low with healthy tooth tissue but becomes louder with caries ablation because more water is present. With minimal experience, this phenomenon can aid the operator in using the laser to remove diseased tissue selectively while preserving healthy enamel and dentin. In this way, laser action can benefit the clinician in establishing the success of cavity preparation as an adjunct to explorer use. At least one Er:YAG laser has a coaxial low-level laser beam to determine the fluorescence of the target tissue and thus the mineral content. This is linked electronically to the emission beam of the erbium laser, as an additional level of detection of carious tissue.

The characteristic appearance of a fresh cavity prepared with a laser may be viewed with some disdain by those trained in the disciplines of G.V. Black;⁶⁹ sharp cavosurface angles are absent, and the cavity outline may appear distinctly irregular. The premise of Black’s cavity design drew on “extension for prevention” and the need to provide bulk strength for amalgam retention and stability. Laser-assisted cavity preparation draws on a “minimalistic” approach: the advantage of removal of only diseased tissue and the choice of composite-resin restorative materials (Figures 11-15 and 11-16, p. 191).

Studies on the marginal integrity of such restorations reflect poor stability, partly explained by postablation weakness in marginal enamel.⁷⁰⁻⁷² However, treating the cut



FIGURE 11-10 • **A**, Buccal caries in upper-left bicuspid. **B**, Cavity prepared using Er:YAG laser (2940 nm) and water spray (350 mJ/pulse, 10 Hz). Topical anesthetic applied. **C**, Completed acid-etched composite restoration placed, before polishing.

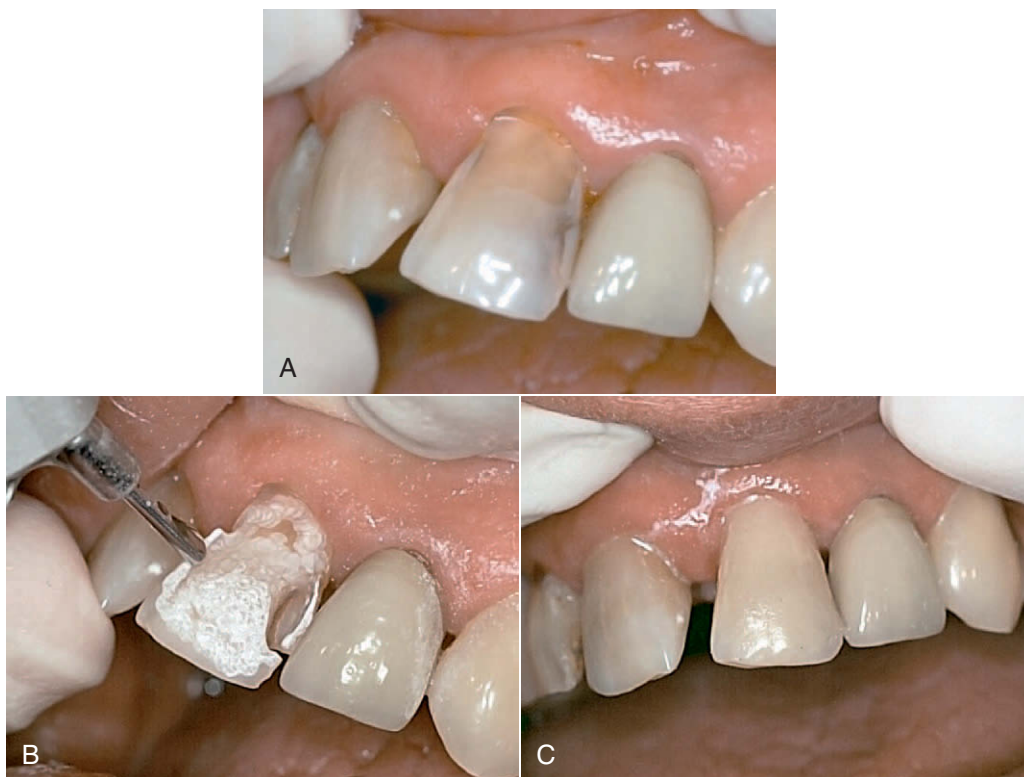


FIGURE 11-11 • **A**, Upper-left central incisor showing signs of labial tooth loss. Patient chose treatment to include laser use and placement of direct composite veneer. **B**, Tooth prepared using Er:YAG laser (2940 nm) and water spray (350 mJ/pulse, 10 Hz). **C**, Completed restoration.

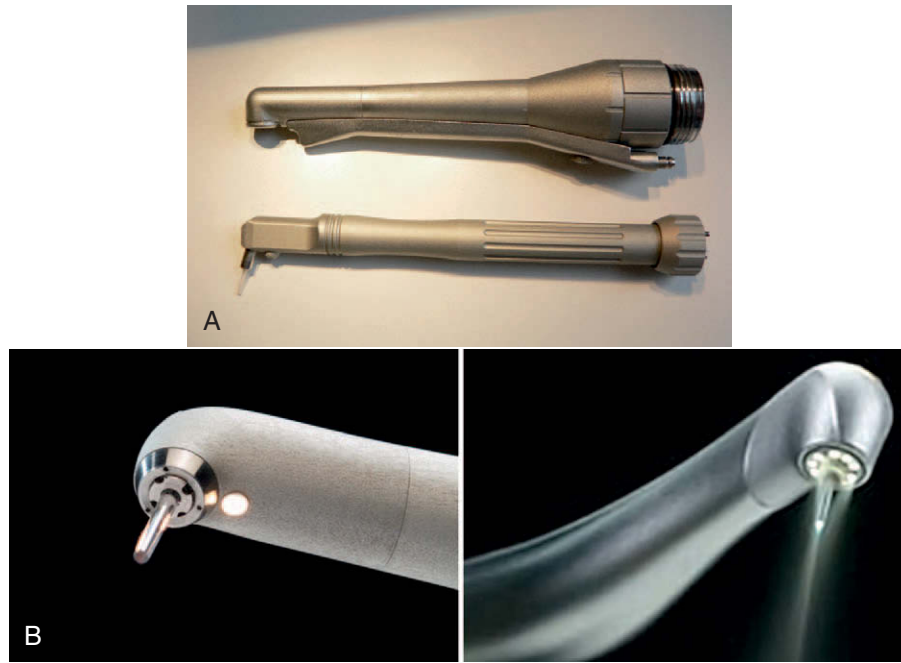


FIGURE 11-12 • **A**, Comparison of early handpiece for use with Er:YAG laser (2940 nm) with later version. **B**, Modern handpiece variants of those shown in **A**. Note similarity to rotary (“airrotor”) handpiece, coaxial water spray, and illumination.

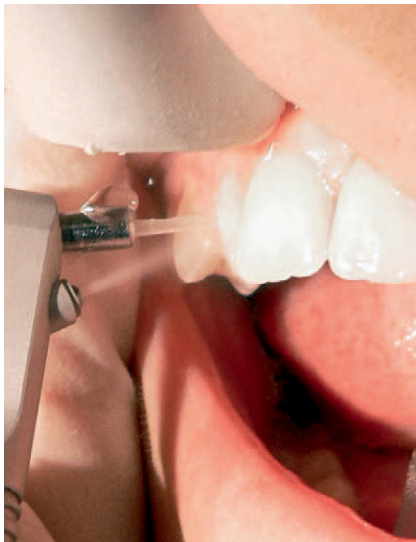


FIGURE 11-13 • Water spray and illumination during laser use.



FIGURE 11-14 • Where there is risk of damage to adjacent nontarget tooth tissue, a suitable nonreflective material can provide protection. Here, a metal matrix band has been dulled to prevent reflection of the laser beam.

surface further with conventional acid-etch techniques improves the longevity of the restoration, with enhanced bond strength.^{73,74} This can be used as an adjunct when restorative procedures requiring facial or incisal bonding of direct composite-resin materials are required. With carious dentin the laser beam may pass quickly through the surface layer in gross caries, leading to dehydration in deeper layers. Where gross

caries is present, it is advisable to use an excavator to remove bulk volume, both to prevent heat damage and to expedite cavity preparation (Figure 11-17).

Both erbium lasers will leave a cut surface without a smear layer, and using a proprietary bonded dentin protector, calcium hydroxide, or glass ionomer lining is recommended on open tubules exposed by the ablation process.

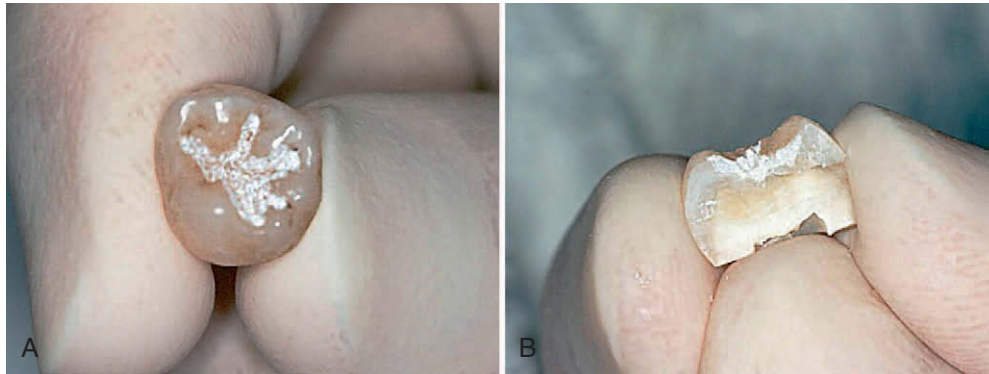


FIGURE 11-15 • **A**, Occlusal surface of human molar exposed to Er:YAG (2940 nm) laser energy, showing effects of interaction after air drying. **B**, Vertical section of same tooth shows depth of penetration of the laser beam.



FIGURE 11-16 • Clinical use of Er:YAG laser (2940 nm) and water spray (700 mJ/pulse, 10 Hz) on upper molar showing **A**, preoperative, **B**, intraoperative, and **C**, immediate postoperative appearance of composite restoration.



FIGURE 11-17 • **A**, Carious cavity in upper-left central incisor. **B**, Outline form was determined using Er:YAG laser (2940 nm) with water spray (450 mJ/pulse, 10 Hz). Gross caries was removed using hand excavators and final form obtained using the laser. Laser energy levels were reduced (250-300 mJ/pulse, 10 Hz, water spray) to remove deeper caries and to modify the enamel margins. Tooth preparation done using local anesthetic. **C**, Completed restoration.

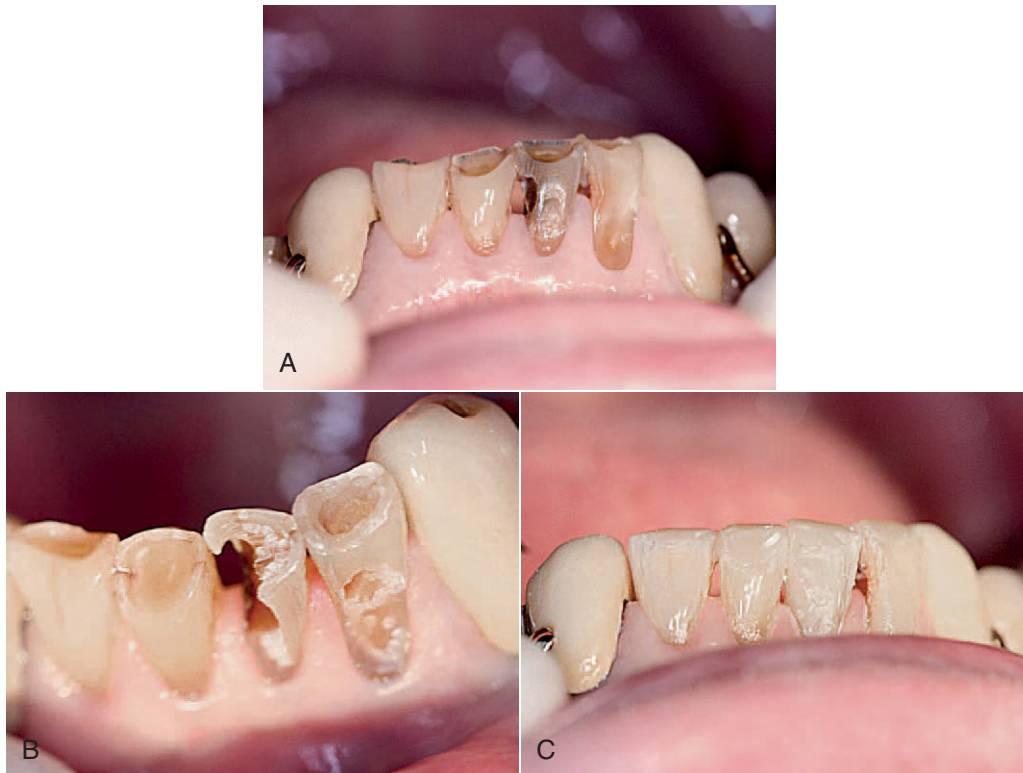


FIGURE 11-18 • **A**, Multiple cavities associated with lower anterior teeth. The need for preservation of healthy tooth tissue was considered paramount. **B**, Cavity prepared using topical anesthesia. Benefits of laser use enabled precise removal of only diseased tissue. Microretention afforded by spallation of enamel and dentin reduced the need for adjunctive pins and retention pits. Microexplosive tissue ablation contributed to control of thermal rise, thus protecting the tooth pulp. **C**, Completed direct-composite restorations.

Establishing a “recommended” power value for laser-assisted ablation of dental hard tissue involves conflicting and anecdotal factors. The reported ablation threshold for human enamel is 12 to 20 J/cm², and for dentin, 8 to 14 J/cm², using Er:YAG and Er,Cr:YSGG laser wavelengths. For an average laser delivery spot size, using a free-running pulsed emission mode, this may be 150 to 250 mJ/pulse. The paramount concern is delivering sufficient laser energy, within a minimal time, to achieve clinically acceptable ablation rates without causing adjacent tissue damage. Besides studies that have determined minimal levels of power necessary, anecdotal reports abound. Certainly, the Er:YAG and Er,Cr:YSGG lasers prepare tooth cavities comparably, with similar effects on tooth tissue⁷⁵ (Figure 11-18).

Clinicians should follow the manufacturer’s guidelines in establishing laser treatment protocols for a given laser, bearing in mind the differing operating parameters of air, water, spot size, delivery tip choice, and any power losses that may occur among delivery systems. Most lasers have a “power meter test” port to establish the energy levels emitted through the delivery system, which should be checked before clinical use. The test fire of the laser will also determine the patency of the energy delivery system.

The key criteria in laser ablation of dental hard tissue are (1) matching incident wavelength to target chromophores, (2) delivering energy and interaction during a time frame that does not induce conductive thermal events, and (3) evacuating the products of ablation. The low water content of enamel may present difficulties when attempts are made to access subsurface caries. This is seen most often in healthy, fluoridated occlusal sites, where the ablation rate is approximately 20% of that achievable with a turbine. Fluoridated enamel presents greater resistance because of a harder fluoroapatite (Ca₁₀[PO₄]₆F₂) mineral and replacement of the hydroxyl group by the fluoride radical. Anecdotally, this may be somewhat overcome through the alignment of the laser beam parallel to the prismatic boundaries, or by the use of a conservative rotary bur, such as a fissurotomy bur, to establish intraenamel access for the laser beam (Figure 11-19).

In Class III, IV, and V cavity sites and where prismatic density is less (e.g., deciduous teeth), the ablation rate is comparable to slow-speed rotary instrumentation.⁷⁶⁻⁷⁸

As previously discussed, the constraints of emission modes available with existing lasers has limited the clinically significant ablation of caries and dental hard tissue to the mid-IR erbium family of lasers. Their suitability is obtained

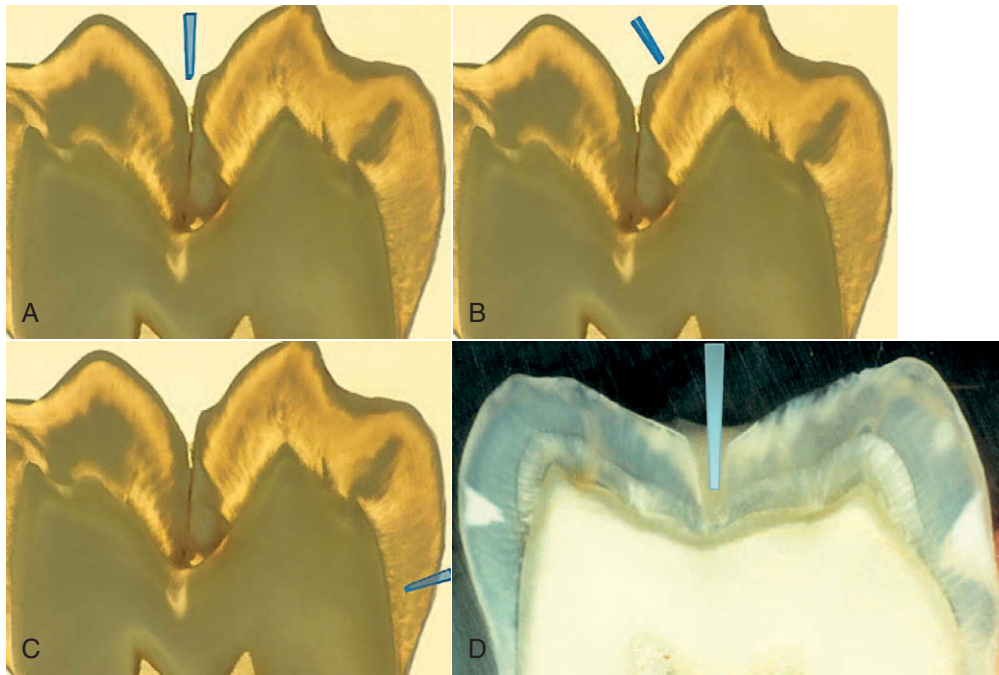


FIGURE 11-19 • Ground vertical sections of human tooth. **A-C**, Radial arrangement of enamel prisms. Alignment of laser tip parallel to the prism axis may allow a more efficient ablation of interprismatic material and expedite cavity preparation. **D**, Fiber alignment and early fissure preparation.

through absorption of laser energy by water and the short micropulsing of the emission. Studies on extremely short (nanosecond and femtosecond) pulses of laser energy have shown how other wavelengths might be utilized in clinical restorative dentistry.⁷⁹ Of note is the use of the 9600-nm CO₂ wavelength, which has high absorption in hydroxyapatite.⁸⁰⁻⁸²

The advantages of water spray during laser ablation include cooling of the target tissue and removal of ablation products. Early research on the Er:YAG laser and enamel showed that laser power parameters of approximately 350 mJ per 2 to 4 pulses per second (pps; average power, 0.7-1.4 W) would initiate enamel ablation in human teeth. With the development of better coaxial coolant and shorter pulses, fast and efficient cavity preparation can be achieved with power levels of 400 to 700 mJ per 10 to 20 pps (average power, 4-8 W), which with adequate water cooling does not cause pulpal damage. Clinical experience would suggest that with “harder” occlusal enamel, the use of higher energy per pulse and lower repetition rates (pulse rates) provides for easier ablation.

The clinician must ensure adequate water spray during cavity preparation.³⁴ Successive laser pulses may interact with ablation products rather than the cavity surface, and the need to maintain adequate water cooling may be compromised by access problems. Such concerns also apply to the use of rotary instruments in similar situations, possibly increasing the thermal assault on the pulp.⁸³⁻⁸⁵

The limitation in the end-on emission of laser energy can pose a problem in defining greater width to an already-

established cavity. Where overhanging, unsupported enamel exists, a suitable hand chisel can be used to pare back such tissue. Alternatively, a rotary bur can be used, or surface ablation of such overhangs is possible. The depth of the cavity can proceed through back-and-forth sweeping movement of the beam within the cavity, ensuring proper water irrigation to prevent buildup of debris and temperature. It is imperative that the laser beam be kept moving at all times. Tactile feedback is intrinsically lacking when using the laser, and the clinician should visually check the progress of cavity preparation so that excessive tissue is not removed (Figure 11-20).

Laser photonic energy can be used to ablate dental hard tissue during the management of caries and cavity preparation within a clinically acceptable time frame. The use of safe power and delivery parameters allow the procedure to proceed without causing local thermal damage or pulpal injury. The prepared cavity surface can be successfully used to obtain stable and retentive restorations when additional acid-etch techniques are employed before composite placement.

LASER ANALGESIA

The noise, vibration, and perception of pain associated with the high-speed dental drill, as well as the bleeding, postoperative swelling/inflammation, and sutures/dressings associated with intraoral soft tissue surgical procedures, interfere with all aspects of oral function for the patient during a potentially long period of healing.⁸⁶ The opportunity to

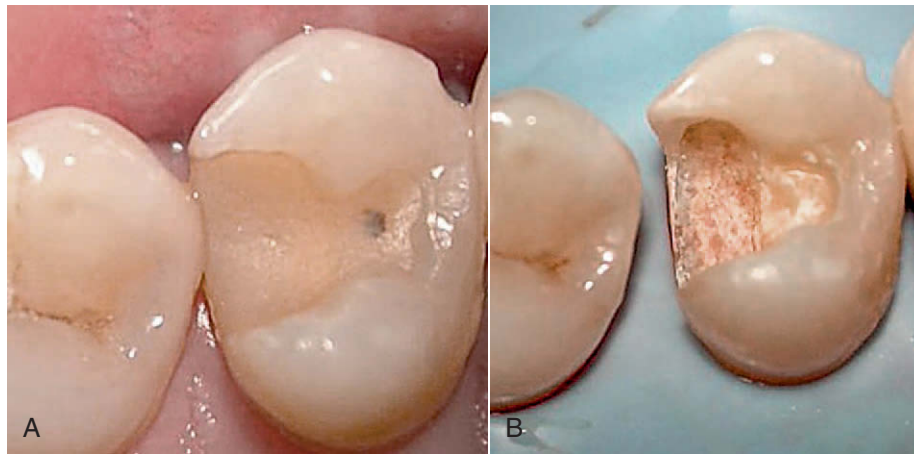


FIGURE 11-20 • **A**, Preoperative view of upper bicuspid tooth. Existing composite restoration requires replacement. **B**, Composite ablation using erbium laser wavelengths (2780 nm, 2940 nm) may be accomplished through interstitial water vaporization, vaporization of monomer component of composite, or a combination of both. Action may differ depending on type of composite. Depth of cavity can be achieved through back-and-forth movement of laser delivery tip. Final cavity outline may be achieved using hand chisels.

address these subjective disadvantages in the patient experience and to deliver high-quality dental treatment must surely represent a gold standard.

The avoidance of pain during restorative procedures remains a strong factor in promoting patient acceptance of treatment.⁸⁷⁻⁸⁹ The use of the Nd:YAG laser in developing pulpal analgesia, possibly through interference with the “gate theory” of neural stimulus propagation, has been suggested; afferent nociceptive stimulation of pulpal nerve fibers undergo synapse transmission in the subnucleus chordalis, before onward transmission to the higher brain. Influence of such transmission may be affected by restimulation at a faster speed than the re-formation rate of acetylcholine at the synapse or the polarity reversal rate of the nerve fiber. The synaptic neurotransmitter refractory period is approximately 1 msec, whereas a typical pulsewidth of a free-running laser is 100 to 150 μ sec. In addition, inhibitory influences from the higher brain, notably the periaqueduct and substantia nigra, in the form of norepinephrine and endorphins, may influence or even override the ascending transmission of stimuli. Investigation into the subjectivity or placebo effect has rendered Er:YAG laser application inconsistent.^{90,91}

Anecdotal reports claim similar gate theory effects with both the Er:YAG and the Er,Cr:YSGG laser, each having free-running emission similar to that of the Nd:YAG laser. Use of subablative fluences during a 60-second exposure of the entire natural tooth surface appears to define an accepted protocol. The clinician needs to establish trust in the patient and approach the success of laser-induced analgesia on a case-by-case basis. At least one study has established that when the Er:YAG laser is used to cut hard

tooth structure, a positive neural response in both A and C intradental fibers is created, so there appears to be no induced change in the ionic balance of the nociceptor.⁹² In the author’s experience, a 20% tetracaine topical anesthetic gel applied adjacent to the tooth is often sufficient to overcome temporary discomfort.

The following patient-centered factors may affect pain perception during cavity preparation:

- *Emotion*: fear, anxiety, stress syndrome, excitement
- *Awareness*: trust, previous experience, conditioning (e.g., hypnosis), activity subordination
- *Threshold potential*: age, infirmity, drugs, alcohol, social factors

The lack of tactile and thermal stimulation compared with rotary instrumentation is also significant in addressing claims of pain avoidance during laser-assisted tooth preparation. Other patient-centered factors include previous experience of turbine use as well as other emotional and conditioning states (Figure 11-21).

Using laser Doppler “vibrometric” measurement, preparation of a cavity using 145 mJ at 10 Hz produced 400 times less vibration than a rotary bur.⁹³ Also, studies show that patients are disturbed more by the vibration perception with rotary (airrotor) use.⁵⁸ Reports indicate that use of erbium lasers in restorative dentistry is less painful.^{14,94-97} Overall, anecdotal reports of delivering “pain-free” laser cavity preparation are still controversial, possibly undermining the true capability of both the Er:YAG and the Er,Cr:YSGG laser as an alternative to conventional rotary instrumentation.

The benefits of bacterial reduction associated with laser versus bur use involve less postoperative pain and reduced



FIGURE 11-21 • **A**, Replacement of worn composite restorations on upper anterior teeth. Patient had been reluctant to receive dental treatment because of rotary instrumentation and intraoral injections. **B**, Cavity preparations performed with topical anesthesia, using Er:YAG laser (2940 nm) and water spray (350 mJ/pulse, 10 Hz). Multiple preparations were done at one treatment session. **C**, Completed restorations.

caries recurrence. Studies show a reduction in bacterial strains associated with caries (e.g., *Streptococcus mutans*) as well as other strains (e.g., *Escherichia coli*, *Enterococcus faecalis*) when using the laser.^{98,99} Although “absolute” sterilization is not possible, a decrease in bacteria (along with reduced tactile insult) may help reduce postoperative pain and decrease the possibility of recurrent decay with laser use.

LASER USE IN CARIES DIAGNOSTICS

The use of *fluorescence* in caries detection was originally suggested more than a century ago; current optical caries detection techniques emerged with the introduction of laser technology. In the 1980s, a clinically applicable visual detection method focusing on the natural green fluorescence of tooth tissue was developed.^{100,101} The technique used a 488-nm excitation wavelength from an argon-ion laser to discriminate bright-green-fluorescing healthy tooth tissue from poorly fluorescing carious lesions. This technique was further refined in the early 1990s; the argon-ion laser was replaced by a xenon-based arc lamp, with the emission light shone through a blue-transmitting filter. This became known as *quantitative*

light-induced fluorescence (QLF), using the digitization of images to quantify the observed green-fluorescence loss as an indirect measure of mineral loss.^{102,103} QLF is a highly sensitive method for determining short-term changes in hard tissue lesions in the mouth¹⁰⁴ (Figure 11-22).

The excitation wavelength (~405 nm) produced by the QLF system allows visualization and quantification of both the intrinsic green fluorescence of dental tissue and the *red fluorescence* of bacterial origin, as observed in calculus, plaque, and advanced caries.^{105,106} The green-fluorescence loss from demineralized enamel and natural carious lesions strongly correlates with mineral loss.¹⁰⁷ The red fluorescence of bacteria allows identification of leaking margins of sealants and restorations.

The phenomenon of substantial red fluorescence using laser wavelengths between 650 and 800 nm in carious lesions, much brighter than that of sound enamel or dentin,^{108,109} has resulted in a hand-held device to detect dental caries.¹¹⁰ The first such unit was manufactured by Kavco (Germany) in 1998, with an emission wavelength of 655 nm (Figure 11-23).

The QLF system is best incorporated as an adjunct to other diagnostic methods (tactile, visual, radiographic), to limit

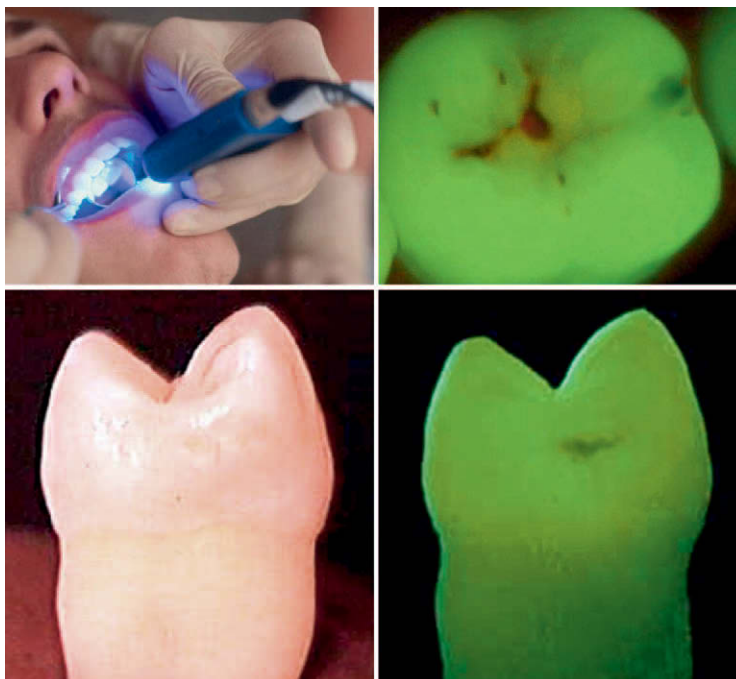


FIGURE 11-22 • Use of nonablative laser energy (405 nm) to cause differential fluorescence in tooth tissue. Quantitative light-induced fluorescence (QLF) produces a green appearance in healthy tooth tissue (color shift caused by absorption phenomena and some energy loss, resulting in longer postexposure emission). Correspondingly, absorption of light by bacteria produces a discernible red shift.



FIGURE 11-23 • DiagnoDent system (Kavo, Germany). Demineralization in tooth tissue affects fluorescence dynamics of the tooth. This is recorded through the handpiece tip, calibrated, and displayed through analog score and sound.

the possibility of false-positive results.^{111,112} The unit offers reproducible analog scoring of site examination, allowing a degree of objective assay of suspect areas of caries, although the accuracy of results in primary tooth enamel is a concern, possibly a result of the reduced mineral density.^{113,114} The presence of existing restorations (amalgam, gold, porcelain, composite) appears to allow detection of only marginal caries. The consistency of accurate readings has been questioned,^{115,116} as has the situation with existing fissure sealants, especially when visually opaque.^{117,118}

Studies comparing QLF with the diode fluorescence device suggest equal reliability, although QLF techniques appear better in determining mineral loss.¹¹⁹

Polarization-sensitive optical coherence tomography (PS-OCT) techniques have proved successful at imaging hard and soft tissue in the oral cavity, providing numerical analysis of the optical properties of the surface and subsurface enamel. At research levels, using a near-IR beam (λ 1310 nm), caries detection is possible at both surface level and under composite restorations and sealants^{120,121} (see chapter 17). Other spec-

troscopic devices use Raman-effect phenomena to quantify mineral loss associated with dental caries.¹²²⁻¹²⁴

LASER CARIES PREVENTION

Early work with the new Nd:YAG dental laser in 1989, ostensibly to investigate its tooth-cutting capability, revealed thermally induced changes in carbonated hydroxyapatite in enamel, from the ordered crystalline structure to the formation of amorphous mineral. These findings predominated at the margins of laser-induced cavities, suggesting the possibly beneficial action of lower emission parameters. Also, such mineral had higher resistance to acid dissolution compared with the parent crystals. This led to the advocacy of such laser techniques in a quasi-fissure sealant technique for erupted posterior teeth.¹²⁵⁻¹²⁸

During laser light generation in a CO₂ laser, the slow decay from the energized state may result in additional wavelengths produced (but not emitted) from this laser (9300, 9600, 10,300, and 10,600 nm). If a shorter wavelength other than the usual 10,600 nm is selected, the absorption coefficient of carbonated hydroxyapatite (carbonate radical) increases greatly.^{129,130} Featherstone and co-workers at the University of California, San Francisco, have investigated extensively with an experimental ultrapulsed 9300-nm and 9600-nm laser. The greater selectivity of these wavelengths in the targeting and removal of the carbonate group from the enamel mineral molecule results in a greatly increased acid-resistant compound.¹³¹⁻¹³⁴ Additionally, the altered mineral has greater uptake of topically applied fluoride, with the expectation of even greater acid resistance and caries prevention (see Chapter 17).¹³⁵

CONCLUSION

Restorative dentistry has evolved from the need to treat dental disease and especially dental caries. This need is often accompanied by the conditional requirements of maintaining integral strength of the teeth during function and establishing a healthy interface between the restoration and the hard/supporting soft tissue, to prevent further breakdown and allow ongoing maintenance, all within a growing patient-driven demand for pain-free and cosmetic treatment. Also, professional dental care demands more accurate diagnosis of disease, earlier interceptive treatment, and therapeutic prevention of dental disease. These factors accommodate well the potential for laser photonic energy to interact with the various target chromophores, to effect ablation or to modify structure precisely and predictably.

For the clinician providing common restorative procedures, many situations arise in which the laser can replace conventional instrumentation and achieve comparable or better results. For the patient, laser use may represent opportunities to receive less stressful and painful restorative treatment with less disruptive postoperative effects.

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In 1960, Dr. Theodore Maiman, working on the theory of light amplification proposed by Albert Einstein, created the first laser.¹ Eighty years after Einstein's 1917 paper, the first erbium laser for hard tissue dental use was cleared for marketing by the U.S. Food and Drug Administration (FDA). Since that time, dentistry has undergone dramatic changes in the way both soft tissue and hard tissue disease and abnormalities are treated. In pediatric dentistry, the primary goals are the prevention and the interception of oral diseases and soft tissue abnormalities without making patients reluctant to visit the dentist. If these twin goals of prevention and interception are not attainable, clinicians restore diseased teeth and repair or eliminate soft tissue conditions.

Concerns about the dental visit usually arise from the use of needles to anesthetize the hard and soft tissues. Other noxious stimuli (e.g., sound of high-speed turbine, smell of preparing teeth with high-speed handpiece, vibrations during tooth preparation) contribute to the development of dental phobias. Lasers represent a quantum leap forward in the treatment of all patients, especially the pediatric patient.

LASER TYPES

ERBIUM FAMILY OF LASERS

The development of the erbium family of lasers (Er:YAG and Er,Cr:YSGG) has made the treatment of children safer and easier. Laser-assisted dental care has changed the way dentists prepare diseased teeth, ablate bone, and treat soft tissue abnormalities and diseases. An entire new standard of care is becoming a reality. The erbium lasers have helped create a positive treatment atmosphere, with most pediatric patients undergoing dental caries treatment without fear.^{2,3}

The benefits of lasers have become well documented over the past decade. The erbium lasers provide an alternative to conventional drilling and filling, while often allowing a dentist to use the high-speed or slow-speed handpiece to complete procedures (e.g., extensive alloy preparations) without the need for local anesthesia. Erbium lasers have the unique

ability to ablate hard tissues (bone, dentin, enamel) as well as perform soft tissue procedures.⁴⁻⁷ With similar capabilities, the erbium family of lasers includes the 2940-nm erbium-doped yttrium-aluminum-garnet (Er:YAG) and the 2780-nm erbium, chromium-doped yttrium-scandium-gallium-garnet (Er,Cr:YSGG).

The major differences between different manufacturers' erbium lasers are the variety of handpieces and tips and, more importantly, the parameters each manufacturer incorporates into the specific device. These parameters include the variability of setting the millijoules (mJ), hertz (Hz), and pulse durations. Other critically important differences include the delivery system (fiber vs. waveguide vs. articulating arm), amount and type of training offered (actual hands-on vs. CD and instruction manual), and the warranty on an expensive piece of equipment (as much as \$90,000). Applying knowledge of laser physics allows the dentist to adjust each parameter, reducing the need for local anesthesia and providing good control of bleeding during soft tissue surgical procedures. In addition, proper settings allow the dentist to perform minimally invasive dental care, removing only the diseased tissue and preserving healthy tooth structure.

SOFT TISSUE LASERS

Complementing the erbium lasers is a second group, the soft tissue lasers. A variety of laser wavelengths are useful for soft tissue procedures. The primary soft tissue lasers currently used include the carbon dioxide (CO₂),^{8,9} neodymium-doped YAG (Nd:YAG),¹⁰ and the diode lasers.^{11,12} These soft tissue lasers have no capability to ablate hard tissue. Although Nd:YAG lasers have FDA clearance for ablating first-degree caries in enamel, the process is extremely slow and tedious and has essentially been replaced by the erbium family of lasers.

LOW-LEVEL LASERS

The third group of lasers useful in pediatric care are the *photobiostimulating* (PBS), or *low-level*, lasers.¹³⁻¹⁵ Wavelengths of

CO₂, diode, erbium, and Nd:YAG lasers are classified by the FDA as Class IV lasers because of their ability to ablate tissue. However, the FDA classifies low-level (PBS) devices as Class III lasers with “no significant risk” (NSR) because they produce less than 500 mW of energy. The photobiostimulatory effects of these lasers are usually labeled *low-level laser therapy* (LLLT).

The low-level (PBS) lasers do not cause temperature elevation within the target tissue, but rather produce their effects from a photobiostimulation (or modulation) effect within the target tissue. These lasers are not capable of ablating tissue. These units are usually semiconductor diode lasers consisting of indium-gallium-aluminum-phosphide (InGaAlP), in the range of 630 to 700 nm, or gallium-aluminum-arsenide (GaAlAs), in the range of 800 to 830 nm. These lasers can penetrate to depths of 2 to 3 cm, depending on the exact wavelength used and the target tissue.

Outside of dentistry, the FDA has cleared low-level laser use in medicine for conditions such as carpal tunnel syndrome and for pain management. Dental applications at this time should be considered *off-label* use (not cleared by FDA). Although these low-level lasers are safe, caution should be used, and contraindications include pregnancy, presence of malignancies, and use near the eye or, in some cases, over the thyroid gland¹⁶ (see Chapter 15).

ADJUNCTS AND BENEFITS

To optimize the benefits of lasers when integrating them into a pediatric dental practice, other technologies should also be considered. Laser-assisted dental procedures are one part of a new approach to practicing conservative, pain-free dentistry involving fluoride, digital radiography, and visual (microscopic) enhancement. Incorporating technologies such as digital radiography allows earlier diagnosis of decay and the use of minimally invasive lasers before lesions become large. When using a hard tissue laser and the improved composite materials now available, the clinician can precisely remove only diseased dental tissue and thus preserve more healthy tooth structure than with conventional techniques.

Some degree of visual enhancement for the dentist is highly recommended when using lasers. Lasers enable the practitioner to perform microdentistry because the laser can remove minute areas of diseased hard tissue not readily visualized. When performing soft tissue procedures, it is beneficial to use magnification to view the surgical area.^{17,18} Loupes are one excellent option for enhanced visualization; however, the limitation of one magnification setting makes adding a dental operating microscope the optimal investment. The author has used a dental operating microscope since 2001 and finds children accept it without difficulty and remain quite still during dental procedures.

Clinical Tip: Pediatric dentists appreciate the many benefits of using rubber dam for tooth isolation. When performing laser-assisted operative dentistry, the use of local anesthetics may not be necessary for decay removal. In order to use a rubber dam without the need for a local anesthetic, the author uses a winged #3 rubber dam clamp with a small amount of topical anesthetic. This technique may allow the dentist to place the rubber dam without causing discomfort to the child. Also, use of a mouth prop during treatment prevents the child from closing on the rubber dam clamp or accidentally biting down and breaking the laser tip. An excellent alternative to the rubber dam is the Isolite system of tooth isolation (Isolite Systems, Santa Barbara, Calif). The Isolite unit incorporates all the benefits of a rubber dam with the addition of a self-contained light source, a mouth prop, and high-speed evacuation. The Isolite unit also prevents water from being pulled away from the tooth during caries removal, unlike the normal high-volume evacuator. This prevents the tooth from dehydrating, which may cause patient discomfort when performing laser dentistry.

LASERS IN PEDIATRIC DENTAL PRACTICE

The erbium family of lasers were initially designed, manufactured, and cleared only for hard tissue procedures involving enamel, dentin, cementum, and bone. Only through subsequent efforts of erbium laser pioneers and manufacturer applications were many soft tissue procedures added to the list of FDA-cleared procedures for erbium lasers.

No single wavelength can be used to complete all dental procedures, but the author believes the erbium family is the best “all-purpose laser” for pediatric dentistry. Erbium lasers primarily target tissue water and hydroxyapatite and eliminate the smell and vibration associated with dental handpieces. Also, the need for local anesthesia is significantly reduced during removal of enamel, dentin, and dental caries. Lasers are bactericidal, thus providing an added defense against infection in soft tissue and recurrent decay in hard tissue.

Lasers have led to a reevaluation of dental cavity preparation and a fundamental change in the practice of restorative dentistry. We now need to reevaluate and often modify G.V. Black’s principle of “extension for prevention” with the concept of *minimally invasive microdentistry*. The use of erbium lasers for repair of incipient hard tissue disease provides a stress-free means of restoring teeth with minimal invasiveness and usually with no local anesthetic.^{19,20} Other benefits to the patient over conventional methods include reducing the number of office visits required for restorative procedures, decreasing healing time for soft tissue procedures, eliminating

the need for suturing, and reducing the need for postoperative pain medication and antibiotics.

The effectiveness of using hard tissue lasers is safe and well documented in the dental literature. The Nd:YAG laser has limited usefulness in the treatment of dental caries but is cleared for removal of superficial pigmented caries.²¹ However, the erbium family is the laser of choice and most practical for deep enamel, dentin, and cementum caries removal. The erbium laser can be used for restoring primary and permanent teeth, again with minimal to no local anesthesia. In most cases, children will not require local anesthetic for Class I, II, III, IV, or V restorative procedures using bonded restorative materials.

Using the concept of minimally invasive restorative procedures, the erbium laser allows the operator to remove only diseased tissue, thus preserving much more of the healthy, unaffected tooth structure. Lasers also prevent the small microfractures that occur in enamel when using conventional dental handpieces. In cases where alloy restorations are preferred, the laser's low-level analgesic effect may allow the dentist to create a restorative preparation using a conventional handpiece without analgesia. The erbium laser creates its ablation effect by being absorbed by water within the hydroxyapatite of the tooth structure. This heats up the water

within the mineral, creating microexplosions of the hydroxyapatite out of the tooth. The erbium laser is absorbed by water, and decayed hard tissue has more water content than healthy hard tissue; therefore, erbium lasers are more specific for decay than conventional instruments. Conventional instruments (handpieces, air abrasion, spoon excavators) remove whatever is in their path. Erbium lasers preferentially remove higher-water-content (decayed) tissue, leaving the lower-water-content (healthy) tissue unaffected²² (see Chapter 11).

Table 12-1 lists the various uses of lasers in pediatric dentistry.

HARD TISSUE PROCEDURES

ANALGESIA FOR SEALANT PLACEMENT AND CARIES REMOVAL

Both surgical and low-level (PBS) lasers can produce an analgesic effect on teeth. The low-level laser is placed on the occlusal and root areas of the tooth to create this analgesic effect. A similar result may be produced using surgical lasers in the defocused mode for 2 to 3 minutes. Lasers using extremely

Table 12-1

Pediatric Dental Procedures and Use of Various Lasers

Procedure	Erbium	Diode	CO ₂	Nd:YAG	Low-Level*
Investment cost	\$35,000+	\$2500+	\$35,000+	\$20,000+	\$3500+
Caries removal	Yes	No	No	Extremely limited	No
Bone ablation	Yes	No	No	No	No
Hemostasis	Fair to good	Excellent	Excellent	Very good	Limited
Analgesic effect on teeth	Yes	Limited	Very limited	Limited	Yes
Bactericidal	Yes	Yes	Yes	Yes	No
Limited postoperative pain	Yes	Yes	Yes	Yes	Yes
Aphthous ulcer treatment	Yes	Yes	Yes	Yes	Yes
Pulpotomy	Yes	Yes	Yes	Yes	No
Maxillary frenum revision	Yes	Yes	Yes	Yes	No
Mandibular frenum revision	Yes	Yes	Yes	Yes	No
Lingual frenum revision	Yes	Yes	Yes	Yes	No
Gingival recontouring	Yes	Yes	Yes	Yes	No
Gingivectomy	Yes	Yes	Yes	Yes	No
Biopsy	Yes	Yes	Yes	Yes	No
Tissue welding	Yes	Yes	Yes	Yes	No
Primary herpes	Yes	Yes	Yes	Yes	Yes
Herpes labialis	Yes	Yes	Yes	Yes	Yes
Periodontal therapy	Selective use	Yes	Yes	Yes	No
Venous lake removal	Limited use	Yes	Yes	Yes	No

*Photobiostimulating (PBS); low-level laser therapy (LLLT).

short pulse durations are very efficient at producing this effect. Placement of sealants, preventive resin restorations, and Class I, Class III, and Class V caries removal may be completed using erbium lasers with minimal to no local anesthesia using this technique. When caries are deep, removing tooth structure with a high-speed or slow-speed dental handpiece with no local anesthetic or patient discomfort may also be performed with this technique. Low-level laser analgesia is technique sensitive (see Chapter 15).

ERBIUM LASER CARIES REMOVAL

Class II preparations are more time-consuming when using lasers; however, use of the correct parameters will allow the procedure to be performed successfully without patient discomfort. In general, preparing the tooth for a stainless steel or other type of crown is possible but not usually practical because this takes so long. Every erbium laser has different pulse durations, Hz/mJ settings, tip diameters (producing different spot sizes) of different materials, and different delivery rates of air and water through the handpiece. Therefore, it is impossible to include suggested settings for each procedure. However, the following generalities apply to all erbium laser operative dentistry procedures, based on how the erbium wavelength is absorbed by the target tissue, the spot size (see Chapter 2), and the erbium laser's preferential absorption by water:

1. The higher the water content of the tissue, the more easily the erbium lasers will cut the tissue. Therefore, extremely decayed tissue (higher water content) will cut much more easily—and require less power—than slightly decayed tissue.
2. Healthy enamel (lower water content) will be more difficult to cut than any other hard tissue and therefore will require more power than any other tissue.
3. Erbium lasers are absorbed by the water in hydroxyapatite. In fluoridated areas the occlusal surfaces of teeth are usually composed of *fluoroapatite*, not hydroxyapatite. Because erbium lasers are not well absorbed by fluoroapatite, it may be difficult to begin a preparation for Class I or Class II decay on a permanent lower molar with many pits and fissures of fluoroapatite. For these procedures, treatment should begin with a *fissurotomy* bur, which can strip off the outer layer of fluoroapatite, leaving the hydroxyapatite exposed. Fissurotomy burs typically can be used on pits and fissures without local anesthetic, because these burs are used only on the *surface* of the enamel, which has no innervation.
4. Proceeding deeper into the cavity preparation, moving from ablating enamel to ablating dentin, the clinician must adjust the settings from higher energy to lower energy for two reasons:
 - a. Because enamel is not innervated, but dentin is composed of dentinal tubules, which have nerve tissue, as the enamel is ablated to expose the dentinoenamel junction (DEJ), the settings must be decreased. Most erbium lasers have preset power levels, which are lower for dentin than enamel. As the laser ablates the decayed enamel, and the dentin becomes exposed, the nerve tissue within the exposed dentinal tubules may be affected by the air or water from the laser, which the patient will perceive as pain. By lowering parameters as the clinician nears the DEJ approaches, the patient may have no sensation at all.
 - b. When moving from a more calcified (healthy) tissue to a less calcified (higher water content) tissue, parameters must be lowered. Dentin has a higher water content than enamel, so the laser will ablate dentin more quickly than enamel, possibly cutting through the dentin too quickly and leading to excessive tooth structure removal.
5. Knowledge of spot size and power density allows selection of the proper sapphire or quartz tip for the procedure (see Chapter 2). As with selecting a #1 or a #6 round bur based on amount of decay, selection of the correct size of laser tip will affect procedural efficiency. Class V preparations are generally wide, yet shallow; therefore a large spot size is wanted for these preparations, with selection of a wide tip recommended. A small occlusal pit may be narrow buccolingually or mesiodistally but extend deep into the tooth. A small spot size would be more effective for this procedure, so a small-diameter laser tip would be appropriate. Other cavity preparations would require different size tips, based on the width and depth of the decay. Because an inverse relationship exists between power density and spot size, a change in tip diameter during the procedure would require a change in laser parameters.
6. When performing Class V preparations that extend subgingivally, it may be impossible to complete the procedure without performing an access gingivectomy. When changing from hard tissue treatment to soft tissue treatment, the water spray should be shut off. Use of the water spray when ablating soft tissue with an erbium laser will not allow for adequate hemostasis of the soft tissue. Once the soft tissue procedure is completed, the water spray should be turned on to complete the procedure. The power parameters must also be adjusted.

Figures 12-1 to 12-5 (pp. 206-207) show erbium laser removal of Class I to V caries. Figure 12-6 (p. 207) shows the result of conventional instrumentation using local anesthesia in a pediatric patient.

Clinical Tip: The author suggests placing a metal matrix band on adjacent teeth when using the laser for Class II preparations to prevent accidental etching of the noncarious adjacent tooth (see Figure 12-2, A).

**Clinical
TIP**

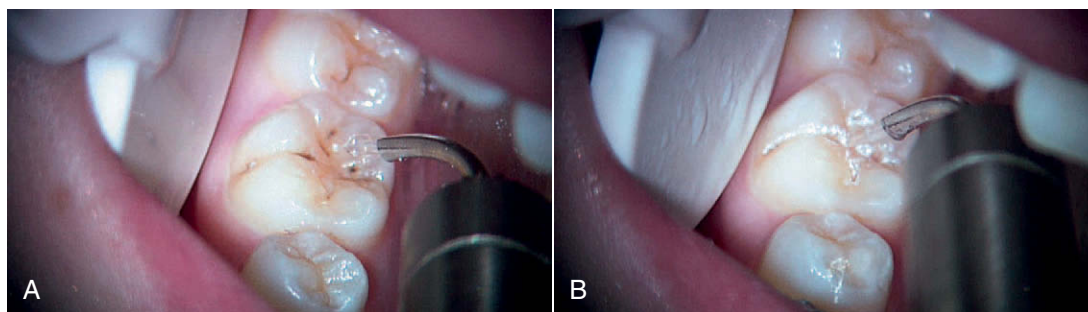


FIGURE 12-1 • A, Class I caries. B, Removal with erbium laser.

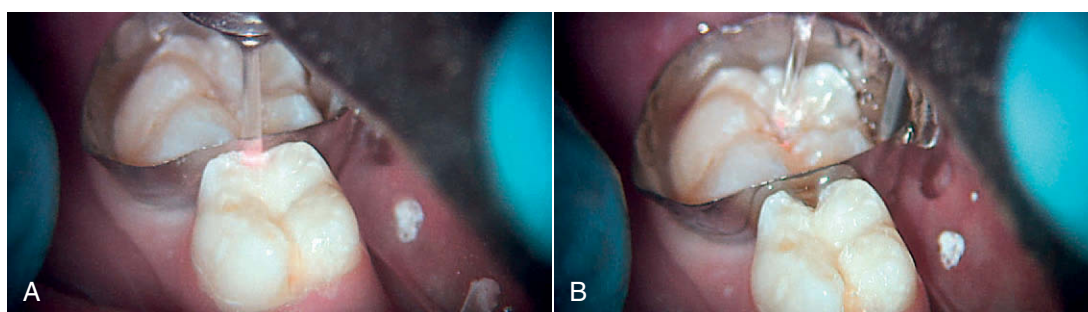


FIGURE 12-2 • A, Class II caries. Matrix band is placed on adjacent tooth to prevent accidental removal of its structure. B, Class II caries removal with erbium laser.



FIGURE 12-3 • Class III caries removal on permanent central incisors with erbium laser.

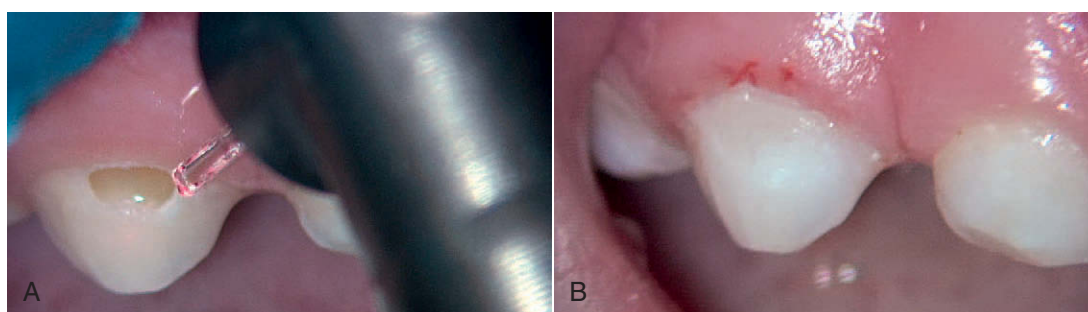


FIGURE 12-4 • A, Class V caries removal combined with access gingivectomy. Both procedures were completed with an erbium laser. B, Immediate postoperative view of restoration.

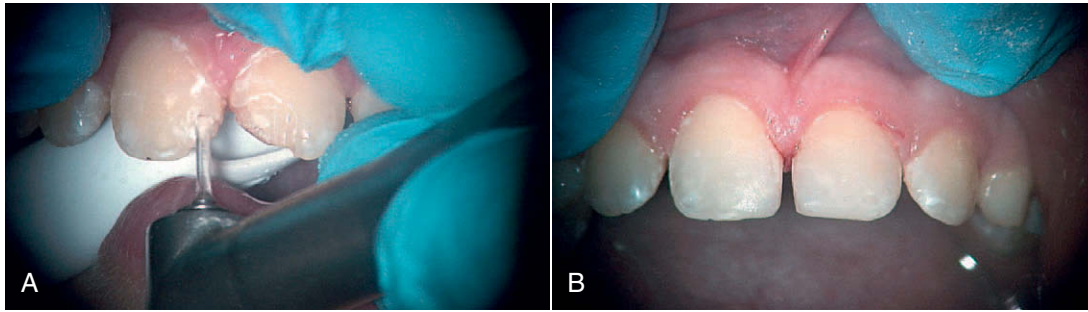


FIGURE 12-5 • **A**, Class IV erbium laser preparations on maxillary central incisors fractured due to trauma. **B**, Fractures restored.



FIGURE 12-6 • Lip bite in child resulting from use of local anesthetic. The ability to perform operative dentistry without injection of local anesthetic makes this a relic of twentieth-century dentistry.

SOFT TISSUE PROCEDURES

A wide array of soft tissue procedures may be performed with lasers in the pediatric dental office.²³⁻²⁹ Erbium lasers may be used to accomplish many soft tissue procedures with little or no bleeding when used at lower energy settings than for hard tissue procedures, and without water spray. In some cases, however, a diode, CO₂, or Nd:YAG laser is better suited for the procedure. Patients with bleeding disorders (e.g., von Willebrand disease, hemophilia) or those receiving anticoagulants (e.g., aspirin, warfarin) will benefit from the superior hemostatic ability of these lasers.

Pediatric soft tissue laser procedures include the following:

- Maxillary frenum revisions
- Mandibular frenum revisions
- Lingual frenum revisions
- Treatment of pericoronal pain or infection,
- Removal of hyperplastic tissue caused by drugs or poor oral care in orthodontic patients
- Biopsies
- Treatment of aphthous ulcers and herpes labialis

- Pulpotomies
- Pulp capping
- Exposure of unerupted teeth

Clinical Tip: Laser plume may contain benzene, formaldehyde, viral DNA, and other potential carcinogens. It is imperative that the dental team use adequate plume avoidance measures; 0.1-micron filtration masks are strongly suggested for all laser procedures.

**Clinical
TIP**

FRENUM REVISIONS

Indications for frenum revision in the infant, child, or adolescent patient range from an inability to nurse in newborns to speech pathologies in children to orthodontic problems in the preadolescent and adolescent patient. The correction of an aberrant frenum, whether by blade, electrosurgical unit, or laser, is basically the same: removal of the fibers causing the problem. The three types of frena are lingual, maxillary anterior, and mandibular anterior frenectomy.

Correction of Nursing Problems

Parents have many concerns when a minor surgical treatment for infants and young children is recommended. A common scenario involves a preadmission physical examination and blood work; early-morning surgery with nothing to eat for the previous 6 hours; general anesthesia in the operating room; and postoperative discomfort for a few days. The clinician may have heard the following questions about pediatric treatment:

- Is this an elective procedure?
- Does the child really need to be placed under general anesthesia? Is the child too young to have frenectomy under a general anesthetic?
- Should the procedure be delayed until the child is older (and the operating room “safer”)?

- Should the pediatric patient be referred to an oral surgeon, general surgeon, or ear, nose, and throat surgeon?
- Parents: “Why can’t we wait to see if the problem corrects itself as our child matures?”
- “I do not want to put my child through the procedure because I had horrible pain when I had it done as a child.”

Although these objections may have been raised before the introduction of lasers to dentistry, they still reflect how some parents, friends, relatives, and physicians react when a child is born with a dental anomaly such as an abnormal lingual frenum or maxillary anterior frenum attachment that must be corrected. New mothers look forward to comforting and nurturing their newborn by nursing, which they view as the best way to ensure their child receives the safest and best nourishment. When problems occur and the mother consults with a lactation specialist, the diagnosis of a short or tight lingual frenum with or without a tight maxillary frenum may be the major cause of the nursing problem.³⁰⁻³⁵

One of the most satisfying procedures the author provides is correcting a neonate’s inability to nurse. A short lingual frenum, which occurs in about 3% to 4% of infants, may prevent the infant from properly latching on to the mother’s nipple. This may result in an infant failing to gain appropriate weight and nursing every 2 hours, and for the mother, painful nursing periods followed by sore flattened nipples, painful mastitis, and eventually a bottle replacing nursing her child.^{25,36-40}

Laser frenum correction is a safe, simple, quick, and significantly less expensive option than conventional surgery. Any dental laser currently available (Nd:YAG, diode, CO₂, erbium) can complete this procedure literally in seconds, with no operating room or general anesthesia and a quick office visit, often with less pain than a local anesthetic injection, allowing the mother to begin nursing efficiently and painlessly.

The procedure is completed by placing the infant in a stabilization device or wrapping the infant in a small receiving blanket. The tongue is elevated using a grooved tongue direc-

tor and a small amount of topical anesthetic placed. If the erbium wavelength is selected, no water is used. No sutures are required. The infant is seen in 5 to 6 days for a follow-up examination (Figures 12-7 and 12-8).

Maxillary and Mandibular Frenectomy

Although all laser wavelengths can be used successfully to perform maxillary and mandibular frenectomies, patients with bleeding disorders who require hemostasis during soft tissue surgery benefit from the diode, CO₂, or Nd:YAG laser. These three lasers are much better than erbium lasers at creating excellent hemostasis immediately after frenum revision. Patients with bleeding or clotting disorders may be treated with these lasers without medical intervention, avoiding potential hospitalization to prevent or treat post-surgical bleeding complications as well as medication costs. Figure 12-9 illustrates a maxillary anterior laser frenectomy in a child with von Willebrand disease. In cases such as these with medically compromised patients, a consultative phone conversation with the primary care physician is always strongly recommended.

Clinical Tip: For most patients, the author recommends a small amount of local anesthesia after placing a small amount of topical anesthetic over the area. For all maxillary frenum revisions, gently pull the lip upward while ablating the tissue. When ablating the mandibular frenum, pull the lip downward as the laser ablates the tissue. In both maxillary and mandibular frenum revisions, it is important for parents to separate the wound area twice daily by either pulling up the upper lip or pulling down the lower lip. This is effective in preventing tissue reattachment. Carefully following postoperative instructions may prevent the need for lower graft procedures (Figure 12-10, p. 210).

**Clinical
TIP**

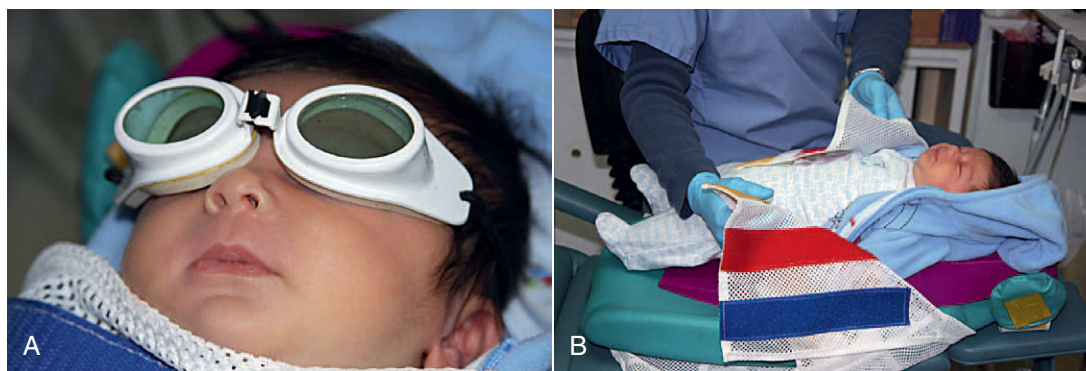


FIGURE 12-7 • One-day-old infant unable to nurse prepared for laser surgery. **A**, Using wavelength-specific protective eye goggles. **B**, Placed in protective patient stabilizer.

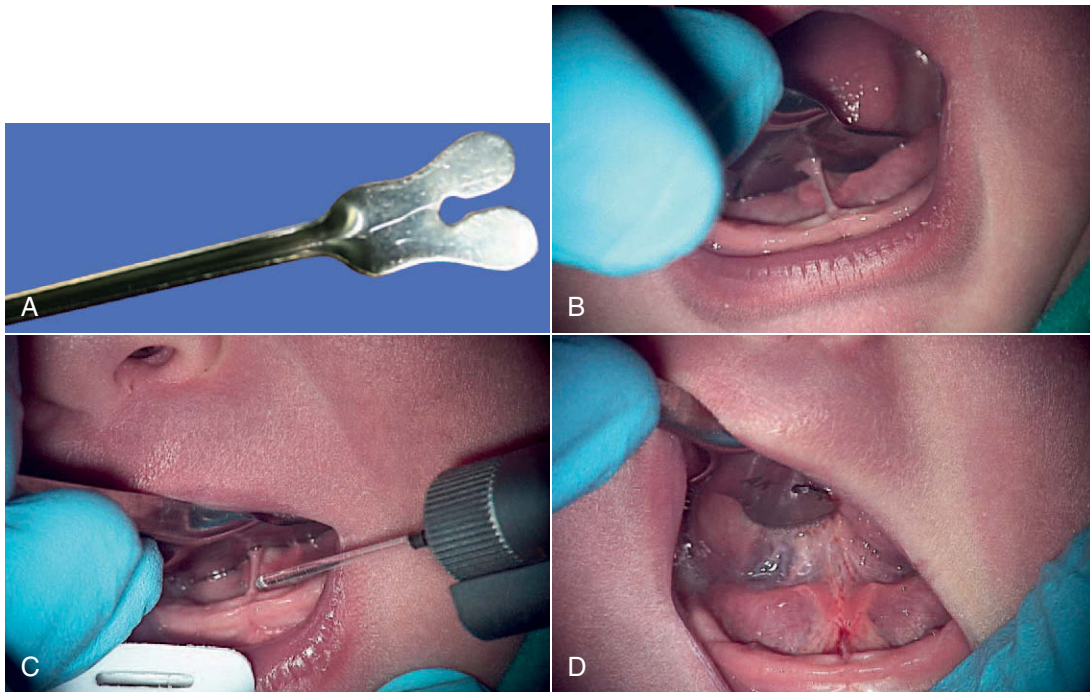


FIGURE 12-8 • Lingual frenum revision for 1-day-old infant in Figure 12-7. **A**, Grooved tongue director used to stabilize tongue. **B**, Preoperative view of 1-day-old infant requiring lingual frenum revision because of inability to nurse. **C**, Intraoperative view of lingual frenectomy. No local anesthetic used. **D**, Immediate postoperative view.

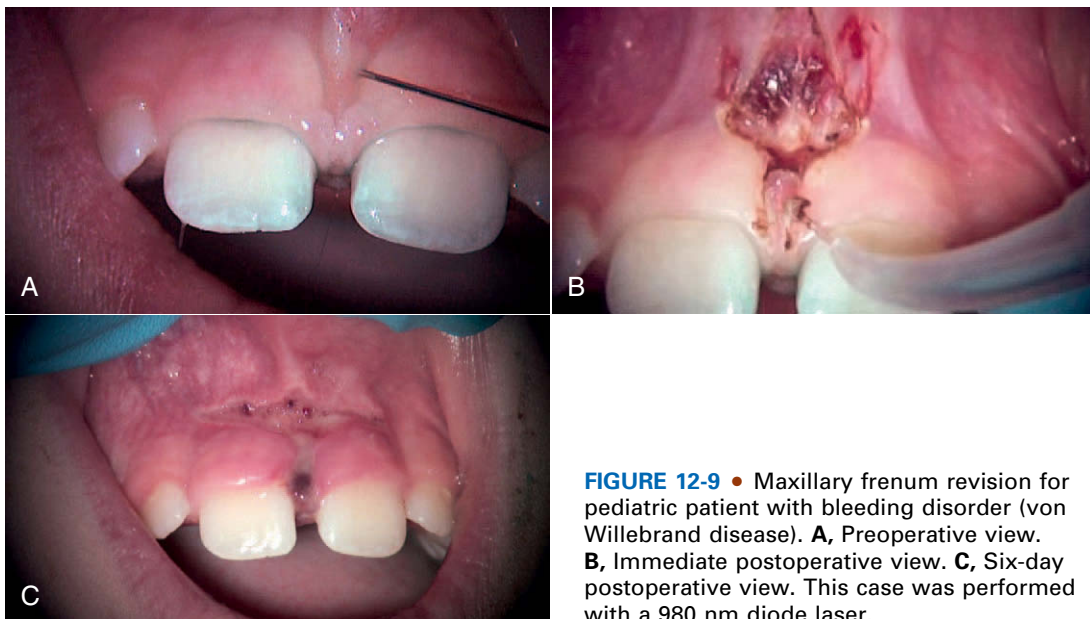


FIGURE 12-9 • Maxillary frenum revision for pediatric patient with bleeding disorder (von Willebrand disease). **A**, Preoperative view. **B**, Immediate postoperative view. **C**, Six-day postoperative view. This case was performed with a 980 nm diode laser.



FIGURE 12-10 • Pediatric patient with anterior clefting caused by aberrant mandibular frenum. **A**, Preoperative view. **B**, Intraoperative view of mandibular frenectomy. **C**, Immediate postoperative view. **D**, Six-month postoperative view. This case was performed with an 810 nm diode laser.

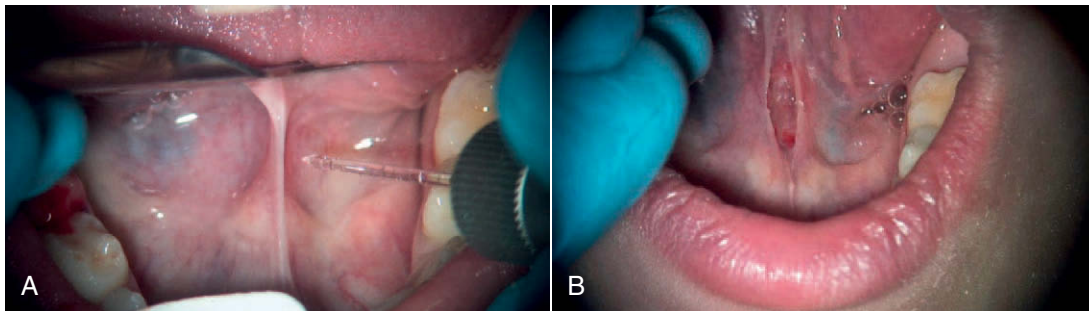


FIGURE 12-11 • **A**, Lingual frenum revision for 3-year-old child using only topical anesthetic. **B**, Immediate postoperative view. This case was performed with an erbium laser.

Lingual Frenum Revisions

To assist in the diagnosis and treatment of the lingual frenum, the author created a classification system based on the distance from the origin of the frenum at the tip of the tongue to the insertion of the frenum on the mandible. Normal frenum attachment is more than 16 mm from tongue tip to frenum insertion.²⁵ Class I frenum length is 12 to 16 mm; Class II, 8 to 12 mm; Class III, 4 to 8 mm; and Class IV, 0 to 4 mm.

When the frenum insertion is less than 8 mm, the author usually recommends a frenum revision. Whether the patient is a few days old or in the teenage years, the revision of the lingual frenum is easily completed using any laser wavelength in 15 to 30 seconds. Postoperative care includes over-the-counter pain medications if discomfort occurs. To prevent reattachment, the parent is instructed to have the child exercise the tongue and to stretch the area daily. Follow-up is scheduled in 6 to 7 days (Figures 12-11 and 12-12).

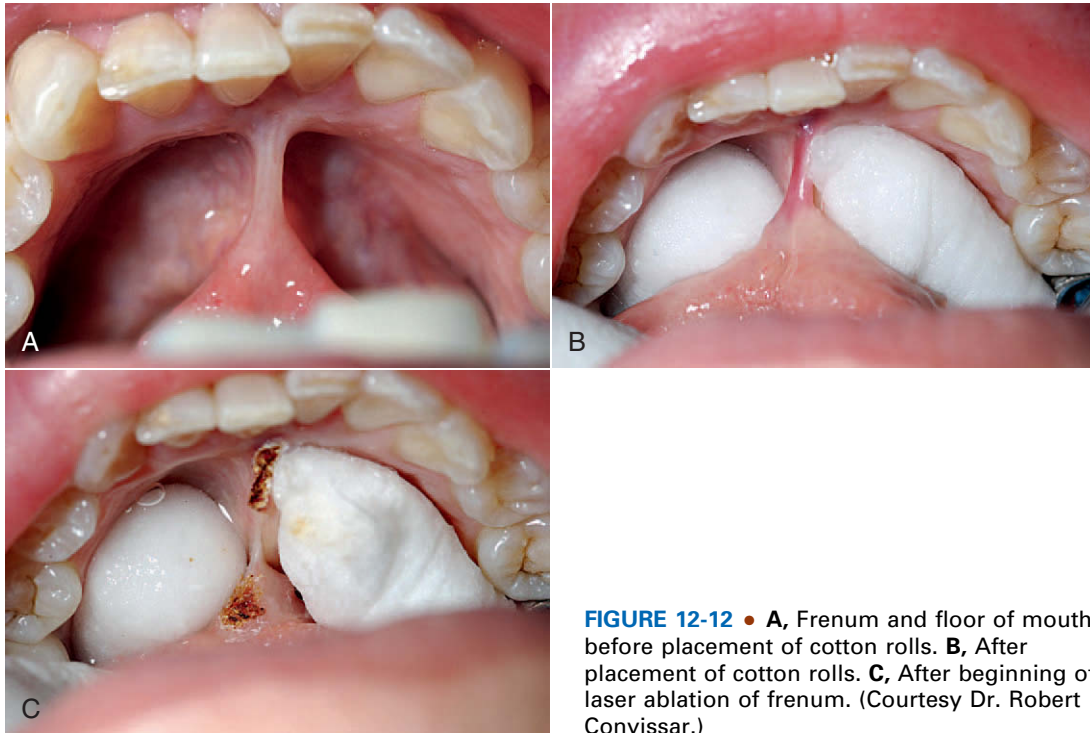


FIGURE 12-12 • A, Frenum and floor of mouth before placement of cotton rolls. B, After placement of cotton rolls. C, After beginning of laser ablation of frenum. (Courtesy Dr. Robert Convissar.)

Clinical TIP

Clinical Tip: The author advises using a *grooved tongue positioner* (Miltex). When the frenum tissue exists as a thin fibrous attachment, local anesthesia is usually unnecessary. When the frenum tissue is thick and fibrous, local anesthesia may be required, and a single gut suture may be needed at the end of the final release point to prevent frenum reattachment. If the frenum is dense or muscular, after the frenum is anesthetized, grasp the frenum using a hemostat, placed close to the base of the tongue. The laser excision is completed on the exposed side of hemostat, *not* on the surface of the hemostat touching the tongue.

Diode and Nd:YAG lasers are absorbed by pigmented chromophores such as hemoglobin, which is abundant in vascular areas such as the floor of the mouth. CO₂ and erbium lasers are absorbed by water, which is abundant in the mouth floor mucosa. The inexperienced laser clinician should place wet cotton rolls or gauze on the floor of the mouth to protect the delicate tissue from stray laser energy. Also, treatment significantly inferior to the lingual surface of the lower incisors should be avoided because of the proximity of the sublingual glands.

HYPERPLASTIC GINGIVAL TISSUE

When the final result of orthodontic positioning of the front teeth results in gingival hypertrophy, or orthodontic therapy

itself causes gingival hypertrophy from poor oral hygiene, the laser can be a useful tool to increase crown length and give the patient a more esthetic smile. Depending on the wavelength used and the amount of tissue revision needed, this may be accomplished without local anesthesia. Patients who have drug-induced hyperplastic tissue, as from phenytoin (Dilantin) administration, as well as organ transplant recipients taking cyclosporine, can also have their tissue reduced and reshaped with lasers^{41,42} (Figures 12-13 to 12-15).

LESION REMOVAL AND BIOPSY

Fibrotic lesions, gingival growths, mucocles, and other types of lesions can be quickly and safely removed using lasers. Lesion removal usually requires a local anesthetic (Figures 12-16 and 12-17, p. 213). This topic is discussed in detail in Chapter 6.

HERPES LABIALIS AND APHTHOUS ULCER

Two of the most debilitating oral lesions children may experience are recurrent herpes labialis and aphthous ulcers. Dental lasers can immediately relieve aphthous ulcer lesions^{43,44} and often stop or reduce herpes labialis lesions. Treatment of these lesions is usually performed at low power settings in a defocused mode. The purpose is not to ablate the tissue, but to modify the surface epithelium of the lesion (Figures 12-18 and 12-19, p. 214).



FIGURE 12-13 • Phenytoin-induced gingival hyperplasia. **A**, Mandibular anterior view. **B**, Mandibular anterior view immediately after laser surgery. **C**, Maxillary anterior view. **D**, Maxillary anterior view immediately after surgery.



FIGURE 12-14 • Laser removal of interproximal gingival hypertrophy using topical anesthetic. **A**, Preoperative view. **B**, Intraoperative view. **C**, Immediate postoperative view.

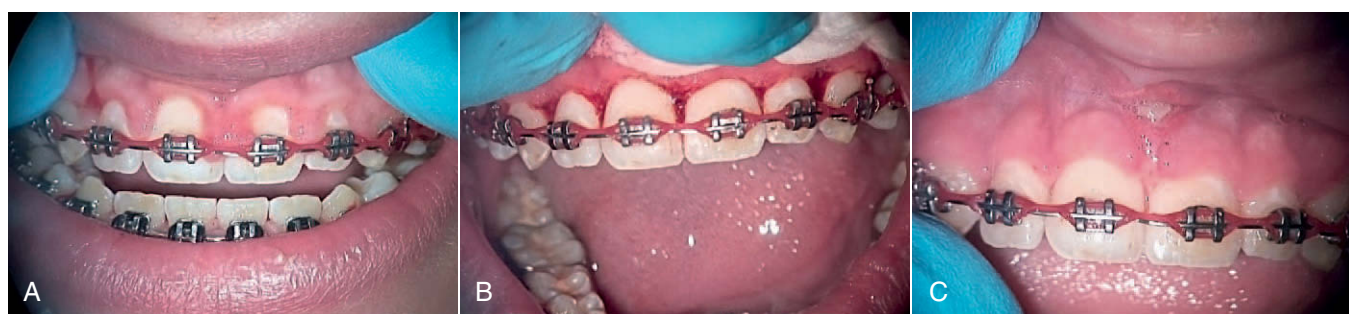


FIGURE 12-15 • Orthodontically induced gingival hyperplasia removed by laser. **A**, Preoperative view. **B**, Immediate postoperative view. **C**, Postoperative view at 5½ days.

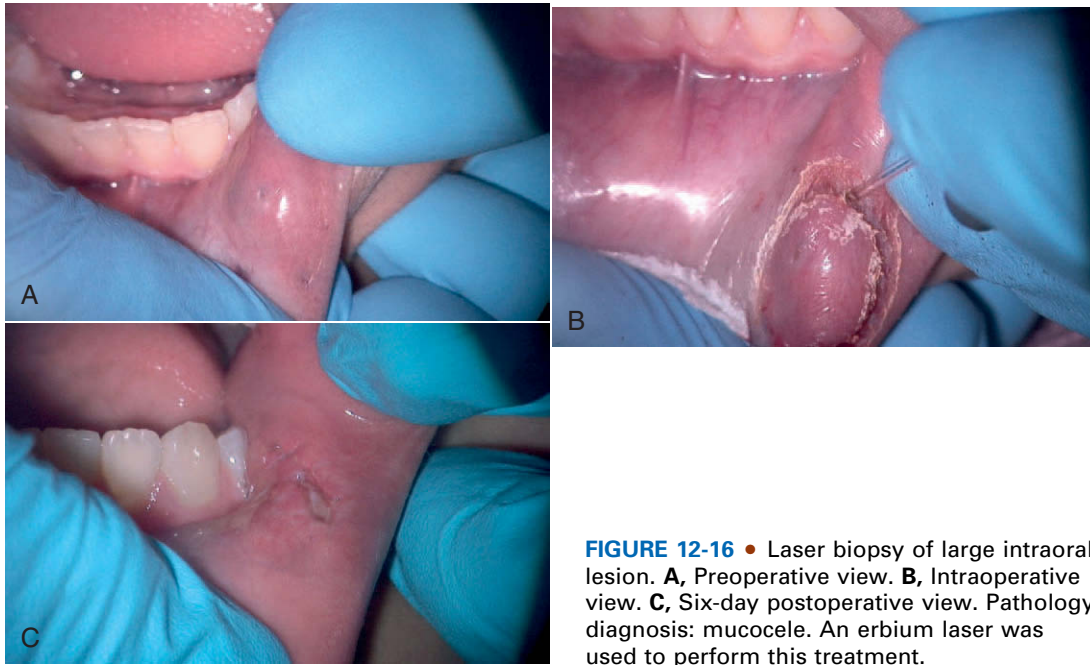


FIGURE 12-16 • Laser biopsy of large intraoral lesion. **A**, Preoperative view. **B**, Intraoperative view. **C**, Six-day postoperative view. Pathology diagnosis: mucocele. An erbium laser was used to perform this treatment.

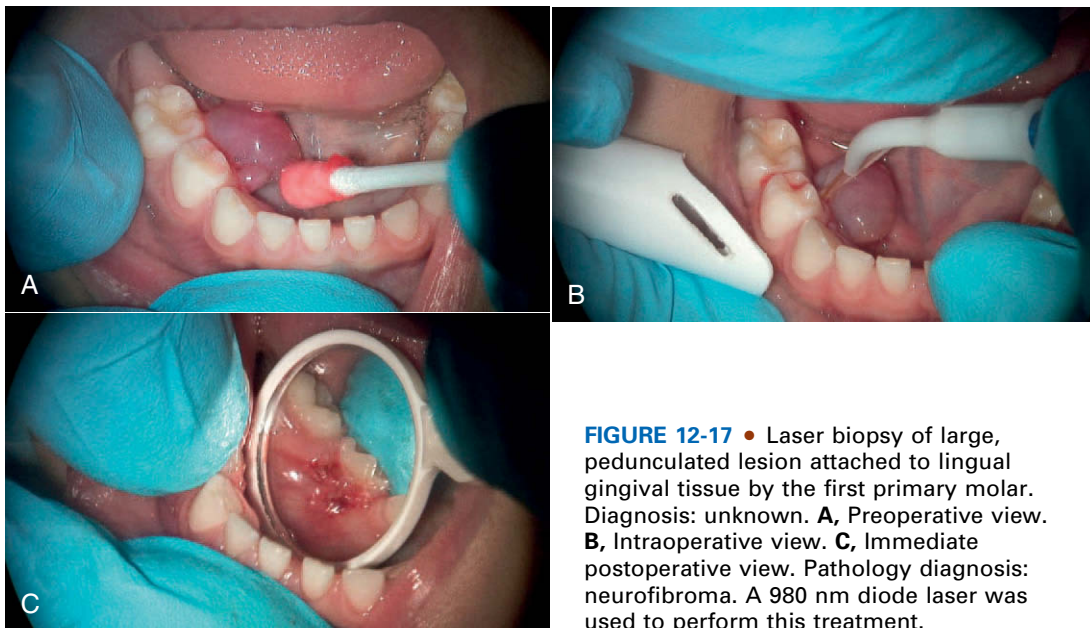


FIGURE 12-17 • Laser biopsy of large, pedunculated lesion attached to lingual gingival tissue by the first primary molar. Diagnosis: unknown. **A**, Preoperative view. **B**, Intraoperative view. **C**, Immediate postoperative view. Pathology diagnosis: neurofibroma. A 980 nm diode laser was used to perform this treatment.

Anecdotal reports indicate that the aphthous ulcer will not recur in the specific laser-treated site. The reason for this is unknown.

Treating herpes labialis involves passing the laser tip slowly over the entire portion of the lip that is infected, just short of observing the white change in tissue color. This usually involves treating the entire one half of the lip involved. The process takes 1 to 2 minutes, usually without anesthesia. When herpeslike lesions are treated at the first signs of an infection, the lesion can often be eliminated.

Clinical Tip: When treating aphthous ulcers, make certain that the treated area includes the entire lesion as well as at least 3 to 5 mm lateral to the erythematous halo marking this lesion's border. If a small area of healthy tissue around the lesion is not treated along with the entire lesion, the ulcer will recur.

**Clinical
TIP**



FIGURE 12-18 • Herpes labialis treated with an 810 diode laser. **A**, Initial lesions developing on upper lip. **B**, Surgical noncontact diode laser treatment. No local anesthetic used. **C**, Postoperative view at 24 hours.

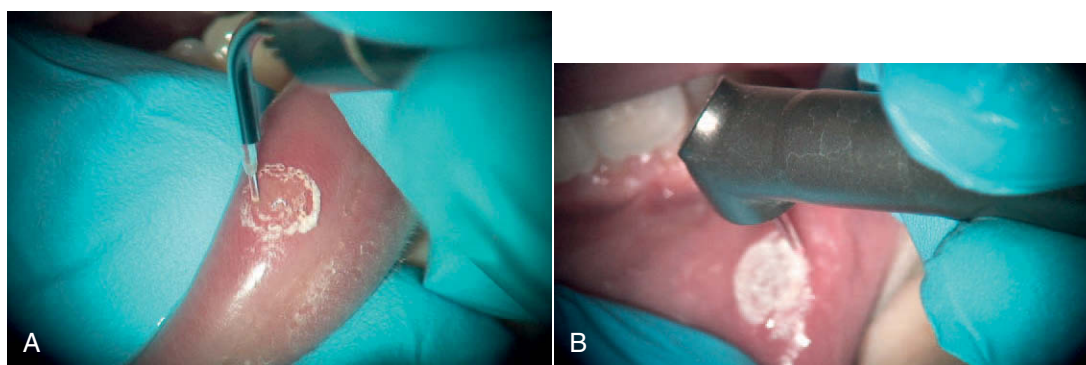


FIGURE 12-19 • Aphthous ulcer treatment using an erbium laser. **A**, Intraoperative view. **B**, White pox-marked lesion at completion of treatment.

PERICORONITIS (OPERCULITIS)

Pericoronal inflammation, or infection of the gingiva surrounding the crown of the tooth during the eruption of molars, may cause patient discomfort. This tissue can be removed with any wavelength of laser. If chosen, the erbium laser must not contact the enamel, or a small area of hard tissue could be ablated. The advantage of the erbium laser is that only topical anesthetic may be required. When using other wavelengths to treat pericoronitis, injection anesthesia is usually necessary. The potential disadvantage of using the erbium laser is less hemostasis postoperatively than with the other wavelengths, and the slight risk of ablating hard tissue once the soft tissue has been removed (Figure 12-20).

LASER TISSUE WELDING

For children who develop fissures and cracks on their lips, lasers can be used for welding the tissue together.^{45,46} The purpose is not to ablate tissue, so low power in defocused mode is used. The tissue appears to self-weld, improving healing. The laser's bactericidal effect also promotes healing (Figure 12-21).

IMPACTED MESIODENS

Lasers may be used for the surgical removal of mesiodens. The laser replaces a scalpel in cutting palatal tissue to gain access to the unerupted tooth. The area must be anesthetized. If

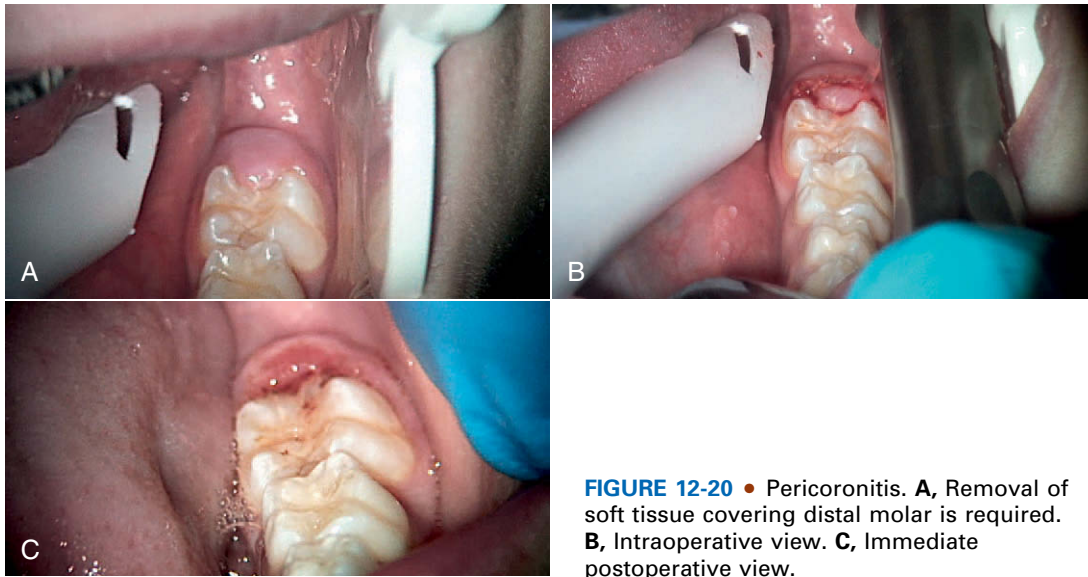


FIGURE 12-20 • Pericoronitis. **A**, Removal of soft tissue covering distal molar is required. **B**, Intraoperative view. **C**, Immediate postoperative view.

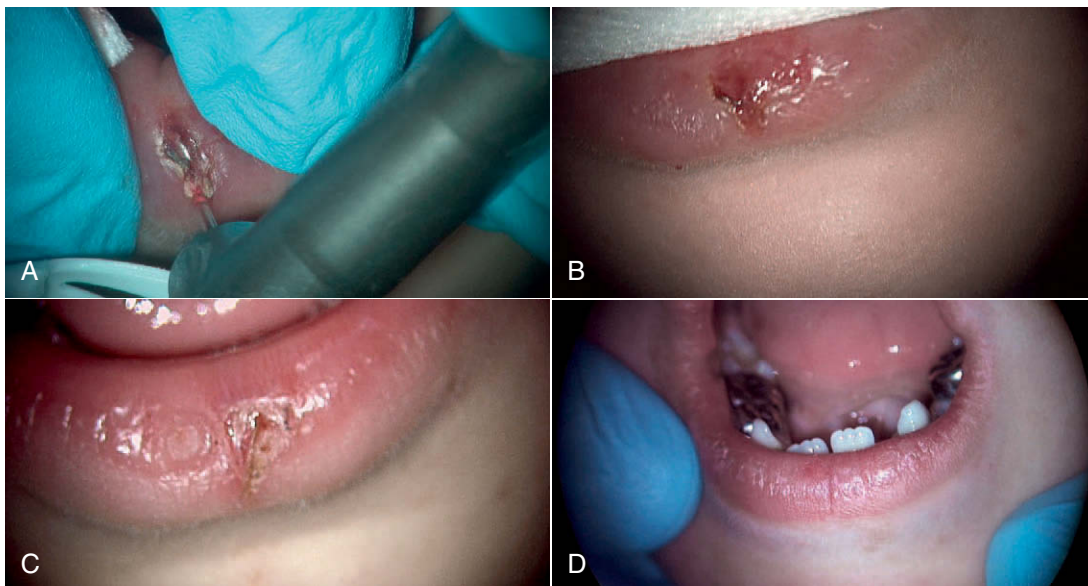


FIGURE 12-21 • **A**, Laser tissue welding of open fissure in lower lip. **B**, Immediate postoperative view. **C**, Postoperative view at 48 hours. **D**, Tissue healed completely without reopening at 3 weeks.

bone is covering the tooth, or if the tooth is ankylosed and sectioning of bone or tooth is necessary, the erbium lasers may be used for the hard tissue procedure. The soft tissue is separated from the bone using a periosteal elevator. Laser advantages include reduced healing time, bactericidal effects at the surgical site, and greatly reduced postsurgical discomfort (Figure 12-22).

VENOUS LAKE ON LOWER LIP

A venous lake, or venous pool, lesion presents as a soft, bluish, discrete, painless nodule beneath the epithelium of the lower lip. Although usually seen after age 40, the patient shown in Figure 12-23 presented with a venous lake lesion at age 8 years. A venous lake or pool often appears as the result of an injury to the lip. The two-step treatment involves first using the laser out of contact at low power (<1 W) for a few minutes for deep

penetration into the lesion, which is rich in hemoglobin; the Nd:YAG or diode with an uninitiated tip would therefore be the preferred laser. After the lesion has absorbed sufficient laser energy, any laser can then be used in contact to open the tissue and remove the remaining dried blood.⁴⁷⁻⁵¹

TOOTH EXPOSURE FOR ORTHODONTIC BANDING

Removal of soft tissue covering an unerupted permanent tooth usually requires no local anesthetic. All lasers can perform this treatment; however, caution must be used when angulating the laser tip toward the tissue. Direct contact of an erbium laser with the tooth could ablate tooth structure. Direct contact with other wavelengths could create charring of the enamel, which is easily polished off with a prophyl cup or rubber wheel (Figure 12-24). (See Chapter 13.)

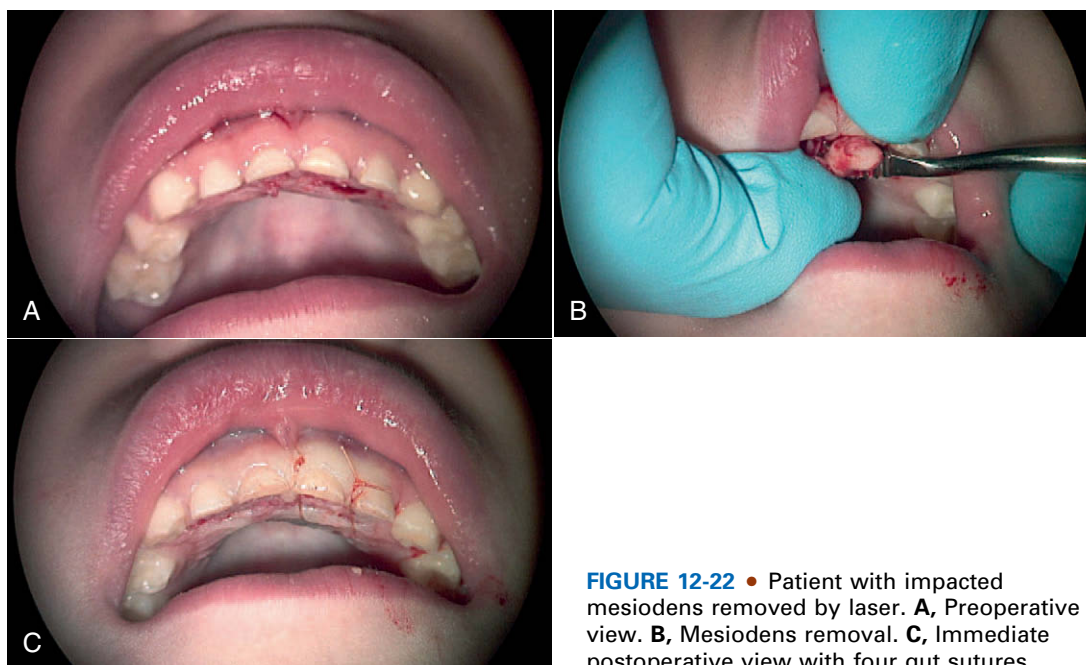


FIGURE 12-22 • Patient with impacted mesiodens removed by laser. **A**, Preoperative view. **B**, Mesiodens removal. **C**, Immediate postoperative view with four gut sutures.

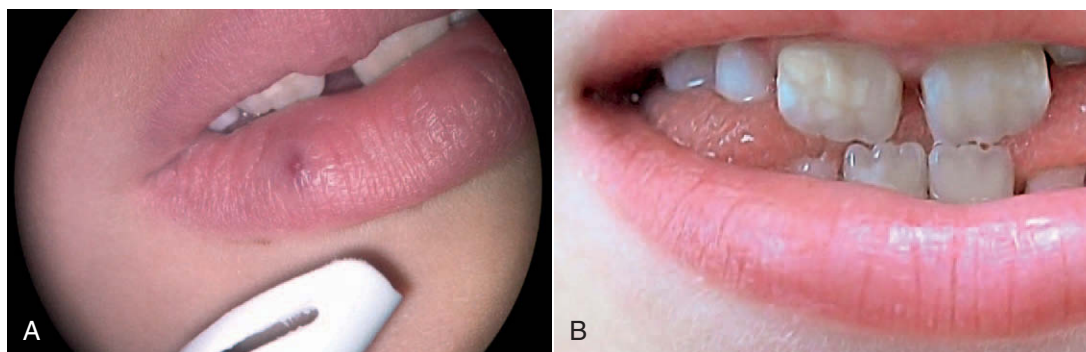


FIGURE 12-23 • **A**, Venous lake lesion on lip of 8-year-old girl. **B**, Six weeks after laser treatment.

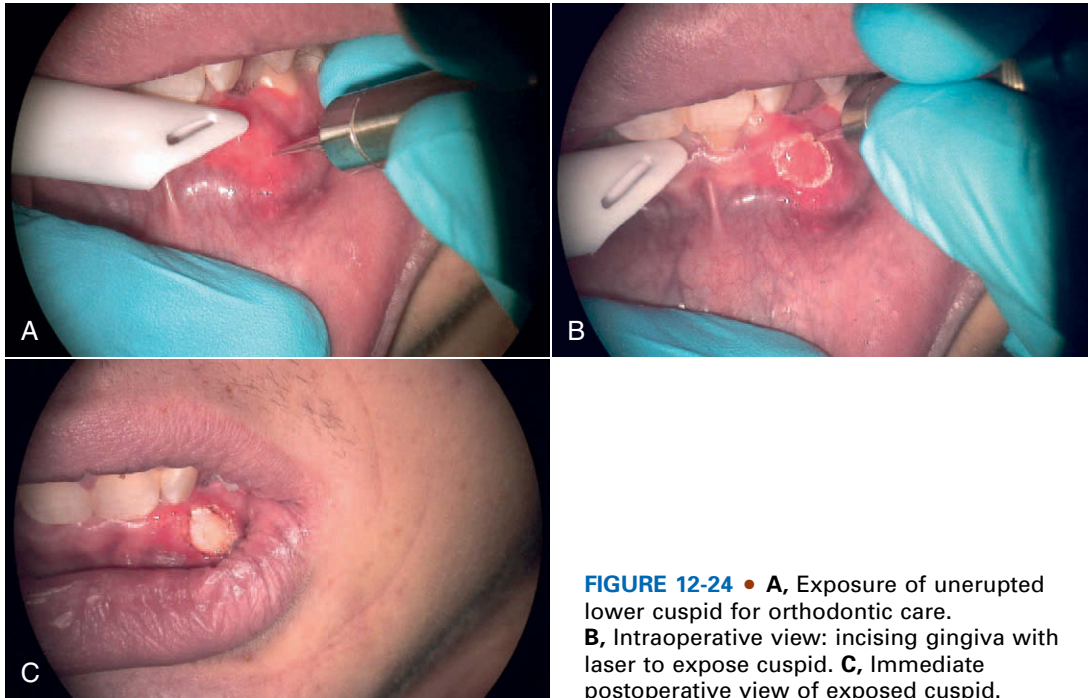


FIGURE 12-24 • **A**, Exposure of unerupted lower cuspid for orthodontic care. **B**, Intraoperative view: incising gingiva with laser to expose cuspid. **C**, Immediate postoperative view of exposed cuspid.

PULPOTOMY AND PULPECTOMY

Primary teeth that have exposed pulp tissue resulting from caries, mechanical removal of carious tissue, or preventive procedures on severely abraded teeth require a pulpotomy or pulpectomy.^{52,53} In a *pulpotomy*, as defined by the American Academy of Pediatric Dentistry, the coronal pulp is amputated and the remaining vital radicular pulp tissue surface is treated with a medicament (e.g., formocresol, ferric sulfate)⁵⁴ or with electrocautery to preserve the pulp's health. Mineral trioxide aggregate (MTA) has also been used as therapeutic pulp-dressing agent.⁵⁵

A *pulpectomy* is defined as a root canal procedure for pulp tissue that is irreversibly infected or necrotic as a result of caries or trauma. The objective of both pulpectomy and pulpotomy is to maintain a tooth both functionally and painlessly without pathology until the primary tooth normally exfoliates upon eruption of the underlying permanent tooth, or until the tooth is adequately developed for definitive root canal therapy.

Lasers are an effective alternative for treating pulps, with the additional benefit of providing pulp therapy without introducing chemicals into children's systems. Small amounts of formocresol may be absorbed and distributed throughout the child's body within minutes of its use at the pulpotomy site,⁵⁶ and parents may express concern about the effect of such medicaments.

Pulpotomy is one of the clinical indications for use of lasers.^{29,57,58} Successful treatment can delay the need to extract a nonvital primary tooth until a space maintainer can be inserted. Lasers provide a safe, effective, nonchemical alternative for pulpotomies.^{59,60} With more than 6 years of results on

more than 5000 laser pulpotomies showing excellent results, the author can confirm the safety and effectiveness of this technique on children without using chemicals or electrosurgery (Figure 12-25).

COMBINED PROCEDURES

The advantage of the erbium wavelength is that it can be used for both hard tissue and soft tissue procedures as shown in Figure 12-26.

LOW-LEVEL (PHOTOBIOSTIMULATING) LASER THERAPY

As discussed earlier, the effectiveness of low-level (PBS) lasers, also known as "cold lasers," is backed up by more than 2500 studies, although many of these are poorly controlled and do not meet the Western medical criteria (see Chapter 15).

The pediatric uses described in this section are not well documented in the literature but have proved to be successful treatment modalities in the offices of both the author and many other dentists using PBS lasers. Use of LLLT in the pediatric dental office offers another instrument for the following indications:

- Dental analgesia
- Treatment of hard tissue trauma
- Treatment of soft tissue trauma
- Treatment of primary herpes and herpes labialis
- Controlling the gag reflex

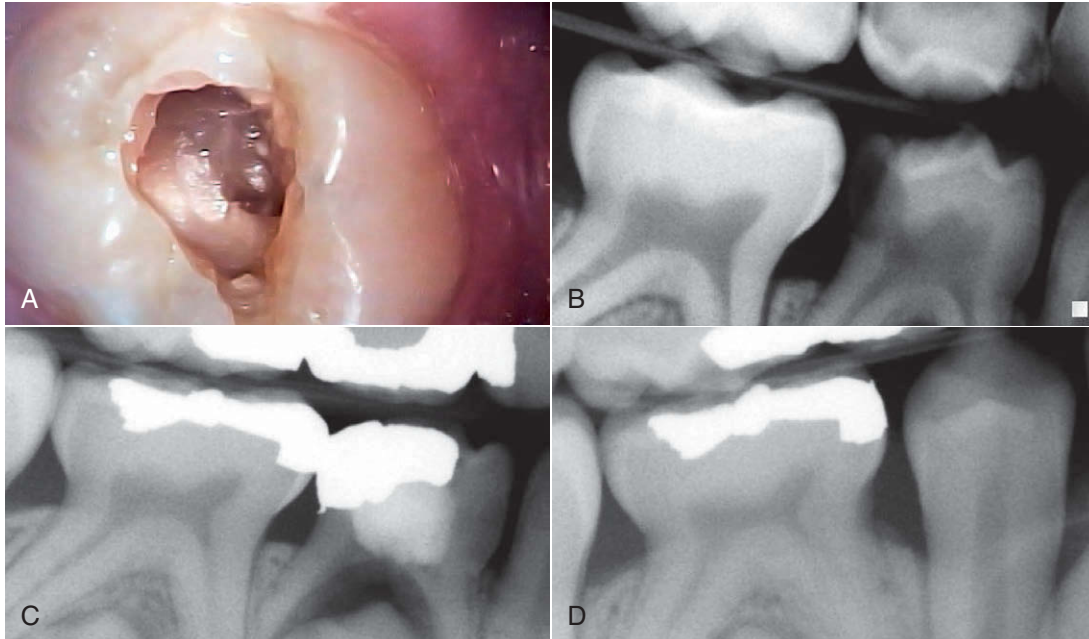


FIGURE 12-25 • **A**, Access for pulpotomy. **B**, Radiograph of lower first primary molar requiring pulpotomy. **C**, Radiographic view of completed pulpotomy. This successful laser-assisted treatment allowed the permanent bicuspid to erupt successfully 5 years later. **D**, Radiograph of 5-year postoperative view showing the permanent bicuspid in place.

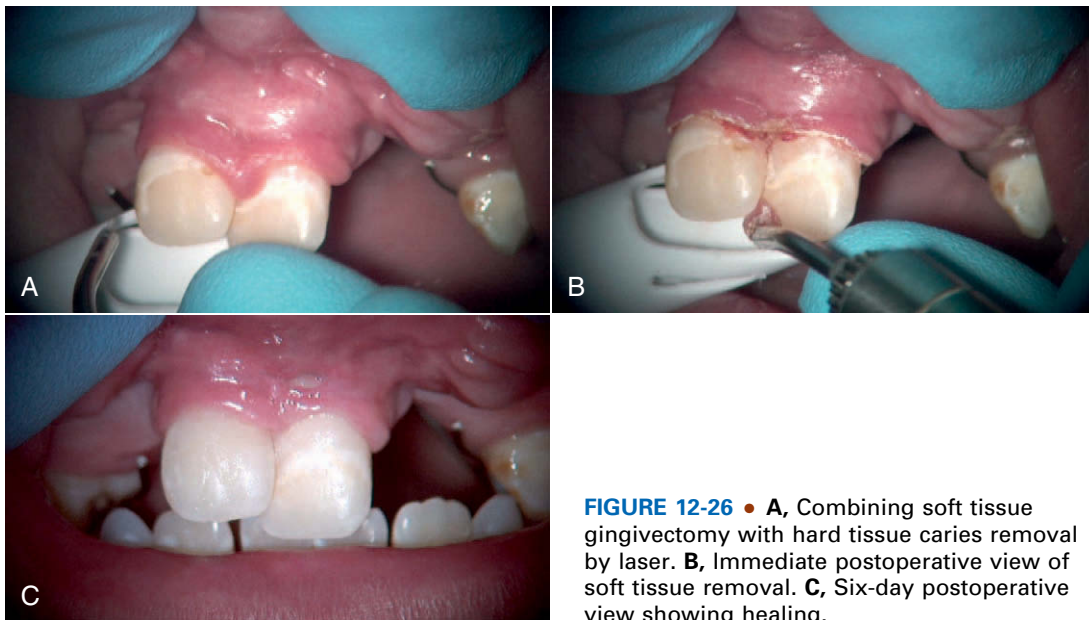


FIGURE 12-26 • **A**, Combining soft tissue gingivectomy with hard tissue caries removal by laser. **B**, Immediate postoperative view of soft tissue removal. **C**, Six-day postoperative view showing healing.

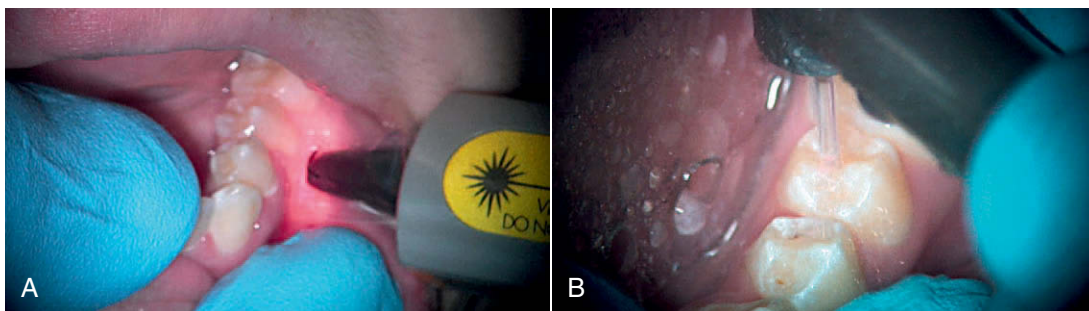


FIGURE 12-27 • **A**, Low-level laser placed over root area of tooth for 1 minute. **B**, Erbium laser over occlusal surface of tooth for 2 minutes.

ANALGESIC EFFECT

A PBS analgesic effect can be achieved with either specific lasers limited to low-level energy⁶¹⁻⁶³ or surgical lasers in a defocused mode, which does not cause photothermal buildup in the dental tissue. The technique involves placing the tip of the laser defocused (noncontact, 1-3 mm off tooth surface) over the crown of the tooth for 1 to 2 minutes. Tooth preparation can then be completed using an erbium hard tissue laser. Alternatively, when preparing primary or permanent teeth, a high-speed handpiece can be used to complete an alloy preparation without causing patient discomfort (if not previously used on the pediatric patient). Whether a composite or alloy restoration is placed, the patient can leave the office without the need for a local anesthetic. In children, this eliminates the potential for developing lip trauma from the child biting the lip (Figure 12-27).

TRAUMATIZED PRIMARY AND PERMANENT ANTERIOR TEETH

Children often sustain accidental injury to the maxillary or mandibular anterior primary teeth. This may result in pulpal

death and tooth discoloration.^{64,65} This usually will develop within 2 to 6 weeks after trauma to the area. Infants and toddlers 7 months to 5 years of age have benefited from a 660-nm or 830-nm low-level laser placed over the root areas of the injured teeth for 1 minute. Patients with slightly mobile, partially avulsed, or displaced front teeth who were seen within 24 hours of injury demonstrated clinically and radiographically normal teeth in color and vitality and remained asymptomatic as long as 36 months after trauma (Figures 12-28 and 12-29).

For treatment of traumatic injury to primary and permanent teeth, a radiograph first is taken to ensure the tooth has not sustained a root fracture. The low-level laser is placed over the lingual and facial areas of the root for approximately 1 minute (4 J/area). The child is placed on a soft diet. Depending on the degree of injury, the patient may be reappointed 3 and 5 days postoperatively for additional LLLT at the same energy setting (Case Study 12-1).

INTRAORAL PRIMARY HERPES

See Case Study 12-2 and Figure 12-31.

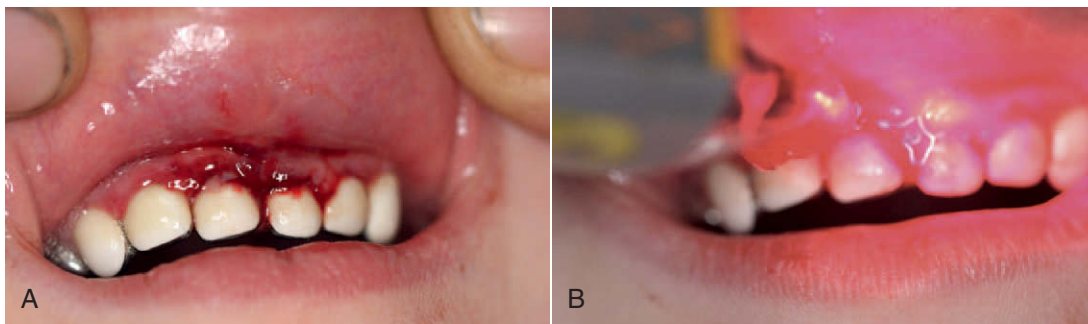


FIGURE 12-28 • A, Anterior trauma. B, Treatment using laser for 1 minute per tooth.

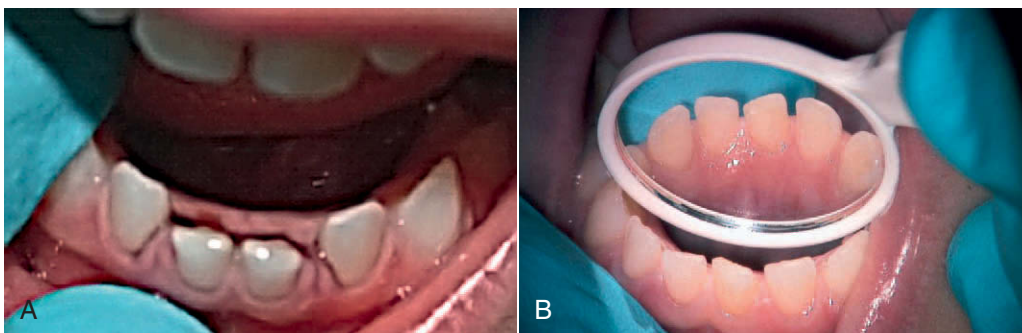


FIGURE 12-29 • A, Trauma with partial avulsion of lower anterior teeth. B, Two years after laser treatment.

Case Study

12-1

An 8-year-old girl presented for emergency treatment of a partial avulsion of tooth #9. The tooth extended out of the alveolus approximately 5 mm. The tooth was gently repositioned, splinted, and treated with the 660-nm LLLT

probe for 1 minute. This was repeated 3 days and 7 days later. After 23 months, the tooth remained vital and asymptomatic (Figure 12-30).

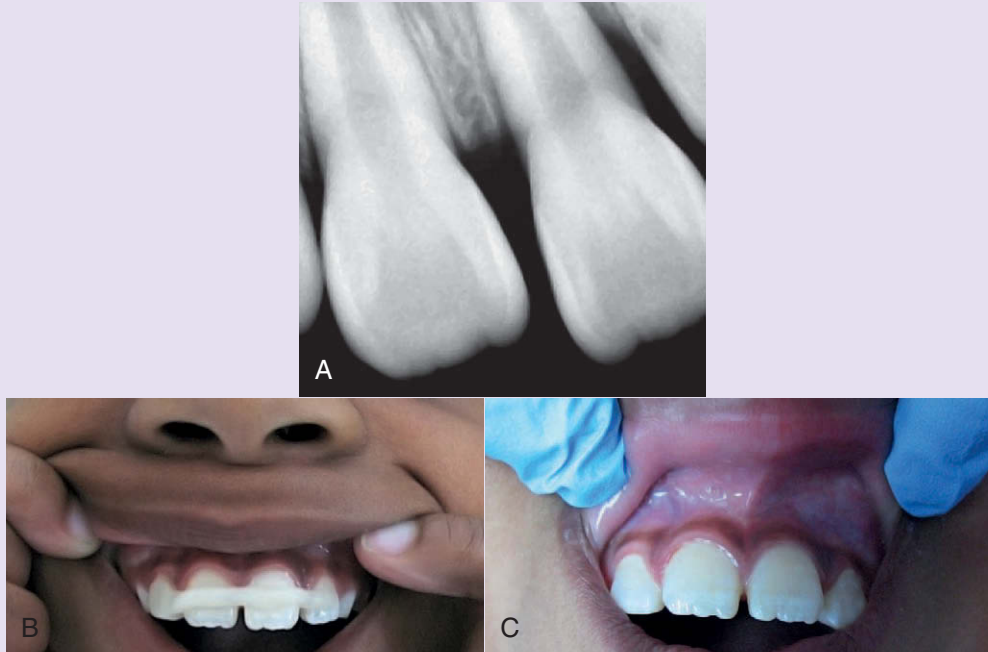


FIGURE 12-30 • Partially avulsed permanent incisor. **A**, Preoperative radiograph. **B**, Tooth splinted and treated with low-level laser 1 minute over lingual and facial areas. **C**, Tooth remains vital 23 months after treatment.

Case Study

12-2

An 8-year-old boy presented with multiple herpetic lesions intraorally and complained of significant discomfort. The low-level laser globe was placed extraorally for 3 minutes.

The patient returned 4 days later with no further discomfort and most lesions eliminated⁶⁶ (Figure 12-31).

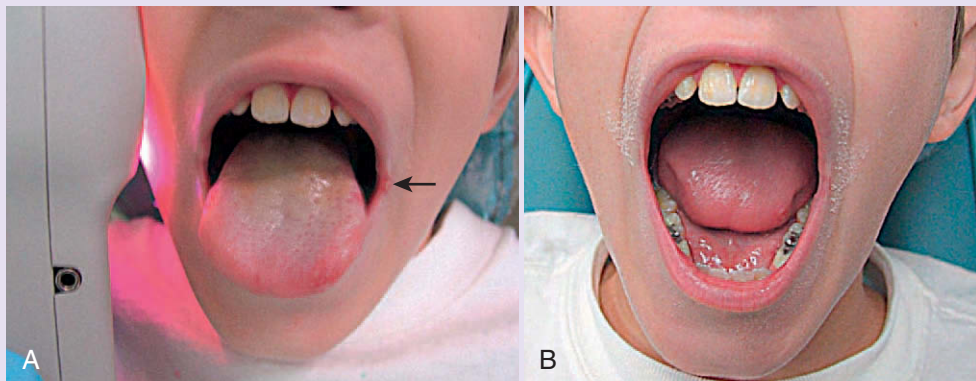


FIGURE 12-31 • **A**, Immediately before treatment of primary herpes using low-level (PBS) laser cluster for 3 minutes. **B**, Area free of lesions 4 days after treatment.

ORTHODONTIC ADJUSTMENT OR TEMPOROMANDIBULAR JOINT DISCOMFORT

Patients with discomfort caused by orthodontic adjustments or with temporomandibular joint (TMJ) discomfort may receive relief using the laser/LED unit over the external facial area for 3 minutes and then placing the 660-nm or 830-nm probe into the posterior oral cavity, where trigger points for pain from TMJ syndrome are present.⁶⁷ Repeating treatment three to five times in 1 week for patients with TMJ pain significantly reduces or eliminates discomfort (Case Study 12-3 and Figure 12-32). The mechanism of action is outlined in Chapter 15.

FACIAL INJURIES

Low-level laser treatment appears to stimulate the proliferation of fibroblasts within the gingival tissue.⁶⁸⁻⁷² Pediatric surgical patients pretreated with PBS had reduced postsurgical pain and inflammation. Using the 660-nm or 830-nm laser



FIGURE 12-32 • Treatment of patient immediately after orthodontic adjustment.

probe induces changes in the cell membrane and alters its permeability (e.g., increased ATP synthesis). Low-level (PBS) lasers result in enhanced healing when measured by wound contraction. Stimulation of healing in soft tissues to resolve inflammation, provide pain relief, and improve the wound's tensile strength helps the immune system resolve infection (Figure 12-34). Rochkind et al.⁷³ also found that the effects

Case Study

12-3

A 13-year-old boy presented with a mandibular swelling caused by an abscessed lower molar, with pain and limited ability to open his mouth. This also limited clinical examination of the oral cavity and prevented the dentist from opening the infected tooth to allow for drainage and pain relief. Placing the diode and LED globe portion of the low-level (PBS) laser over the affected side for 3 minutes provided sufficient relief of muscle trismus to allow adequate mouth opening and drainage of the infected tooth (Figure 12-33).

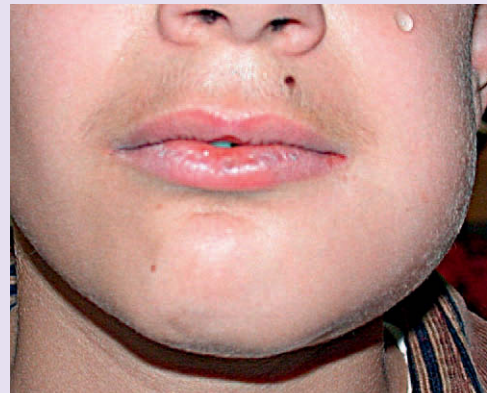


FIGURE 12-33 • Patient with facial cellulitis and limited mouth opening treated with low-level laser for 3 minutes.



FIGURE 12-34 • **A**, Facial injury treated with low-level laser therapy (LLLT). **B**, Six-day postoperative view.



FIGURE 12-35 • Treatment of gag reflex using diode laser placed on P6 acupuncture point for 1 minute.

from irradiating one area were seen on other wounds, suggesting the systemic effects of LLLT. This may explain why a study using the left and right sides of a patient will fail to show any difference between placebo effect and laser effect.

REDUCING THE GAG REFLEX

Applying the 660-nm diode laser at approximately 4 J to the P6 acupuncture point provides relief from the gag reflex in many children. The P6 point is located on the undersurface of the wrist approximately 2.5 cm (1 inch) from the wrist crease, about the width of the distal thumb phalanx. Patients with gag reflexes strong enough to prevent intraoral radiography or molar evaluation were successfully treated with the 660-nm diode placed on P6 for 1 minute⁷⁴⁻⁷⁷ (Figure 12-35).

CONCLUSION

Lasers are an extremely versatile addition to the pediatric dental practice and can often be used instead of conventional methods. Incorporating a laser in the pediatric practice should be viewed as an investment rather than a cost. When used with a good knowledge of laser physics and laser safety, lasers provide pediatric patients with a new standard of dental care.

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Soft Tissue Lasers in Orthodontics

13

Louis G. Chmura, DDS

Orthodontic patients are now looking for more than straight front teeth and a good bite. They want optimal results with minimal effort as quickly as possible. To be successful, orthodontists must not only provide the best dental and facial results possible, but also deliver esthetic soft tissue results efficiently. The proper use of a soft tissue laser in an orthodontic office can accelerate treatment, reduce appointments, and provide superior results.

CHOOSING A LASER FOR ORTHODONTICS

Currently, dozens of lasers are available to orthodontists, each with special features and characteristics. Choosing the “best” laser can be difficult for many orthodontists and requires a different approach to the “normal” orthodontic workload. The primary consideration for many is to “stay out of trouble,” so it may be helpful to examine features *not* wanted in a soft tissue laser.

Although manufacturers claim all wavelengths are capable of performing soft tissue procedures, most orthodontists should avoid lasers that can ablate hard tissue, such as the erbium family of lasers (Er:YAG, Er,Cr:YSGG). Laser portability and movement from chair to chair are critical in orthodontic therapy, so the bulky, heavy erbium lasers are a poor choice. Diode lasers, currently available in four distinct wavelengths (810-830, 940, 980, and 1064 nm), and neodymium-doped yttrium-aluminum-garnet (Nd:YAG, 1064 nm) lasers are well absorbed by hemoglobin and melanin and thus work well for ablation of pigmented and vascular tissues such as oral mucosa.¹ Diode lasers have a much shallower depth of penetration than Nd:YAG lasers and may be less likely to cause pulpal damage, making diodes an excellent choice in orthodontics.^{2,3} Carbon dioxide (CO₂) lasers are well absorbed by water. Oral mucosa is more than 90% water, so CO₂ lasers would also be an excellent option for an orthodontist. Although CO₂ lasers are portable units, capable of moving from chair to chair, they are larger and more expensive than diode lasers.

Therefore the two major advantages of diode lasers are cost and portability. Diode lasers are the least expensive and most portable of all laser wavelengths. Currently, diodes vary in price from \$4000 to \$13,000, and although some orthodontists are reluctant to charge for procedures to avoid offending their general dentist colleagues and other professional referrals, the cost of a diode laser is easily justified without charging for procedures. If an orthodontist purchases an \$11,000 diode laser and finances it at 7% interest over 5 years, the monthly payment is just under \$218. The *Journal of Clinical Orthodontics* reports that the value of an orthodontic appointment is between \$200 and \$400 (calculated by dividing the total fee collected by the number of appointments).⁴ Thus, if an orthodontist is able to save even one appointment per month, the cost of a laser is easily justified.

In reality, orthodontists who have adopted laser use save appointments several times a day. By charging for some or all procedures, the return on investment can occur more quickly. The orthodontist establishes a fee based on a combination of the time necessary for a given procedure and the investment cost of the equipment and training. Depending on the personal business philosophy, the patient can be billed for the procedures, or the fees can be submitted to the patient's dental or medical insurance. When submitting for reimbursement for any laser procedure, it is not necessary to specify that a laser was used. For example, a laser gingivectomy would be submitted using the usual American Dental Association (ADA) code for a gingivectomy (D4210 or D4211), depending on the number of teeth involved). When submitting for a lingual frenotomy (releasing a tongue-tie), it is often more effective to submit to the medical insurance policy for reimbursement. For this purpose, use a “Medical Insurance Claim Form” number 1500 (blank forms can be downloaded from the Internet or purchased from software or office supply companies). When submitting for medical insurance, both a diagnosis code and a procedure code must be specified. In general, providing two or three diagnosis codes and a single procedure code is optimal for each procedure (Table 13-1).

Although studies have detailed the use of CO₂,^{5,6} diode,⁷ and argon⁸ lasers in orthodontics, this chapter focuses on

Table 13-1

Common Codes for Submission to Medical Insurance

Procedure	ICD DX Code	Procedure Code	Data
GINGIVECTOMY			
Chronic gingivitis	523.1	41820	
FRENOTOMY			
Tongue-tie	750	41115	Lingual frenum
Anomalies of accessory muscles	756.82	40819	Other frenum
OPERCULECTOMY			
Disturbance of tooth eruption	520.60	41821	
Chronic gingivitis, plaque induced	523.10	41820	
Chronic gingivitis, non-plaque induced	523.11	41820	

diode lasers in orthodontic treatment. No “ideal” laser exists for every orthodontic practice; all soft tissue lasers will perform the procedures quickly and easily. The selection of the proper laser is based on each practitioner’s individual preference. The reader is strongly urged to take multiple courses. Make certain that the instructors have experience performing procedures with multiple wavelengths. Find mentors who have experience with multiple wavelengths (see Chapter 16).

PROCEDURAL STEPS

When using a soft tissue laser, well-trained staff can perform most duties related to setup, patient explanation, and cleanup. Recognizing that proper preparation requires an accurate diagnosis and treatment plan (to be discussed shortly), efficient delivery of these services involves the orthodontic staff preparing the patient and laser as well as providing postoperative instruction.

PREPARE THE PATIENT

Explain to the parents and patient that most procedures can be performed by using only topical anesthetic and that most patients have no pain during or after the procedures. Confirm that the patient has no allergies to the anesthetic and no clotting abnormalities (e.g., hemophilia) or other medical contraindications to treatment. Discuss the pros and cons of alternative treatments.

Let them know that results cannot be guaranteed, and the patient may experience relapse. Although infection is unlikely, saltwater rinses are recommended for the first few days postoperatively. Vitamin E oil can also aid healing and keep the treated area moist.

Once informed consent has been obtained, isolate and dry the field to assist with anesthesia and provide a better view. Apply topical anesthetic liberally to the entire treatment

area and wait for onset of anesthesia. Depending on the amount of tissue to be removed, the vast majority of laser procedures in an orthodontic office may be performed with only topical anesthesia. An effective topical anesthetic for soft tissue procedures is TAC 20, a thin gel containing 20% lidocaine, 4% tetracaine, and 2% phenylephrine with a shelf life of 3 months.

Topical anesthesia may be ineffective in areas where isolation is difficult, as when removing opercula from distal aspects of second molars or performing a lingual frenotomy. In these situations, first apply a topical anesthetic to prepare the site, then follow with an injection anesthetic to provide profound anesthesia. Gently probe the soft tissue through the topical medication to confirm anesthesia. The patient may feel pressure but should not feel anything sharp. The time required to achieve profound anesthesia varies with the tissue thickness and type of anesthetic. In general, start checking about 3 minutes after placement of topical anesthetic.

PREPARE THE LASER

While waiting for anesthesia, place the laser unit next to the patient. All units have a key-lock switch that must be turned to the “on” position and a “ready/standby” switch that is left on standby until the clinician is ready to begin the procedure. Prudent laser technique demands that laser surgeons use the minimal amount of power necessary to accomplish a given procedure. Depending on the tissue thickness, for most tissue removal procedures, 1 to 1.4 W is a sufficient power setting; a higher power is rarely necessary. Higher settings may result in excessive energy absorption by the tissue, leading to thermal damage, tissue necrosis, sloughing, and postoperative discomfort.

Most diode lasers have two temporal emission modes: continuous wave (CW) and pulsed or “chopped” wave. Using a pulsed wave reduces the heat to surrounding tissues,⁹ but if the procedure can be carried out without charring, CW mode

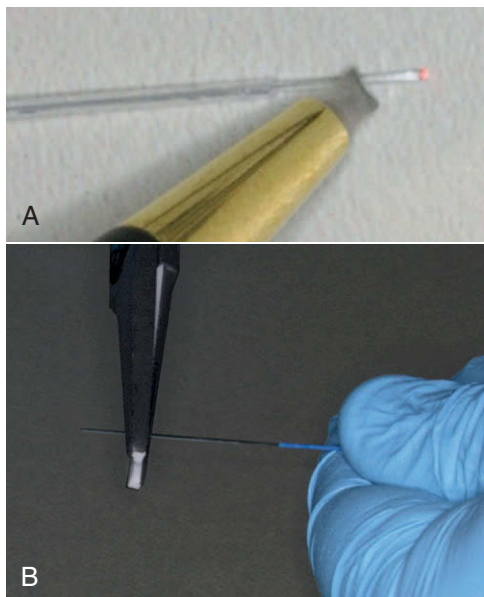


FIGURE 13-1 • **A**, Cleaving with scoring tool (after scoring, break off remainder). **B**, Cleaving with special scissors. Note that it is critical to keep the scissors perpendicular to the fiber. Also, consider the length of fiber to be discarded.

is more effective. Newer diode lasers have “superpulsed” modes, in which the laser can emit a very short pulse of high power for a very short period. These superpulsed lasers cut with better efficiency and less charring than conventional CW or gated-pulse models (see Chapter 2).

It is standard protocol to cleave (cut) the fiberoptic cable at the end of each procedure to ensure the laser is ready for the next procedure (Figure 13-1). The fiberoptic cables are made of quartz/glass. After repeated use, the quartz/glass fiber tip can become scratched and will not emit laser energy or ablate soft tissue as efficiently. Cleaving removes the scratched part of the fiberoptic cable, exposing a fresh, highly polished cable surface capable of transmitting laser energy to the tissue efficiently.

If the end of the fiberoptic cable has not yet been cleaved, first make sure that sufficient cable (1-2 inches [2.5-5 cm]) extends out of the protective plastic jacket. If not, remove the protective jacket as suggested by the manufacturer (Figure 13-2). Next, cleave a few millimeters of the fiberoptic cable. If cleaving involves scoring, hold the cable flat against a hard surface and score it with the glass-cutting device supplied by the manufacturer, then break the end off at the score line. A well-scored fiber should break off cleanly. When glass cutting scissors are provided for cleaving, make sure the scissors are perpendicular to the fiber when cleaving. In either case, confirm that the cleave left no sharp edges by shining the cable against a flat surface, and confirm that the aiming beam describes a circular pattern without a “comet tail” or oval appearance (Figure 13-3). If the beam is irregular, recleave to obtain a clean cut. Using a laser without a sharp cleave can

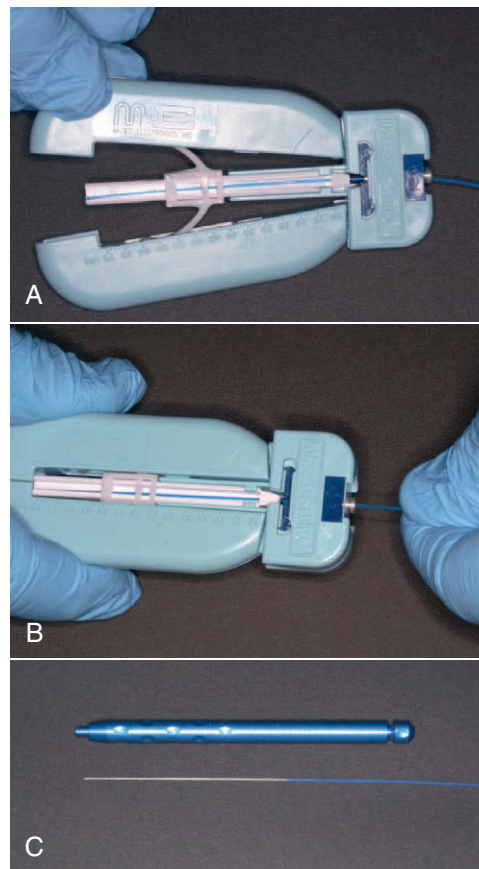


FIGURE 13-2 • **A**, Insert the protected fiber to the end of the jacket removal tool. **B**, Close the jacket removal tool, and firmly grip fiber with the other hand without bending or crimping. **C**, Pulling firmly, remove the protective jacket, leaving uncovered fiberoptic tubing ready to be inserted into the handpiece.

result in bleeding as the tissues are “cut” by the irregular glass of the fiberoptic cable.

Place the fiberoptic cable into the handpiece, then slip it through the appropriate guide, leaving 3 to 4 mm beyond the guide. Most diode lasers use a plastic fiber guide (Figure 13-4). With a plastic guide, it is necessary to “straighten” the guide as much as possible when inserting the fiber. Attempting to insert the fiber without straightening the guide can result in binding and fracture of the fiber inside the plastic guide, thereby wasting fiber. After feeding the fiberoptic through the guide, confirm fiber integrity by shining the aiming beam against a smooth surface. If it is not visible, check if you can see the aiming beam within the plastic guide, which would indicate a broken fiber. In this case, carefully refeed the fiber through the guide without breakage.

Some lasers use a metal tube as a guide; keep the metal tube straight while feeding through the fiberoptic tube. Once the fiberoptic cable extends 3 to 4 mm beyond the end of the metal tube, carefully bend the metal tube to the desired angle, taking care not to kink or “overbend” the tube, which could

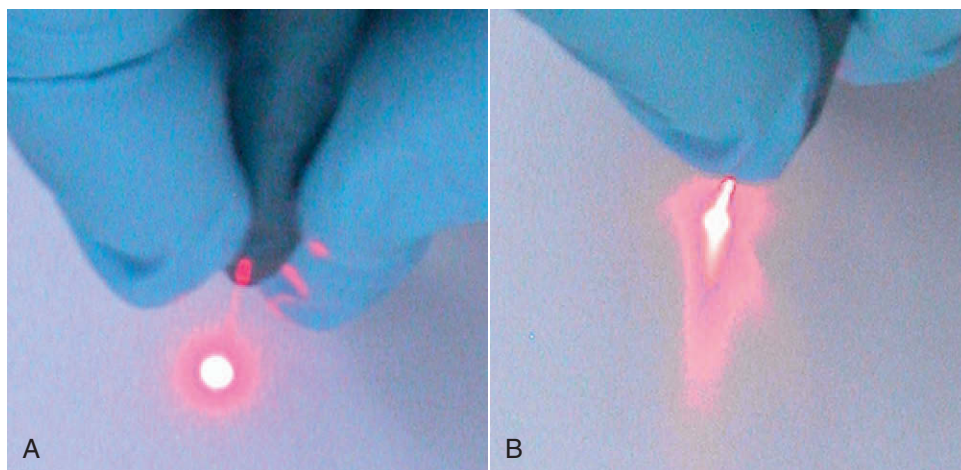


FIGURE 13-3 • **A**, Appearance of aiming beam from a well-cleaved fiber. **B**, Comet-tail appearance of unevenly cleaved fiber.

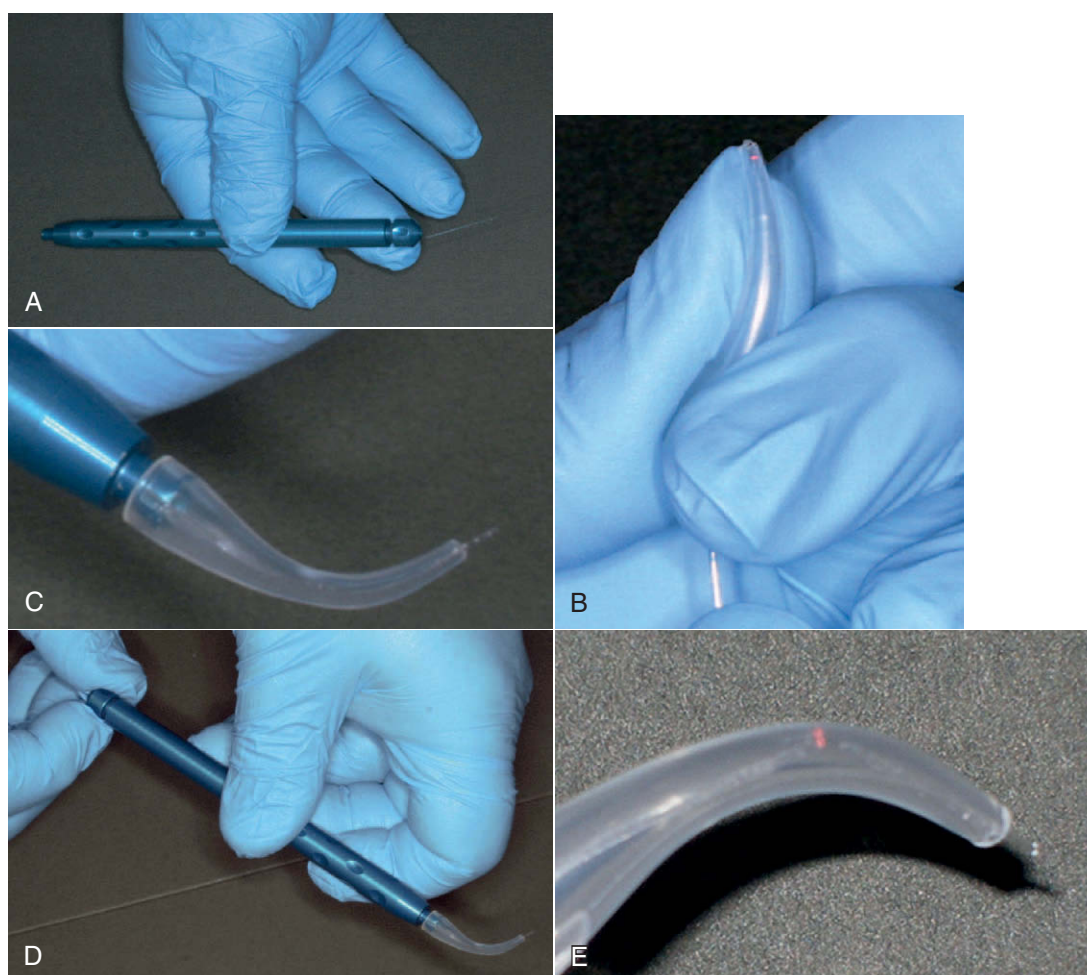


FIGURE 13-4 • **A**, Feed the fiberoptic tubing through the handpiece. **B**, Straighten the plastic guide when feeding through the fiberoptic cable. **C**, Leave 3 to 4 mm through the end of the guide. **D**, Tighten the end of the handpiece. **E**, Take care not to fracture fiberoptic tubing within the guide.

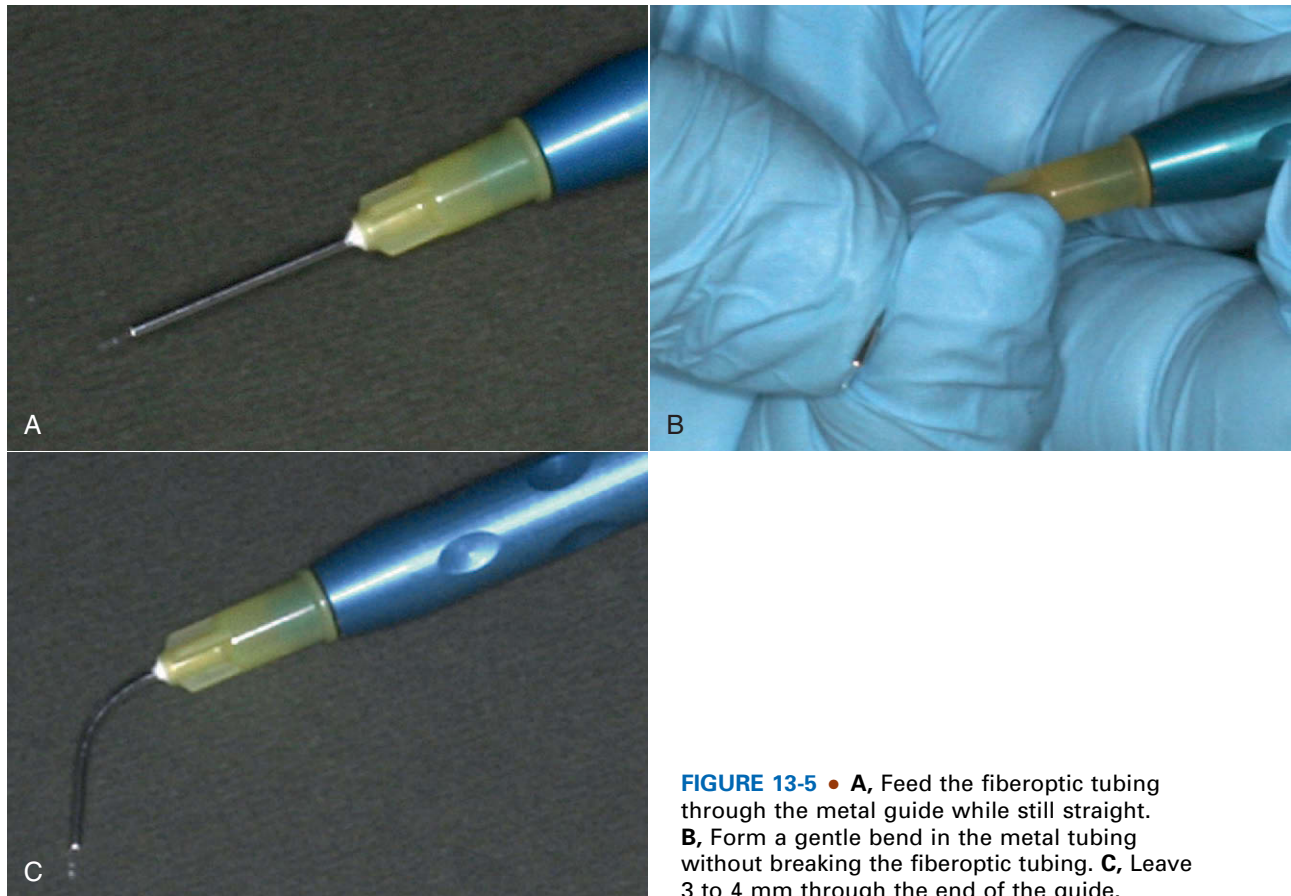


FIGURE 13-5 • **A**, Feed the fiberoptic tubing through the metal guide while still straight. **B**, Form a gentle bend in the metal tubing without breaking the fiberoptic tubing. **C**, Leave 3 to 4 mm through the end of the guide.

fracture the fiber (Figure 13-5). Testing the integrity of the fiberoptic cable is critical; a fracture within a metal tube would result in the laser energy being converted to heat and the metal tube burning the patient's tissues or lips.

Some laser practitioners prepare the tip of the fiberoptic cable by "activating" the laser against dark articulating film, resulting in a circular hole in the film. This carbonizes the tip of the fiberoptic cable, decreasing the depth of penetration of the laser beam and preventing deep thermal damage to the underlying tissue. All soft tissue lasers have a foot pedal option to activate the unit, allowing a quick "on" or "off" without hand movement or refocusing when the clinician is ready to ablate tissue.

Soft tissue lasers can be hazardous to eyes. Federal regulations mandate that all personnel in the treatment area wear safety glasses that protect against the specific wavelength used, which must be listed on the frame or the lens itself.⁹ All laser units provide three sets of safety glasses: for the patient, assistant, and operator. Clip-on lenses are available for clinicians who use loupes (Figure 13-6).

With patient and laser prepared and anesthesia profound, the clinician turns the laser from standby to on, positions the

fiberoptic cable properly, then gently touches the treatment site while activating the foot pedal, using a slow, brushing motion to ablate the tissues to be removed. Hand speed is critically important to the success of the procedure (see Chapter 2). The assistant holds high-speed suction as close to the tissue as possible to collect the laser "plume," reduce any odors that may arise, and cool the tissue. The assistant also holds a moist 2 × 2-inch cotton gauze to wipe ablated tissue from the fiberoptic tip. Once complete, a moist cotton pledget may be used to clean the area; hydrogen peroxide works well. At this point, the tooth is ready for bonding if so desired.

The operator must take precautions against exposure to laser plume. Although peer-reviewed studies of laser plume during dental procedures are lacking, many medical plume studies document the presence of viral DNA particles and potentially carcinogenic chemicals in the plume. All personnel in the treatment area must wear a mask capable of filtering out laser plume: 0.1-micron filtration masks available in tie-on or earloop style, or tuberculosis masks, (which filter out even smaller particles, but are less comfortable) are available from most dental supply companies.



FIGURE 13-6 • **A**, Protective glasses designed for 810-nm lasers. **B**, Loupes with protective lens partially attached and **C**, completely attached.

PROVIDE POSTOPERATIVE INSTRUCTIONS

Although varying slightly with each procedure and patient, postoperative patient instructions include keeping the area clean, brushing softly with a soft-bristle toothbrush, using warm-saltwater rinses three or four times daily for several days, rubbing vitamin E gel over the healing area, and taking over-the-counter analgesics as needed. Explain that the patient may have mild bleeding, which is normal and will resolve in a few days, but that excessive pain or bleeding is unusual.

TYPES OF ORTHODONTIC PROCEDURES

Many procedures can be performed with a soft tissue laser, but the vast majority that orthodontists might perform fall into two categories: access gingivectomies¹ and esthetic procedures. *Access gingivectomies* involve gaining or improving access to a tooth or allowing earlier or more ideal bracket or band position, thus improving the quality of the finished result as well as reducing treatment time. *Esthetic procedures* involve improving gingival esthetics, primarily of the anterior teeth, which can result in a marked improvement in the appearance of the completed treatment. Understanding the importance of keratinized tissue and the concept of biologic

width is critical before performing any laser procedure. Consideration of gingival procedures to improve anterior gingival esthetics creates a host of additional diagnostic considerations.

GINGIVECTOMIES: BIOLOGIC WIDTH ZONE

In a series of gingivectomies, Garguilo et al.¹⁰ found that regardless of the amount of tissue removed, tissue regrowth resulted in an average connective tissue attachment (CTA) of 1.07 mm, a junctional epithelium (JE) of 0.97 mm, and a sulcus depth of 0.69 mm. They suggested that there must be a distance of approximately 2.5 mm from the height of the crestal bone to the gingival margin. Cohen¹¹ coined the phrase “biologic width” as the combined JE and CTA ($0.97 + 1.07 = 2.04$ mm).¹² Kois¹³ extended this definition, adding all three ($0.97 + 1.07 + 0.69 = 2.73$ mm), rounded it to 3.0 mm, and termed the result the “biologic zone.” Kois suggests that the biologic zone of 3.0 mm or more is necessary for a healthy dentogingival complex.

Restorative dentists found that placing margins within this area would result in chronic inflammation of the tissues. Rather than violate the biologic zone, the preferred solution would be to lay a flap and remove the required amount of bone to restore 2.5 to 3.0 mm between the crestal bone and the gingival margins. For the orthodontist planning a gingival procedure, these concepts are crucial; violation of the biologic zone will likely result in relapse.

“Sounding” the bone, through deep probing under anesthesia, is the most accurate method of determining the biologic zone. For most non-esthetic gingival procedures in an orthodontic office, measuring pocket depth effectively estimates the amount of tissue that can be removed without violating the biologic zone. In most cases, periodontal pockets will revert to 0.5 to 1.0 mm after gingival reduction. If a proposed gingival procedure will leave a pocket of less than 1.0 mm, laser gingivectomy is not recommended, and referral for osseous crown lengthening is the preferred course of treatment (Figure 13-7).

Keratinized tissue is the immovable, fibrous, coral pink tissue surrounding the neck of each tooth. It extends from the free gingival margin to the mucogingival junction. Keratinized tissue is resistant to recession from toothbrushing or eating. Unattached gingiva is the movable, darker pink tissue also known as *alveolar mucosa* and is not strong enough to resist recession from normal trauma. When planning a gingivectomy, care must be taken to maintain at least 2 mm of attached gingiva, with 3 mm preferred. If the planned procedure violates this minimum, a laser procedure may be inappropriate, and the treatment plan should be altered. A referral for an apically positioned flap may be more appropriate. Although

special dyes can be used to demark the mucogingival junction, it can easily be observed by moving the lips and observing which mucosa moves.

ACCESS GINGIVECTOMIES

Access to Partially Erupted Teeth

For patients in whom a tooth is slow to emerge or a bracket is difficult to position, removing excess tissue not only enhances esthetics but also speeds treatment and reduces appointments. Figure 13-8 shows a partially erupted maxillary cuspid. In a case such as this, the options for the orthodontist would be as follows:

1. Wait for the tooth to erupt completely before bonding (6-12 months).
2. Bond a button to the exposed crown, erupt slightly, bond another button, and repeat, until enough crown is available to bond a bracket.
3. Use the laser to expose the tooth and bond immediately.

A gingival procedure allows access for a near-ideal positioning of a bracket, allowing the operator to bring the cuspid into the arch and rotate it, saving months of treatment (Figure 13-8, B-D).

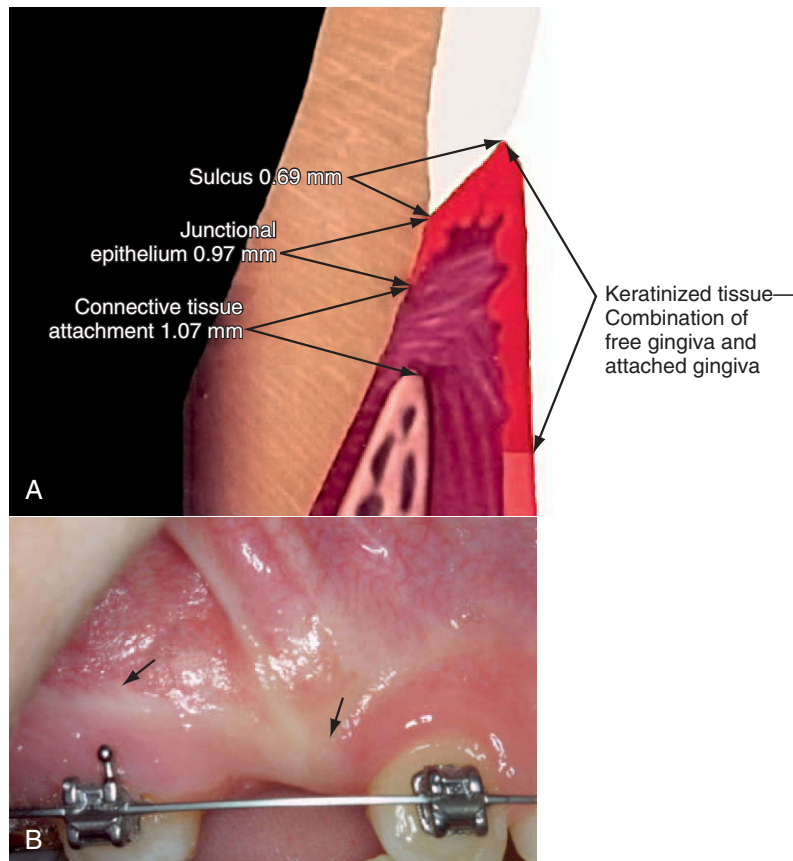


FIGURE 13-7 • **A**, Biologic width and area of keratinized tissue. **B**, Mucogingival junction (arrows). Note that although there is ample keratinized tissue on the upper-right first bicuspid, there is very little on the upper-right cuspid.

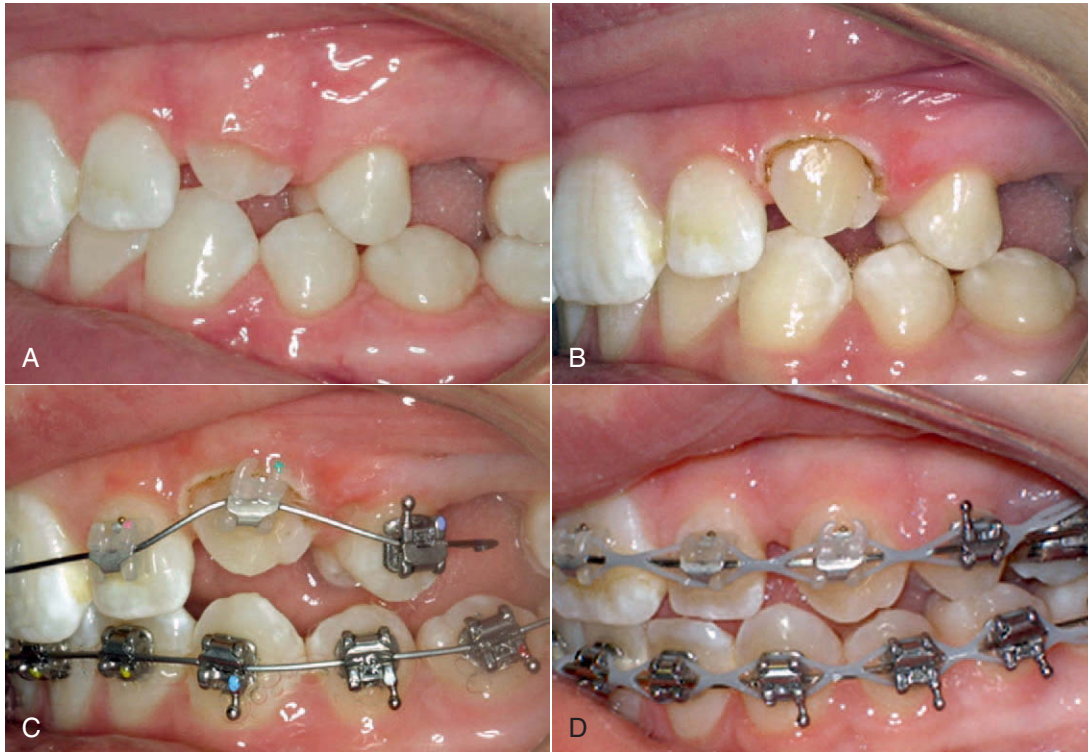


FIGURE 13-8 • Gingivectomy to allow more ideal bracket placement and speed treatment. **A**, Immediately before procedure. **B**, Immediately after exposure. **C**, Bracket bonded in near-ideal position, ready for nickel-titanium wire. **D**, Six weeks later.

Access to Unerupted Teeth

In other patients a tooth is visible just below the gingival surface but could take many months to emerge into the mouth (Figure 13-9, *A*). Often the patient is reluctant to visit the periodontist or primary care dentist for exposure, and orthodontic treatment is “on hold.” When sufficient keratinized tissue is present, laser exposure and immediate bracket placement is possible, thereby saving the patient months of waiting. In addition, the laser provides a clean, nonbleeding border, which enhances the ability to bond. The gingival window approached the 2-mm keratinized tissue limit, but bonding a bracket immediately after exposure allowed the tooth to be moved immediately (Figure 13-9, *B* and *C*). The maxillary central incisor was rebonded 6 weeks later to improve bracket position, then erupted to an ideal position. The initial window was the only exposure done. All additional tooth exposure occurred as a result of eruption of the tooth (Figure 13-9, *D*). By exposing in this way, the patient was spared months of treatment time and attended school with an attractive smile and improved self-esteem.

Access for Ideal Bracket Placement

A common approach to position brackets properly involves placing brackets in the *center* of the clinical crown. To do so

accurately, the clinician must be able to visualize the clinical crown, which can be difficult when gingiva obscures tooth anatomy. A simple gingivectomy exposes the entire clinical crown, allows more accurate bracket placement and may help avoid “round tripping,” and speeds completion of treatment. The time savings associated with accurate bracket placement can be significant. The patient in Figure 13-10 not only was spared months in treatment, but also can better avoid severe hypertrophy during orthodontic treatment by removing the redundant tissue and reducing pocket depth.

Access Resulting from Poor Oral Hygiene

Occasionally, a patient has difficulty with oral hygiene and develops inflammatory gingival hyperplasia. Even after improved oral hygiene, the hypertrophy may not completely resolve. Figure 13-11, p. 234, shows readiness to place full braces, but the gingival hypertrophy, partially erupted upper-left cuspid, and unerupted upper-right cuspid prevented ideal placement of brackets. A full-mouth gingivectomy was performed to remove excess tissue and expose the cuspids, not only allowing brackets to be placed properly, but also allowing the patient to maintain an improved level of hygiene during treatment.

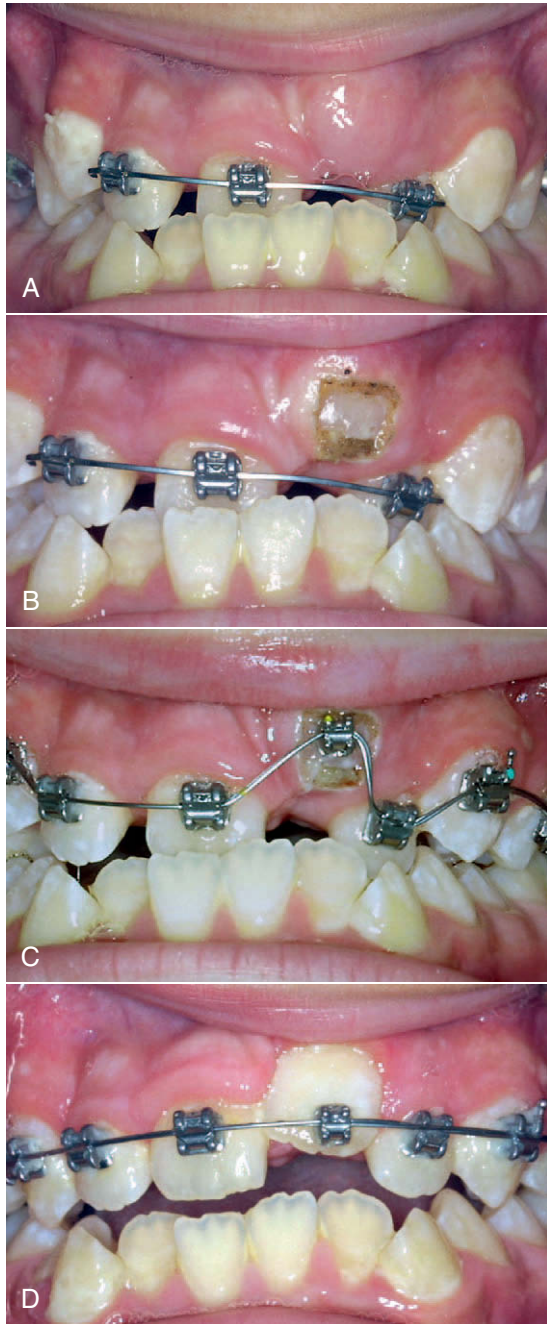


FIGURE 13-9 • **A**, Upper central incisors and lateral incisors not fully erupted. **B**, Simple gingivectomy exposes clinical crowns to aid ideal bracket placement. **C**, Brackets easily bonded, ideally at same appointment in clean field. **D**, Three weeks later, healing is complete and teeth have already aligned.

Access Resulting from Overlying Operculum

In many patients, treatment cannot progress without banding second molars, but placement of appliances is hindered and treatment delayed by an overlying operculum (Figure 13-12).

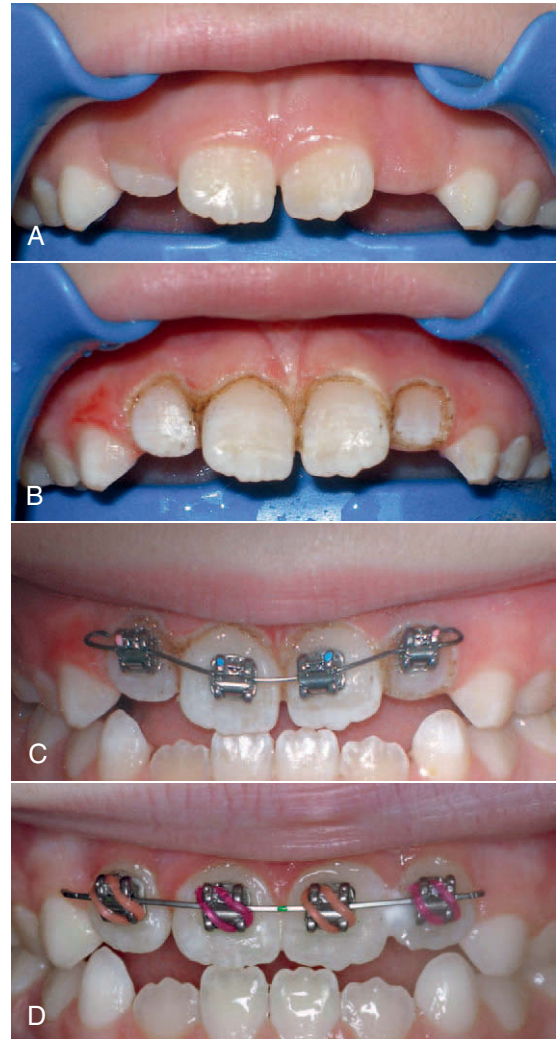


FIGURE 13-10 • **A**, Ready to bond and align upper incisors. **B**, Laser gingivectomy and exposure to allow bonding of brackets. **C**, Brackets bonded same appointment on dry field. **D**, Four weeks later, alignment already begun.

A soft tissue laser can be used to remove this excess tissue. The hemostatic effect allows immediate banding or bonding, more effectively using treatment time. *Operculectomy* is one of the few procedures for which topical anesthetic may be insufficient and injection of local anesthetic required.

Clinical Tip: Performing gingival procedures relating to “smile design” or anterior esthetics involves additional critical diagnostic considerations besides biologic zone, pocket depth, and level of keratinized tissue. “Smile design” is a concept of developing a harmonious and esthetic relationship between the anterior teeth, gingiva, and lips. A working knowledge of how these factors interrelate with bone levels and tooth structures is critical to treatment planning soft tissue procedures.

**Clinical
TIP**

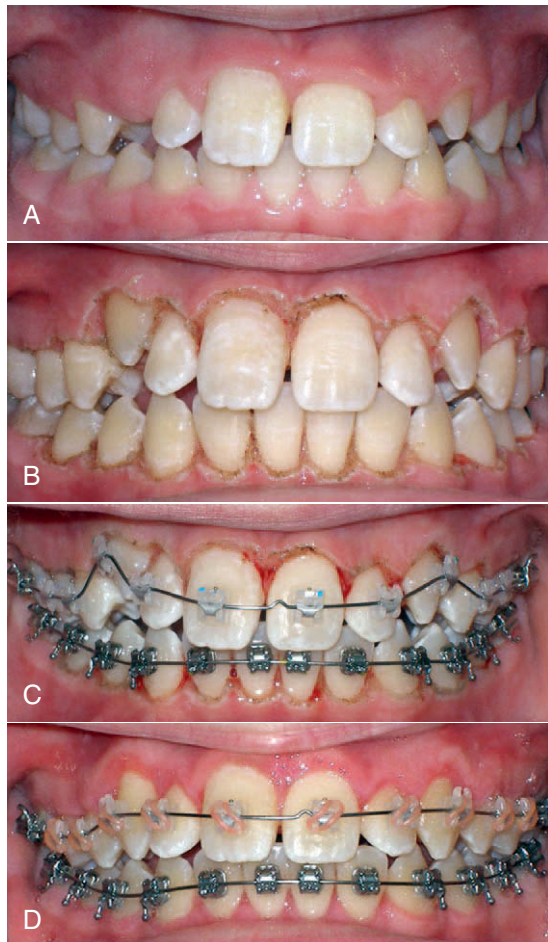


FIGURE 13-11 • **A**, Patient ready for phase II treatment with hypertrophy and unexposed cuspids. **B**, Immediately after gingivectomy and exposure. **C**, Teeth bonded same day. **D**, Six weeks later.

GINGIVAL SHAPE AND CONTOUR

Two important concepts of gingival architecture are gingival shape and gingival contour.⁹ *Gingival shape* describes the gingival margin when looking at a tooth “face on” (Figure 13-13). Several factors contribute to ideal gingival shape.¹⁴⁻¹⁶ First, the gingival margins of the two central incisors should be at the same level and should form a smooth, sweeping curve; the apex of the curve should follow the contours of the cemento-enamel junction (CEJ), so the height of contour should be mildly distally inclined. Second, the gingival margins of the canines should be at the same level as the central incisors. Third, the gingival margins of the lateral incisors should be 1.0 to 1.5 mm shorter than both the canines and the central incisors. Thus, when viewed from central incisor to cuspid, the gingival margin heights are “high-low-high.”

Gingival contour relates to the architecture of the gingiva parallel to the tooth surface. Healthy gingiva is relatively flat with a small, even curve just as the gingiva meets the tooth (Figure 13-14, **A**). Hyperplastic gingivae are bulky and distended with a ledge at the gingival margin (Figure 13-14, **B**). If gingival shape is corrected without addressing contour, the excess bulk at the gingival margin can lead to relapse.¹⁴

TOOTH PROPORTIONS

Average width of central incisors ranges from 8.4 to 9.3 mm, and average length, 10.4 to 13.0 mm.¹²⁻¹⁶ The position of the gingival margins determines the proportion between the width and length of anterior teeth. The ideal ratio of width to length is 75% to 80%,^{13,18-22} with greater than 80% resulting in too square a tooth and less than 75% resulting in too long an appearance.¹⁷ Using these ratios with known dimensions of

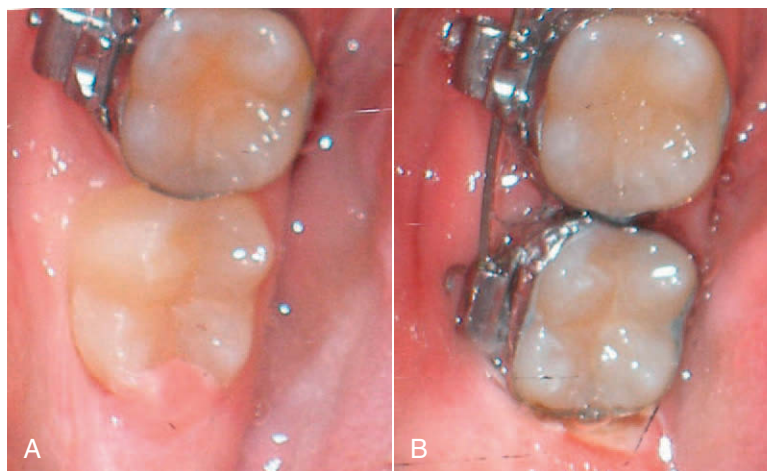


FIGURE 13-12 • **A**, Operculum partially covering distal of lower second molar. **B**, Operculum removed and band placed on lower second molar at same appointment, allowing immediate movement.

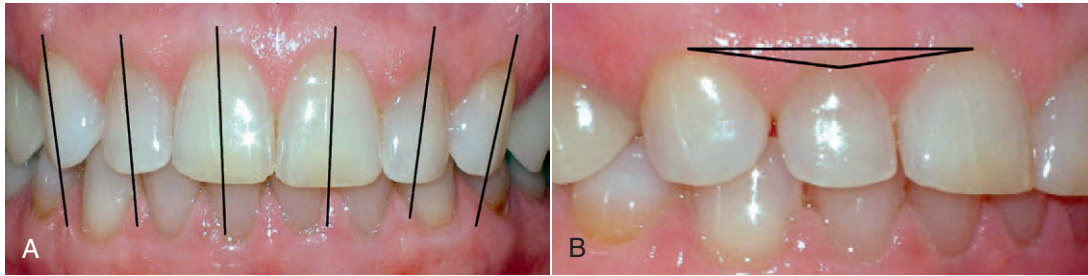


FIGURE 13-13 • **A**, Gingival margins define smooth curves, with the height of the gingival contour mildly distal. **B**, Gingival margins of central incisors and canines are at the same level, with the gingival margin of the lateral incisor 1.0 to 1.5 mm incisal (high-low-high from central to cuspid).



FIGURE 13-14 • **A**, Healthy gingiva and gingival margins, flat with a small, free-gingival groove just apical to the gingival margin. **B**, Hyperplastic gingiva, bulky at gingival margin.



FIGURE 13-15 • **A**, Left central incisor has excess gingival tissue. **B**, Excess tissue removed to match other side.

the tooth in question can help determine a more ideal treatment plan. These concepts are demonstrated in the following clinical cases.

TREATMENT PLANNING FOR ANTERIOR ESTHETICS

STRAIGHTFORWARD SITUATIONS

Sometimes after completion of orthodontic treatment, the teeth are aligned, but gingival contours do not conform to

acceptable norms. In these patients, gingival recontouring can greatly enhance their smile.

Figure 13-15 shows that the proportion of the maxillary right central incisor is esthetic and harmonious relative to all other anterior teeth, except the upper-left central. Keratinized tissue and sulcus depth are sufficient for the upper-left central to be brought to the same level as the right. Removing excess tissue on the left will harmonize the central incisor contours.

Figure 13-16 shows a similar discrepancy between the maxillary central incisor gingival margins, but in a patient ready to begin orthodontic treatment. The other teeth are

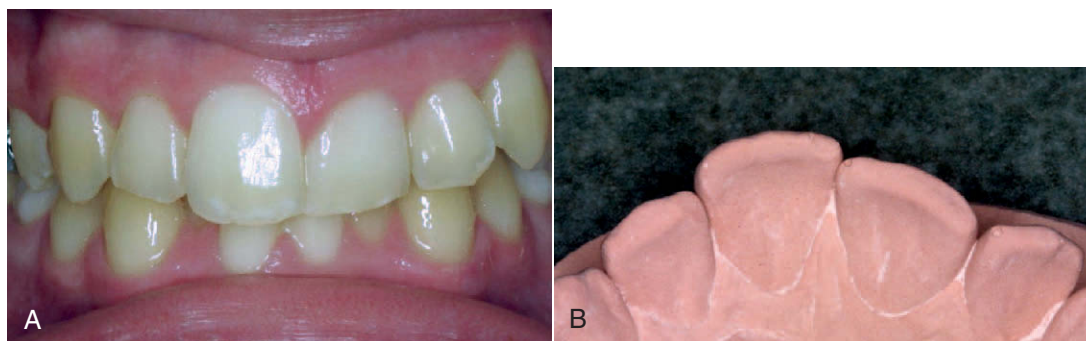


FIGURE 13-16 • A, Central incisor gingival heights do not match. B, Excessive wear on left central incisor suggests hypereruption.

Table 13-2

Tooth Length, Pocket Depth, Keratinized Tissue, and Calculated Tooth Length Goal* (mm) for Patient in Figure 13-17

	UR3	UR2	UR1	UL1	UL2	UL3
Length	9.0	8.0	9.5	9.5	9.0	8.5
Pocket depth	2.0	2.5	2.5	2.5	1.5	2.5
Keratinized tissue	3.0	5.5	5.0	5.0	5.0	4.5
Goal	10.0	9.5	11.0	11.0	9.5	10.0

*Bottom line is calculated goal length based on diagnosis.
UR, Upper right; UL, upper left.

not in a harmonious relationship, and when probed, the central incisor CEJs are at different levels. Note the significant wear on the maxillary left central (Figure 13-16, B), indicating that this tooth has hypererupted and worn until reaching its current position. A soft tissue procedure is contraindicated for this patient. To improve gingival contours, one option would be to align the central incisors at their current height and refer for crown lengthening with osseous recontouring after treatment. This choice, however, would compromise the emergence profile and would still require restoration. An alternative would be to intrude the upper-left central incisor to match the gingival level on the right, then have the tooth restored to a proper length. Diagnosing this at the beginning of treatment allows brackets to be placed appropriately.

COMPLICATED SITUATIONS

In some situations, none of the anterior teeth exhibit ideal contours. Treatment planning involves evaluating the proportions of each tooth to determine ideal goals and planning the entire procedure to ensure not only achievable goals but also sufficient time scheduled to complete the procedure. First, measure the width of the central incisors. In Figure 13-17, for



FIGURE 13-17 • Improper gingival heights and contours. See Table 13-2.

a patient recently debanded, the width of the central incisors is just over 9 mm (slightly wider than average). The estimated ideal width of a central is usually 80% of the length, so divide 9 by 0.8 for an estimated ideal length for the central of 11.25 mm. This becomes the initial goal for an ideal central incisor length.

Next, measure the length, amount of keratinized tissue available, and depth of sulcus at the proposed gingival crest (Table 13-2). These data allow calculation of the limits that

Table 13-3

Length of Teeth (mm) Immediately before and after Esthetic Recontouring and 2 Weeks Later for Patient In Figure 13-18

	UR3	UR2	UR1	UL1	UL2	UL3
Length before	9.0	8.0	9.5	9.5	9.0	8.5
Immediately after	11.0	10.0	11.5	11.5	10.0	11.0
2 weeks later	10.0	9.5	11.0	11.0	9.5	10.0

can be achieved with a laser in a particular patient. For example, most patients will finish with approximately 1 mm of pocket depth, so by reducing the initial pocket depth by 1, one can calculate the available length for each tooth, then combine this with the current length of each tooth to calculate the actual goal for an individual patient.

In Figure 13-17 the goal for the central incisors is 11.0 mm; for the cuspids, 10.0 mm; and for the lateral incisors, 9.5 mm. These are the maximum lengths achievable for this patient without an osseous crown-lengthening procedure, which is likely beyond the scope of many orthodontic practices. The 11.0-mm central incisor length is short of the calculated ideal (12.375) by more than a millimeter. In addition, the potential lengths of central incisors and cuspids are not equal, and the differential between adjacent teeth is not ideal, so decisions must be made. Do we finish with the central incisors a millimeter longer than the cuspids, or do we alter the central incisor goal to match the cuspids? Because the potential lateral incisor goal is only 9.5 mm, will the discrepancy of 1.5 mm from lateral incisor to central incisor be too much? Should we have intruded the cuspids and central incisors during treatment to allow longer central incisors and cuspids? We discussed these limitations with the patient and parent, along with the option for referral for osseous crown lengthening. The decision was made to maximize the lengths of each tooth, then reevaluate for possible referral.

As shown in Table 13-3, the tooth lengths immediately after the procedure are longer than those 2 weeks later (Figure 13-18). This is an expected result; gingivae usually regrow to a level that maintains a 1-mm pocket depth. Immediately after lasing, tissues may appear rough and sore, but surprisingly with little tenderness. Within 2 weeks, healing is almost complete. The patient's smile was greatly improved, even with a compromised treatment plan, and both patient and parents were happy with the results.

TECHNIQUE TIPS

In general, it is best to address one side of the arch first, idealizing shapes (e.g., height of contours, smooth sweeping curves, mild distal tilt) before proceeding to the opposite side. When first using a laser, idealize the shape with the laser oriented



FIGURE 13-18 • Esthetic gingival recontouring. **A**, Immediate preoperative view. **B**, Immediate postoperative view. **C**, Two-week postoperative view.

perpendicular to the facial surface of the tooth (Figure 13-19). After the shapes are ideal, bevel the tissues at an oblique angle, usually about 45 degrees, to reduce the bulk and address contour. Once this side is idealized, it becomes the template for completing the other side.

Once you become more comfortable with your laser and the diagnostic process, you can bevel and idealize shape at the



FIGURE 13-19 • **A**, Laser tip perpendicular to facial surface of tooth. **B**, Laser tip at oblique angle (~45 degrees) to facial surface of tooth. **C**, Laser tip tipped through interproximal to bevel without removing papilla.

same time. This will save time in two ways: (1) the entire procedure will be completed in one pass, and (2) you will not be beveling tissue that has already been lasered and is now desiccated. Desiccated tissues are difficult to lase easily and are more prone to thermal damage and charring. For efficiency, it is particularly important to learn to bevel the interproximal areas on the first pass (Figure 13-19, C). It is also critical to keep the laser tip perpendicular to the lateral border of the tooth on the interproximal area to avoid removing the papilla and causing a “black triangular space.”

A 15-mm periodontal probe with marks every 1 mm is useful in treatment planning, to measure width and length of the teeth, level of keratinized tissue, and depth of sulci. In addition, once the goal for length of each tooth is determined and gingiva anesthetized, the periodontal probe can be used to mark the goal, by causing a temporary depression in the surface of the tissues at the desired level (Figure 13-20).

OTHER ORTHODONTIC PROCEDURES

In addition to access gingivectomies and esthetic procedures, the soft tissue laser can be used to perform other valuable orthodontic services for patients.

LABIAL FRENUM REMOVAL

When a labial frenum extends near the free gingival margin, relieving this tension can be advantageous to the patient. Isolate, dry, and anesthetize the frenum, as with any gingivectomy procedure. When ready, keep tension on the lip while brushing the frenum with the laser tip. Patients report no soreness with this technique, and tissues heal in about 1 week (Figure 13-21).

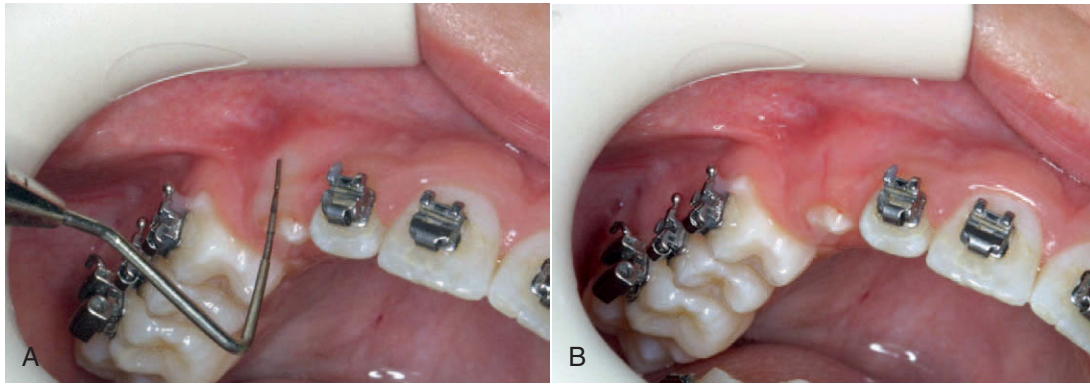


FIGURE 13-20 • **A**, Periodontal probe embedded at level of desired margin. **B**, Depressed gingiva provides visual guide to goal.

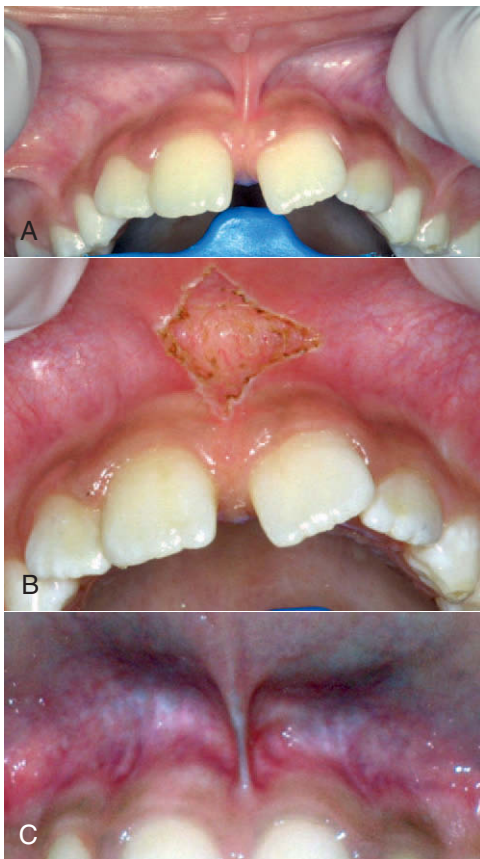


FIGURE 13-21 • **A**, Labial frenum extending near diastema. **B**, Immediately after laser-assisted frenectomy (surgical time, 35 seconds). **C**, Six months later, reattachment at a higher level.

LINGUAL FRENUM REMOVAL

Usually an excessive lingual frenum will be addressed in infancy. When this has not been done, however, relieving the

excess attachment will not only aid in speech, but also allow a more normal swallowing pattern and may improve facial growth. As with a labial frenum procedure, isolate, dry, and anesthetize. Keep tension on the tongue while brushing the frenum with the laser tip. Patients report no soreness with this technique, and tissues heal in approximately 1 week (Figure 13-22). (See also Chapter 12.)

APHTHOUS ULCER PAIN RELIEF

Aphthous ulcers can be extremely painful, and laser therapy can relieve pain and speed healing (Figure 13-23). Using a laser at 0.6 W with an *uninitiated tip* and *without touching the tissue* (laser kept 1-2 mm from tissue^{23,24}), exposure of the lesion for 60 seconds will result in immediate pain relief and accelerated healing (see also Chapter 6).

CIRCUMFERENTIAL FIBEROTOMY

One way to aid postorthodontic stability for a tooth that was severely rotated at the beginning of treatment is to perform a circumferential supracrestal fiberotomy.²⁵ A scalpel is inserted into the gingival sulcus to sever the epithelial attachment and transseptal fibers without removing bone. Use of a soft tissue laser seems appropriate for this procedure. The potential problem with using a diode laser for a circumferential fiberotomy is the proximity to bone. The diode laser tip emits heat, which can be absorbed to a depth of 0.8 to 4.0 mm.²⁶ The fiberotomy will be performed in the sulcus down to the transeptal fibers, and thus the diode laser heat would necessarily be placed close to the bone. The concern is the transfer of heat to the bone resulting in necrosis.

For this reason, the author refers circumferential fiberotomies to the primary care dentist, or periodontist. Other laser wavelengths with much smaller extinction depths, including

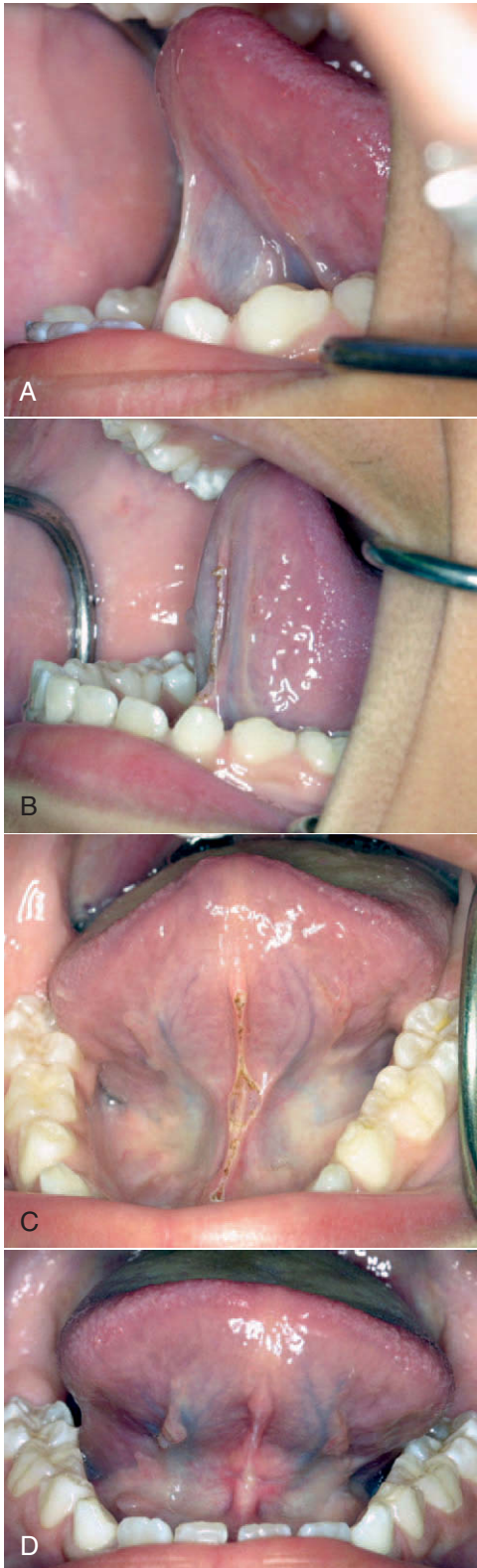


FIGURE 13-22 • **A**, Excessive lingual frenum (tongue-tie). **B** and **C**, Immediately after laser procedure. **D**, Six days later.

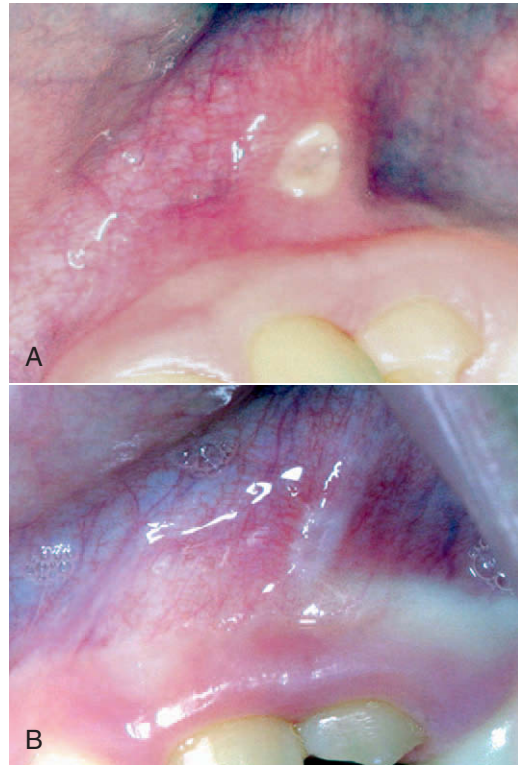


FIGURE 13-23 • **A**, Aphthous ulcer. Painful course typically occurs over 1 week to 10 days. **B**, View 4 days after laser therapy.

the erbium family and CO₂ lasers, might be better alternatives than a diode laser for these procedures.

LASER TRAINING

Training is often included in the purchase price of the laser but can take many forms. Some laser manufacturers provide a CD or DVD showing how to set up the laser and videos of certain procedures, but little or no discussion of diagnosis and treatment planning, and no in-office or hands-on training. Some manufacturers include a basic course that covers general laser information and clinical applications (often including a detailed discussion about incorporating lasers into your hygiene department). Other manufacturers include a course specifically for orthodontists that reviews the clinical aspects of gingivectomies, frenectomies, exposures, and other office procedures.

To incorporate a laser effectively and efficiently into a busy orthodontic office, it is critical to have the entire team “on board.” A properly trained team, guided by the orthodontist, can prepare the patient and parents, set up the laser, isolate the areas to be lased, and apply/confirm anesthesia. They can

assist during the procedure and provide postoperative cleanup, charting, and instructions. Staff can document the treatment with excellent pictures, file the appropriate insurance forms, and promote laser therapy to patients and parents.

An orthodontist incorporating a laser also must become familiar with the appropriate periodontal literature and conferences such as the joint American Association of Orthodontists and American Academy of Periodontology meeting, as resources for issues germane to orthodontics (see Chapters 1 and 2).

Many laser dentistry organizations worldwide offer training and continuing education in laser dentistry. The international Academy of Laser Dentistry (ALD; www.LaserDentistry.org) provides three levels of certification: standard proficiency, advanced proficiency, and educator status. The ALD also serves as a clearinghouse for course providers and provides a list of available courses for dentists, hygienists, and office staff, including dental assistants and front desk staff. It is a nonprofit organization not sponsored or associated with a specific laser company, although many certification courses provided by ALD-certified trainers are sponsored by specific laser companies. Biolase is the only laser company that sponsors the World Clinical Laser Institute (www.learnlasers.com) and provides its own certification pathway along with symposia and seminars, focusing on Biolase devices. Other worldwide organizations (but relatively weak in United States) include the World Federation of Laser Dentistry and the Society for Oral Laser Applications.

In summary, proper training is critical to efficient, effective incorporation of a laser in an orthodontic office. Both orthodontist and staff should be trained, and the clinician should consider obtaining ALD or similar certification.

CONCLUSION

Use of a soft tissue laser in an orthodontic office improves the quality of results, decreases treatment time, and reduces appointments. With diagnostic considerations properly addressed, these procedures can be completed quickly, painlessly, and infection free,²⁷ with minimal side effects to the patient and no disruption to the orthodontic office.

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Lasers in Major Oral and Maxillofacial Surgery

14

Robert A. Strauss, DDS, MD • Michael Coleman, DDS

When lasers were first introduced to mainstream oral and maxillofacial surgery (OMS) in the mid-1980s, essentially the only one available to the practitioner was the carbon dioxide (CO₂) laser, primarily because of its outstanding cutting abilities. As laser technology progressed, other medical and surgical specialties introduced different wavelengths, which have been adapted for use in the oral cavity or on the face.

Greater soft tissue needs and fewer hard tissue needs differentiate OMS from other dental specialties. In addition, the use of lasers for skin procedures further complicates the choice of appropriate wavelength, technique, and postoperative care. As with any procedure, choosing the proper laser must be based on the intended target tissue and its absorption characteristics. With cosmetic procedures, the *lateral thermal effects* of a particular wavelength are important to the surgical goal while limiting lateral damage and scarring.¹ Unlike in the oral cavity, this complication in skin is potentially devastating.

CHOOSING A SURGICAL LASER

Surprisingly, the primary laser used in major OMS and facial cosmetic surgery remains, after more than 25 years, the 10,600-nm CO₂ laser.² Its excellent water absorption, extinction length, depth of penetration, and consistency in soft tissue make it an excellent choice for most soft tissue procedures in the mouth. In addition, when used carefully and correctly, the CO₂ laser can be used for cosmetic facial resurfacing, resulting in a predictable and substantial ablation of the epithelium and dermis.

The addition of computerized scanning devices has allowed the CO₂ laser to be used in predetermined geometric and repeatable patterns, providing exceedingly uniform results. It is also used for all major extirpative procedures, large-scale ablations, incisional cosmetic surgery (e.g., blepharoplasty), and airway procedures (e.g., laser-assisted uvulopalatopharyngoplasty). One disadvantage is that the CO₂ cannot be transmitted through fiberoptics, thus requiring hollow-waveguide or articulated-arm transmission. This makes using endoscopy in OMS procedures impossible. Although other variations in possible CO₂ wavelengths (e.g., 9300 or 9600 nm)

have advantages, higher cost and lower efficiency in general render them less useful in clinical practice.

The erbium-doped yttrium-aluminum-garnet (Er:YAG) and erbium, chromium-doped yttrium-scandium-gallium-garnet (Er,Cr:YSGG) lasers are also useful in major OMS, although they have not reached the level of popularity of the CO₂ laser. Currently, most major hard tissue procedures (e.g., osteotomies) are too time-consuming when using these lasers. However, lesser osseous procedures (e.g., sinus lifts) are easily and quickly accomplished with these wavelengths. The Er:YAG has also been used for cosmetic facial resurfacing.^{3,4} Because of its reduced depth of effect in skin compared with the CO₂, postoperative erythema and disability are greatly decreased with the Er:YAG laser. Unfortunately, the resurfacing effect and results are also greatly diminished, which has resulted in fewer surgeons using erbium lasers.

Borrowed from orthopedics and urology, the holmium:YAG laser is almost exclusively used in major OMS to perform endoscopic temporomandibular joint surgery. This wavelength has the ability to transmit through a water-filled environment such as a joint, while having soft tissue effects that mimic a CO₂ laser (e.g., cutting, coagulating, ablating). This is done by perforating the joint with two hollow canulas, one for endoscopic visualization and one for the Ho:YAG laser fiber. The procedure is then done under video monitoring. The small-incision surgery leads to significantly decreased recovery time and complications.⁵

The neodymium-doped YAG (Nd:YAG) laser, currently cleared by the U.S. Food and Drug Administration (FDA) for certain periodontal procedures, has found little use in major OMS other than for some vascular lesions. Its deep extinction length and penetration depth in soft tissue create significant lateral tissue damage, desirable for vascular lesions but not for other pathology.

Solid-state lasers such as the diodes (810-1064 nm) have become very popular in general dentistry because of their small size, low cost, fiberoptic delivery, and ease of use for minor surgery of oral soft tissues.⁶ Unfortunately, for major OMS procedures, these lasers are very inefficient compared with the CO₂ laser. Although hard tissue damage when using a CO₂ laser is a justifiable safety concern, the diminished effect

of the diode lasers makes them useful only for these minor oral surgical procedures.

The use of *low-level* diode lasers for noninvasive, athermal laser therapy is popular in European countries and has been studied in the United States, but generally has not proved effective for major surgical indications. Although studies confirm that low-level lasers have beneficial physiological effects, their specific advantages for OMS procedures remain unclear^{7,8} (Figure 14-1). (See Chapter 15.)

Intense pulsed light (IPL) sources use highly concentrated light waves to perform several procedures similar to lasers. Unlike true lasers, however, IPL sources are polychromatic and are neither collimated nor coherent (Figure 14-2). Because they generally have deeper tissue penetration and target tissue pigments and vascularity, primary uses include dermatological lesions and hair removal (targeting follicular pigmentation).⁹



FIGURE 14-1 • Low-level laser therapy using athermal diode laser.

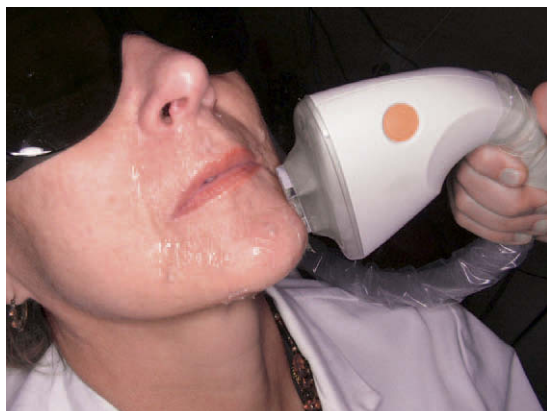


FIGURE 14-2 • Intense pulsed light (IPL) source. These devices are most often used for vascular and pigmented lesion removal or hair removal. Anesthesia usually is not required for these procedures.

Several lasers are also used in OMS for dermatological purposes. These wavelengths are highly specific for particular pigments or vascular tissues and include the copper vapor, gold vapor, flashlamp-pumped pulsed dye, pulsed Nd:YAG, and potassium titanyl phosphate (KTP) YAG lasers.¹⁰ The choice of wavelength must be based on individual patient needs, patient age, lesion color and depth, and laser availability.¹¹

SAFETY AND ANESTHESIA CONSIDERATIONS

Although the use of lasers for OMS offers many advantages, it does present many anesthetic risks not common to traditional surgical techniques. It is important to address standard safety precautions to maintain safety of the patient, surgeon, anesthesiologist, and surgical staff. Each laser system's user manual should be reviewed before use. Also, discussion with the anesthesiologist regarding the type of laser and the procedure is imperative.

The laser beam is a high-intensity light that, if directed at a reflective surface, can change its intended path while maintaining its focal properties. This can result in a reflected beam striking unintended targets with full power. Objects most vulnerable to this misdirected beam are eyes, skin, and nearby flammable objects.¹² Appropriate warning signs should be posted outside the operating suite indicating the type of laser being used, the risk class of the laser, and the required personal safety equipment¹³ (Figure 14-3). Protective eyewear with eye shields must be worn at all times by the patient and

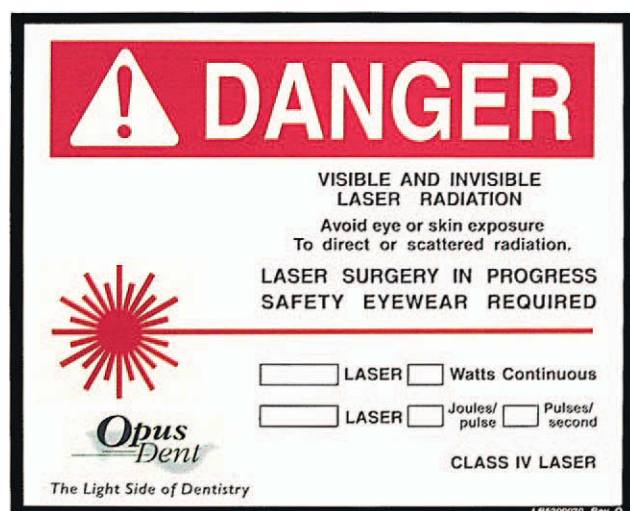


FIGURE 14-3 • Warning sign posted outside operating room indicating type of laser being used, class of laser, and required personal safety equipment.



FIGURE 14-4 • Example of ceramic-coated, laser-safe endotracheal tube.

personnel to avoid ophthalmic injury. Each laser has a specific type of eyewear that will absorb its particular wavelength.

Flammable patient drapes, including standard paper and plastic drapes used in the operating room (OR), are a source of ignition and should not be used. Instead, laser-resistant drapes or cloth drapes saturated in water should be used around the surgical field.¹³ Alcohol preparation of the field should also be avoided, because this can serve as a potential ignition source if not allowed to vaporize completely before laser use.

Three main techniques are available to prevent the potential fire hazards associated with the use of conventional endotracheal (ET) tubes during laser surgery¹⁴: (1) no airway, (2) protection of the surface of a conventional tube, and (3) use of a noncombustible tube. Many OMS procedures, whether done in the office or operating suite, can use total intravenous (IV) anesthesia technique while “chin” the patient (using chin thrust as for CPR) or using a nasal airway. The Rousch red-rubber nasal airway has been found to be safe for laser procedures.¹⁵

The previous method, however, is not always practical for procedures of long duration in which case a definitive airway is necessary, such as a conventional ET tube with a protective material on its external surface. The materials of choice are metallic foil wrapped around the tube or silver anode sheet that adheres to the tube.¹³ The foil is often cumbersome and can result in exposure of the tube when manipulated, whereas the silver anode sheet adheres to the tube and is less likely to lead to exposure.

Two types of noncombustible ET tubes are currently available: the metallic tube, which contains no combustible material, and the ceramic-coated tube (Xomed)¹³ (Figure 14-4). The metallic tube, however, cannot be used for nasal intubation and does not come with a cuff, which prevents the use of a normal anesthesia circuit.

Flammable gases must be avoided during laser surgery. Most inhalational anesthetics used in ORs today are not flammable and include sevoflurane, isoflurane, enflurane, and halothane. Ether and cyclopropane, which are not used by most anesthesiologists, are combustible and should not be used.¹³



FIGURE 14-5 • Standard general anesthesia machine showing compressed gases available for delivery of inhalational agent. Note availability of regular compressed air, which does not support combustion, in addition to oxygen.

The concentration of oxygen with inhalational agents should also be addressed. Ideally, the lowest concentration possible is used while maintaining an acceptable oxygen saturation level. To accomplish this, the oxygen is diluted with regular compressed air or with helium, both of which reduce flammability (Figure 14-5). Nitrous oxide mixed with oxygen, however, possesses the same flammability as oxygen alone and is avoided.¹²

TUMOR RESECTIVE SURGERY (EXCISION VS. ABLATION)

The laser is a versatile surgical instrument that can be used in three basic ways: excision/incision, ablation/vaporization, and hemostasis/coagulation.¹⁶ The technique used is based on the

clinical situation and three parameters controlled by the surgeon: power, spot size, and time.

EXCISION

When used for excisional purposes, the laser is basically a “light scalpel” producing precision cuts without the bleeding of traditional scalpel surgery. For optimal results, the *spot size* should be kept as small as possible with the particular laser used (usually 0.1–0.5 mm), which is accomplished by keeping the handpiece at its focal length from the tissue.¹⁷ This is known as the *focused mode*. The *focal length* is also variable depending on the handpiece, ranging from 1 mm to 1 cm from the end of the handpiece to the tissue.

The technique for excision of a lesion remains the same regardless of the laser system used. It is recommended first to outline the intended incision line by using an intermittent, gated, or pulsed mode with the laser set at a lower power. This will provide superficial guiding marks while avoiding deep penetration into the tissue, allowing the surgeon to adjust the location of the margins if necessary. Of note, when performing an excisional biopsy, an additional 0.5 mm should be added circumferentially to the margin to account for the lateral zone of thermal necrosis associated with the laser.¹³

After satisfactory outlining has been performed, the laser is switched to continuous-wave (CW) mode, and the dots are connected to create the incision. Multiple passes may be required to achieve the desired depth.

Observing the effect of the laser on the tissue during the first pass will allow the surgeon to adjust the parameters of the laser during subsequent passes, if necessary, to achieve the preferred cut. For example, if the depth of the cut is too shallow, the power may be increased or the handpiece moved at slower rate to increase time. Increasing the power is usually the better option because increasing the time will allow more time for lateral conduction and subsequent lateral thermal damage. On the other hand, if the initial cut is too deep, the power may be lowered or the handpiece moved more quickly, both of which are good options.

After adequate depth of the outlining incision has been achieved, excision of the lesion can begin. This is performed by lightly grasping the tissue with a forceps, applying slight traction, and horizontally undermining the lesion while staying at the focal length. Hemostasis at the surgical site will be excellent, and closure is rarely needed. The exception is the case in which allowing the wound to granulate will leave unacceptable cosmetic results.

ABLATION

Another common technique carried out with the laser is tissue ablation (also called vaporization). Ablation is used for superficial tissue removal when standard excision would lead to unnecessary removal of tissue. The targeted lesion is usually confined to the epithelium and underlying submucosa. The



FIGURE 14-6 • Ablation of papillary hyperplasia showing side-by-side U pattern.

advantages of this technique are less scarring, less dysfunction, and less potential for damage to important adjacent structures.

The technique for ablation begins with the same outlining procedure described for the excisional technique. Next, the laser is defocused by moving the handpiece farther from the tissue, thereby widening the laser beam and increasing the spot size. Spot sizes ranging from 1.5 to 3.0 mm are typically used. The now-defocused beam is traversed along the lesion in multiple side-by-side strokes, creating multiple “U”s (Figure 14-6).

After the first pass is complete, the depth of penetration is evaluated. If too shallow, the depth can be deepened by increasing the power, increasing the time by moving the handpiece more slowly, or decreasing spot size. Increasing the power is the best alternative, whereas increasing time is to be avoided for reasons previously mentioned. Decreasing the spot size is a viable option, but this will increase the number of strokes required to cover the area.

Conversely, to decrease the depth of penetration, the surgeon can decrease the power, decrease the time by moving the handpiece more quickly, or widen the spot size. Of these, the latter two are the preferred options.

Clinical Tip: Gently wipe the char layer from the surface of the tissue with wet gauze between laser passes. This char layer contains no water for absorption of the laser and will result in undesired excess heating and lateral thermal conduction.

**Clinical
TIP**

Ablation alone does not allow a biopsy of the lesion to be taken; therefore, if the neoplasm involved is clinically suspicious for a malignancy or premalignancy, incisional biopsy should be performed prior to ablation. If the lesion is histologically benign, the surgeon may safely proceed with ablation. If malignant, however, wide laser excision must be performed. Ablation of premalignant lesions is somewhat controversial.

PREMALIGNANT LESIONS

Premalignant lesions come in the form of leukoplakia, erythroplakia, or a mixed form of the two known as *erythroleukoplakia*. The possibility of malignant transformation of these lesions is 36.3% to 43.0% in the presence of epithelial dysplasia, and 23.4% to 38.0% without epithelial dysplasia.¹⁸ Also, patients with these conditions have a 50- to 60-fold greater risk of developing oral cancer than patients without the lesions. Traditional treatment has involved surgical excision with a scalpel, which has many disadvantages compared with laser excision or ablation procedures. The advantages of using a laser include better control of bleeding, less surgical time, more precise tissue removal, less morbidity and complications, and excellent healing with virtually no scarring.¹⁹ Many surgeons also believe that the cauterization effect of the laser on blood vessels and lymphatic channels decreases the amount of hematogenous and lymphatic seeding, thereby lowering recurrence rates.^{20,21}

Laser treatment of premalignant lesions is through excision or ablation. Both procedures begin with outlining the lesion,

as discussed earlier, with the depth of the cut being deep to the lesion itself, usually 4 to 9 mm.²² If excision is to be performed, the affected tissue is elevated at one end and undermined, with the laser acting as a cutting tool. If ablation is chosen (usual treatment of choice), the laser is defocused and the tissue removed in the multiple side-by-side U pattern described earlier.

Figure 14-7 illustrates ablation of a leukoplakia on the ventrolateral surface of the tongue. Multiple passes are usually required until removal is complete. With the bloodless surgical field produced by the laser, visual confirmation of complete removal is much easier than with scalpel surgery. The area is then left to re-epithelialize by secondary intention, avoiding sutures and the possible distortion and scarring.

Roodenburg et al.¹⁸ followed 70 patients with 103 oral leukoplakias treated with laser ablation for a mean of 5.3 years and found a cure rate of 90%. Similarly, Thompson and Wylie²³ reviewed 57 consecutive patients with laser-treated oral dysplastic lesions. After 44 months, 76% of the patients had no recurrence. These results are comparable to the 80% success rate seen with conventional scalpel surgery.



FIGURE 14-7 • Ablation of leukoplakia. **A**, Leukoplakia of ventrolateral surface of tongue. **B**, Appearance after first pass using ablation technique. **C**, Lesion completely ablated. Note precision of tissue removal and excellent hemostasis. **D**, One-month postoperative view shows excellent healing.

MALIGNANT LESIONS AND AGGRESSIVE BENIGN LESIONS

The laser is an accepted and useful surgical tool for the treatment of malignant and aggressive benign lesions of the larynx, pharynx, oral cavity, and lips. Its use offers many advantages not seen with conventional scalpel surgery. Aside from the bloodless surgical field, shorter surgical time, and decreased patient morbidity, there are advantages specific to these potentially lethal conditions. First, lasers seal lymphatic vessels at the surgical margins, which reduces seeding of malignant cells and potential metastasis.²⁴ Second, more nondiseased tissue adjacent to the lesion is preserved. Typical cancer margins are 1.5 to 2 cm beyond any visual or palpable tumor.¹³ Better visualization, with a bloodless field, allows the surgeon to remove tissue more precisely without sacrificing unnecessary tissue.

Figure 14-8 demonstrates excision of a T1N0M0 squamous cell carcinoma of the tongue. Laser microsurgery using a surgical microscope helps the surgeon distinguish between healthy and tumor tissue and preserve even more normal tissue by taking narrower margins.²⁵ If local tumor recurrence were to occur, more re-treatment options are available than with conventional scalpel surgery.²⁶

Verrucous carcinoma is a slow-growing, nonmetastasizing form of squamous cell carcinoma that is most frequently found in (but not limited to) the mouth.²⁷ Clinically, this white, cauliflower-like lesion is found most often in elderly patients.²⁸ The etiology is unknown, but heavy use of tobacco (snuff, chewing tobacco, or cigarettes) may be the primary factor.^{29,30} Some postulate that human papillomavirus is associated with verrucous carcinoma, but most studies have failed to prove this.^{31,32} Treatment of these lesions has traditionally been shave excision, cryosurgery, chemotherapy, or a combination, often requiring multiple treatments that may lead to scarring.³³⁻³⁵ The CO₂ laser has proved to be an effective means of treating verrucous carcinoma by total excision in cutting mode. Vaporization can be performed on the premalignant form, *proliferative verrucous leukoplakia*.¹³

VASCULAR LESIONS

Vascular lesions of the head and neck region are common in patients of all ages. These lesions are traditionally classified as acquired or congenital. Common *acquired* lesions include telangiectasias, spider and cherry angiomas, pyogenic granulomas, and venous lakes. Vascular lesions are characterized by varying degrees of blood vessel dilation.³⁶ *Congenital* vascular lesions present as either hemangiomas or true vascular malformations.^{36,37} Histologically, *hemangiomas* show endothelial cell hyperplasia, whereas vascular *malformations* are charac-

terized by normal endothelial cells with vessel dilation.³⁸ Hemangiomas are the most common soft tissue tumors of infancy (5%-10% of 1-year-olds).³⁹ Traditionally, hemangiomas spontaneously involute between ages 5 and 10 years.⁴⁰ The most common vascular malformations are *port-wine stains*, which are present at birth (0.3%-0.5% of general population⁴¹) and do not spontaneously involute.³⁶

The ultimate goal of laser treatment of vascular lesions is selective destruction of abnormal vessels by heating of the vessel wall through the absorption of light by hemoglobin.³⁶ The wavelength chosen should be selectively absorbed by hemoglobin, and the pulse length should be short enough to confine heat to the blood vessels to prevent unwanted damage to adjacent tissue.¹⁰ The most common types used for removal of vascular lesions are the argon, KTP, Nd:YAG, and *pulsed dye laser* (PDL). In addition, IPL systems may also be used.

Telangiectasias are permanently dilated superficial vessels resulting in blue or reddish face.⁴² These common asymptomatic lesions are often a cosmetic nuisance to the presenting patient. The most common devices used to treat telangiectasias are the PDL and the IPL system. The PDL emits wavelengths of 585 to 600 nm and is better suited for more focal cutaneous lesions; the IPL source emits light in the range of 500 to 1200 nm and is better suited for more diffuse telangiectasias, such as seen with rosacea.³⁶ The major drawback of the PDL vs. IPL system is the postoperative purpura for 7 to 10 days.¹⁰ The patient should be thoroughly prepared for this preoperatively, and makeup can be applied immediately after the procedure.³⁶

Treatment of port-wine stains is similar to that for telangiectasias because both represent lesions of dilated superficial blood vessels. Port-wine stains typically occur in younger children and can have significant psychological effects during the child's development if left untreated. The PDL is most often used for these lesions.³⁹ Multiple treatments are usually required, and lesions partially resistant to the PDL may develop. Pence et al.⁴³ used the frequency-doubled Nd:YAG laser (532 nm) to treat 89 patients with port-wine stains of the head and neck for 1 to 12 sessions, with no treatment failures and 1% to 2% with adverse side effects (e.g., transient hyper/hypopigmentation, hypotrophic scarring). Therefore, combination therapy, with the PDL initially, then the Nd:YAG laser for deeper, more resistant lesions, is likely to produce the best results.

Superficial hemangiomas appear flat and red, whereas deeper lesions appear blue.¹⁰ All hemangiomas eventually involute, but delaying treatment can leave lasting cosmetic and psychological scars. Laser treatment of hemangiomas on the skin is similar to that for port-wine stains. The PDL is typically used for hemangiomas with a superficial presentation, whereas the Nd:YAG laser provides the best results for deeper lesions.⁴⁴ The PDL and Nd:YAG lasers may be used in combination for hemangiomas with superficial and deep components.³⁹

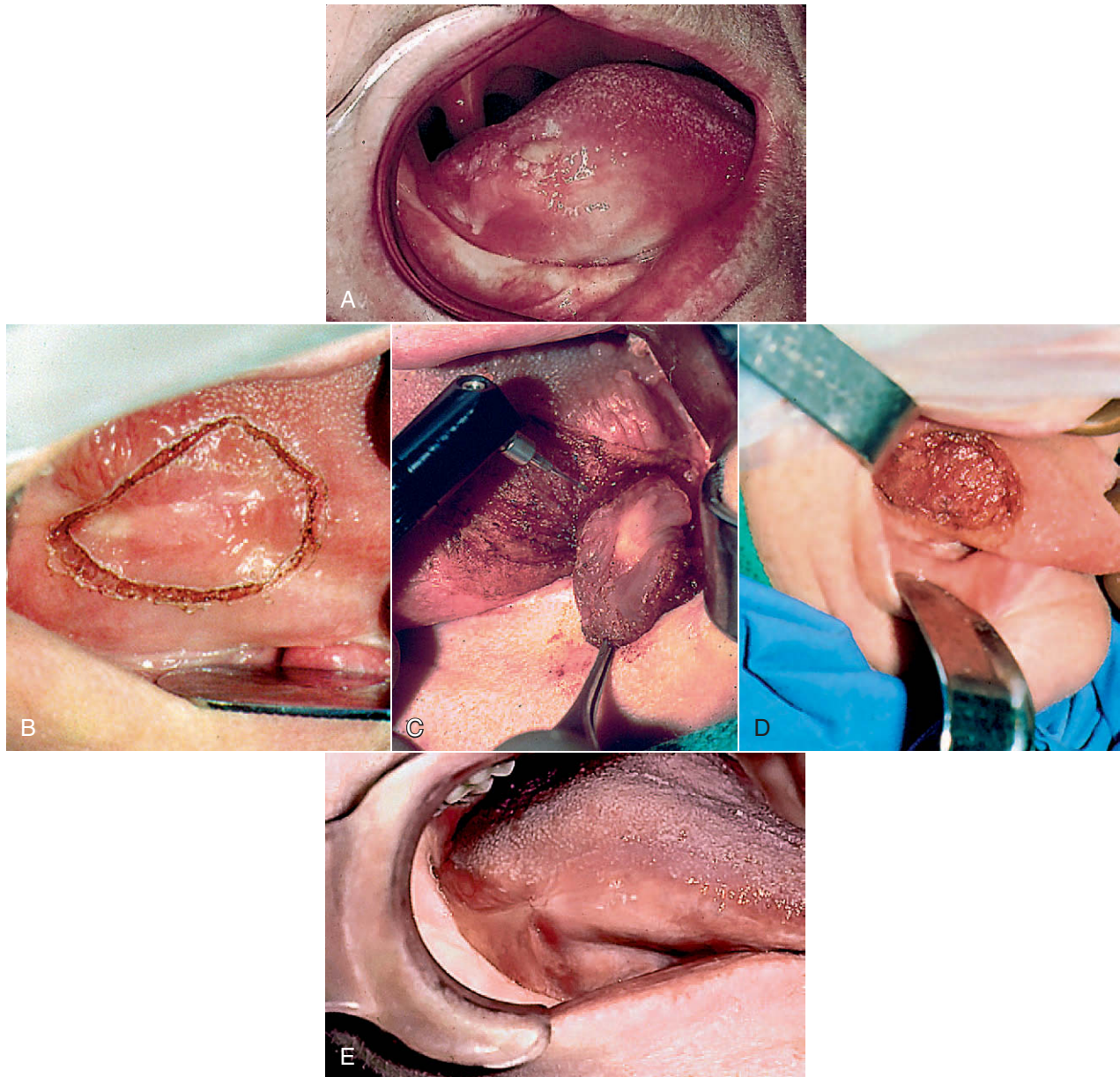


FIGURE 14-8 • Excision of T1N0M0 squamous cell carcinoma. **A**, Malignant tumor of ventrolateral surface of tongue. **B**, Lesion outlined. **C**, Undermining the lesion. **D**, Surgical wound with the lesion excised, showing excellent hemostasis. **E**, One-month postoperative view shows excellent healing.

**Clinical
TIP**

Clinical Tip: Hypertrophic vascular lesions are at risk for depressed scarring postoperatively, which should be discussed with the family before initiating any laser treatment.

Although most have a cutaneous presentation, hemangiomas can also occur inside the mouth. Treatment of these

intraoral forms is through vaporization or excision, depending on the size of the lesion and its proximity to important neural, vascular, and salivary structures.⁴⁵ The likelihood of damage to adjacent structures is remote if controlled vaporization is used rather than excision.¹³ However, hemangiomas that present on the tongue, lip, or other areas isolated from important structures can be excised with the CO₂ laser. The feeding peripheral vasculature is sealed, and excision can

proceed with outlining the margins and undermining the lesion, as described earlier.⁴⁵

It is beyond the scope of this chapter to review all vascular lesions encountered by the oral and maxillofacial surgeon and the associated laser surgery. The interested reader is encouraged to research current trends in the dynamic field of laser surgery for the treatment of these lesions.

SNORING AND SLEEP APNEA

LASER-ASSISTED UVULOPALATOPLASTY

Since Kamami first described the procedure in 1990, laser-assisted uvulopalatoplasty (LAUP) has become an attractive alternative to traditional scalpel uvulopalatopharyngoplasty (UPPP) for treatment of snoring and mild sleep-disordered breathing. The procedure is designed to enlarge the posterior air space and reduce or eliminate pharyngeal obstruction during sleep. Although primarily indicated for the treatment of snoring, many clinicians have used LAUP as a component of treatment protocols for some mild cases of *obstructive sleep apnea syndrome* (OSAS).

Snoring is a common social problem, affecting approximately 20% to 30% of the adult population, and has been associated with morning fatigue, restless sleep, daytime somnolence, and hypoxemia.⁴⁶ In addition to the social implications, snoring can be a risk factor for hypertension, angina pectoris, cerebral infarction, pulmonary hypertension, and congestive heart failure. A significant percentage of snorers also have associated OSAS, marked by repeated episodes of apnea and hypopnea during sleep secondary to collapse of the upper airway despite respiratory effort. Serious medical consequences of OSAS include cardiac arrhythmias, myocardial infarction, systemic and pulmonary hypertension, and increased risk of motor vehicle crashes.

Although the most common treatment for patients with these sleep-disordered breathing problems has been scalpel UPPP, this procedure is fraught with complications, including severe pain, hemorrhage, transient nasal regurgitation, permanent velopharyngeal insufficiency, and nasopharyngeal stenosis.⁴⁷ LAUP offers significant advantages over UPPP. Because LAUP can be performed with local anesthesia, many patients who present with major surgical and anesthesia-related risks can benefit from LAUP. By using a laser instead of a scalpel or electrocautery, there is less postoperative swelling with minimal bleeding from the highly vascular palatal mucosa. Troell et al.⁴⁸ found that LAUP caused less discomfort compared with UPPP, believed to be a result of the lower temperatures necessary to ablate tissue with laser energy than electrocautery.

Despite the advantages and low morbidity associated with LAUP, the surgeon must be thorough and systematic when evaluating patients with sleep-disordered breathing. A complete workup of the patient must encompass history and

physical examination (including nasopharyngoscopy); often a polysomnogram needs to be performed before initiating treatment. Patients with retropalatal obstruction are the best candidates for successful LAUP.⁴⁹ This office procedure can be performed under local anesthesia or IV sedation in less than 30 minutes. A special laser handpiece with a protective backstop should be used to prevent direct laser effects on the posterior pharyngeal wall⁵⁰ (Figure 14-9).

After the administration of local anesthesia, the procedure begins with two vertical through-and-through incisions of the soft palate adjacent to the uvula and extending a few millimeters short of the levator palatinus muscle insertion (determined by phonation or gagging). Using a standard incisional technique, the backstop is placed behind the soft palate, and the vertical cuts are made inferiorly to superiorly. A relatively high-power density (often 15-20 W with 0.1-0.4-mm spot size) is typically used to shorten the procedure time. Care is taken to prevent thermal conduction from the backstop to the pharyngeal wall. The laser is turned sideways, and the uvula and soft palate outlined by the vertical trenches are removed using the same incisional technique. The patient can be discharged to return home or even to work directly from the office. Recovery is complicated only by a severe sore throat that lasts 7 to 10 days.

Some patients require an additional treatment session aimed at further palatal elevation, and this usually is performed at 2 to 3 months, if needed. As the surgeon becomes more experienced with this procedure, a "one session" LAUP procedure is often possible. Remacle et al.⁵¹ reported that one surgical stage is sufficient as long as the palatal musculature is respected. To allow for proper clearing of secretions from the posterior pharyngeal wall, these investigators recommended that a minimum of 4 to 5 mm of uvula remain on completion of the procedure; however, this is not universally accepted. In a prospective, randomized study of 95 snoring patients treated with varying lengths of soft palate incisions and percentage of uvula excisions, Kotecha et al.⁵² suggested that a vertical incision size of 25% of the distance from the free edge of the soft palate to the soft and hard palate junction yields the best postoperative results with minimal complications. This study also showed that a 50% excision of the uvula was most beneficial. The results were confirmed by postoperative evaluation, including polysomnography.

Studies on the efficacy of LAUP for snoring have reported encouraging short-term results, with clinical success rates ranging from 70% to 95%.⁵³⁻⁵⁵ Few studies, however, have analyzed outcome assessment beyond 2 years postoperatively. Some studies, although with small patient samples, suggest a qualitative and quantitative subjective decline in snoring improvement over time. The reason for this is multifactorial, with weight fluctuation and increasing body mass index as probable causes. Nevertheless, a realistic informed consent before the recommendation of LAUP for long-term cure of snoring should be discussed before initiating surgical management.

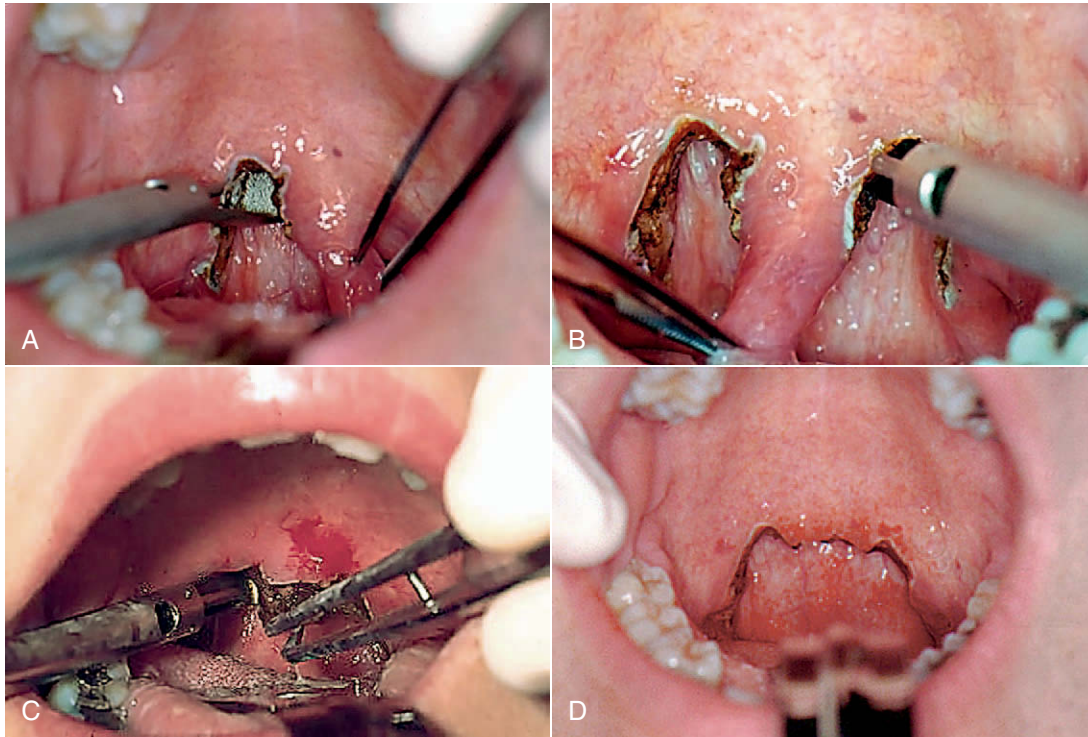


FIGURE 14-9 • **A**, Backstop handpiece used to incise soft palate without damaging posterior pharyngeal wall. **B**, Incision of side of palate adjacent to uvula. **C**, Horizontal excision of uvula and portion of soft palate. **D**, Postoperative view.

The role of LAUP for the treatment of patients with OSAS is poorly defined, but more recently, LAUP has gained limited acceptance as an alternative to UPPP for the treatment of patients with *retropalatal obstruction* as the primary cause. Although reports of LAUP efficacy are conflicting, variations in technique have been promising. Kern et al.⁵⁶ included adjunctive tonsillectomy with LAUP for the treatment of moderate and severe OSAS. Results indicated a surgical response rate comparable to data using UPPP, with fewer complications.

The importance of proper patient selection cannot be overemphasized. Patients with milder OSAS (respiratory disturbance index <30) and site-specific disease are more amenable to surgical treatment with LAUP. As several studies suggest, it is generally accepted that LAUP alone or in association with other procedures is less effective in patients with severe OSAS and seems better suited for those with minor and moderate disease.

LASER-ASSISTED UVULOPALATOPHARYNGOPLASTY

When the diagnosis of sleep apnea (rather than simple snoring) has been made, it is generally accepted that the standard LAUP procedure is not ideal. The relative efficacy of laser procedures in this regard is discussed later in this chapter. One recent alternative in the management of sleep apnea is

laser-assisted uvulopalatopharyngoplasty (LA-UPPP). The basic concept is similar to a standard UPPP, with removal of the soft palate and uvula as well as excision of both the anterior and the posterior tonsillar pillars. In addition, some undermining into the lateral pharyngeal tissues and suturing are done to maximize the airway dilation and prevent relapse. LA-UPPP works only when the patient has previously undergone tonsillectomy or has small residual tonsils, which can be ablated to a depth of a few millimeters as part of the procedure. Large tonsils should be removed before the procedure or a standard UPPP performed.

The LA-UPPP can be done with the same local anesthetic injections used for a standard LAUP. However, because many patients receiving this surgery have sleep apnea, they will often be simultaneously undergoing other surgical procedures, such as genial tubercle advancement and hyoid myotomy (GAHM) or nasal surgery. As such, they may require an OR and general anesthesia. These patients may need to be admitted to the hospital for overnight observation because of the greater risk of postoperative airway compromise. In severe cases or in smaller community hospitals, a monitored intensive care unit (ICU) bed may be appropriate. The patient is prepped and situated as for a standard LAUP. Once again, the procedure is easier to do in the sitting position, although when done in the OR, the supine position may be necessary and is not a problem as long as the levator muscle is marked preoperatively.

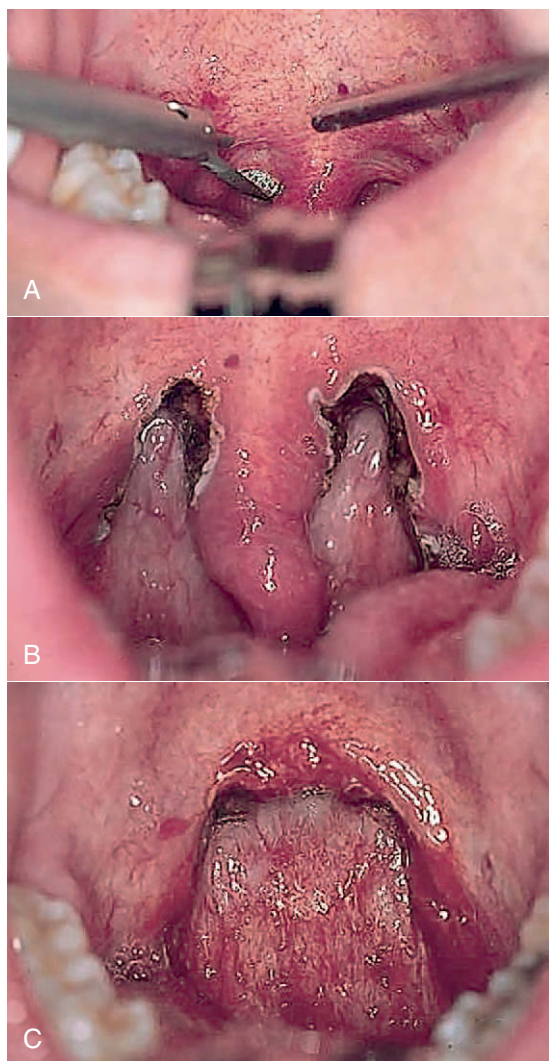


FIGURE 14-10 • Laser-assisted uvulopalatopharyngoplasty. **A**, Laser placed just lateral to uvula for incising vertical trenches. Backstop handpiece is used to protect pharyngeal wall from damage. **B**, Bilateral vertical trenches stopping just short of levator muscle insertion. **C**, Postoperative view shows significant opening of the airway.

The initial vertical trenches in the LA-UPPP are the same as in the LAUP, although they are taken as far laterally as can be done comfortably within the soft palate, to include some of the anterior and posterior tonsillar pillars (Figure 14-10). Again, this can be aided by grasping the uvula with a forceps, hemostat, or suction tip and applying countertraction during the laser incisions. A horizontal incision can then be made across the top of the vertical trenches to remove the uvula, soft palate, and some of the medial aspect of the tonsillar pillars. A horizontal incision is then made to connect the vertical cuts, remaining approximately 5 mm below the levator insertion. Additional ablation or excision of the pillars can be carried out until the operator is sure that the airway has been maximized. At this point, the soft palate is grasped with a long

forceps, rotated anteriorly so that the long aspect of the palate is facing into the mouth, and a nonbackstop handpiece is used to remove a triangular wedge of tissue from the soft palate between the anterior and posterior palatal mucosa, while leaving 3 to 4 mm to the levator insertion. This essentially undermines the soft palate and allows for significant thinning.

Results of LA-UPPP should include an *anterior* mucosal flap consisting of the oral mucosa of the palate and the anterior tonsillar pillar and a *posterior* mucosal flap comprising the nasal side of the palatal mucosa and the posterior tonsillar pillar. All the flap edges must be de-epithelialized; two epithelialized surfaces will not adhere to each other. At this point, the posterior flap is stretched anteriorly and brought into apposition with the anterior flap, overlapping it for 1 to 2 mm. A series of 4-0 polyglactin or polyglycolic sutures is then used to coapt the two flaps. The resultant suture line is essentially identical to that seen with a standard UPPP.

Advantages of the laser-assisted over the standard UPPP include considerably less bleeding and slightly less postoperative discomfort. In addition, LA-UPPP is faster and, when appropriate (mild apnea), may be performed on an outpatient basis or even in the office.

TEMPOROMANDIBULAR JOINT SURGERY

Arthroscopic surgery of the temporomandibular joint (TMJ) has been a successful mode of surgery well accepted by patient and surgeon alike.^{57,58} Lasers offer many advantages in TMJ surgery not possible with conventional arthroscopic cutting instrumentation. Eliminating the need for physical contact with the diseased tissue reduces trauma to surrounding synovial tissue and articular cartilage. Laser use can also provide rapid coagulation with minimal thermal damage, allowing better visualization of the surgical field and decreased hemarthrosis.⁵⁹ Because of the small cutting width, laser surgery is much more precise than conventional arthroscopic surgery and can be more easily manipulated in the narrow joint space.⁶⁰ Also, the risk of instrument breakage and subsequent retrieval is eliminated.⁶¹

The high water content of the joint space does not allow the use of a CO₂ or Er:YAG laser, because the light energy would be absorbed by the synovial fluid before reaching the target tissue.⁶² However, the Ho:YAG laser is minimally absorbed by water and therefore transmits its energy directly to the desired tissue. The Ho:YAG laser offers the advantage of penetrating tissue to a depth of 0.5 mm or less, thereby reducing the risk of iatrogenic damage to adjacent tissues.⁶⁰ Typical settings used for Ho:YAG arthroscopic surgery are a power output of 0.8 J and a pulse rate of 10 Hz (8 W), which will efficiently ablate the tissues without creating excessive zones of thermal damage.¹⁷

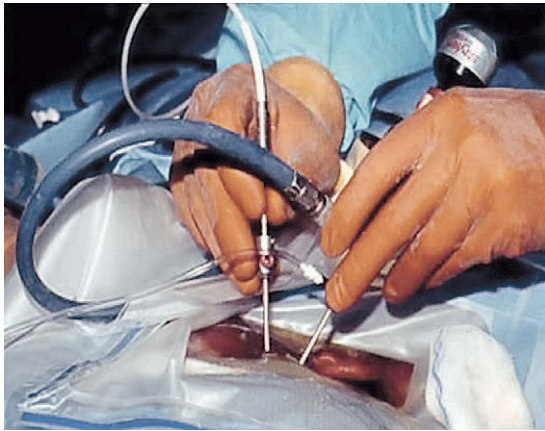


FIGURE 14-11 • Temporomandibular joint arthroscopy using holmium-doped yttrium-aluminum-garnet (Ho:YAG) laser. Separate ports are required to provide the surgeon with visibility of the laser tip during use.

Arthroscopic procedures are typically performed in the OR under general anesthesia with nasotracheal intubation. A video monitor at the head of the bed receives input from a camera attached to the arthroscope. Two portals are usually used for these procedures, through which canulas are placed to allow entry of the arthroscope, laser fiber, or other surgical instrumentation into the superior joint space (Figure 14-11). The placement of the portals can be variable (endaural, superior posterolateral, inferior posterolateral, superior anterolateral, inferior anterolateral), but ultimately a portal is placed in the posterior region of the joint and another in the anterior region. A constant flow of irrigation fluid into the joint space is essential to distend the potential space and wash away blood and debris, greatly improving visualization of the surgical field. The irrigation inflow is attached to the arthroscope, and outflow is through the second portal. Using this technique with the Ho:YAG laser, procedures such as discectomy, diskoplasty, synovectomy, hemostasis, posterior attachment contraction, anterior release, and debridement of fibrous ankylosis can be performed on an outpatient basis.^{3,17}

Koslin⁶³ described laser treatment of anteriorly displaced disks that are symptomatic or that limit function. The technique involves an anterior disk release procedure with or without posterior attachment contraction and repositioning. The laser is placed in the anterior canula and the energy directed medially along the disk–synovial junction down to the lateral pterygoid muscle. Blunt probe dissection may facilitate complete release and movement of the disk posteriorly. The laser energy is then directed toward the posterior synovial tissue, where, using a lower energy, contraction of the tissue is performed. Suturing of the posterior disk–synovial junction can then occur. Kaneyama et al.⁵⁹ described a similar procedure for the treatment of anteriorly displaced disks and reported a 92.8% success rate.

Synovitis is a painful inflammatory TMJ condition that demonstrates hyperemic and hyperplastic synovium under

arthroscopy.⁶⁴ When nonsurgical therapy fails, the surgery of choice is a synovectomy, traditionally done with motorized instrumentation. However, *laser synovectomy* with the Ho:YAG laser offers much greater control and precision in tissue removal.⁶³ The laser should be set at 0.5 J and 5 to 15 pulses per second. The laser is placed approximately 3 mm from the target tissue, and using a paintbrush technique, the tissue will be seen to contract and lose its redness.⁶⁵ Normal synovium is then left to regenerate.

Mazzonetto and Spagnoli⁵ reported on 30 patients (38 joints) who underwent arthroscopic Ho:YAG laser discectomy for the treatment of disk perforation resulting in pain and restricted function. The laser excises the disk at its margins (usually in two fragments), with small alligator forceps or hemostats used to grasp and remove the tissue. With the laser then set at a lower power, the remaining fibrocartilage and redundant disk tissue are removed, and tissue peripheral to the condyle is contracted. Overall success rate was 93.3%, with a mean follow-up of 31.7 months. Patients showed a mean increased opening of 14 mm, decreased pain, and return to a more normal diet.

Ankylosis of the TMJ can be devastating for a patient, leading to difficulties with eating, speech, and other functions. Causes include trauma (most common), infection, and arthritis.^{66,67} Surgical treatment of TMJ ankylosis has traditionally been arthrotomy and debridement of fibrous adhesions or bony bridges.⁶⁸ With advances in arthroscopic TMJ surgery, however, this can effectively be accomplished with the Ho:YAG laser. Utilizing a dual-portal technique as previously described, bony bridges, osteophytes, and fibrous adhesions are removed layer by layer with the laser.⁶⁹ Once adequate joint mobility is obtained, final contouring of sharp bony edges can be performed with a reciprocating miniature bone file. Postoperatively, patients are instructed to resume aggressive physical therapy to prevent reankylosis and to improve function.⁷⁰

COSMETIC FACIAL SURGERY

In the 1970s and 1980s, *orthognathic surgery*, the manipulation of one or more facial bones to correct occlusal abnormalities and facial dysmorphisms, became both popular and mainstream in the OMS practice. In the early 1990s, however, it became evident that merely changing the bony skeleton and restoring occlusion without modifying the overlying soft tissues did not necessarily correct the dysmorphism. Thus began a paradigm shift in the workup and diagnosis of orthognathic patients that emphasized soft tissue appearance over bone position. To accomplish this goal, oral and maxillofacial surgeons needed to gain expertise in cosmetic facial surgery. At the same time, the use of lasers for soft tissue surgery was also becoming routine.⁴⁵

Given their many general advantages (greater precision, improved healing, smaller incisions, decreased suturing) and

several specific benefits, lasers inevitably found a prominent role in cosmetic surgery procedures. Lasers have not only improved existing procedures, but also have allowed new cosmetic procedures to be done safely and effectively using only specific laser wavelengths and IPL devices.⁷¹

Advantages specific to cosmetic procedures include the ability of some lasers (depending on wavelength) to work through endoscopes and use several very small incisions rather than one large incision. Even when the laser cannot be passed through an endoscope, such as the CO₂ laser (beam absorbed by typical quartz fiberoptics), the laser beam often can be delivered through long handpiece attachments using the same small incisions. The hemostasis provided by many laser wavelengths becomes significantly more imperative for cosmetic procedures, where the resultant scar and overall result can be greatly influenced by the tremendous increase in visibility.

The primary advantage of lasers specific to cosmetic surgery is the ability to provide superficial tissue ablation rather than deep incisions. Using a laser for epidermal and dermal tissue ablation allows for the removal of very precise amounts of tissue. This can be used to remove facial lesions with little to no scarring or to resurface the entire face and remove wrinkles and the long-term effects of solar damage.⁷² Cosmetic surgical procedures using lasers or IPL sources can generally be divided into *invasive* and *noninvasive* as well as *incisional* and *abla-*

tional procedures, with several common procedures using each of these techniques.

INVASIVE INCISION PROCEDURES

Blepharoplasty

Blepharoplasty is the removal of excessive skin, muscle, and fat from the upper or lower eyelid.

In the lower eyelid, the laser (usually CO₂) is used to make an incision transconjunctivally, although it can also be used transcutaneously.⁷³ The laser is used in focused mode at the smallest spot size for that laser. The hemostatic nature of the laser is especially important in blepharoplasty because bleeding can be both problematic for visualization in the fornix of the eye and potentially catastrophic should the bleeding traverse posteriorly behind the globe, causing a retrobulbar hematoma with subsequent loss of vision. Once the conjunctiva is incised, the retro-orbital septal fat can be seen pseudo-herniating into the septum. A small incision in the overlying fascia and lid retractors allow the fat to be delivered and incised by the laser, again in focused mode (Figure 14-12). Small residual fat pockets can also be vaporized back into the orbit using defocused mode. This is another technique unique to lasers and permits safe, precise sculpting of the fat. Once the fat is removed and hemostasis ensured, the

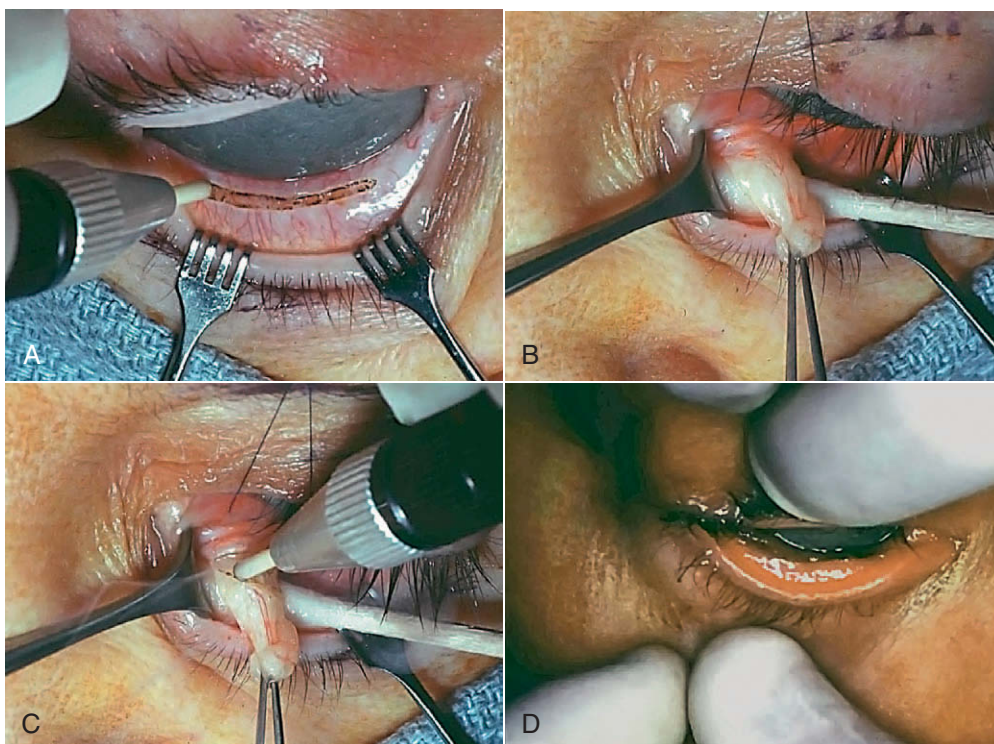


FIGURE 14-12 • **A**, Incision for transconjunctival lower eyelid blepharoplasty using CO₂ laser. Note metal eye shield in place to protect the globe. **B**, Fat protruding through orbital septum. **C**, Using laser to incise fat. Laser also seals blood vessels, preventing blood from leaking behind the globe. **D**, Laser incision requires no closure, and the lid is simply inverted back into place.

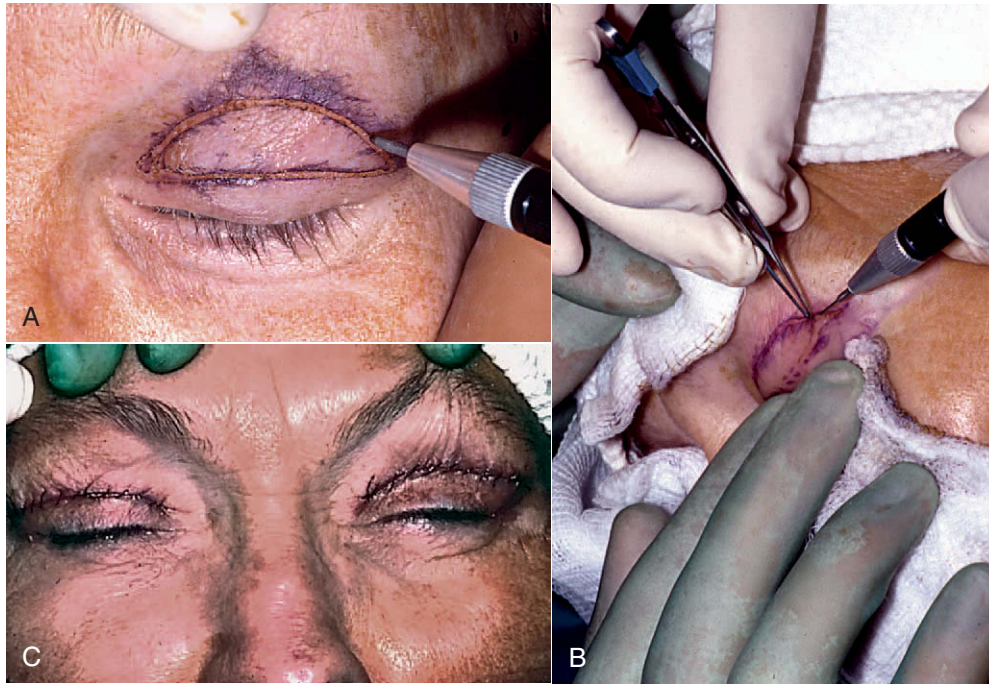


FIGURE 14-13 • **A**, Upper eyelid blepharoplasty incision with CO₂ laser. **B**, After excision of skin. Note easy identification of orbicularis oculi muscle and septum (no bleeding). **C**, Unlike lower lid, closure of upper lid is necessary for cosmetic reasons.

conjunctiva can be sutured or only approximated and left unsutured.

Upper eyelid blepharoplasty is similar to the lower eyelid procedure but usually involves a transcutaneous incision. Although the laser is generally not as precise at making skin incisions as a scalpel, in the eyelids the final result appears to be identical using either modality, and the laser has the advantages mentioned previously, making it the technique of choice.⁷¹ Once the excess skin is removed, the orbicularis muscle can be partially excised. Again, the ability to do this without blood in the field is essential. Similarly, the septum is easily visualized and incised to isolate the pseudoherniated fat, which is then incised with the laser. Again, the laser can also be used to vaporize, in defocused mode, any residual pockets of fat. The skin is then closed with sutures to ensure a cosmetic scar (Figure 14-13).

Endoscopic Browlift

Although a browlift to raise sagging eyebrows can be done either endoscopically or open, the endoscopic procedure using several small incisions in the hairline best utilizes the many advantages of the laser. Once again, because of its excellent tissue-cutting abilities, the CO₂ laser is typically used for this procedure. Four to six 1-cm-long incisions are made with the laser in focused cutting mode, behind the hairline down to the skull. A subperiosteal pocket is made inferiorly to approximately 2 cm above the orbital rims. An endoscope is then passed into the pocket and the dissection continued infe-



FIGURE 14-14 • Endoscopic laser browlift. Endoscope camera is passed through one incision while 100-mm laser handpiece is passed through an adjacent incision. Laser tip is then visualized in the camera and manipulated under indirect vision.

riorly to the rims, under direct vision to protect the supraorbital nerve (Figure 14-14). Using a thin laser tip 100 mm or longer (varies by laser) passed through one of the incisions, an incision is made with the laser in the periosteum under direct endoscopic vision at the level of the orbital rims (arcus marginalis) (Figure 14-15). The incision is carried just through the periosteum to release the forehead, or it can be carried through into the frontalis, corrugator, and procerus muscles to disrupt them and prevent their contraction and decrease their wrinkle effect. This allows the forehead to be retracted

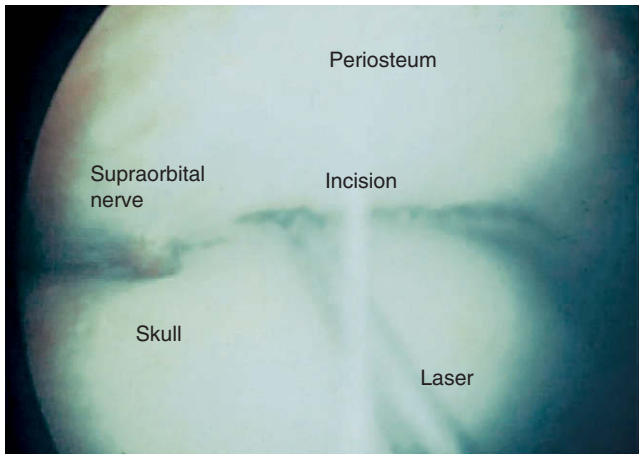


FIGURE 14-15 • Incising periosteum over orbital rims to separate the forehead from the orbits. Note supraorbital nerve left of the laser.

posteriorly, where it is fixed in position using sutures, screws, or tacks. The laser is used here because it provides precise incisions without bleeding.⁷⁴

ABLATION PROCEDURES

Their predictable depth of effect allows lasers to remove successive layers of the skin to eliminate superficial lesions and sun-damaged tissue and wrinkles. The use of the laser as an ablational tool for skin has allowed cosmetic surgeons to remove the surface epidermis and dermis in an unprecedented manner. Although chemical peeling and dermabrasion perform similar functions, the laser has more predictable effects and is less reliant on operator timing and interpretation than chemical peeling, and it is more predictable and less messy than dermabrasion.⁷⁵

Cosmetic Skin Resurfacing

The basic technique for cosmetic skin resurfacing (CSR) is based on a thorough understanding of skin anatomy and wound healing. A normal full-thickness wound heals by having basal cells adjacent to the wound edges cease normal vertical migration into keratinocytes and begin horizontal migration to cover the exposed connective tissues. At the same time, collagen builds up within the center of the wound. If the wound is large enough, the required time permits scar formation. CSR uses the laser to remove the surface epidermis and superficial papillary dermis while leaving intact the subjacent reticular dermis with its adnexal epithelial structures (hair follicles, sebaceous and sweat glands). These epithelial structures then allow for internal as well as external re-epithelialization from adjacent basal cells. Many more such structures exist in facial skin than elsewhere in the body, so full epithelialization is extremely rapid and occurs before significant collagen formation.

This CSR technique is made possible by a high-energy, short-pulsed laser to maximize tissue ablation with minimal lateral thermal damage to underlying tissues. The ablation threshold of tissue is 4 to 5 J/cm² and must be applied below the thermal relaxation time for the tissue, approximately 695 microseconds. Any energy application less than the ablation threshold will lead to the need for prolonged laser application, which in turn will lead to significant lateral thermal damage. Should this damage affect the adnexal structures, scarring will occur.⁷⁶

One of the most important aspects of CSR is the preoperative preparation of the patient. Once a thorough medical history is obtained and the patient ruled out for skin diseases, radiation therapy, connective tissue disorders, or use of Acutane (destroys adnexal structure) within the last year, the patient is started on several medications. Many surgeons begin with a retinoic acid to initiate the process of healing and repair. The use of a melanocyte-depleting agent such as hydroquinone will help prevent postoperative hyperpigmentation (in addition to limiting this procedure to Fitzpatrick I-IV patients). Antiviral therapy prevents a recurrent herpetic infection, which would have a devastating effect on denuded skin, and can be started 1 to 14 days before surgery, depending on the patient's previous herpetic history. Acyclovir, famciclovir, and valacyclovir have all been used for this purpose.⁷⁷ Finally, sunblock (SPF ≥30) should be used throughout the surgical and healing phases.

Choosing the correct laser and the ideal delivery is paramount to obtaining a good result with CSR. The two lasers most often used for this procedure are the CO₂ and the Er:YAG. The CO₂ laser is much more effective but is associated with long-term erythema and greater risk of scarring if done too deeply. Because the CO₂ is a CW laser, it must be pseudopulsed at high powers to obtain the short times needed to prevent significant lateral thermal damage to adjacent tissues. Greater absorption by water allows the Er:YAG laser to penetrate less deeply in tissue and ablate tissue more superficially. It also has the advantage of being a true pulsed laser, allowing for high powers with very short pulse durations. Although this leads to less postoperative erythema and decreased risk of excessive ablation, it also leads to decreased effectiveness with the Er:YAG.⁷⁶

In an effort to decrease thermal damage by the laser while maximizing effect, several lasers now use various scanning devices to place the laser pulses geometrically in nonadjacent patterns and limit the buildup of heat in proximity to each laser strike. This also provides uniform coverage of the face. When combined with high-power short-pulse laser energy, the effect is superficial ablation of skin with minimal tissue damage, allowing for rapid healing with decreased erythema and risk of scarring.

Topical, local, or parenteral anesthesia is used for CSR, depending on the size of the area to be resurfaced, anticipated depth of resurfacing, and patient tolerance. Once the patient is properly anesthetized, the skin is cleaned and prepped with

a non-iodine-containing antibacterial, usually chlorhexidine. Protective eye shields of metal (not plastic) should be placed over the globes and the outlying tissues protected with wet towels.⁷⁸

If using a regular handpiece, the laser is directed in a series of alternating U patterns to cover the area evenly without overlapping, which would lead to charring and excessive heat buildup. If using a computerized pattern generator (CPG), the handpiece is held stationary while the computer directs the laser in a preset geometric pattern. The pattern can be chosen to match the area to be ablated (Figure 14-16). Once the pattern is completed, the handpiece can be moved to the next area. The tissue is then gently wiped with wet gauze to remove any dehydrated tissue, although some evidence suggests wiping may be unnecessary.⁷⁹ It is important to complete an entire anatomical subunit of the face before wiping it; once cleaned, it may be difficult to see the area ablated, thus leading to possible double striking and excess heat.

When the area to be ablated has been completed (e.g., perioral, periorbital, or entire face), it is covered with an occlusive or nonocclusive dressing. Occlusive dressings are generally left on the face only for 1 or 2 days to prevent

infection. Nonocclusive dressings (e.g., Eucerin, Aquaphor) should be used continuously for 7 to 10 days, until re-epithelialization has occurred. The patient is placed on cephalosporin therapy to prevent bacterial infection and is maintained on antiviral therapy for 7 to 21 days (based on whether there was a preexisting history of herpetic outbreaks) postoperatively to prevent a viral infection. The patient is instructed to clean the face gently, initially with a weak vinegar solution and then with mild soap and water, several times a day followed by careful dabbing to dry. The patient should also avoid sun exposure and must use sunscreen (SPF ≥ 35) for several months. Once epithelialization has occurred, the patient may resume wearing makeup, and can use a green-base makeup to counteract the significant postoperative erythema 3 to 60 days after surgery.

Complications after CSR can be devastating, so only surgeons trained to manage them should perform these procedures. Because of the denuded nature of the face after the procedure, with attendant loss of its natural immunity barrier, bacterial, viral, or fungal infection can occur (Figure 14-17). Treatment must be swift and powerful to prevent disastrous scarring. Postoperative erythema is expected but may be



FIGURE 14-16 • **A**, Carbon dioxide laser handpiece with regular spot size. Small areas can be covered using standard 0.8-mm handpiece in alternating-U pattern. **B**, Larger areas are usually done with a computerized pattern generator for predictable and mechanized filling of various geometric shapes. **C**, Preoperative and postoperative views of facial rhytids.

prolonged in some cases, lasting several months. Hyperpigmentation and hypopigmentation are most frequently seen in Fitzpatrick V and VI patients. Hyperpigmentation may be treated with melanocyte-depleting agents such as hydroquinone or kojic acid. Hypopigmentation is more problematic because no satisfactory treatment exists for this complication.⁸⁰



FIGURE 14-17 • Bacterial infection following cosmetic laser skin resurfacing.

Facial Lesion Removal

The laser is a very useful tool to remove benign facial lesions (e.g., epidermal and superficial dermal nevi, seborrheic keratosis) with minimal scarring in most cases (Figure 14-18). It is important that a benign diagnosis has been made by visual examination and history or by histological confirmation, because no specimen will be available after laser ablation. Alternatively, the top of the lesion may be removed by shaving with a scalpel (then sent for pathology examination) and the remainder lasered. This provides a histological diagnosis but still allows the excellent results expected with the laser.⁸¹

Once local anesthesia has been obtained, the laser (in the same high-power short-pulsed mode used for CSR) is used in a spiral fashion, emanating from the center to the periphery. This is important because the operator is likely to be slower when first starting the laser and will penetrate deeper at the apex of the typical dome-shaped nevus, where this will have less effect. After each spiral pass, the area is gently wiped with wet gauze to remove any dehydrated tissue. This is continued until the lasered tissue is at the same level as the surrounding normal epithelium. The area is then covered with an antibiotic ointment and kept moist for 7 days until re-epithelialization has occurred. Cleaning techniques are the same as for CSR procedures.

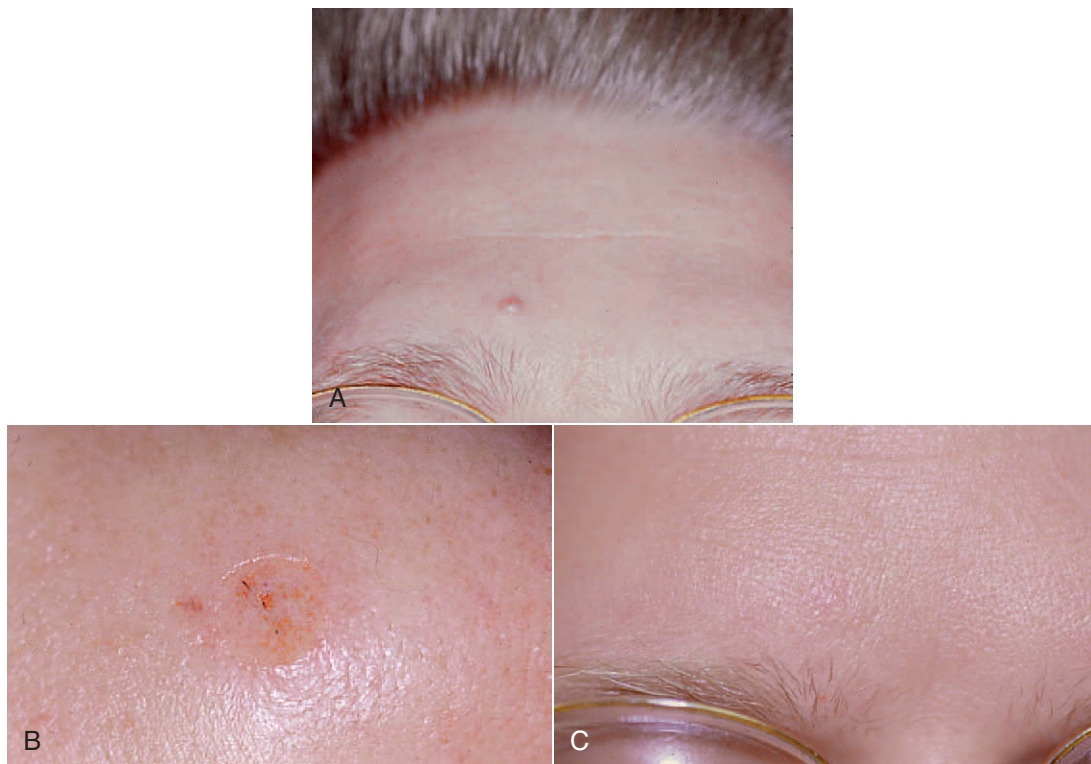


FIGURE 14-18 • **A**, Cutaneous nevus. **B**, Removal down to adjacent tissue level with high-energy pseudopulsed CO₂ laser. **C**, Minimal to no scarring after healing.

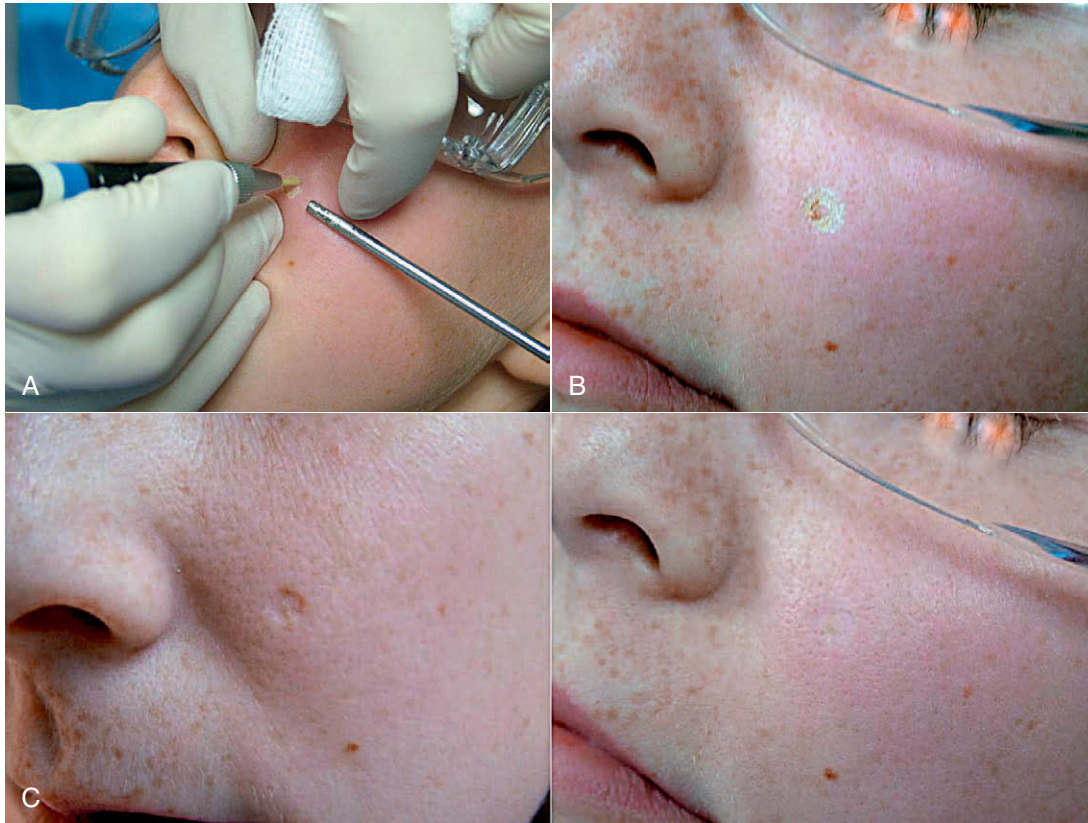


FIGURE 14-19 • **A**, High-energy pseudopulsed CO₂ laser used to blend adjacent normal tissue around a depressed scar. **B**, Area surrounding scar is laser-treated to soften the depression. **C**, Preoperative and postoperative views.

Scar Revision

Because of its great precision, the laser is an excellent tool for the revision of scars. Poor cosmetic results from scarring are caused basically by color abnormalities and surface-geometry abnormalities. Using different lasers, both these factors can often be improved.

Hypervascularity is common in healing scar tissue, and erythematous scars can often be improved by diminishing the vascularity in the tissue. Of the several lasers used to accomplish this, the 532-nm KTP:YAG and PDL are used most often.⁸²

Surface irregularities in scars (depressed or elevated) lead to changes in light reflection. It is this effect that leads the observer to focus on the scar. By elevating depressed scars or leveling elevated scars, this phenomenon can be diminished, resulting in an improved scar. Depending on the degree of resurfacing needed, the CO₂ or Er:YAG may be used.⁸³

For elevated scars, a uniform reduction in the scar is performed, using the same CSR techniques described previously. The scar should be reduced to the level of the adjacent normal tissues. For depressed scars, the laser is used to reduce the surrounding normal tissues in an increasingly circumferential

pattern, thus blending the depression into the adjacent normal tissues (Figure 14-19). However, the normal tissues must not be resurfaced deeper than the midreticular dermis, or scarring could occur in this region as well. If necessary, the procedure may be repeated after healing has occurred to improve the results as needed.

CONCLUSION

Lasers have been used in major oral and maxillofacial surgery procedures for many years and will continue to provide benefits to both surgeon and patient. With clear advantages using lasers for many of these procedures, only the financial cost limits its near-universal use. However, as with all technology, the hardware costs will diminish with time, allowing all surgeons access to these devices. New advances in energy delivery with less lateral thermal effects and in waveguide and fiberoptic delivery systems, as well as more specific tissue interactions based on new wavelengths, will continue to make lasers an integral part in the practice of the oral and maxillofacial surgeon.

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Low-Level Lasers in Dentistry

15

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The wavelengths of surgical lasers (Nd:YAG, CO₂, erbium, diode) affect tissues not only through ablation, coagulation, and vaporization, but also through stimulation of natural healing processes in the cells. Other lasers, at much lower power than the surgical lasers, act more as “biostimulators.” This chapter discusses the most useful indications for these lasers, often referred to as “therapeutic lasers.” This therapy is generally called *low-level laser therapy* (LLLT), although the nomenclature is somewhat controversial. The instruments are generally referred to as “therapeutic lasers” or “cold lasers” versus the “surgical lasers.”

THERAPEUTIC LASERS

The therapeutic lasers are typically found in the visible red to near-visible *infrared* (IR) part of the electromagnetic spectrum, from 630 to 980 nanometers (nm). Output powers typically range from 50 to 500 milliwatts (mW) with either pulsed or continuous-wave (CW) emission. The names of therapeutic lasers, as with surgical lasers, are derived from the active medium, such as the gallium-aluminum-arsenide (GaAlAs) laser.

The simplest way to classify therapeutic lasers is by wavelength. Penetration depths vary; lasers in the red part of the spectrum are more superficially absorbed, whereas IR lasers penetrate as much as 3 to 5 cm, depending on wavelength and target tissue. There is an “optical window” at about 820 nm, which has the greatest depth of optical penetration. Mucosa is quite *transparent* to the wavelengths (does not absorb light well), skin and bone are fairly transparent, and muscles have the greatest absorption of light. Dosage at the target tissue must be calculated accordingly. Another factor in depth of penetration is the distance from the target tissue, which affects the spot size (see Chapter 2). Irradiation *out of contact* versus irradiation *in contact* versus irradiation with pressure on the tissue all deliver different dosages to the tissue. Laser irradiation with tissue pressure causes a slight ischemia in the area, which reduces the hemoglobin concentration in the spot (Figures 15-1 and 15-2).

MECHANISMS

The advantage of therapeutic laser light is that it stimulates natural biological processes and mainly affects cells in a decreased oxidation-reduction (redox) reaction. A cell in a low redox stage is acidic, but after laser irradiation the cell becomes more alkaline and able to perform optimally. Healthy cells cannot significantly increase their redox situation and thus will not react strongly to the laser energy, whereas cells in a low redox situation will be stimulated.^{1,2} The most essential effect may be the increase of adenosine triphosphate (ATP), the “fuel” of the cells, produced in the mitochondria.³ ATP is the end product of the Krebs cycle, where the photon-acceptor enzyme cytochrome-*c* oxidase is inhibited by nitric oxide (NO). Laser light will dissociate the binding between NO and cytochrome-*c* oxidase, allowing it to resume ATP production.⁴ This basic mechanism initiates a cascade of cell signaling, leading to an optimization of body functions.⁵

DOSAGE

The most difficult part of LLLT is to find the optimal dosage. The tissue dosage is expressed in *fluence*, or energy density, measured in joules per square centimeter (J/cm²). Multiplying the output power of the laser in milliwatts by the time of exposure in seconds equals the produced energy; for example, 50 mW × 40 seconds = 2000 millijoules (mJ), or 2.0 J.

Now that we have the energy (2 J), we need to know the size of the area being irradiated. If we are irradiating an area of 2 cm², the calculation is 2 J over an area of 2 cm², or 2/2 = a fluence, or energy density, or superficial tissue dose of 1 J/cm². Suppose the irradiated area was only 0.5 cm². An inverse relationship exists between spot size (size of irradiated area) and fluence. Decreasing the size of the irradiated area increases the fluence: 2 J divided by 0.5 cm² = 4. Thus the dose becomes 4 J/cm², because the energy was emitted over a smaller area, increasing the local intensity. Because the dose depends greatly on the spot size, a thin light probe will create high doses in J/cm². However, this does not necessarily mean that the energy applied to the tissue is high, only that the *intensity* of the light energy at the emitting end of the thin probe is high.

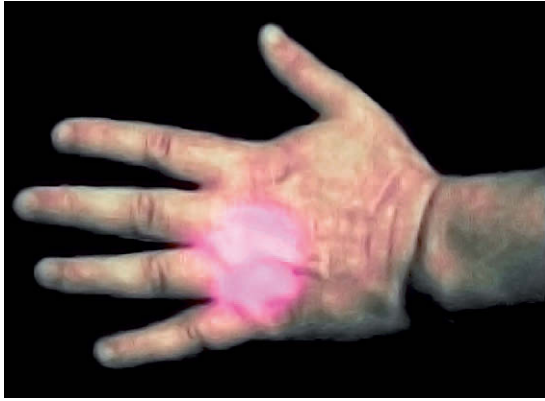


FIGURE 15-1 • It is often claimed that low-level laser light does not penetrate well through skin. A 650-nm wavelength at only 30 mW shows penetration of low-level laser light through the ventral surface of the hand to the dorsum.



FIGURE 15-2 • A 650-nm wavelength at only 30 mW shows penetration of low-level laser light through bone.

Now that we understand the difference between energy and dose, we face a more complex calculation: *dose at target*. If the target is 1 cm below the surface, there could be reflection, scattering, and absorption of the energy before it arrives at the target. It is therefore necessary to consider the depth of the target area and the type of tissue between the light and the target tissue. The main absorbers of these wavelengths are pigmented *chromophores*, such as the hemoglobin in blood; therefore highly vascular tissue will absorb these wavelengths well, and less vascular tissue will absorb these wavelengths poorly. Mucosa is transparent to these low-level wavelengths; bone is also rather transparent, whereas muscle tissue, with its rich blood supply, is not. Another complicating factor is the amount of another chromophore in the target tissue, *melanin*. Because melanin is a strong absorber of these wavelengths, more light energy may be superficially absorbed rather than reaching deeper tissue, which may create local heating and even pain.

Therefore, the use of J/cm^2 to describe the dose in owner's manuals can be confusing. The J/cm^2 (dose, fluence) indicates the intensity at the surface of the tissue but not the dose at the underlying target. A simpler approach is to use the term *energy per point*, calculating only the number of joules in each point. For clinical use, this is acceptable, but for scientific investigations, unacceptable. A "point" is often referred to as the size of the tip of the laser probe (spot size). The relationship between spot size and power density holds true for low-level lasers as well. A small spot size creates a larger concentration of the power per square millimeter or centimeter of tissue irradiated, whereas a wider spot size dilutes the same energy over a larger area.

STIMULATION/INHIBITION

Low-level laser therapy follows the Arndt-Schulz law: too small a stimulus does not trigger any effect. Increased stimulation augments the effect up to an optimal dose level. Increasing the dose even further means that the stimulation is gradually reduced, and at very high doses, stimulation is inhibited. The quest for the "optimal dose" is still not solved, but much is known about "therapeutic windows." In some patients the goal is inhibition rather than stimulation, especially for pain management. High doses of laser light will inhibit the pain signals, partially by creating transient varicosities along the neurons, impeding signal transmission.⁶ Opioid-related mechanisms have also been reported,⁷ as well as a reduction in the compound action potential⁸ (Figures 15-3 to 15-5).

ACUTE VS. CHRONIC CONDITIONS

The general rule is to apply high doses of laser energy to acute conditions that present with inflammation and edema, treating chronic conditions (e.g., wounds, paresthesias, pain) more conservatively. Initially, acute conditions can often be treated until they resolve, whereas chronic conditions should be treated only once or twice weekly. Low-level laser doses are cumulative, which means that the dose given on day 1 lingers in the tissues on day 2 and adds to the long-term buildup of inhibitory levels.

Patients with long-standing chronic pain conditions may experience a flare of pain after LLLT. This is transient and actually shows that the patient is responding well to the treatment. The chronic situation is believed to be transformed into an acute phase, allowing healing to begin. Pain levels are reduced below baseline within 24 hours. The patient should be informed about this possibility before treatment. Other chronic pain patients may respond with deep fatigue, interpreted as an accumulated lack of rest, surfacing when the pain subsides. As with pharmaceuticals, the reaction and dosage must be individualized for each patient (Figure 15-6).

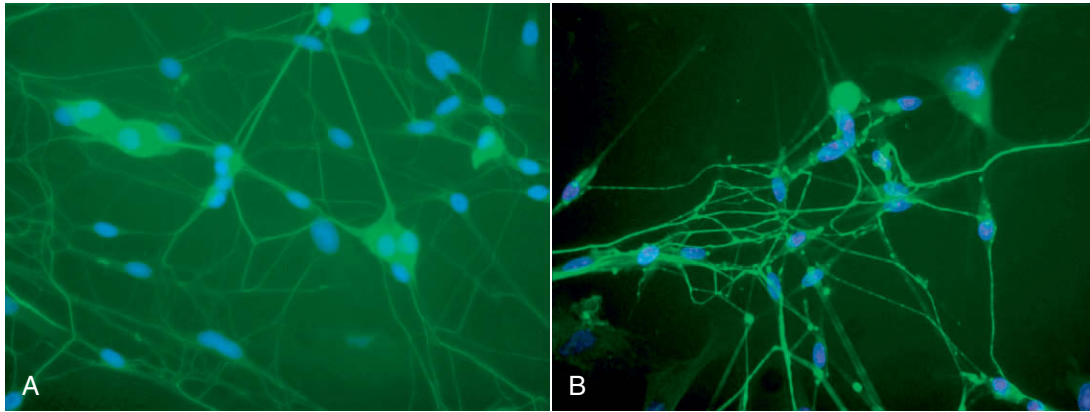


FIGURE 15-3 • **A**, Neurons before low-level laser irradiation. **B**, Neurons after irradiation, using 830-nm wavelength for 120 seconds. Note varicosities that formed along axons. These varicosities are transient but are thought to be obstacles in neural transmission. (Courtesy Roberta Chow.)

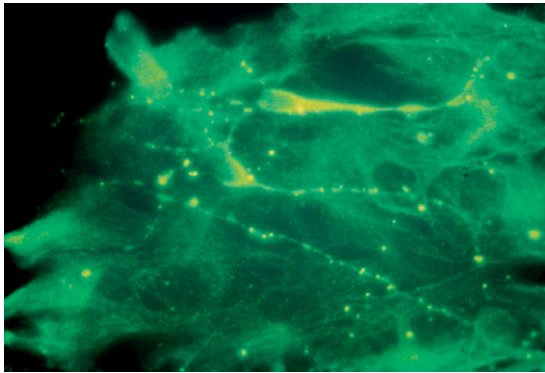


FIGURE 15-4 • Varicosities formed along the axons after Nd:YAG laser irradiation. (Courtesy Ambrose Chan.)

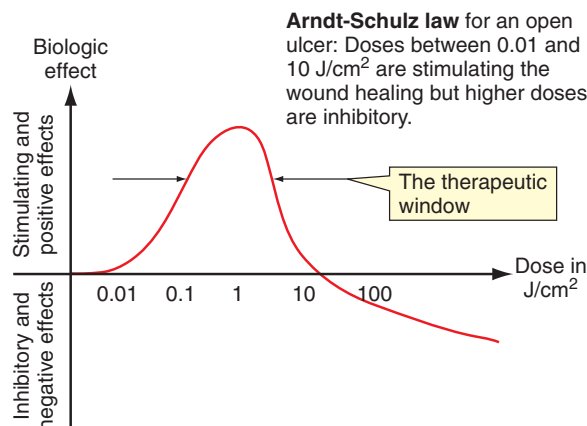


FIGURE 15-5 • Graph illustrating Arndt-Schulz law. Weak to moderate stimuli activate physiological processes. Strong stimuli (doses >10 J/cm²) inhibit physiological responses. Therapeutic window is therefore between 0.01 and 10 J/cm².

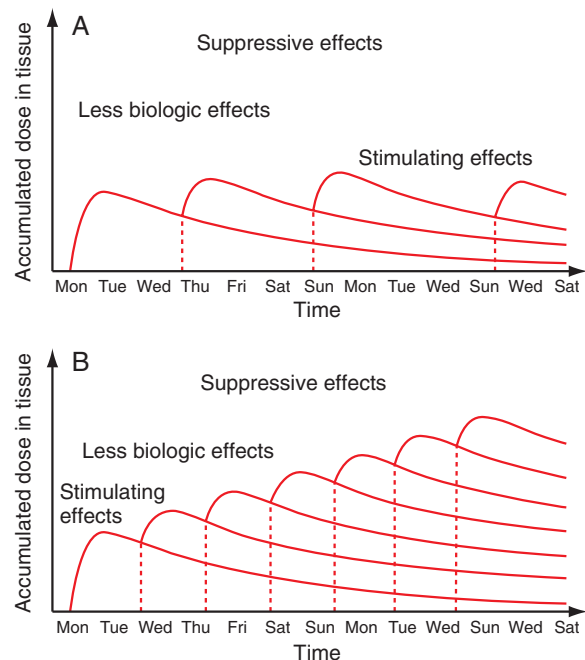


FIGURE 15-6 • Cumulative effect of dosing in low-level laser therapy (LLLT). **A**, With several days between doses, the total accumulated dose does not reach an inhibitory range. **B**, When doses are given too closely together, the accumulated dose reaches an inhibitory range. Such treatment inhibits rather than activates healing, according to the Arndt-Schulz law.

PULSING

The importance of pulsing the light is obvious when applied to cell monolayers in the laboratory.⁹⁻¹¹ The pulse repetition rate (PRR) also helps in the clinical setting, although little is known about pulsing in vivo and animal/clinical studies are inconclusive. It is not yet known how to control these mechanisms through different PRRs. Also, the biological effects of a



FIGURE 15-7 • Patient with angular cheilitis treated by LLLT once in dental office (30 mW, 3 J, 650-nm wavelength) and at home by the patient (1 J daily) for 5 days. **A**, Preoperative view. **B**, Postoperative view.

“chopped” CW laser beam and a superpulsed beam are different. At this time, use of a continuous beam is therefore recommended in units that have CW emission. The 904-nm GaAs laser does not have a CW mode, so a PRR must be selected, relying on anecdotal evidence to select pulse parameters.

Moriyama et al.¹² found more expression of the inducible nitric oxide synthase (iNOS) gene after 905-nm superpulsed laser irradiation. This suggests a different mechanism in activating the inflammatory pathway response in superpulsed mode compared with CW mode.

NUMBER OF SESSIONS

Several conditions can be resolved with a single LLLT session, but most conditions need repeated irradiation for optimal results. In the dental situation this is often problematic; patients may be unable to schedule brief laser appointments. Typically, the laser is used whenever the patient comes for routine dental treatment, such as for prophylaxis or cavity preparation. As a result, laser therapy might not be optimized. In many cases the irradiation can be delegated to the assistant or hygienist. In some situations the patient can borrow, rent, or buy a simple low-power laser (even a traditional 5-mW laser pointer) for a period to optimize the treatment by applying daily doses according to the dentist's instructions (Figure 15-7).

SIDE EFFECTS AND CONTRAINDICATIONS

Doses of laser energy near the therapeutic window will not cause negative effects. The worst result with LLLT is that nothing happens. There are few absolute contraindications for LLLT, but a few caveats.

Because LLLT affects blood flow in undefined ways, irradiation of patients with coagulation disorders should be

avoided.^{13,14} Presence of known malignancies is another contraindication, because LLLT stimulates cell growth. The literature also discusses pregnancy as a contraindication,¹⁵ although dentists work exclusively in the oral and head/neck regions. Also, although sometimes listed as a contraindication, pacemakers are electrical and not influenced by light. Some traditional safety regulations and contraindications seem to have been transferred from electrosurgical and other therapies to surgical lasers.

A contraindication especially relevant to dentistry is irradiation over the thyroid gland, located within the dental treatment area. Dentists generally are not informed about possible hyperthyroid or hypothyroid conditions, so direct irradiation over this area should be avoided. However, LLLT for thyroid disorders has been studied.¹⁶

DOCUMENTATION

Because of the complex mechanisms behind LLLT, the literature is still not at an evidence-based level, except for a few indications. Still, more than 100 dental institutions worldwide are represented in the literature, comprising more than 3000 studies. Annually, about 250 papers on LLLT appear on PubMed, many on dental issues and most reporting positive results. Reports of no effect from LLLT can often be attributed to very low doses, miscalculation of the supposed dose, and ineffective treatment applications.¹⁷ Nevertheless, some qualified negative studies underline that LLLT is not a “hit and run” therapy but depends on knowledge of all the parameters. A correct diagnosis and sufficient dosage are key to success.

LASER SAFETY

Therapeutic lasers of less than 500 mW are generally harmless and classified as “low-risk devices” by the U.S. Food and Drug Administration (FDA).

It is prudent to use protective goggles that are wavelength specific. Most therapeutic lasers have divergent beams, so from a distance of only a few centimeters, the intensity (and danger) is considerably reduced. LLLT has even been successfully used to treat macular degeneration,¹⁸ affirming the importance of wavelength and intensity. Some LLLT lasers have a collimated lens system, producing a parallel beam. These have no advantages in dental LLLT, and when used in contact with tissue, the effect of the collimation is lost.

The regulation of Class 3B (low-power) lasers differs from country to country. In Sweden, anyone may use Class 3B lasers; in Denmark these are restricted to certified medical professionals; in Canada the regulation is very liberal; and in Mexico, clinicians using LLLT only need to attend a short, manufacturer-sponsored seminar.

CHOOSING THE “RIGHT” LASER

The lasers available not only offer different wavelengths but also different combinations of wavelengths, powers, and probe sizes. Some are battery operated and therefore small and handy, but with all the usual battery problems. Lasers plugged into sockets are more stable and durable, but the cords might further obstruct a cluttered treatment room.

The choice of a laser is therefore not “one size fits all.” Any therapeutic laser can be used for many indications, but some combinations of wavelength and power are optimal for selected indications; clinicians should focus on their special interests. For intraoral tissue regeneration, red and IR lasers with outputs below 100 mW are useful. For muscular treatment and temporomandibular joint pathology, 300 to 500-mW, 810-nm diode or even GaAs diode lasers provide better results. The output power can be adjusted in some lasers. When choosing a laser, other practical considerations include probe sterilization, education, technical service, and guarantee period.

POWER AND TIME

During the past decade, stronger lasers have been advocated as more effective, and thus lasers with output of 500 mW or more are marketed. For musculoskeletal conditions and pain therapy, high-output lasers may be useful, but for processes such as wound healing and bone regeneration, lower power over a longer time is more effective. Availability of power adjustments in a therapeutic laser, as with a surgical laser, is therefore helpful. Applying 5 J in 10 seconds with a 500-mW laser is quite different than applying the same 5 J with a 50-mW laser in 100 seconds. The 500-mW laser would work better for pain but might be less effective for treatment involving tissue regeneration.¹⁹ This reemphasizes why training is so important in the decision-making process.

HYGIENE

Some therapeutic lasers have a removable, sterilizable probe, similar to that of the dental curing light. If this is not available, the probe can be wiped with alcohol or a surface disinfectant that will not react with the optics of the device, then covered by cling film, intraoral camera plastic cover, thermometer cover, or similar disposable barrier protection.

BIOSTIMULATION

Although dental lasers such as the neodymium-doped yttrium-aluminum-garnet (Nd:YAG), carbon dioxide (CO₂), and erbium family are considered “hard” or “surgical” lasers, they may be producing a degree of biostimulation in the areas peripheral to the focal spot, where the energy is reduced to stimulatory levels (Figure 15-8).

Some of the positive effects observed with the hard lasers may be explained by biostimulation. Pourzarandian et al.^{20,21} reported stimulation of human gingival fibroblasts by low-level Er:YAG irradiation, as well as increased prostaglandin E₂ (PGE₂) production through induction of cyclooxygenase-2 (COX-2) messenger ribonucleic acid (mRNA) in human gingival fibroblasts. Additionally, Er:YAG lasers may be used at

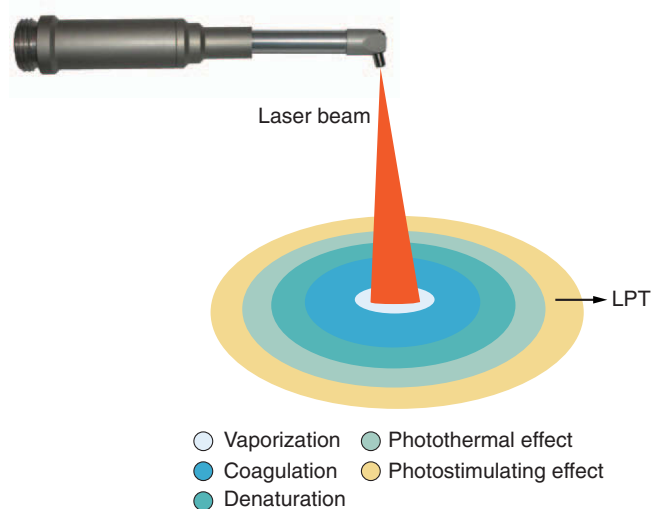


FIGURE 15-8 • Various zones of effect surrounding the focal spot when using a surgical laser (CO₂, Nd:YAG, erbium, diode). At the focal spot, *vaporization* occurs. Concentric to that is a zone of *coagulation*, where the proteins in the tissue have absorbed energy and have coagulated, but have not been vaporized. Concentric to that is the zone of *denaturation*, where tissue proteins have absorbed a sufficient amount of energy to be heated to the point of denaturation, but have not absorbed enough energy to be coagulated. Concentric to that is the zone of *photothermal effect*, where the tissue has absorbed enough energy to be heated, but not otherwise affected. Concentric to that is the zone of *photostimulation*, where some low-level laser activity can be found.

lowest output and scanned at a slight distance (out of focus) to produce biostimulation. The problem with using these hard lasers in this manner is that no microprocessor informs the practitioner how to control the dosage, and the fibers are not adapted for biostimulation.

Biostimulation is not limited to the traditional near-IR wavelength window; such effects are even reported when using defocused CO₂ lasers.²²⁻²⁴ The CO₂ laser has an extremely poor penetration through tissue because it is so well absorbed at the surface, and the biological effects reported on deeper tissues may first appear improbable. However, the coherent light is absorbed in peripheral microvessels, and the clinical effect observed shows that LLLT has primary effects at the target as well as systemic effects through blood and lymph circulation. Thus, with minimal calculation, the owner of a “hard” laser can have a “soft” laser for free. Least complicated is the surgical diode laser, with simpler calculations and wavelengths within the traditional range of biostimulation.

PHOTOACTIVATED DISINFECTION

There is a growing interest in the combination of different dyes with therapeutic lasers. Neither the dyes nor the therapeutic lasers used alone will have an effect on bacteria, but in combination, singlet oxygen will be produced, which has a strong bactericidal effect.

The photoactivated disinfection (PAD) method is already commercialized and recommended for use in periodontal pockets, periimplantitis, deep carious lesions, and infected root canals.²⁴⁻²⁸ The laser must work within the absorption range of the dye used and is generally in the red spectrum, with a power output of 50 to 100 mW. The selected dye is applied and allowed to diffuse for a few minutes, and then the laser is used. Some transient discoloration may be seen in dentinal and mucosal areas.

CURING LIGHT

All dentists have a composite curing light, typically with a wavelength peak of about 470 nm. Energies delivered are within the low-level laser therapeutic window, but studies of the photobiological effect on the surrounding tissues are largely lacking.

In a 2009 *in vitro* study, Enwemeka et al.²⁹ demonstrated that light-emitting diode (LED) energy with a 470-nm peak successfully kills methicillin-resistant *Staphylococcus aureus* (MRSA). Irradiation produced a statistically significant, dose-dependent reduction in both the number and the aggregate area of colonies formed by each strain of cells. The higher the dose, the more bacteria were killed, but the effect was not linear, being more impressive at lower than at higher doses. Almost 30% of both strains were killed with as little as 3 J/cm². As much as 90.4% of the two different colonies were killed with an energy density of 55 J/cm². This study requires further *in vivo* investigation but suggests an

innovative use of an available dental light source to treat MRSA infection, which has high morbidity and high mortality.

NONCOHERENT LIGHT

Blue light, as in curing lights, has a bactericidal effect in general. Importantly, curing lights are noncoherent, whereas lasers are coherent. Whether or not coherence is important has long been discussed, and some claim coherence is not needed. This misunderstanding is based on *in vitro* studies using cell monolayer that emphasize wavelength and intensity, as discussed earlier.⁵ The *in vivo* situation is different, however, and thus far a relative equivalence between coherent and noncoherent light has only been documented for superficial conditions such as open wounds.

All light has a biological effect, even broadband noncoherent light, given the correct parameters and conditions. Studies comparing coherent and noncoherent light for deeper tissues to date favor coherent light. The excellent biological effects of noncoherent light have not been compared to coherent light.

The *length of coherence* is also important. The length of coherence from a gas laser such as a helium-neon (HeNe) laser is very long, whereas that from a diode laser of the same wavelength is much shorter. A comparison of the effect on gingivitis using HeNe on one side and red diode laser on the other side (both lasers emitting at the same wavelength) showed better results using the longer coherence but same wavelength HeNe. The difference probably can be minimized using higher energies with the diode. Coherence is not lost when light penetrates tissue. However, the length of coherence is split into small, coherent spots called “speckles,” found in all areas reached by the laser light.

ACUPUNCTURE

Few dentists use acupuncture and, unless trained, should avoid it. However, the therapeutic laser seems to produce effects similar to those of acupuncture needles, with some safe points to use. For example, P6 (Neiguan) on the wrist is an excellent point to reduce nausea and vomiting.³⁰ The location of this point is 2.5 cm up from the wrist and 0.5 to 1.0 cm deep. The point is between two tendons. Delivery of 3 to 4 J to this point often allows a more relaxed environment for taking impressions or working in the molar area, especially in patients with a heightened gag reflex. Another easily accessible point is the Li4 (Hegu), a pain-reducing point located in the middle of the second metacarpal bone on the radial side (Figure 15-9).

Functional magnetic resonance imaging (fMRI) has confirmed the similarity between needles and lasers on the same acupuncture point.³¹ However, understanding this phenomenon becomes more difficult because there is no “qi” effect

with the laser. The “gate control” theory would not explain the effects because there is no pain stimulus in the acupuncture point when using a laser.

DENTAL INDICATIONS

Because LLLT can influence so many pathological conditions, the use of the therapeutic laser is not limited to the following indications. More than 30 conditions have been described in the dental literature; the most important are briefly described here.

ANESTHESIA

Applying LLLT to the mucosa before an injection results in a slight anesthetic effect, although this cannot be obtained in the hard palate.³² LLLT applied before the injection will also improve healing, should the needle cause trauma to a vessel or nerve. LLLT improves local microcirculation,^{33,34} so the effect of the numbness can be shortened if LLLT is applied to



FIGURE 15-9 • Hegu acupuncture point being stimulated with LLLT. This point is usually used for general pain relief. Usual dose is 3 to 4 J on a superficial acupuncture point. (Courtesy Gerry Ross.)

the site after completing the dental procedure. From 4 to 6 J is needed in both cases.

APHTHOUS ULCERS

The healing time of aphthous ulcers can be shortened and the immediate pain reduced by administering 4 to 6 J over the lesion.^{35,36} LLLT does not seem to be as effective for aphthous ulcers as the more aggressive approach using surgical lasers (see Chapter 6). Patients with aphthous ulcers should avoid toothpastes containing sodium lauryl sulfate, which may trigger these lesions in predisposed persons.

EDEMA

The lymphatic system plays an important role in the inflammatory process, and LLLT over the involved lymph nodes decreases edema. Start irradiation over the most distal nodes of the chain involved, and work toward the focus of the swelling, using 2 to 3 J per node. Reduction of large edemas requires high doses locally, preferably by IR low-level laser units. The permeability of the lymph vessels will be reduced, lumen size increased, and repeated irradiation will stimulate growth of collaterals³⁷⁻⁴¹ (Figure 15-10). The lymphatic system brings the lymphocytes and natural killer cells to fight the infection. Meneguzzo et al.⁴¹ reported that an 810-nm laser reduced rat paw edema whether the irradiation was performed in the paw itself or over the inguinal lymph nodes.

ENDODONTICS

Use of LLLT does not have a bactericidal effect, and surgical lasers are the preferred instruments to reduce bacteria in infected root canals. Few manufacturers of therapeutic lasers offer probes that could reach the root canals. However, IR light can reach all apices, and visible red light can reach the more superficial apices through the mucosa and produce an antiinflammatory and pain-reducing effect.

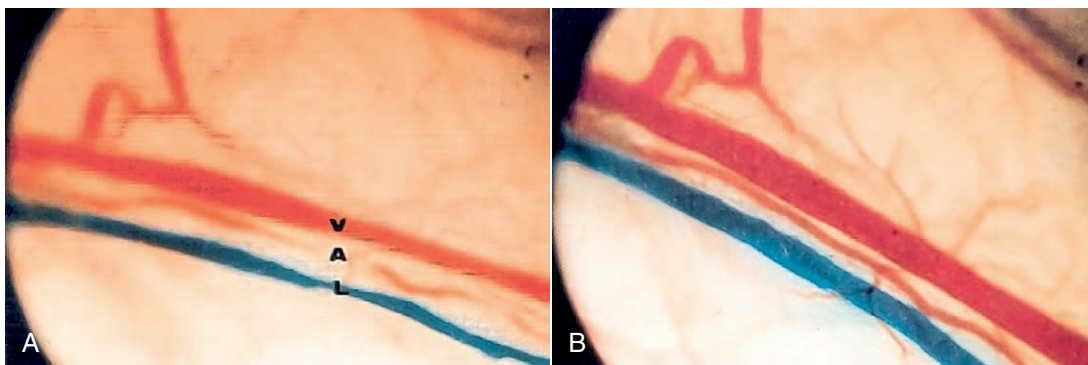


FIGURE 15-10 • **A**, Vein, artery, and lymphatic vessel before irradiation. Note diameter of the vessels. **B**, After low-level irradiation of vessels using laser with 904-nm wavelength. Note dilation of vessels, which leads to increased circulation in the region they supply. (Courtesy Pierre Lievens.)

Sousa et al.⁴² analyzed the effect of LLLT on the secretory activity of macrophages activated by interferon gamma (IFN- γ) and lipopolysaccharide (LPS) and stimulated by substances leached from an epoxy resin-based sealer (AH-Plus) and a calcium hydroxide sealer (Sealapex). The production of tumor necrosis factor alpha (TNF- α) was significantly decreased by LLLT, regardless of experimental group. The level of secretion of matrix metalloproteinase-1 (MMP-1) was similar in all groups.

Laser application after overinstrumentation and overfilling is a good example of an indication for LLLT in endodontics. Because LLLT can stimulate bone formation, apical bone resorption probably can heal faster after completed endodontic treatment if LLLT is applied. Energy needed is related to the depth of the apex, ranging from 4 to 8 J per apex. For the same reason, intraoperative and postoperative LLLT in apical surgery has potential,^{43,44} but solid scientific documentation is still lacking. However, irradiation over the suture line will stimulate fibroblast proliferation and increase tensile strength.⁴⁵

In patients with acute pulpitis, when the affected tooth or root is difficult to pinpoint, the laser can be applied over the apices in the involved area. The affected tooth may react by a pain increase, probably because of increased microcirculatory pressure in the pulpal chamber. LLLT can also be used as an adjunct therapy in pulp capping^{46,47} and pulpotomy^{48,49} (see Chapter 12). In both cases the exposed pulp is irradiated at low intensities, applying 2 to 3 J before traditional methods are used. The irradiation will reduce inflammation, preserve odontoblastic integrity, and stimulate cellular proliferation.

EXTRACTIONS

Nontraumatic approaches and good postoperative procedures are the key to satisfactory healing after extractions. However, the occasional complication is unavoidable. Adding LLLT after the extraction will reduce the inflammatory phase,

induce pain reduction, stimulate the fibroblasts in the wound periphery, and stimulate the osteoblasts in the socket.⁵⁰⁻⁵⁵ High doses of laser energy can focus on the postoperative pain, but to obtain a good healing process, lower power and longer time are more advantageous.

The major goal of LLLT after extractions is to stimulate the fibroblasts to seal the socket. In cases of failure (dry socket), the traditional methods are used in combination with high doses of LLLT to reduce patient discomfort. When the dressing is changed during subsequent appointments, lower doses are given to stimulate fibroblast growth. Not only does LLLT stimulate fibroblast proliferation, but the cells are arranged in parallel bundles as well, creating a smoother area.² This is of particular interest in extraoral surgery when the cosmetic aspect is important (Figures 15-11 to 15-13).

HERPES SIMPLEX VIRUS

Patients with a herpes simplex virus type 1 (HSV-1) eruption may be reluctant to visit the dentist. However, LLLT is the most efficient method of treating this infection.^{36,56-58} In particular, if treated during the initial prodromal stage (when the patient feels the initial tingling), the healing will only take a few days or may even disappear within hours. Importantly, patients with recurrent HSV-1 attacks will experience longer intervals between the outbursts. Large blisters can be opened with surgical lasers (e.g., erbium, CO₂) to empty the fluid before LLLT is applied.⁵⁹ Patients with known recurrences can even be treated during the silent period, if in the dental chair for other reasons.⁶⁰

In the acute stage of HSV-1 infection, repeated irradiations is necessary; irradiation during the prodromal stage may only be a single session of 2 to 6 J over each blister, according to size and duration. The actual effect is not known, but research has confirmed that laser light enables the cell to resist viral attack for a longer period, presumably providing time for the immune system to react⁶¹ (Figure 15-14, p. 272).

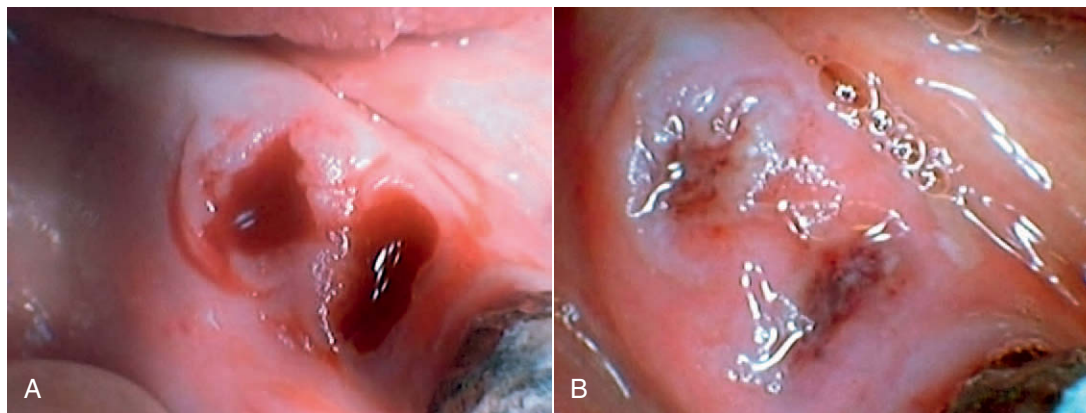


FIGURE 15-11 • **A**, Socket immediately after tooth extraction. **B**, Extraction socket after laser irradiation using 650-nm wavelength at 30 mW, 8 J, 1 day after extraction. (Courtesy Talat Qadri.)

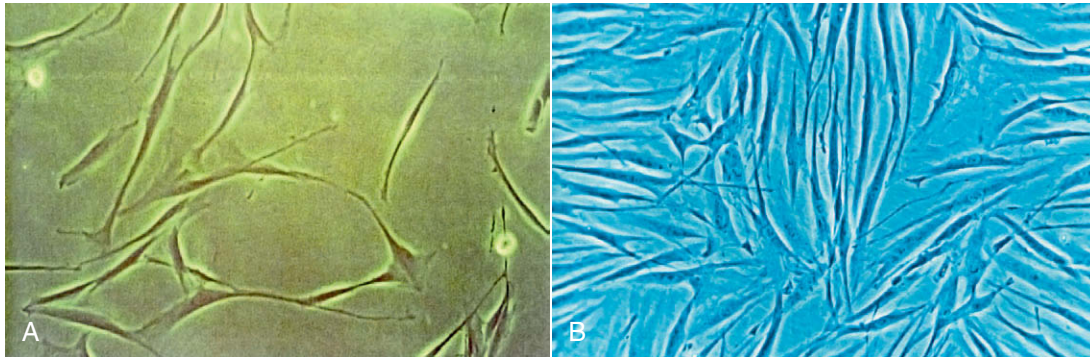


FIGURE 15-12 • **A**, Fibroblasts in a cell culture. Note random alignment of the cells. **B**, Fibroblasts after LLLT using 780-nm wavelength. Note arrangement of fibroblasts in parallel bundles. This may have implications for wound healing and cosmetic procedures. (Courtesy Luciana Almeida Lopes.)

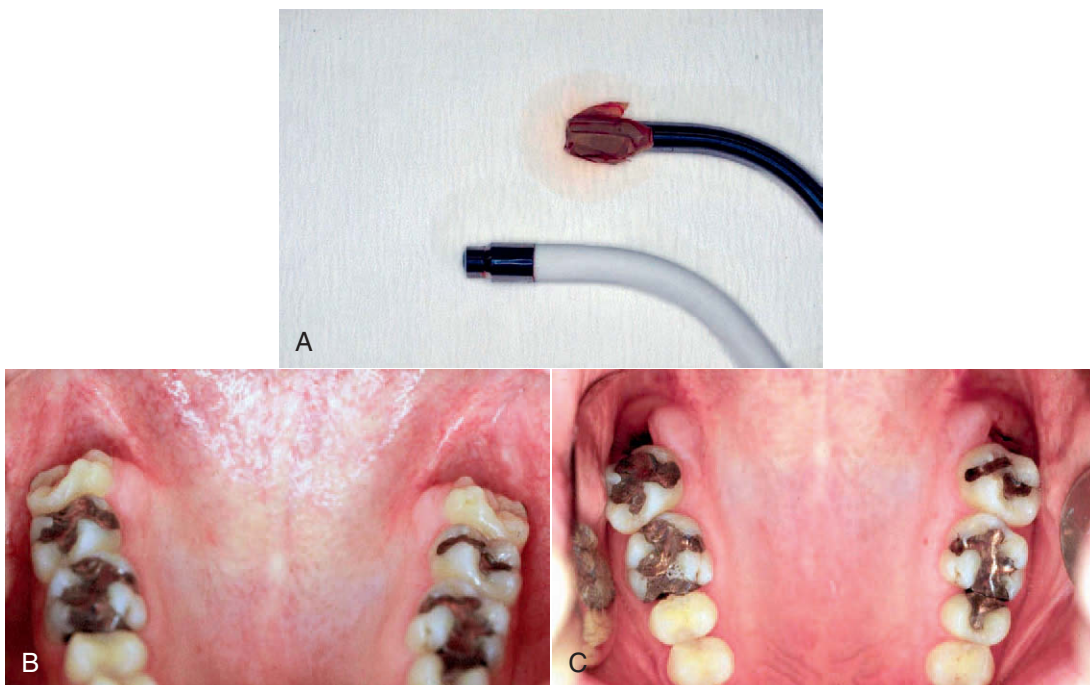


FIGURE 15-13 • **A**, Two identical lasers for treatment of extraction sockets. Top laser has its aperture taped closed and will be used as a “sham” laser (control). **B**, Preoperative view of maxillary third molars. Left side was irradiated with 2 J before extraction. Right side was “sham irradiated” with taped laser. After extraction, both sockets were irradiated with 2 J by sham laser. **C**, One-day postoperative view of extraction sockets. Note left socket treated with LLLT has smaller socket opening with more coagulum. Preextraction irradiation is used to reduce edema and inflammation and to stimulate fibroblast proliferation; less edema = less pain; more fibroblast proliferation = faster healing.

IMPLANTOLOGY

A single dose of IR irradiation after implant placement will reduce postoperative pain and edema. Repeated irradiation sessions will stimulate actual osseointegration. LLLT is also a useful additional therapy in the control of periimplantitis. As with all healing processes, repeated irradiation is necessary; 4 to 6 J over each implant is recommended, in slight contact.⁶³⁻⁶⁷

The effect is most prominent if LLLT is applied immediately after surgery and then for 2 weeks.

Khadra⁶² summarized five studies using the 830-nm wavelength as follows:

LLLT can promote bone healing and bone mineralization and thus may be clinically beneficial in promoting bone formation in skeletal defects. It may be also used as additional treatment for accelerating implant healing in bone. LLLT can modulate the primary steps in

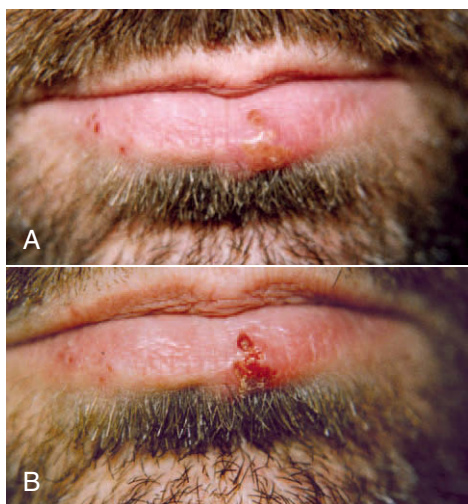


FIGURE 15-14 • **A**, Preoperative view of male patient with herpes outbreak on lower lip. Blisters are in vesicle stage. Outbreak started the day before patient presented to the office. Area was treated with 808-nm laser at 500mW, 4 J. **B**, One-day postoperative view. Lesions have crusted over, and pain has been reduced.

cellular attachment and growth on titanium surfaces. Multiple doses of LLLT can improve LLLT efficacy, accelerate the initial attachment and alter the behavior of human gingival fibroblasts cultured on titanium surfaces. The use of LLLT at the range of doses between 1.5 and 3 J/cm² may modulate the activity of cells interacting with an implant, thereby enhancing tissue healing and ultimate implant success.

Lopes et al.⁶⁵ suggest that the 830-nm wavelength can allow earlier loading of titanium implants.

Kim et al.⁶⁶ found that LLLT influenced the expression of osteoprotegerin (OPG), receptor activator of nuclear factor kappa B (RANK), and RANK ligand (RANKL), increasing metabolic bone activity. RANKL is a surface-bound molecule that activates osteoclasts, cells involved in bone resorption. Overproduction of RANKL is implicated in a variety of degenerative bone diseases, including rheumatoid arthritis and psoriatic arthritis.

Guzzardella et al.^{67,68} report similar stimulative effects at the bone-implant surface with hydroxyapatite implants using a 780-nm laser. Whether the positive effects on bone-implant integration represent a general effect or specific cell stimulation is not yet known, because several wavelengths and dosages have been used (Figure 15-15).

INFLAMMATION

The body's inflammatory response is part of the healing process, along with pain. LLLT will shorten the process, and it is important to understand that acute pain reduction requires high doses, whereas reduction of the inflammatory period requires less dosage. Reducing the pain may satisfy the

patient but may prolong the inflammatory process. The IR dose for inflammation reduction is 8 to 12 J/cm².

As mentioned previously, irradiation of the lymphatic system is a major aspect of LLLT for antiinflammatory interventions. Lim et al.⁶⁹ concluded that 635-nm irradiation and existing COX inhibitors inhibit expression of COX and PGE₂ release. Unlike indomethacin and ibuprofen, 635-nm irradiation leads to a decrease in reactive oxygen species (ROS) levels and mRNA expression of cytosolic and secretory phospholipase A₂ (cPLA₂ and sPLA₂). Bjordal et al.⁷⁰ also emphasize the reduction in PGE₂ levels.

Aimbire et al.⁷¹ reported reduced TNF- α levels in acute inflammation after LLLT. Other studies reported antiinflammatory effects of LLLT.^{72,73} Steroids seem to reduce the effect of LLLT,⁷⁴ possibly explaining previous studies with negative outcome. One study compared dexamethasone (DEX) and LLLT and found similar effects.⁷⁵ With the short-term and serious long-term effects of nonsteroidal antiinflammatory drugs (NSAIDs),⁷⁶ LLLT seems a good alternative, with similar results but without the drug side effects. In a pilot study by Abiko,⁷⁷ DEX and LLLT both produced antiinflammatory gene expression. More genes were expressed by DEX than by LLLT, but those expressed by LLLT were all favorable, whereas those expressed by DEX were a mixture of wanted and unwanted effects (Figure 15-16).

MUCOSAL LESIONS

Case studies confirm that nonmalignant white areas of the oral cavity can be treated with LLLT to reduce symptoms, but curing is still unconfirmed.⁷⁸⁻⁸⁰ Red light seems best for mucosal conditions, although IR light may also be used.

MUCOSITIS

Mucositis is a serious complication following radiation therapy and chemotherapy. LLLT has a radioprotective effect⁸¹⁻⁸³ and should be used before therapy and then during the regimen until the wounds have disappeared. LLLT will reduce pain, xerostomia, and nutritional problems, thus also reducing hospitalization. Red laser, 6 to 8 J/cm², is recommended. Adding IR wavelength further reduces pain but also seems to prolong the healing period.⁸⁴⁻⁸⁹ Many inflammatory mucosal conditions can be treated with LLLT (Figures 15-17 to 15-19, p. 275).

ORTHODONTICS

Low-level laser therapy apparently can increase orthodontic movement along with its pain-reducing effect. Reasonable doses seem to stimulate osteoclastic activity, whereas higher doses seem to inhibit this activity. IR light is used for optimal penetration.

The canine study by Goulart et al.⁹⁰ suggests that LLLT may accelerate orthodontic movement at 5.25 J/cm², whereas a

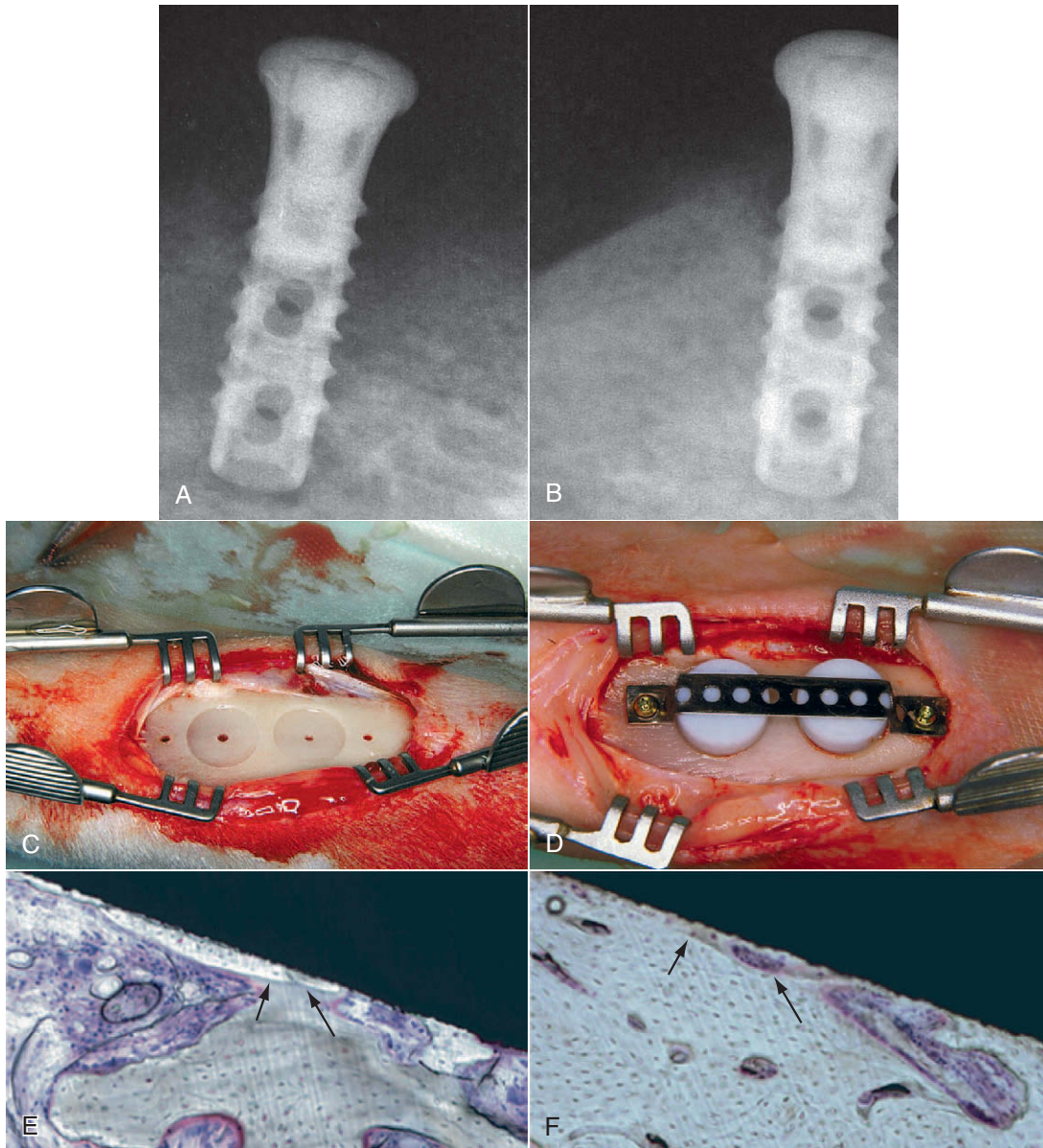


FIGURE 15-15 • **A**, Radiograph of failing 2-month-old implant. Note radiolucent quality of bone surrounding implant. **B**, Radiograph 4 weeks after applying 4 J of energy twice weekly, using 30 mW with 830-nm wavelength. **C** and **D**, Tibial bone in 12 adult white rabbits (8-month-old New Zealand) was used as the experimental area, where two coin-shaped-titanium implants were inserted into the cortical bone, covered with polytetrafluoroethylene cap, stabilized with titanium plate, and retained by two titanium screws. **E** and **F**, Histological views of implant in situ show ground section of periimplant bone with osseointegration at 8 weeks after implantation in the control group (**E**) and the irradiated group (**F**). Histomorphometrical analysis showed that irradiated group had more bone-to-implant contact than the controls (about 10% improvement). (Courtesy Maawan Khadra.)

higher dosage (35 J/cm^2) may impede movement. Therefore it may be possible to accelerate the movement and then stabilize the final position by using higher doses. The accelerating effect at 5 J/cm^2 has been confirmed clinically.^{91,92} Youssef et al.⁹² also reported a significant pain-relieving effect in the laser-treated group. Turhani et al.⁹³ confirmed this, obtaining pain relief from a single exposure of LLLT. Fujita et al.⁹⁴

showed that the irradiation stimulates tooth movement velocity through expression of RANK and RANKL.

The wounds created by the orthodontic appliances can also be successfully treated by LLLT.⁹⁵ It may seem contradictory that LLLT can stimulate osteoblastic activity on one side of the root and osteoclastic activity on the other side. However, both these processes take place in orthodontic movement,



FIGURE 15-16 • **A**, Preoperative view of patient after bicycle crash. Note swelling and bruising on chin and upper lip. **B**, Four-day postoperative view. Note healing and greatly reduced swelling. With low-level lasers, dentist is able to treat not only teeth and gingival margin, but traumatized soft tissues of perioral region as well. One dose of 500 mW, 4 J per zone (chin, upper lip) was applied with 808-nm wavelength.

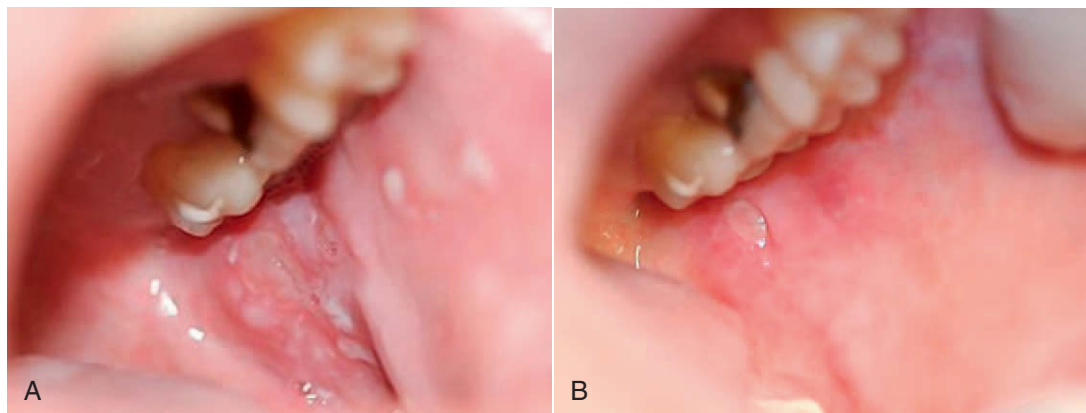


FIGURE 15-17 • **A**, Treatment of oral mucositis after chemotherapy in cancer patient using 660-nm laser at 40 mW, 0.24 J per point; six points were irradiated. **B**, Mucositis after six sessions of LLLT. (Courtesy Alyne Simões.)

and LLLT has a general stimulatory effect. As seen earlier,⁹⁰ however, the effect is dose dependent.

PAIN

Modern dentistry need not be as painful, if at all, as traditional restorative dentistry. The pain-free side of laser dentistry can be improved by using the therapeutic lasers as well.⁹⁶⁻¹⁰⁰ Effects include reduction of nerve conduction velocity, reduction of compound action potentials, selective inhibition of A δ and C fibers, and suppression of noxious stimulation.

The first phase of pain reduction in acute pain is a decrease of the level of PGE₂ and other inflammatory markers. Direct inhibition of peripheral afferent terminals suppresses peripheral sensitization and limits further release of neurokinins. As previously discussed, in vitro studies have confirmed formation of transient varicosities on the axons, impeding pain stimuli from reaching the brain. Reduced inflammation and

a subsequent decrease in inflammatory components may be sufficient; higher doses will cause inhibition of the anti-inflammatory action. However, when immediate pain reduction is the therapeutic goal, energies as high as 50 to 100 J may be needed, using inhibitory doses to decrease neural transmission.⁶

Dosage can be related to patient feedback. In chronic pain, sensitization is more important than inflammation. Sensitized peripheral nociceptors may gradually be desensitized by repeated irradiation at lower energies.

PARESTHESIAS

Several maxillofacial interventions can induce nerve damage, especially on the inferior alveolar nerve. The subsequent paresthesias may disappear shortly or within months, but also may be permanent. LLLT has been shown to counteract the formation of paresthesias and even lessen the symptoms in long-standing aberrations. It is therefore recommended to



FIGURE 15-18 • **A**, Young child with erythema multiforme major. Patient is unable to eat and is in severe pain. **B**, Fifth day of laser treatment. Note gradual improvement of symptoms. **C**, Seventh day of laser treatment. Patient's appetite has returned. **D**, Complete healing of lesions at day 10. (Courtesy Alyne Simões.)



FIGURE 15-19 • **A**, Treatment of 80-year-old woman for nasal carcinoma. Note mucositis affecting the gingiva surrounding upper left incisor. **B**, Postoperative view at 13 days after three sessions of 15 J, 500 mW, 808 nm.

irradiate any area where nerve damage is suspected, even intraoperatively and during follow-up. Using the IR laser at 4 to 6 J per point along the projection of the nerve is a reasonable energy dosage (Figures 15-20 and 15-21).

Khullar et al.¹⁰¹⁻¹⁰⁴ showed that paresthesias of the inferior alveolar nerve can be eliminated or improved. The effect on

motor and sensory functions will vary. Miloro and Repasky¹⁰⁵ confirmed these findings that LLLT has a significant effect on neurosensory recovery after sagittal ramus osteotomy. Ozen et al.¹⁰⁶ treated four patients who still had paresthesias 1 year after third lower molar procedures, all with favorable outcomes.



FIGURE 15-20 • **A**, Patient with paresthesias for 1 year secondary to extraction of lower third molar. Affected region is outlined on the chin. **B**, Greatly reduced area of paresthesias after eight sessions of treatment at 830 nm, 33 mW. Four points along path of inferior alveolar nerve were irradiated with 2 J each. **C**, Complete resolution of paresthesias after 5 weeks and 11 sessions.

PEDIATRIC TREATMENT

Low-level laser therapy can be used for younger patients in the same way as for adults. As with pharmaceuticals, however, the dosage should be adapted to the body weight.

Particular pediatric indications are the pain during tooth eruption and the ability to “numb” a deciduous tooth before excavation.¹⁰⁷ Deciduous teeth have large pulp chambers and are easily reached by the laser energy. If there is an open carious lesion, 8 J is applied on the tooth where the lesion occurs. If there is no open lesion, LLLT is applied to the buccal cemento-enamel junction (CEJ), with an additional 4 to 6 J applied over the apical area. The technique is mainly used on primary teeth and is less successful on permanent teeth. It provides analgesia, supposedly through the release of endorphins, but the main reason for success is that it affects the depolarization of the C fibers, which are nonmyelinated and thus more easily affected by the light. Again, LLLT also can facilitate direct and indirect pulp capping and pulpotomy.⁷⁹⁻⁸¹ In these cases, 3 to 4 J is sufficient to stimulate the odontoblasts (Figures 15-22 and 15-23).

Kurumada⁴⁹ investigated the effect of LLLT on vital pulpotomy and found irradiation-induced stimulation of calcification in the wound surface, as confirmed by Thwee et al.⁴⁸ Paschaud et al.⁴⁶ used different calcium hydroxide products in combination with LLLT and could show that some, but not all, products in combination with the laser stimulated dental bridge formation. In a canine pulp-capping study using lectin histochemistry and collagen immunohistochemistry, Utsunomiya⁴⁷ showed that concanavalin A, peanut agglutinin, wheat germ agglutinin, and collagen (types I, III, and V) were distributed in the fibrous matrix and dentin bridge. The expression of these lectins and collagens occurred earlier in the laser irradiation group than in the control group. (See also Chapter 12.)

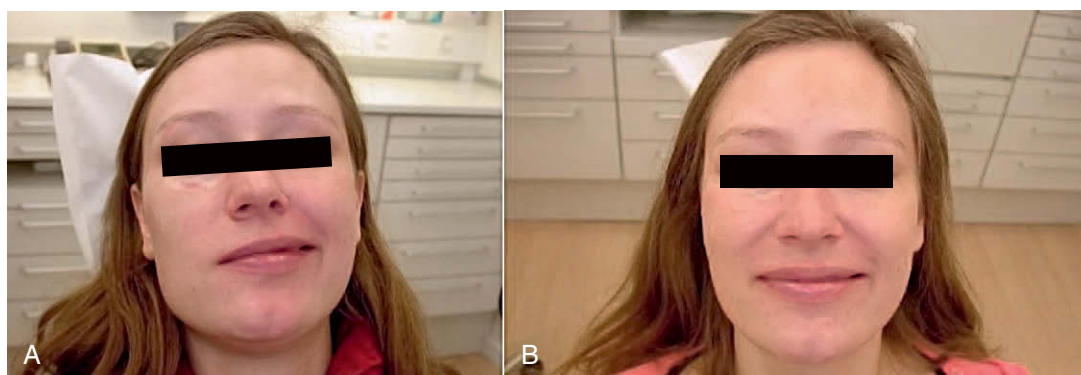


FIGURE 15-21 • Maternal patient with trigeminal paresthesias that occurred during delivery. **A**, Appearance at 3 weeks after delivery. Note increased redness (photo taken immediately after first treatment) caused by low-level laser increasing the microcirculation. Patient was treated for 10 days with 808 nm, 4 J, 500 mW. **B**, Complete resolution of neuralgia at 10 days.

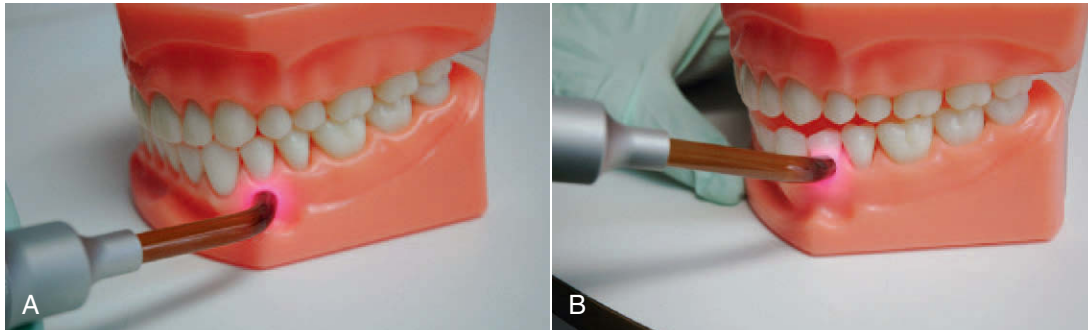


FIGURE 15-22 • **A** and **B**, Irradiation sites for anesthesia of teeth. Root surface through the mucosa (**A**) and gingival third (**B**) are the sites of irradiation. Older teeth with more sclerotic dentin will have less successful outcomes. (Courtesy Gerry Ross.)

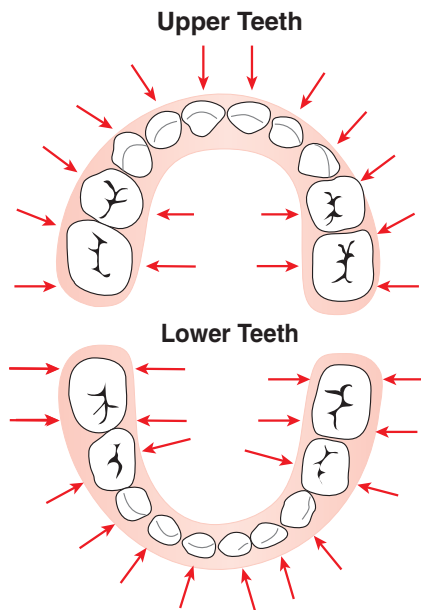


FIGURE 15-23 • Irradiation chart for pediatric anesthesia. Note deciduous molars should be irradiated toward the mesiobuccal and distobuccal. All other teeth should be irradiated in the center of the buccal surface. Deciduous molars also should be irradiated on the lingual surface.

PERICORONITIS

Adding LLLT to the traditional treatment modalities results in faster reduction of edema and pain. A large area is involved and should be covered, not only locally. If fever and swelling below the mandible are involved, LLLT should not be applied until antibiotics have been used; the laser irradiation will improve local microcirculation,^{33,34} possibly spreading the infection into lower areas.

PULPAL PROTECTION

Drilling in a tooth, especially a young tooth, is traumatic for the pulp, even with modern high-speed drills with excellent

cooling. Ideally, LLLT should be used after any use of the drill. Less damage to the odontoblasts and faster formation of collagen and secondary dentin have been demonstrated,^{108,109} even for small and seemingly nontraumatic cavity preparations. A few joules applied to each abutment after preparation, before try-in and cementation, may prevent postoperative sensitivity and future endodontic therapy. For indirect and direct pulp capping, 1 to 2 J over the area is recommended, along with traditional methods. If the laser is strong, irradiate from a distance to avoid inhibiting doses.¹¹⁰

Godoy et al.¹⁰⁹ showed the vulnerability of the dental pulp using premolars selected for extraction due to orthodontic indications. A minimal Class I cavity was performed and filled with composite. One group received 2 J/cm² of 660-nm laser irradiation before filling; a second group received no laser treatment; and a third, no treatment at all. The histological results are shown in Figure 15-24. These findings suggest that laser irradiation accelerates the recovery of the dental structures involved in the cavity preparation at the predentin region.

PERIODONTICS

The chronic periodontal inflammatory process leads to destruction of the periodontal ligament (PDL) and subsequent loss of alveolar bone, mediated primarily by osteoclasts and triggered by PGE₂.¹¹¹ Studies of clinical inflammatory aspects of periodontal tissue verified that patients who underwent conventional periodontal treatment in combination with LLLT presented a more satisfactory prognosis.^{112,113} LLLT reportedly reduces gingival inflammation and MMP-8 expression when applied after scaling and root planing (SRP),¹¹² as well as reducing inflammatory cells in histological findings.¹¹³ Effectiveness of LLLT varies, especially as related to different protocols, wavelengths, and modes used. Ozawa et al.¹¹⁴ showed that LLLT significantly inhibits the increase in plasminogen activator (PA) induced in human PDL cells in response to mechanical tension force. PA is capable of activating latent collagenase, the enzyme responsible for cleaving collagen fibers. LLLT was also efficient in inhibiting PGE₂

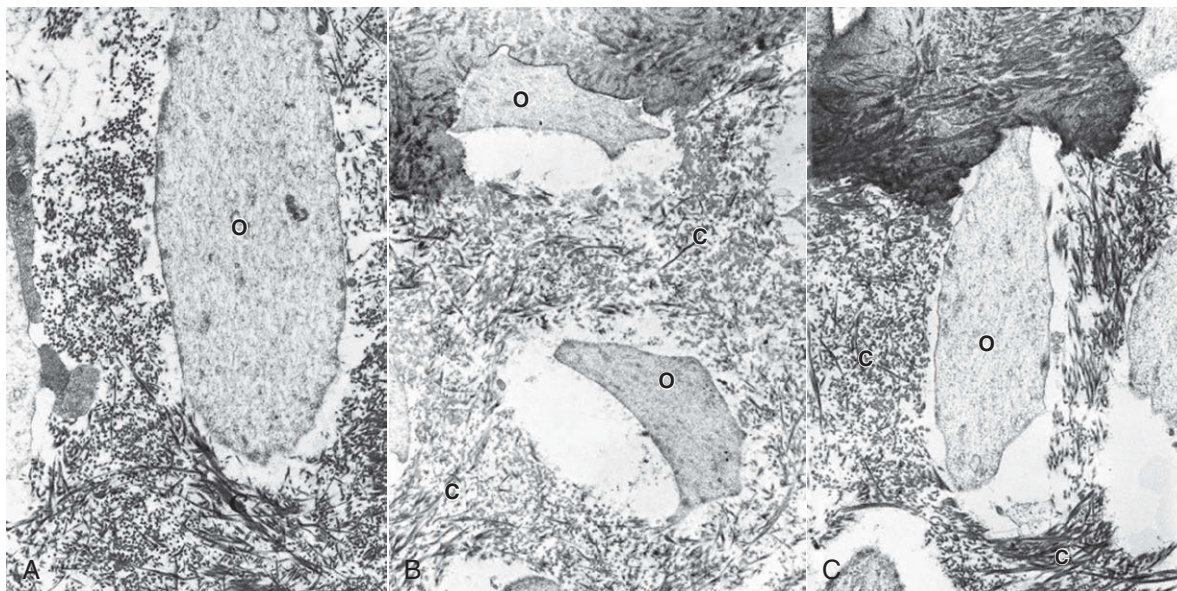


FIGURE 15-24 • **A**, Scanning electron micrograph (SEM) of control tooth with no treatment. Note intact odontoblasts. **B**, Conventional preparation of tooth for minimal filling. **C**, SEM of tooth treated with LLLT before filling of cavity preparation. Note intact odontoblasts. (Courtesy Martha Simões.)

synthesis.^{115,116} In human gingival fibroblast cultures, LLLT significantly inhibited PGE₂ production stimulated by LPS through a reduction of COX-2 gene expression in a dose-dependent manner. The decreased PGE₂ levels in cultures of primary human PDL cells was also verified after mechanical stretching.¹¹⁷ Garcia et al.⁵⁹ found that LLLT was an effective adjuvant to conventional SRP treatment for periodontitis in rats treated with dexamethasone.

Nomura et al.¹¹⁸ verified that laser periodontal therapy (LPT) significantly inhibited LPS-stimulated interleukin-1 beta (IL-1 β) production in human gingival fibroblasts, dependent on irradiation time. In an in vivo study, alterations in IL-1 β concentration in periodontal pockets were not observed, although probing depth and plaque/gingival indexes were more reduced on the laser than the placebo side.^{112,119} Safavi et al.¹²⁰ evaluated the effect of LPT on gene expression of IL-1 β , interferon gamma (IFN- γ), and growth factors (PDGF, TGF- β , bFGF) and found an inhibitory effect of LLLT on IL-1 β and IFN- γ production and a stimulatory effect on platelet-derived growth factor (PDGF) and TGF- β . These alterations may be responsible for LLLT's antiinflammatory effects and positive influence on wound healing.

Applying LLLT after SRP will reduce postoperative discomfort¹²¹ and reduce plaque growth.^{122,123} From 2 to 3 J per point is a reasonable energy. To obtain a final result exceeding that of SRP alone, three or four sessions are needed.

Ideally, LLLT could be used after surgical laser interventions, to combine the bactericidal and coagulating effect of the surgical laser with the stimulating effect of the therapeutic

laser. The therapeutic laser has no bactericidal effect but does trigger the immune system. Besides improved wound healing,¹²⁴⁻¹²⁶ accelerated bone regeneration in combination with different bone graft methods can be expected.¹²⁷ LLLT may be particularly useful in high-risk patients such as diabetic patients¹²⁸ and smokers¹²⁹ (Figure 15-25).

BONE REGENERATION

Regeneration of new bone is of major importance in several surgical procedures and also in periodontal therapy. LLLT should be used at the surgical site after suturing and then during the initial healing period, when the proliferative activity is high. Repeated irradiation, two or three times weekly for 2 weeks, is required for a pronounced effect. There are many studies on the stimulative effect of LLLT on osteocytes and bone marrow cells.^{130,131} LLLT can also be used in combination with guided bone regeneration¹³²⁻¹³⁴ and different bone substitutes.¹³²⁻¹³⁶

DENTINAL HYPERSENSITIVITY

Erbium, CO₂, Nd:YAG, and surgical diode lasers are frequently used to treat dentinal hypersensitivity. Studies mainly focus on obliteration of the dentinal tubules but disregard the laser's additional biostimulatory effect. LLLT will not modify the dentinal tubules but will produce an effect in the odontoblastic layer, stimulate secondary dentin formation, and simultaneously reduce inflammation. In combination with traditional desensitizing agents, LLLT is a valuable treatment modality (Figure 15-26).

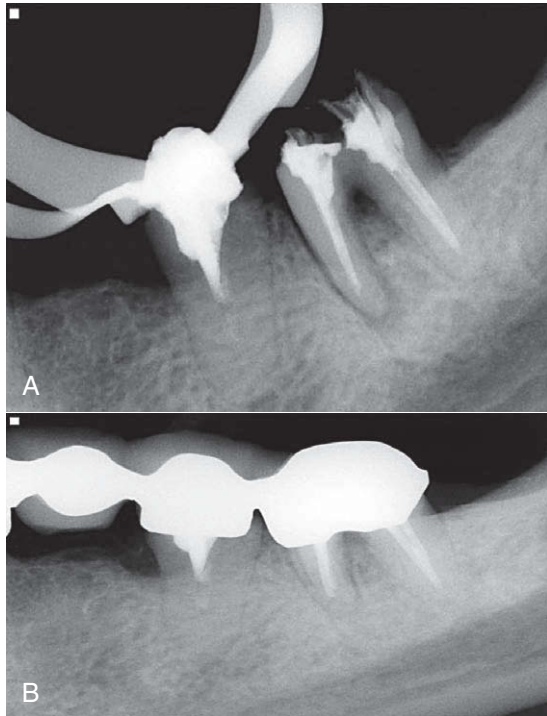


FIGURE 15-25 • **A**, Mandibular molar furcation treated with Nd:YAG laser. **B**, Three-year postoperative view. Osseous growth may result from surgical use of Nd:YAG laser along with low-level effect of wavelength. (Courtesy Talat Qadri.)

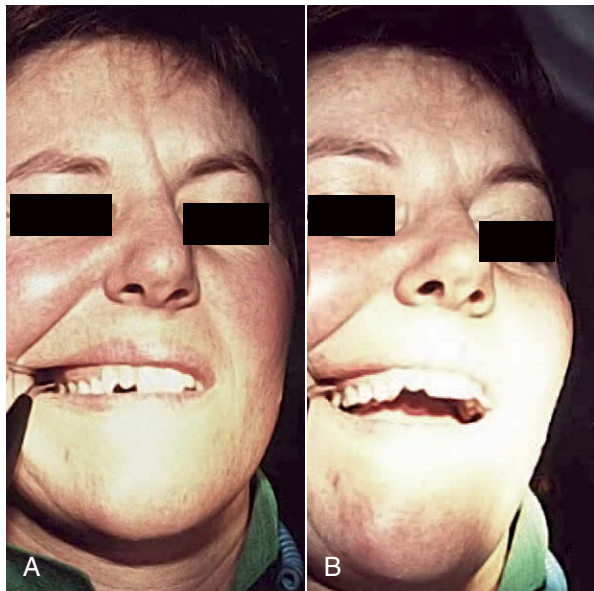


FIGURE 15-26 • **A**, Patient reaction to air from three-way syringe before low-level laser application. **B**, Patient reaction to same air from syringe after one low-level laser application; 830-nm wavelength, 30 mW, and 4 J applied to gingival third of tooth.

Wakabayashi and Matsumoto¹³⁷ showed that a low-level diode laser was effective in 61 of 66 cases. Groth¹³⁸ showed that low-level laser promoted significantly better results, establishing an irradiation protocol of three sessions with an interval of 72 hours between them. Because these studies used IR low-level lasers, Ladalardo et al.¹³⁹ studied the influence of different wavelengths on pain reduction and found the 660-nm red diode was more effective than the 830-nm IR diode laser. Marsílio et al.¹⁴⁰ observed positive clinical results when using low-level laser in the red spectrum, with 86.53% and 88.88% of pain reduction for 3 and 5 J/cm², respectively. Corona et al.¹⁴¹ compared this same wavelength to the fluoride varnish frequently used in the treatment of dentinal hypersensitivity and obtained improved results with LPT. The more sclerotic the pulp chamber, the higher the energies needed; 4 to 10 J is used.

As with surgical lasers, patient feedback determines when dentinal sensitivity is decreased or eliminated. Application can be done at the tooth neck and over the apex. Kimura et al.¹⁴² reviewed the application of different lasers in dentinal hypersensitivity.

SINUSITIS

Reduced breathing capacity through the nostrils is a problem for both patient and dentist. LLLT at 3 to 4 J into the nostrils, or extraorally over the base of the nostrils, will reduce the edema and facilitate breathing by opening the airways. The obstruction of the airways may be caused by infection or chronic allergy; sinusitis may result from dental conditions or infection. The dentist can alleviate symptoms, primarily by irradiating intraorally over the projection of the maxillary sinuses, 10 to 15 J, until the patient reports reduced pressure. The frontal and ethmoidal sinuses may be included in the irradiation therapy. The literature is scarce, and antibiotics for bacterial sinusitis are still the first choice. However, the dental session can be facilitated by adding the laser.

SOMATOSENSORY TINNITUS

Although the etiology of tinnitus and Meniere's disease is unclear, many of these patients can be treated by a dentist,¹⁴³ especially using a laser. These symptoms may emanate from muscular tension,¹⁴⁴ which may be caused by malocclusion, which in turn causes muscular stress.

In cases of somatosensory tinnitus, the lateral pterygoid muscles are often involved. Typically, the left muscle is tender on palpation with premature contact on the contralateral side, whereas both left and right pterygoid muscles are frequently involved with premature contact anteriorly. Premature contacts press the condyle into a retruded position, triggering a nociceptive reflex in the lateral pterygoid, trying to pull the condyle forward.¹⁴⁵ Comprehensive occlusal analysis is followed by careful removal of interferences, then the tender points in the involved muscles are irradiated to facilitate

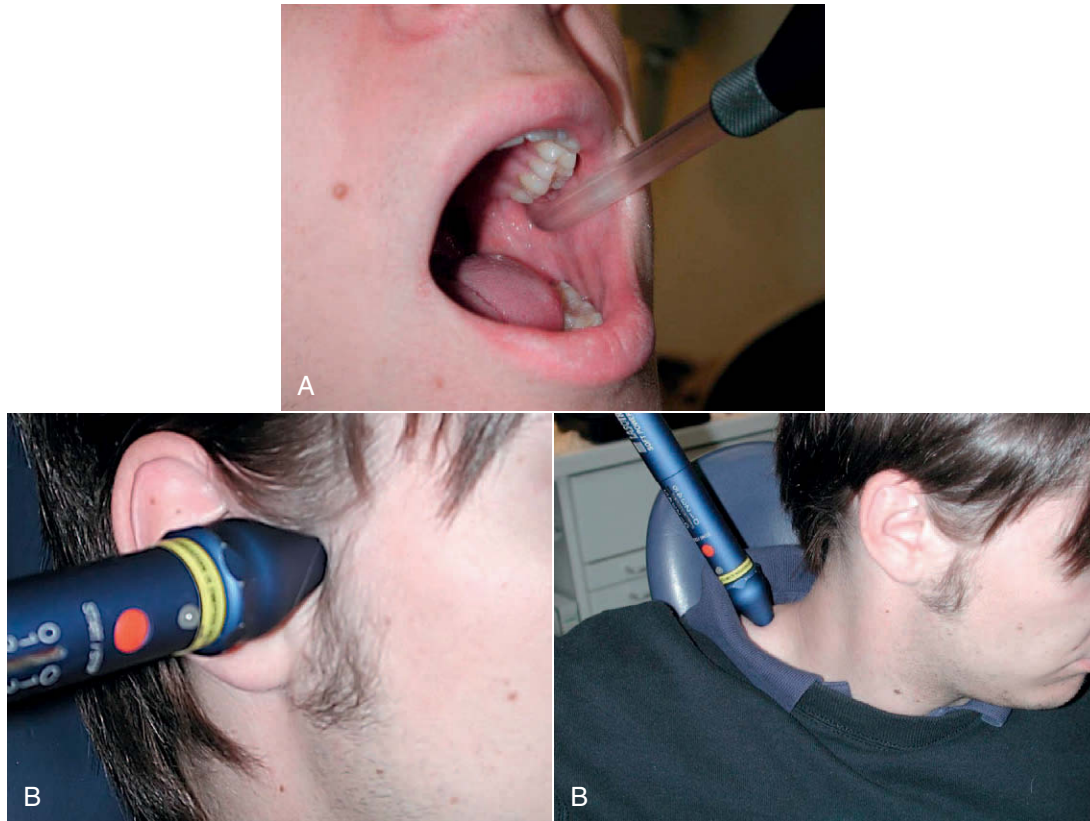


FIGURE 15-27 • Low-level laser therapy for somatosensory tinnitus and temporomandibular joint disorders. **A**, Irradiation of lateral pterygoid muscle. **B**, Irradiation of condyle. **C**, Irradiation of upper fibers of trapezius muscle. (Courtesy Marie Tullberg.)

recovery. The patient should receive information about posture and stress management.

Muscles as far inferiorly as the trapezius are within the dental area in temporomandibular disorders and easily treatable with the laser.^{146,147} Energies are chosen through patient feedback, typically 10 to 15 J per point, depending on the size of the muscle. When palpation pain is subjectively reduced, a sufficient energy has been applied. Rather than “total freedom” from pain, the goal is to initiate a process of muscular relaxation (Figure 15-27).

TEMPOROMANDIBULAR DISORDERS

Temporomandibular joint disorder (TMD) is a multifactorial description of symptoms such as arthritis, malocclusion and bruxism. In the case of arthritis,^{148,149} the superficial head of the mandible (caput mandibulae) is an easy target for any wavelength, and overdosage is more likely than underdosage; 2 to 3 J around the caput is sufficient, but repeated irradiation is needed to reduce the inflammatory process. For muscular problems, higher dosage is necessary, especially for trismus¹⁵⁰; 40 to 50 J may be needed to resolve a severe trismus. Application immediately after surgery requires lower doses because the goal is prevention of trismus. Muscles contain a

large amount of hemoglobin, the main absorber of the laser energy. Therefore, IR light, 6 to 10 J per point, is needed on tender points. Patient feedback will indicate the suitable dosage. The tender point does not need to be pain free; reduced tenderness indicates the desired process has been initiated. The clinical effects are seen in the form of increased bite strength,¹⁵¹ range of motion, and muscular relaxation.¹⁵²⁻¹⁵⁷ The occipital and neck muscles are often involved in the TMD syndrome, as determined by traditional palpation, and can be included in the laser treatment; even the upper trapezius is reachable in the dental chair (see Figure 15-27, C).

WOUND HEALING

In patients with trauma, the dentist also must manage extra-oral wounds. In all wounds, LLLT is an excellent aid in reducing edema and accelerating healing.¹⁵⁸⁻¹⁶¹ Red laser light is considered optimal for wound healing, although IR lasers also work well within their respective therapeutic windows. Laser therapy is often used for wounds created by overextended denture margins. For the patient to be pain free, the dentist may need to remove acrylic flanges excessively, because the area is edematous and tender. Laser use before adjusting the denture flanges will reduce the edema and tenderness.

When the patient reports reduced symptoms, the flange adjustment is complete. With excessive grinding the symptoms will disappear quickly, but with a future underextension and reduced fit.

ZOSTER AND POSTHERPETIC NEURALGIA

General dentists rarely see cases of herpes zoster, but the eighth cranial nerve may be infected. Red laser is more effective in the initial phase with blisters, whereas IR light is preferred for *postherpetic neuralgia* (PHN).¹⁶²⁻¹⁶⁵ The entire dermatome should be irradiated. It is more effective to treat with high energies at spots located a few centimeters apart than scanning the entire area. In the acute stage, 3 to 4 J per point should be applied daily until a distinct improvement has been noted, then every second or third day. Pain will soon be reduced, and treatment can stop when the vesicles have disappeared and the pain is gone. In many patients, however, the signs of zoster will disappear but the pain will remain; this PHN may linger for months or years or for life. In these patients, IR laser is recommended, 4 to 6 J per point over the involved area. The energy should be related to the pain intensity and patient response. PHN is difficult to treat and has no effective pharmaceutical cure. In contrast to NSAID therapy for PHN, LLLT has no side effects.

CONCLUSION

Although the mechanism of action is not definitively understood for many dental applications, low-level laser therapy has shown great success. As more peer-reviewed studies are published on this topic, the use of LLLT in dentistry will only increase with time.

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Introducing Lasers into the Dental Practice

16

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Dental practitioners who incorporate lasers into their clinical treatment options must still follow the basic tenets of dental practice management, but with several unique additions to their routine procedures. Laser use in dentistry allows the practitioner to balance the science of lasers, the artistry of dentistry, and the business of practice management. Offices where lasers are incorporated into treatment options are considered “cutting edge” and have a unique psychological and promotional advantage over those that do not offer such services. Increased credibility is established as an up-to-date facility, where confidence is more easily attained, needs more readily turned into wants, and trust more easily established and turned into referrals.

Catone and Alling¹ state that surgeons should possess at least a “fundamental understanding of qualitative laser physics and essential operation” of the lasers most useful in the clinical practice setting. Proper understanding of the science of lasers in dental practice is imperative in affording the clinician the knowledge and ability to deliver optimal treatment to patients. Many laser procedures are technique sensitive, and thus knowledge of the scientific basis of the treatment will enable the practitioner to improve and refine the techniques associated with the clinical practice and artistry of dentistry.

TEAM APPROACH

The introduction of lasers into the practice should proceed in an orderly, calculated manner. Proper planning will help ensure successful integration of the new laser and its associated changes into the practice. For the introduction of a laser to be most productive, the entire team must be involved. Every team member should be educated on the uses and capabilities of the specific laser. It is strongly suggested that the entire staff attend a laser introductory course together so that all members can raise questions specific to their function as part of the dental team. The interaction of the team members can produce new ideas to accelerate the acceptance of the laser by both staff and patients.

An introductory course on laser dentistry is designed to be an informative overview of the capabilities of the various

lasers currently available. It should include a hands-on segment where the participant tries several different laser wavelengths on a pig jaw. Encourage the entire team to experience the hands-on portion, even members who are not dentists or hygienists, to help them take ownership of the promotion of laser dentistry to their patients.

This type of introduction to laser dentistry allows the participants to experience the type of laser that may be purchased for their office. The practitioner can begin to formulate potential uses for a laser in the practice while considering the other parameters pertinent to the purchase decision.

GENERAL PRACTICE

A general practitioner has the choice of a variety of laser wavelengths. If the practice is focused on cosmetic procedures, a diode laser, neodymium-doped yttrium-aluminum-garnet (Nd:YAG) laser, or carbon dioxide (CO₂) laser would be sufficient. These lasers are also appropriate if gingival recontouring or tissue retraction would be the primary laser procedure used.

If the practice is oriented to family and pediatric dental care, where performing surgical procedures on virgin teeth is a priority, an erbium laser must be considered. However, their large size, weight, air/water requirements, and many components mean that erbium lasers are often delegated to a specific treatment room; therefore, erbium laser-specific procedures must be scheduled according to this room's availability to maximize the laser's use. This may reduce the number of procedures using the laser and thus affect the return on investment of the purchase. In contrast, a small diode laser, although useless for hard tissue procedures, may easily be moved from treatment room to treatment room and can be in constant use by the dentists and hygienists (in states where hygienists are permitted to use lasers).

Once lasers are introduced into a practice, the demand by the clinicians becomes such that more than one laser or more than one type of laser is needed, and a second laser is eventually purchased.

SPECIALTY PRACTICE

The dental professionals in various specialties will approach laser use according to the number and types of procedures they can perform in their practice. For example, oral and maxillofacial surgeons may want an extremely precise yet fast-cutting laser for soft tissue use. Virtually every surgeon who has a laser in the dental office, or who has access to a laser in a hospital, uses a CO₂ laser because of its ability to incise, excise, and remove tissue quickly. Except for a few specific procedures (e.g., TMJ arthroscopy, performed with a holmium laser), the CO₂ is the laser of choice for oral and maxillofacial surgery (OMS). Although these surgeons also work on bone, OMS has not embraced the erbium wavelength for osseous surgery because of its rather slow speed when cutting any significant amount of osseous tissue. Erbium lasers, however, are becoming popular in some OMS practices in states where these surgeons are permitted to perform facial cosmetic surgery, such as skin resurfacing.

An orthodontist may want a laser that is useful for frenectomies, crestal fiberotomies, tooth exposure, and orthodontically induced gingival hyperplasia. An inexpensive diode laser may be sufficient for orthodontic use. Pediatric dentists may find the greatest use for both hard and soft tissue lasers, and thus an erbium or combined erbium/soft tissue laser may be best suited to their needs. Some manufacturers market combined erbium/soft tissue lasers specifically for the dentist who wants the best hard tissue laser and a dedicated soft tissue laser. Similarly, endodontic, periodontic, and prosthodontic practices must weigh the types and number of different procedures planned for the laser in deciding which laser best suits their needs.

The proper selection of a laser for specific practice needs is not the focus of this chapter. The reader is strongly encouraged to attend a laser course in which at least three wavelengths are available for hands-on training and the lecturer owns multiple wavelengths. Attending a lecture where the speaker owns just one wavelength will most likely be skewed toward the purchase of that specific wavelength.

COST OF ACQUIRING A LASER

Cost is always one of the first considerations in the acquisition of a laser. The term “cost” can be viewed in several ways: “the amount or equivalent paid or charged for something; price; the outlay or expenditure (as of effort or sacrifice) made to achieve an object; [or] loss or penalty incurred esp. in gaining something.”²

Opportunity cost, also referred to as *economic cost*, is the cost of “passing up the next best choice” when making a decision. Opportunity cost is “the added cost of using resources (as for production or speculative investment) that is the difference between the actual value resulting from such use and

that of an alternative (as another use of the same resources or an investment of equal risk but greater return).”² Opportunity cost analysis is an important part of a company’s decision-making processes but is not treated as an actual cost in any financial statement.³ Opportunity cost is an important concept because it implies a choice between desirable but mutually exclusive results. Just as the acquisition of a laser has its cost, the choice *not* to purchase a laser also has associated costs. Among these opportunity costs are the loss of income that would have been produced by the new procedures that otherwise are referred out or not done at all, as well as the loss of referrals to the practice for those procedures that could be performed with a laser that were not previously done. Because there is a special perception of the “high-tech cutting edge” image that is projected by the use of lasers in the office, the loss of referrals is an additional opportunity cost lost to the practice resulting from the decision not to use lasers.

The first definition of cost mentioned is the *price*.² The current price of a laser is about \$4000 to \$85,000 or more, depending on the type of laser and the manufacturer. The use of a laser can be paid for in four ways: purchase, finance, lease, and rent. The outright *purchase* of a laser may have distinct advantages if the cash flow of the practice or the assets of the owners allow for this option. Favorable tax laws may make the actual price significantly lower than the invoice price.

The option of *financing* a purchase is usually available from several sources. Often the manufacturer’s relationship with a finance company can facilitate the transaction, although the fees, rates, and terms should be compared to other sources of financing. The practice’s banking or loan institution is another good source of information and perhaps financing. Additionally, many finance companies specialize in capital equipment and may be considered. These same points apply to *leasing* if considered in the acquisition of a laser. Other finance methods, such as purchase by a family trust, pension plan, or limited partnership, are viable options but are beyond the scope of this discussion. Tax consequences differ, depending on the method of acquisition. The practitioner should discuss all the options with a trusted financial advisor.

A fourth way to acquire a laser for use in the dental practice is to *rent* the laser. The rental of a laser could be arranged on an “as needed” or on a scheduled basis. Although this method is common in the medical field, it is rare in dentistry because of the “cottage” nature of dental practices, as well as the relatively low prices of dental lasers compared with medical lasers. Dentistry is considered a cottage industry because the vast majority of practices are solo or small groups of five or less clinicians. This smaller number in a dental practice compared with the hospital setting, where many surgeons would have access to expensive capital equipment and could generate a large number of procedures, makes the cost/benefit ratio unfavorable for a company to rent equip-

ment on a per-diem or per-procedure basis to smaller dental offices.

Many other considerations go into the “cost” of acquiring a laser, including the ergonomics. In urban areas where office space is at a premium, most dental treatment rooms are small. When considering a small, tabletop diode laser, size and plumbing requirements are not a factor. With the footprint of an erbium laser, however, the following questions must be considered:

- Will the laser fit comfortably into the treatment room?
- Will the treatment room need to be remodeled to allow for easy access to the laser?
- Will a “water quick connect” be needed? An “air quick connect”?
- Will plumbing or carpentry costs be incurred from placing the laser in the treatment room?
- Will a refillable easy-access water bottle be needed and available?

Disposables are another factor associated with laser use. Erbium lasers have many disposable items whose price should be compared, as follows:

- Does erbium manufacturer A give you 20 sapphire tips when your purchase the laser?
- Does manufacturer B give you 10 sapphire tips and 10 quartz tips?
- What is the replacement cost of the sapphire tips? The quartz tips?
- How many uses can be expected from the tips?
- How do I adjust my fees for operative dentistry now that I am using a laser with a cost per “bur” (sapphire or quartz tip) of \$10 to \$85, rather than 99¢ for a carbide bur?
- What is the replacement cost of the fiber? Does the fiber have a full warranty (how long?), or is the fiber warranty pro-rated based on length of service?
- What does a service contract cost?
- If the laser breaks down, how fast will I receive a loaner or service call?

All these questions must be answered before the true cost of the laser over time can be fully determined.

LASER AS PROFIT CENTER

There are many ways to evaluate the viability of a laser as a profit center in the dental office. Time is money, and all practices must gross a certain hourly income to flourish, so the ability to perform many procedures more quickly and efficiently means extra income. Procedures that can be performed much more quickly and efficiently with lasers include (but are not limited to) the following:

- Laser tissue retraction for impressions
 - Implant recovery and impression immediately after uncovering
 - Gingivectomy to improve access for operative dentistry, especially Class V lesions and root caries in geriatric patient
 - Multiple-quadrant operative dentistry
 - Soft tissue and hard (osseous) tissue crown lengthening
 - Smile lift procedures
 - Apicoectomy
 - Exposure of unerupted teeth
 - Frenectomy
 - Implant placement/sinus lift/periimplantitis treatment
 - Vestibuloplasty before full-denture fabrication
- Procedures not previously done that enhance the results of routine procedures include the following:
- Ovate pontic site preparations
 - Periodontal pocket disinfection
 - Graft donor site palliation
 - Graft bed site preparation
 - Esthetic remodeling of bulky graft sites
 - Esthetic crown lengthening/gingivoplasty
 - Melanin depigmentation
 - Laser tooth whitening
 - Tooth desensitization
- Certain referred procedures now stay in the practice, as follows:
- Tuberosity/torus reduction
 - Biopsy
 - Orthodontic and drug-induced tissue hypertrophy
 - Osseous periodontal surgery/de-epithelialization
 - Lingual frenum removal
 - Oral medicine procedures, such as treatment of aphthous ulcers, herpetic gingivostomatitis, and lichen planus

RETURN ON INVESTMENT

Once the laser has been purchased, good business principles dictate that there should be a fair return on investment (ROI). Just to break even, the income generated by the laser must include covering the price of the laser, maintenance, and supplies, as well as an additional amount to cover the income lost from the money used to purchase the equipment and not otherwise generating its own income. The profit exceeding the break-even point is the actual ROI.

Some of the items that should be included in this ROI would include profits from the following:

1. New procedures performed with the laser.
2. Certain procedures no longer referred, because a laser is now available.
3. Referrals to the practice resulting from acquisition of a laser.

In this way a laser could be considered a profit center.

Table 16-1 demonstrates the significant positive financial impact a laser can have on the bottom line. It also illustrates possible income derived from the use of a laser for just a few procedures per month with very low fees (not using laser to full potential). If the laser's price is \$50,000, the income over this price in the first year is almost \$40,000, so the laser would almost pay for itself in 1 year.

If you examine your practice for 1 week and track the number of procedures that could be performed with the laser, you can use Table 16-1 as a worksheet to evaluate the potential profit a laser can bring to your practice. Just plug in the number of procedures you tracked for the week, insert your fees, and do the calculations. Remember that this rough calculation does not include the amount of hours per week saved by using the laser for procedures that save time compared with conventional techniques (e.g., gingival retraction, implant recovery, gingivectomy), which will allow you to see more patients per week, thus generating even more income. This rough calculation also does not take into account the reduced time per week spent tending to postoperative visits for discomfort after surgical procedures, which are greatly reduced when lasers are used. Strauss⁴ emphasizes that "one of the

main advantages of using the laser is the lack of postoperative problems and the minimal need for wound care."

TRACKING

To evaluate the financial return of introducing a laser into the dental practice, the income derived from the laser must be known over time. Current computerized practice management systems simplify tracking the factors used to determine the performance of the office profit centers, using key performance indicators (KPIs). The tracking of desired KPIs starts by listing the factors used in evaluating the success of the profit center, as follows:

- Procedures performed with a laser
- Patients referred to the practice by other patients for laser procedures
- Patients referred to the practice for laser procedures by other professionals (dentists, physicians)
- Patients who come to the practice because they know a laser is available

UNIQUE SELLING PROPOSITION

With the introduction of a laser into the practice, you can now introduce an entirely new area of marketing to your patients: the unique selling point, or unique selling proposition (USP). A laser is still relatively uncommon, whereas other USPs might

Table 16-1

Return on Investment (ROI) Chart for Dental Laser Purchase

Treatment	#/Month	Each	Month
Gingival cosmetic recontouring	10	\$75	\$750
Ovate pontic site formation			
Gingival hyperplasia treatment			
(All procedures: per tooth)			
Melanin depigmentation/amalgam tattoo	1	\$250	\$250
Lingual frenectomy	1	\$350	\$350
Biopsy	1	\$300	\$300
Operculum release or tooth exposure	1	\$225	\$225
Venous lake removal	1	\$275	\$275
Aphthous ulcer	2	\$75	\$150
Sulcular debridement/quadrant	8	\$200	\$1600
Cervical sensitivity	2	\$50	\$100
Tuberosity/torus reduction	1	\$300	\$300
TOTAL			\$4300
Monthly lease			(\$1000)
Monthly profit			\$3300
Annual profit	12		\$39,600

be common to many practices, such as tooth bleaching or veneers. This provides the opportunity to capitalize on having a laser.

The USP is a marketing concept first proposed as a theory to explain a pattern among successful advertising campaigns of the early 1940s, making unique propositions to the customer that convinced them to switch brands. The USP is the “factor or consideration presented by a seller as the reason that one product or service is different from and better than that of the competition.”⁵ The USP of having a laser can be highlighted by emphasizing the following advantages of laser dentistry:

- Nonsurgical periodontal treatment
 - Less need for antibiotics and analgesics
 - Easier healing
 - Less bleeding
 - Less postoperative discomfort
 - Less chair time
 - No scalpels, no blades, no cutting
- Dental practices with erbium lasers can highlight the following:
- Reduced anxiety or fear of the drill
 - Reduced noise; no more “whine” of high-speed handpiece
 - Anesthesia-free operative dentistry
 - Restorative dentistry without numb lips
 - Multi-quadrant operative dentistry, with faster completion of treatment plan

ADVANTAGES AND INFLUENCE

Lasers are considered the standard of care in ophthalmology, dermatology, plastic surgery, and many other disciplines. Most patients have a friend or relative who has had laser treatment for diabetic retinopathy, a dermatological disorder, or plastic surgery procedure. The term *laser* invokes a positive attitude and response in consumers of medical services, who associate it with the latest medical advances. The perception is that laser treatments are better, faster, and less painful, with higher success rates.⁶ The practices that offer laser treatment are generally viewed with more confidence and as more patient-oriented offices that provide better treatment and services. Wigdor⁷ surveyed 100 patients on their perception of lasers and found that 69% thought that lasers would make their visit to the dentist easier.

SETTING FEES

An initial question on incorporating a laser into the practice is how to charge for laser procedures. Several philosophies may be used to set fees for laser dental procedures. At one extreme,

the fees are set based on an hourly rate plus a charge for materials. The other extreme allows for the dental insurance company to set the fees, regardless of the real costs. Most practices, however, use what they have developed as a *standard fee schedule*, or what the insurance industry might refer to as the office’s *usual, customary and reasonable* (UCR) fee schedule.

The approach for many offices that incorporate lasers into their practice is to leave the fees as they are and simply benefit from the increased productivity, as follows:

1. Efficiency and time savings of laser use.
2. New procedures now performed in the office.
3. Enhanced procedures being performed.
4. New patients attracted to the office.

Another approach is to add a surcharge for any procedure using the laser. A third option is to increase all the fees across the board by a certain percentage to help cover the added expenses, or simply because adding a laser provided a good reason, possibly long overdue, to update the office fee schedule.

DENTAL UCR FEE REPORTS

One way of gauging the fee level compared to the rest of the dental community is to use a “fee subscription service.” Determining the correct fee schedule is an important annual decision. Two types of fee reports are available to dentistry: those based on “surveys,” where offices from each area voluntarily submit fee information, and those based on insurance company data from actual claims. These reports allow clinicians to determine where they want to set fees as a percentile of the fees charged in the community. A dentist that offers laser services will be in at least the top 50th percentile of the community and most likely much higher. These services allow fees to be compared with the 40th, 50th, 60th, 70th, 80th, 90th, and 95th percentile fees and provide “geographic multipliers” for all U.S. three-digit zip code prefixes.^{8,9} Fees differ significantly relative to the cost of living in different locations.

It is difficult and time-consuming to determine a fair fee for dental services and still remain competitive. Knowing what value the marketplace and third-party payers place on dental procedures performed in your community allows you to set your fees at a level that will best achieve your practice goals. These reports are excellent sources of information when reviewing or updating your fee schedule. Don’t price yourself out of the marketplace without knowing what third-party payers may allow.

PREPARING THE STAFF

After you have decided to provide laser-assisted dental services to your patients and have chosen an appropriate laser for your practice, the next step is to be able to deliver those services at

least to the community's "standard of care." This standard of care begins with proper training for all staff involved with delivery of dental services (dentists, assistants, hygienists, administrative staff) and at least successful completion of a comprehensive overview of laser dentistry. The Academy of Laser Dentistry (ALD) Standard Proficiency Certification Course meets the Curriculum Guidelines and Standards for Dental Laser Education developed by the University of California–San Francisco College of Dentistry,¹⁰ which are recognized by many organizations, states, government agencies, and universities. In December 2005 the Board of Dental Examiners of Nevada passed language in Chapter 631 of the Nevada Administrative Code (NAC) that requires educational criteria for these guidelines, as interpreted by ALD. Dentists and dental hygienists must follow the new regulations presented in sections NAC 631.033 and 631.035, as follows:

Each licensee who uses or wishes to use laser radiation in his practice of dentistry or dental hygiene must include with his application for renewal of his license:

1. A statement certifying that each laser used by the licensee in his practice of dentistry or dental hygiene has been cleared by the Food and Drug Administration for use in dentistry; and
2. Proof that he has successfully completed a course in laser proficiency that:
 - (a) Is at least 6 hours in length; and
 - (b) Is based on the *Curriculum Guidelines and Standards for Dental Laser Education*, adopted by reference pursuant to NAC 631.035.

A standard proficiency certification course includes the curriculum for basic education in laser use and specific device instruction with demonstrated proficiency in didactic and hands-on knowledge. Hands-on exercises include demonstration and clinical simulation with appropriate oral tissues (e.g., cow or pig jaws) and must meet participation course guidelines. Practitioners must demonstrate competency in the safety aspects of laser use. *This is the level of education that defines the standard of care.* Dental auxiliaries are encouraged to demonstrate competency in the safety aspects of laser use.

These courses are available through ALD's "recognized course providers," as well as several major dental meetings, dental school continuing education programs, and state and local dental societies. At least one laser manufacturer mandates that each laser customer participate in this type of course as a condition of purchase. Many "professional liability" insurance carriers now require proof of proper training for policy holders engaged in laser procedures (e.g., AIG's Dentist's Advantage Professional Liability Insurance). Additionally, from a medical-legal position, proper laser training is indispensable.

PREPARING THE PATIENTS

As a dentist, you can introduce lasers to your patients in many ways. The most subtle approach is to wait until an appropriate

use of the laser arises, then inform the patient that you are going to use a laser to accomplish a specific procedure, and discuss why you are choosing a laser over other conventional methods. This is the basis of informed consent and is the minimal introduction used to inform patients about laser use in the practice. From that level, you may then implement marketing (internal and external) and promotional options now available to the dental profession.

INTERNAL MARKETING AND PATIENT EDUCATION

Internal marketing is one of the simplest and most productive business promotional activities that can be used to enhance patient relations. Internal marketing educates the employees about the practice's new services and alerts existing patients to new options through in-office promotional devices.

Prerecorded Educational Videos and Computer Simulations

The reception room could have a video playing that describes the new laser and the enhanced procedures. These video programs, often available from dental supply companies (e.g., "Guru" from Sullivan Schein Dental, "Casey" from Patterson Dental Supply) have sections on laser dentistry that can be adapted to your office, with news or promotional clips made for the office or featured on local or national news. An informed patient becomes an excellent, satisfied patient, with fewer surprises during treatment and postoperatively. The patient who has formed a positive opinion can now inform other family members, co-workers, and acquaintances, creating another source of patient referrals.

Posters

The strategic placement of posters (e.g., waiting room) can spark questions or discussion about the laser treatments depicted. Before-and-after pictures show laser use in "patient friendly" procedures such as cosmetic recontouring of a gummy smile before veneer placement, bleaching, and tooth-colored fillings prepared with a laser without anesthetic. These posters tell the patient that not only do you offer laser procedures, but that your patients are satisfied with the results. Check with the laser manufacturer or distributor about poster availability.

Patient Information Brochures

Brochures on lasers are available from several sources, including laser manufacturers, dental supply companies, and the ALD. Brochures can often be personalized, or you can have customized brochures produced in-house or professionally (Figure 16-1).

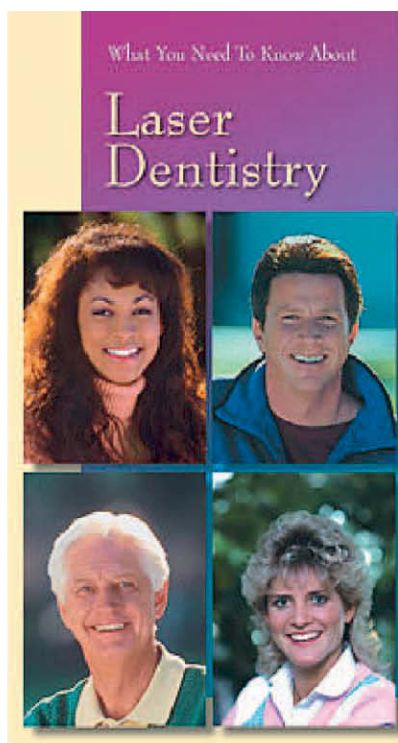


FIGURE 16-1 • Laser brochure typical of those available from the Academy of Laser Dentistry (ALD), Smart Practice, and other sources.

Photographs

Photographs are one of the best means of communication available. Take photos of the various laser procedures you perform, or secure photos from the manufacturer and make a book of laser procedures that you and your staff can use to show patients their recommended treatment. Also, a clinical atlas with preoperative and postoperative photos of most laser procedures is useful in explaining procedures to patients.¹¹

If you take photographs of your patients, be sure to obtain proper releases before using the photos. Make certain that the photos are preoperative and fully healed postoperative views. Patients are turned off by intraoperative photos or those that show a bloody or healing surgical site.

Staff's Role

All administrative staff members have a role in internal marketing to the current and prospective patients. This includes all members of the “front desk”: the receptionist, financial coordinator, insurance coordinator, and patient care coordinator. If they have experienced laser dental procedures, they can speak with firsthand knowledge, experience, and enthusiasm. This affords special opportunities to influence the patient

as a consumer. The attitude and involvement of the office team are critical to successful integration of a dental laser into the practice. The clinician should make educational opportunities available to all personnel, who should experience laser procedures so they can use personal testimonies. Consider allowing your employees to offer selected new laser services at a discount. If your employees become advocates of your services, they will become your best referral source for new patients. Nothing is better than word-of-mouth advertising.

Front Desk

The receptionist has unique opportunities to promote the practice when greeting patients and answering the telephone. She should have a clear understanding and working knowledge of the use of your laser so that she can answer basic questions. She should be able to relate to the patients the advantages, and thus the value, of laser use. Gaining the patient's confidence for future treatments is a major goal. If your practice uses a “message on hold” system, laser use should figure prominently in the message.

Patient Care Coordinator

The patient care coordinator has several opportunities to promote the practice when interacting with patients and reviewing treatment proposals. She too should have a clear understanding and working knowledge of the use of your lasers so that she can answer basic questions. She should be able to relate to the patients the advantages of laser use, such as greater comfort, quicker healing, less postoperative discomfort, little to no bleeding, greater precision, and less need for anesthetic or antibiotics, reinforcing its value to the patient. The patient care coordinator may also be the person to discuss informed consent with the patient, both verbally and in writing, requiring confirmatory signatures of the patient, the person reviewing the information, and the dentist. The patient must be given the opportunity to discuss any questions with the dentist before the procedure is performed (see later discussion on informed consent).

Financial Coordinator

The financial coordinator should be familiar with the fee structure and how charges are made for laser procedures. If there is a special charge for laser use, she must be able to convince the patient that the extra fees are well worth the added expense. She should be able to discuss any payment and billing options offered to make the choice as easy as possible.

Insurance Coordinator

The insurance coordinator must be familiar with how to code any of the procedures for which a laser is used. The general

rule is that the procedures are coded as they would normally be, and the appropriate fees charged. The use of a laser is not indicated on any insurance forms. A laser is an instrument that is used to perform or assist in performing a procedure—it is not a procedure in itself. One exception exists to this rule: the American Dental Association (ADA) code “D7465 destruction of lesion(s) by physical or chemical method, by report. (Examples include using cryo, laser or electro surgery).”¹² In this very limited procedure, the entire lesion is ablated (vaporized), and no pathological tests are done. The authors do not suggest using this code, because any lesion removed must be biopsied to obtain the correct pathological diagnosis.

For many procedures, laser dentists provide narratives with the claim form to help the insurance company properly process the claim. General codes can be used along with the narratives; for example, the D3999, D4999, D7999, and D9999 codes are, respectively, unspecified endodontic, periodontal, oral surgery, and adjunctive procedures, “by report,” whereby narratives can be used to describe those procedures performed that do not otherwise fit the available ADA code descriptions. These should be used judiciously with proper documentation. These may be labeled “Laser Assisted Procedure.” It is important to note that insurance companies most likely will not pay for this code, but the use of this code on insurance forms may show the patient that you are doing everything possible to maximize the patient’s insurance reimbursement (Box 16-1).

Stationery

Your stationery should reflect that you are now a laser dentist. Business cards, appointment cards, recall cards, and any other printed matter with your name should have the international logo of lasers and the phrase “laser dentistry” (Figures 16-2 and 16-3).

EXTERNAL MARKETING

External marketing, promoting your practice to potential prospects, is still a relatively new phenomenon for dentists. Some dentists still have difficulty with the acceptability of “advertising.” Willis¹³ states that “educating potential patients

to the procedures you offer actually provides a service. There are many individuals looking for a dental home or a specific service you may be offering that they need.”

External marketing can become expensive for the dental practice. A well-designed and carefully planned marketing plan is recommended if anything more than the simplest methods of advertising are used. Also, if properly chosen, the results and savings from experts in dental marketing can be well worth their cost.

Signage

Perhaps one of the least understood forms of external marketing is the street sign. It is your calling card to the community, and it can affect the perception of your practice in the community. You should carefully consider the type of sign and the information you want the sign to convey, consciously and subconsciously (Figure 16-4). According to Du Molin¹⁴:

“The issues are much more complex than just what to say on your dental office sign. You have to take into consideration the position of



FIGURE 16-2 • Sample appointment/business card showing a stylized version of the international symbol of lasers.

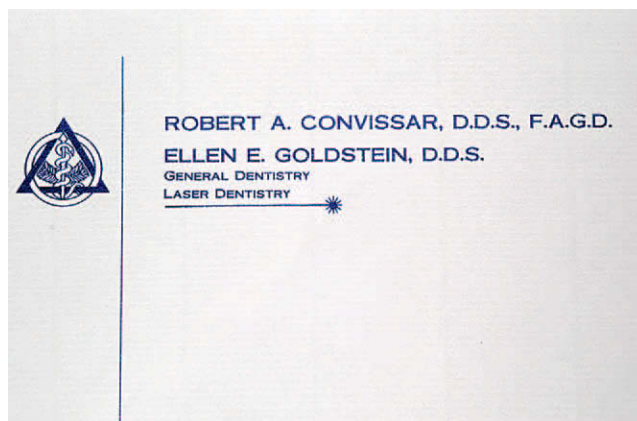


FIGURE 16-3 • Sample letterhead displaying international symbol of lasers.

BOX 16-1

Example of Descriptive Coding for Insurance Documentation of Laser Procedure

“Laser treatment provided to lower the microbiological flora in the tooth pocket after periodontal therapy—minimizing further infection and enhancing tissue reattachment.”

ADA Code D4999

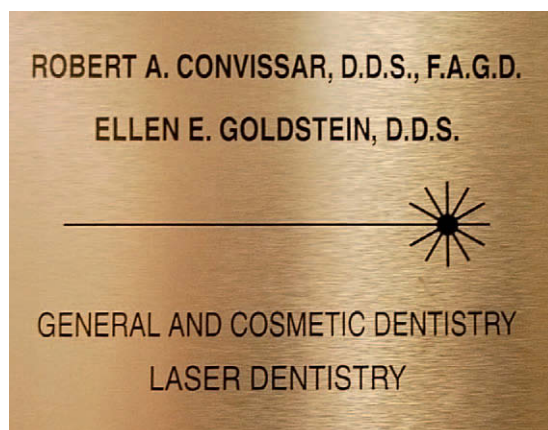


FIGURE 16-4 • Sample office sign displaying international symbol of lasers.

the signs relative to the building and the flow of traffic. And, of course, observe the all-important signage zoning codes. The economics of good dental signage are too important to just wing it. A well-designed set of signs—you'll notice I used the plural, meaning more than one—can easily put \$1,000,000 extra into your pension fund for retirement."

Direct Mail

According to the ADA Intelligent Dental Marketing (ADAIDM)¹⁵:

When executed properly, direct mail can yield more new patients per dollar spent than any other type of advertising available to dentists. New patients can be targeted by zip code, carrier route and even income or age. Dentists from all over the country have successfully created new patient flow quickly and painlessly by using . . . direct mail.

Targeted direct-mail postcards are easily custom-designed and can be eye-catching and cost-efficient. Postcards can offer a creative and effective way to reach your target audience. Postcards can be used to introduce new treatments or services in laser dentistry and make special offers to attract new patients.

Another direct-mail piece for both internal and external marketing is the newsletter. These can be designed in-house or professionally. A special issue that highlights the introduction of a laser into the practice could be sent to existing patients, and extras could be used as a direct-mail item sent to designated demographic populations.

Yellow Pages

Probably the most common method of external marketing of dental services is the use of the yellow pages or a similar

telephone directory. The listings can be as simple as a name and telephone number, to an elaborate color package with advertising, in-column ads, boldface listings, and other combinations. Often the packages include coordination with other media, such as yellowpages.com and associated online search engines. The USP of laser use should be strongly emphasized in an ad or even the focus. The producers of these publications will assist in all phases of design, development, and production. They may be highly knowledgeable about their markets; however, it is often wise to seek the assistance of a marketing expert with experience in the dental field.

Newspapers and Magazine

Advertising and marketing programs in newspapers are now commonplace in the dental field. As with phone directory advertising, a well-planned and coordinated program will save much in terms of time and expense while yielding the best returns. Some programs are designed to run multiple small ads, whereas others run one or two larger positions. Lasers often make a good focal point for your ad and can be a significant USP in the community.

Both the readership of newspapers and the use of telephone directories continue to decline, a direct result of Internet use. This might allow for better rates for advertising programs once considered too expensive.

Website

The Internet is becoming the source of choice as the demographics of the U.S. population change. The generation who grew up with Internet accessibility and cell phones as the norm has become dependent on electronic media, their primary source of information. Any dental practice interested in attracting new patients must have a website. More importantly, an office positioning itself as a cutting-edge, high-tech practice must have a website to match that image. Many website designers have experience with dental offices, making a professional, customized website easily available. Search engine optimization (SEO) has become essential when designing websites; companies can assist you in optimizing your place in a given Web search. A website is now another part of the dental office's overall marketing plan that requires careful planning, coordinating, and budgeting.

Other Forms of Mass Marketing

Billboards, mass-transit marketing, and broadcast media (radio, television) are less frequently used by dental practices. In some markets these can be affordable and demographically targeted as part of an overall marketing plan, whereas in larger markets the costs can be prohibitive. Again, all these external marketing options must be carefully planned, coordinated, and budgeted for the best ROI.

MARKETING TO OTHER PROFESSIONALS

Often-overlooked sources of referrals are other local professionals when lasers are introduced into the dental practice. Potential contacts include physicians, pharmacists, physical therapists, speech pathologists, and chiropractors, as well as other dental professionals, both general and specialty.

Other general dentists in the area are often thankful to know that there is a referral source for them when they have a difficult situation that might best be handled with laser therapy (*Case Study 16-1*), or if your practice is in an area where specialists are too distant for easy access. A simple, professionally written personal letter on your letterhead that introduces yourself and your practice and that describes what you can offer to them to help their patients is often welcome information (*Figure 16-6*). You could offer to have them contact you for more information or extend an invitation for them to see the office and the laser equipment and to further discuss the advantages to their patients. It is always good policy to have a competent referral source. All the reasons discussed earlier for specialty referral apply here. These referrals must be treated in a special manner so that the patient is returned to the referring general practice.

SPECIALISTS

The dental specialists in your referral area are prime candidates for referrals and have many reasons for referring patients

to your practice for procedures best treated with a laser. These specialists and procedures include the following:

Orthodontist

Orthodontically induced gingival hyperplasia

Frenum pulls

Exposure of tooth caused by delayed eruption

Laser fibrotomy before rotating teeth

Periodontist

Periodontal grafts requiring recontouring or debulking

Laser desensitization

Surgical procedures on patients taking warfarin (Coumadin) or other blood thinners who have poorly controlled international normalized ratio (INR)

Prosthodontist

Formation of ovate pontic site for improved cosmetics

Crown-lengthening procedures

Preprosthetic procedures, such as tuberosity/torus reduction

Oral surgeon

Recurrent aphthous ulcers

Herpetic lesions

Pediatric dentist

Frenum release

PHYSICIANS

Physicians are potentially an excellent source of referrals. Many patients must be maintained on blood thinners and are

Case Study

16-1

A 72-year-old patient whose medical history included placement of a pacemaker many years earlier and currently taking warfarin (Coumadin) needed four quadrants of periodontal surgery, which he refused. To restore that patient's upper left lateral incisor, his general dentist needed to perform a soft tissue crown-lengthening

procedure. The general dentist, however, could not perform the procedure with a blade because of the warfarin and could not use his electrosurgical unit because of the possible interaction with the pacemaker. The patient was referred to a laser dentist for laser crown lengthening (*Figure 16-5*).

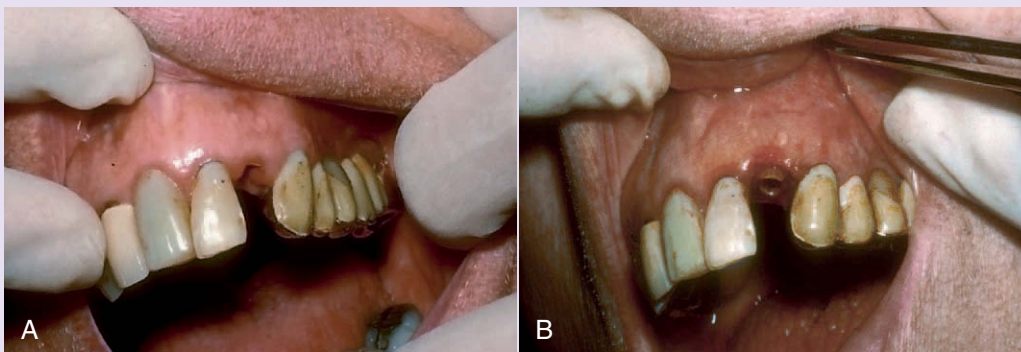


FIGURE 16-5 • Patient with a pacemaker who takes warfarin (Coumadin), in need of laser soft tissue crown lengthening. **A**, Preoperative view. **B**, Postoperative view.

GAINESVILLE DENTAL ASSOCIATES
DAVID M. ROSHKIND, DMD
Family, Cosmetic, and Laser Dentistry

January 15, 20XX

Dear Dr. Smith,

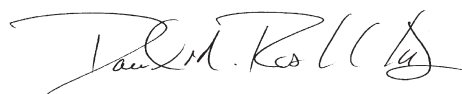
I am pleased to be able to write this letter to you introducing new services that we have now made available to patients throughout the community. You may on occasion have a need for dental laser treatment that is most appropriate for your patient's needs but is not available in your office. There are many reasons why you may wish to refer patients to our practice for a procedure that could best be treated with a laser. One of the most significant reasons is the minimal bleeding that accompanies laser surgery. We are making that service available to your patients on a case-by-case referral basis. These patients will be treated in consultation with your assessment of their needs, treated for only those issues, and then quickly returned to you for their continued care. Some of the procedures that we can assist you with are:

- drug-induced hyperplasia with little bleeding
- desensitization of sensitive teeth
- implant recovery (for patients on blood thinners)
- recurrent aphthous ulcers
- herpetic lesions
- Venus lake/hemangioma removal
- frenum pulls
- frenum release for spacing
- orthodontically induced gingival hyperplasia
- exposure of teeth due to delayed eruption
- frenum release in infants for suckling and speech
- periodontal graft that needs some recontouring or debulking
- lesion removal for patients on blood thinners that can be treated without going off medication
- ridge formation of an ovate pontic form for improved cosmetics

To my fellow general dentists, it is often good to know that there is a referral source when you have a difficult situation that might best be handled with laser therapy or if specialists are too far away to be easily accessed. Any of the above reasons for specialty referral could apply in those situations.

Thank you for your confidence and referrals. Please be assured that these referrals will be treated in a very special manner so that the patient is returned quickly to your practice.

Sincerely,



David M. Roshkind, DMD, MBA, FAGD, MALD

FIGURE 16-6 • Sample letter introducing dentists in the community to laser dentistry, to obtain referrals.

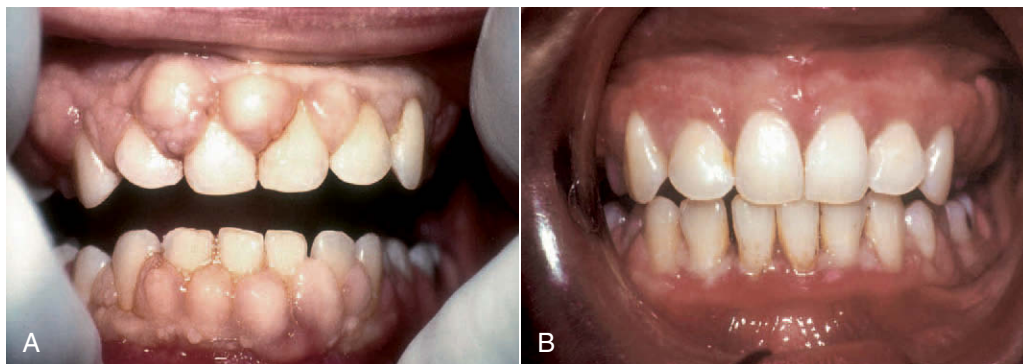


FIGURE 16-7 • A 27-year-old kidney transplant patient who takes both warfarin (Coumadin) and a calcium channel blocker received CO₂ laser treatment for cyclosporine-induced gingival hyperplasia. **A**, Preoperative view. **B**, Two-week Postoperative view.

best treated if they keep taking their medications during dental treatment. Lasers allow them to do this because there is minimal bleeding with laser surgery. Also, cyclosporine, phenytoin (Dilantin), and calcium channel blockers cause gingival hypertrophy, which is most easily treated with a laser. This provides the laser dentist with many physicians to contact for referrals, including the following:

- *Transplant surgeons* prescribe cyclosporine to prevent organ rejection and could refer patients for treatment of cyclosporine-induced gingival hyperplasia. The three transplanted organs that require patients to take cyclosporine are the liver, kidney, and heart (Figure 16-7).
- *Cardiologists, hepatologists, and nephrologists* see these transplant patients regularly and are usually their primary care physicians. These specialists also could refer patients for cyclosporine-induced gingival hyperplasia.
- *Rheumatologists* may be treating patients with severe rheumatoid arthritis who do not respond to conventional treatment and may be using cyclosporine.
- *Dermatologists* may prescribe cyclosporine for patients with severe psoriasis who do not respond to other therapies.
- *Neurologists, neurosurgeons, and possibly pediatricians and primary care physicians* regularly see patients taking phenytoin (Dilantin) for treatment of seizure disorders and for prevention of seizures after neurosurgery.
- Both *primary care physicians* and *cardiologists* prescribe calcium channel blockers to treat hypertension.

Besides sending letters, one of the best ways to alert these specialists in your area is through a hospital Grand Rounds lecture. Hospitals are always recruiting speakers to discuss innovative methods of patient treatment at Grand Rounds. Contact the local hospital and ask to do a presentation on “Laser treatment of phenytoin (Dilantin)-induced [or cyclosporine-induced or calcium channel-induced] gingival hyperplasia.”

CHAIRSIDE CONSIDERATIONS

With the patient in the office for laser-assisted dental treatment, clinicians have several additional considerations. As medical professionals, they carry the ethical and moral responsibility that any treatment performed with a laser should have an outcome at least as good as if the laser were not used. Laser treatment should not be performed only for the sake of using the laser.

INFORMED CONSENT

Proper informed consent is necessary before beginning any dental procedure (Figure 16-8). According to Beckett,¹⁶ an insurance company vice president:

Informed consent represents the intersection of communication and documentation at critical junctures in patient care . . . Informed consent is a *process*, not a piece of paper. In our experience, informed consent is still widely underutilized in dentistry. In many instances where consent forms *are* used, the consent is just a signature at the bottom of a form full of clinical jargon. It's unfortunate there is so much resistance to informed consent, since it pays dividends far in excess of the time it takes to do it right. Most dentists will have occasion to use informed consent procedures and forms at one time or another, so it's worth a few moments' consideration about how to get the most benefit out of the informed consent process with your patients. Informed consent offers the dentist an opportunity to enhance rapport with patients, as well as to create reasonable patient expectations about the desired outcome of a procedure or course of treatment. How best to take advantage of this opportunity? Keep in mind that while you know the issues at hand very well, the same is not true for patients. Most patients don't go through the informed consent process very often, so treat each informed consent discussion as though it is the first for your patient. Don't rush your verbal presentation of information, and try to avoid situations where the patient is expected to read (and understand!) a consent form while you are simultaneously speaking to them. Informed consent is not just about getting a form signed: it involves a process of advising the patient and

CONSENT FORM**Patient's Name:** _____ **Date:** _____**Address:** _____ **DOB:** _____

1. I consent (give my permission) and request the performing, on myself (or my child _____), of the dental or oral surgical procedure known as:

With () local anesthesia () analgesia

2. The doctor has explained this procedure to me in terms that I understand, and has answered any questions I have asked to my satisfaction. Alternative procedures have been offered and discussed and I have chosen to undertake this procedure.

3. I have been informed of the possible risks and complications of treatment including but not limited to:

- ☐ Pain, swelling, bleeding
- ☐ Infection or prolonged healing
- ☐ Numbness, tingling, burning, or altered sensation (feeling) which may be temporary, prolonged, or permanent of the lip, chin, tongue, gums or teeth.
- ☐ Sinus problems resulting from entering into (or displacement of teeth or roots into) the sinus, with possible sinus infection or remaining communication (opening) from the mouth into the sinuses
- ☐ Damage to adjacent teeth, filling, or caps
- ☐ Fracture of bone or roots
- ☐ Muscle soreness or pain in the temporomandibular joint (TMJ)
- ☐ Further procedures that may be necessary to treat any complications
- ☐ Other: _____

I understand that drug reactions or reactions to anesthetics (local and general) may occur and that veins may become inflamed (phlebitis).

I understand that dental medicine and surgery are not exact sciences, and my dentist has explained to me that he cannot guarantee the precise outcome of this procedure nor can he guarantee that a perfect result will be achieved.

Instructions for postoperative care and any necessary prescriptions have been given to me with directions for use.

Signed: _____ Signed: _____

Patient or Legal Guardian

Doctor

Witness: _____

If you have any questions or comments concerning your understanding of this form and its contents, please write them below. If not, please write "No questions."

FIGURE 16-8 • Sample informed consent form.

obtaining voluntary, knowledgeable consent for a procedure. When the process is executed properly, there should be clear documentation of a patient's voluntary consent to treatment. This process can be successfully completed without a form, but using a standard form accomplishes several goals. Several parts of the patient record can and should be used during the informed consent process as they summarize the foundation for the patient's knowledgeable, voluntary decision to accept or refuse care, along with commonly known risks and benefits associated with the patient's choice of treatment."

Some practitioners do not use written informed consent forms for laser treatment, believing that laser treatment is currently the standard of care—and by using a written informed consent form, the dentist is highlighting to the patient that laser treatment is somehow "different" and potentially more dangerous. Other laser dentists insist on written informed consent forms specifically to highlight that laser surgery is "different" and by implication, superior. Some practitioners use standard informed consent forms, whereas other practices use specific laser dentistry informed consent forms. How to approach informed consent is the choice of each practitioner.

RECORDKEEPING

Recordkeeping is essential in any dental practice. Whether the patient has a paper chart or an electronic record, all the details of treatment should be recorded. This can be invaluable for retrospective studies, practice analysis, adverse outcomes, and legal purposes.

All the pertinent laser parameters should be recorded in a legible manner, including the following and any other relevant information or statistics:

- Laser name
- Wavelength
- Power or energy setting in watts (W) or millijoules (mJ)
- Temporal emission mode: hertz (Hz) and duty cycle or continuous wave (CW)
- Approximate time in contact with tissue
- Protective glasses/masks used
- Tip or fiber size

Case Study 16-2 provides an example of a chart entry.

OPERATORY ORGANIZATION

For efficient use of a laser device and to maximize the ROI, the dental treatment room must be well organized and ergonomically designed. A well-planned system for turnover allows attention to the many details between patients. The dental assistant or the laser safety officer can perform these tasks and will become proficient in a short time. Steps that need to be done in minimal time to turn over the laser treatment room include the following:

- Laser shutdown/standby protocol followed
- Laser protective glasses cleaned

Case Study

16-2

Patient presents for removal of fibroma of the right buccal mucosa using a 1064-nm diode laser. Alternative treatments, risks, and benefits were discussed, and informed consent was obtained from the patient. Laser safety glasses were placed on the patient, and one carpule (1.8 mL) of 2% lidocaine with 1:100,000 epinephrine was used for local anesthesia. A 300-μ fiber was attached, cleaved, and test-fired. The settings used were 2.0 W, 50% duty cycle at 20-msec duration. Light contact with the base of the growth was used, with gentle traction from tissue forceps, until the entire lesion was removed, approximately 30 seconds. The open surface of the wound site was then lased in a defocused mode. The patient was given postoperative instructions and scheduled to return for a postoperative check in 1 week.

- Fiber/tip removed and wiped, bagged, and sterilized or disposed of in sharps
- Handpieces and canula wiped, bagged, and sterilized or disposed of in sharps
- Laser unit wiped
- Protective coversheets changed
- New fiber/tip placed and ready to use

LASER MAINTENANCE

The laser user should be familiar with any laser maintenance requirements. Most dental lasers are low maintenance. All lasers should be calibrated periodically and should have mirror alignment checked according to the manufacturer's recommendations or when energy output decreases. Some lasers have self-calibration modes or may come with calibration devices; others need to be professionally serviced by a laser technician, preferably from the manufacturer. If no manufacturer service is available, laser technicians at hospitals may be a good source of service.

LASER SAFETY OFFICER

The laser safety officer (LSO) is the person given the authority to monitor and enforce the control of laser hazards. For U.S. healthcare facilities, the American National Standards Institute (ANSI) defines the position of LSO and its responsibilities in both ANSI Z136.1 and Z136.3. This person should be able to knowledgeably evaluate laser hazards in the facility and propose controls to mitigate them. The LSO's duties are as follows:

- "Keeper of the keys"
- Sets up standard operating procedures
- Understands the operational characteristics of the laser

- Knows output limitations of the device
- Supervises staff education and training
- Ensures laser maintenance, beam alignment, and calibration
- Posts warning signs
- Oversees personal protective wear
- Supervises medical surveillance and incident reporting
- Is familiar with the biological and other potential hazards of the laser
- Knows all regulations of appropriate agencies
- Determines the potential hazard zone and nonhazard zone

ADVERSE REPORTING MECHANISMS

If any adverse effects are noted during or after laser use, to the patient or the staff, there is a protocol to follow for proper reporting. The practitioner is obligated first to address the immediate problem and then, as time allows, report the adverse effect to the manufacturer and necessary regulatory authorities. Which authorities are notified depends on the severity of the problem; the local, state, and federal regulations; and the setting in which the procedure was performed.

If a facility has information that reasonably suggests a device has or may have caused or contributed to a patient's *serious injury*, it must report this information to the device manufacturer. If the manufacturer is not known, the report should be sent to FDA. All individual reports of death and serious injury must be submitted within *10 work days* from the time that any medical personnel of the facility becomes aware of a reportable event on Form FDA 3500A.

LASER REGISTRATION

Many practice owners may not be aware that some states (e.g., Florida, Texas) as well as other government jurisdictions require laser devices to be registered, often with the same agency that registers other radiation devices. Usually, a small fee is levied for each device on the premises. The responsibility of registration could fall under the duties of the LSO or office manager.

CONTINUING EDUCATION

All professionals must also be perpetual students and take continuing education (CE) to further their knowledge and skills. This can be accomplished by attending quality CE courses. Excellent sources of CE in laser dentistry are available through the ALD, the World Federation of Laser Dentistry, and the Society of Oral Laser Applications, as well as through several laser manufacturers. Also, the many excellent laser journals include *Journal of Oral Laser Applications*, dedicated completely to laser dentistry, as well as *Lasers in Medical Science*, *PhotoMedicine and Laser Surgery*, and

Lasers in Medicine and Surgery, covering both medicine and dentistry.

CONCLUSION

It is well established that offices where lasers are incorporated into treatment plans are considered “cutting edge” and have a unique psychological and promotional advantage over those that do not offer such services. There is increased and immediate credibility established as an up-to-date facility, where confidence is more easily attained, where needs are more readily turned into wants, and where trust is more easily established and turned into referrals. However, laser dentistry practices must still follow the basic tenets of sound dental practice management.

Reward and recognize the people who let your patients know about new services, whether financially or a simple “thank you.” Lasers use in dentistry has expanded and improved treatment options. The practitioner must receive proper training, use clinical experience, and proceed within the scope of the practice.

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New developments and technologies have defined dentistry in recent years. The field of *biophotonics* has grown rapidly and become an area of great interest. Research on light emission has been supported and performed by different groups worldwide, focusing specifically on laser research. New lasers with a wide range of characteristics, such as the erbium family of lasers, and many different diode wavelengths are now being used in the various fields of dentistry. It should be noted that most studies focus on minimally invasive treatments.

This chapter presents an overview of new laser technologies for clinical applications in dentistry and updates the use of different types of lasers in surgery, diagnostic testing, and microbiology. Discussions include the potential use of carbon dioxide (CO₂) and alexandrite lasers in surgery, the accuracy and reproducibility of optical coherence tomography in diagnosis, and photodynamic therapy in disinfection and microbial reduction.

OPTICAL COHERENCE TOMOGRAPHY

The history of dental imaging began in the late 1800s with the development of the x-ray image. In 1973, computed tomography (CT) created images by combining x-ray and computer technology to capture thin slices of tissue.¹ After that, magnetic resonance imaging (MRI) allowed soft tissue analysis.

Periapical and cephalometric radiographs have been the most important instruments in dental radiology to detect primary and secondary caries, analyze specific anatomical characteristics and structures, plan surgical procedures in implantology, diagnose possible alterations in the bone, and supervise patient progress. However, disadvantages include the interposition of anatomical parts as well as the potential detrimental effects on biological tissues produced by the ionizing radiation.²

Recent developments in the field of optical engineering offer new optical techniques for biomedical imaging applications.² In addition to the increased availability of compact, modular diode light sources, highly sensitive detectors make

it possible to distinguish very small numbers of photons after they interact with the tissue.

The term *tomography* was first used to describe a sectional radiographic technique in which the x-ray tube moved along in the same plane as the film, but in opposite direction. The image of a selected anatomical plane remains stationary on the moving film while the shadows of all other planes are blurred out of view. The resultant tomographic image is a slice or cross section of the structure. Tomographic images created by CT and panoramic radiography result from the interaction of biological tissues with x-ray photons and represent a selected “layer” or “slice” of the structure using the images recorded.²

Optical coherence tomography (OCT) is a well-established diagnostic imaging technique that has many potential dental applications. OCT is safe, versatile, inexpensive, noninvasive, and readily adapted to the dental office (Figure 17-1). Based on the principles of interferometry, OCT utilizes light from the nonionizing part of the electromagnetic spectrum along with biomedical optics to provide cross-sectional images of tissue up to 3 mm in depth. OCT displays microstructural details that cannot be obtained with current imaging modalities^{1,3} (Figure 17-2). This concept of using light and optics to image biological tissues was first proposed by Duguay in 1971. After its first biological application, by Huang et al.⁴ in 1991, OCT was initially applied to tomographic imaging of transparent tissue in the eye for diagnosing retinal macular disease.⁵⁻⁷

Otis et al.² presented the first intraoral OCT prototype for dentistry in 2000. The system created cross-sectional images by quantifying the reflections of infrared (IR) light from dental structures interferometrically. It consisted of a computer, compact diode light source, photodetector with associated electronics, and handpiece that scanned a fiberoptic cable over the oral tissues. In OCT images the structures appear without the superimposition of other anatomical structures. The final OCT image consists of many axial signal arrays presenting a two-dimensional representation of the tissue reflections. Images can be viewed in real time and stored digitally.^{2,3}

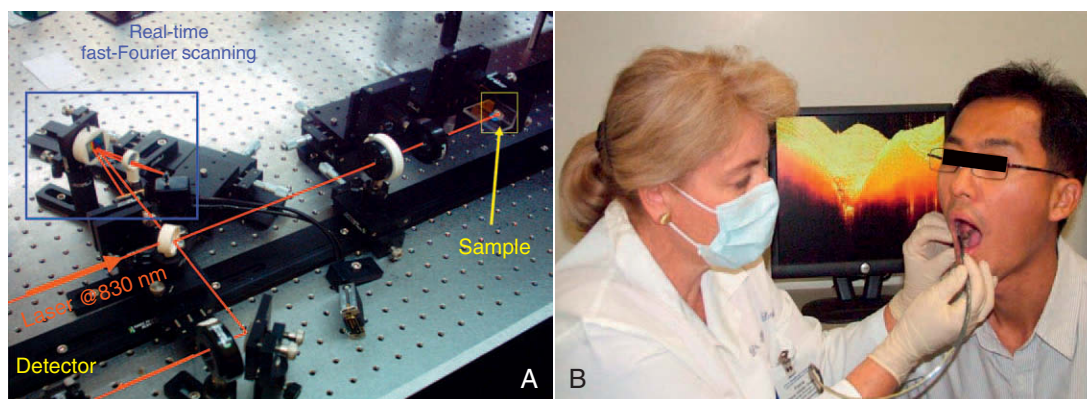


FIGURE 17-1 • **A**, Real-time optical coherence tomography (OCT) imaging prototype. **B**, Real-time OCT imaging with the handpiece probe. (**A**, Courtesy Professor Anderson Zanardi de Freitas; **B**, courtesy Dr. Petra Wilder-Smith.)

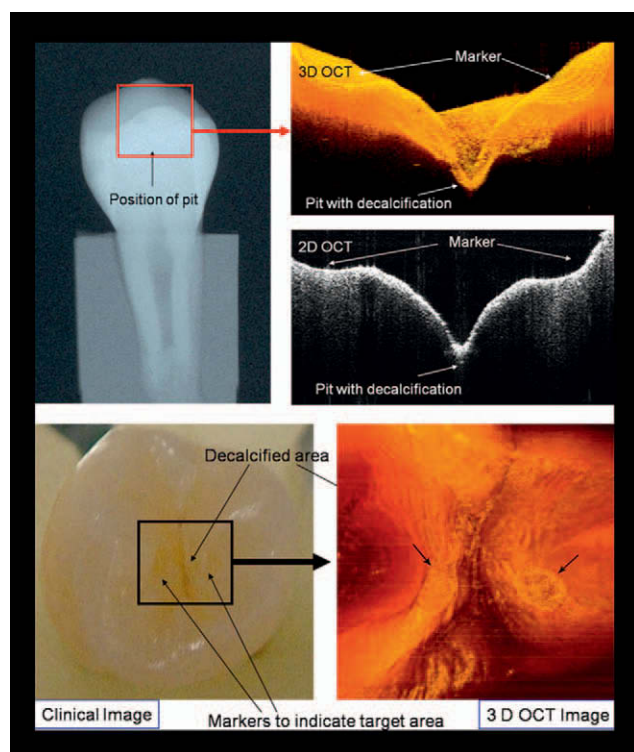


FIGURE 17-2 • Two-dimensional (2D) and three-dimensional (3D) OCT images with clinical intraoral and x-ray comparisons. (Courtesy Dr. Petra Wilder-Smith.)

Although OCT is not yet widely clinically available in dentistry, the technique promises fast technological development.⁸ Applying OCT to other clinically relevant biological structures has been complicated because of optical scattering problems. The oral cavity presents relevant biological tissues close to the surface, so OCT is a promising technique for obtaining images of human dental tissue *in vivo*.

Despite the significant gains in reducing its incidence, dental caries remains the principal cause of tooth loss world-

wide. New treatment approaches emphasize early detection followed by minimal intervention. The most common resource for dental caries diagnosis is the dental radiograph in conjunction with visual and tactile exploration.⁷ However, these routine procedures are not presently precise enough to diagnose early lesions or gaps at the tooth-restoration interface, which lead to secondary caries.⁸ The early detection of restoration failures in the tooth-restoration interface could be the first step in preventing secondary caries formation and development, as well as hypersensitivity of restored teeth, development of pulpal pathology, marginal staining, and the ultimate breakdown of a restoration.

Also, intraoral radiographs are highly sensitive and specific to diagnose primary caries but are less reliable in the detection of recurrent caries around existing restorations.² OCT offers a potentially more sensitive method for detecting recurrent caries. In 2005, de Araujo et al.⁸ showed the potential of OCT in clinical diagnosis compared with x-ray films. The 10-micron longitudinal resolution of the OCT system identified 50 μ of induced gaps. The failure gaps were not shown by conventional radiographs. According to the authors, OCT has the advantage of showing the restored region as well as the gap, precisely localizing its position.

Amaechi et al.⁹ quantitatively assessed the mineral changes in a carious lesion based on the effectiveness of preventive measures to remineralize the lesion at an incipient stage. They monitored the changes over time in the mineral status of the caries by using OCT with a system that could collect A-scans (depth vs. reflectivity curve), B-scans (longitudinal images), and C-scans (transverse images at constant depth). Bovine teeth were subjected to demineralization in acidic buffer solution for 3 days, with images taken before demineralization and after 3 days of demineralization. Whereas the B- and C-scans qualitatively described the lesion, the A-scans showed the depth (mm)-resolved reflectivity (dB) of the tooth tissue and were used for the quantitative analysis. The results showed that R (dB mm) decreased with increasing demineralization time, and that the percentage reflectivity loss ($R\%$) in demin-

eralized tissue (amount of mineral loss) increased with increasing demineralization time, showing that OCT could quantitatively monitor the mineral changes in a carious lesion over the long term.

Prosthetic materials (e.g., metal, composites, ceramic fillings/crowns) have also been imaged with OCT, showing its potential advantage over conventional methods by visualizing structural and marginal restoration defects *before* significant leakage occurred, thus minimizing tooth loss and decreasing replacement restorations.^{2,7} Although accessibility of the probe tip to the area of interest is likely the limiting factor in this application, OCT also easily identifies marginal adaptation of the metal coping to the cavosurface margin and visualizes the internal aspects and marginal adaptation of porcelain and composite restorations.

In periodontics, because of the microstructural detail of the periodontal soft tissues, OCT offers the potential for identifying active periodontal disease before significant alveolar bone loss occurs. Visual recordings of periodontal tissue contour, sulcular depth, and connective tissue attachment are possible. OCT is a powerful method for generating high-resolution, cross-sectional images of oral structures, with *in vivo* imaging studies showing much more structural detail of dental tissues compared with previous measurements.^{2,7}

In endodontics the OCT probe can be used to obtain a detailed microscopic image through the surrounding root canal circumferential wall to the outside cementum layer of the root.¹⁰ The probe can also image the anatomy and cleanliness of the canal walls and measure the exact thickness of the dentinal wall, which helps prevent canal overpreparation and perforation of the canal walls. OCT could also prove extremely useful in the diagnosis of vertical fractures.¹¹

Optical coherence tomography has potential applications in dentistry as a noninvasive method for imaging dental microstructure. The cross-sectional images exhibit microstructural details that cannot be obtained with current imaging modalities. Using this new technology, visual recordings of the diagnosis of very early demineralization and remineralization processes, tooth defects and restorative failures, periodontal disease, soft tissue dysplasias, precancerous lesions, and root canal anatomy are possible. OCT is a diagnostic aid that could meet the dental challenges of prevention and early intervention.

ALEXANDRITE LASER

The alexandrite laser is a solid-state laser employing a gemstone called alexandrite.¹² The alexandrite laser (chrysoberyl $[\text{BeAl}_2\text{O}_4]$ doped with chromium ions $[\text{Cr}^{3+}]$) has been a commercially available laser system for medical applications¹³ and can be pumped with pulsed flashlamps, continuous arc lamps, or laser diodes.¹⁴ Its primary wavelength is 752 nm. A tuning range from 710 to 820 nm is possible under optimum operating conditions. A frequency-doubled alexandrite laser (2 ω) is

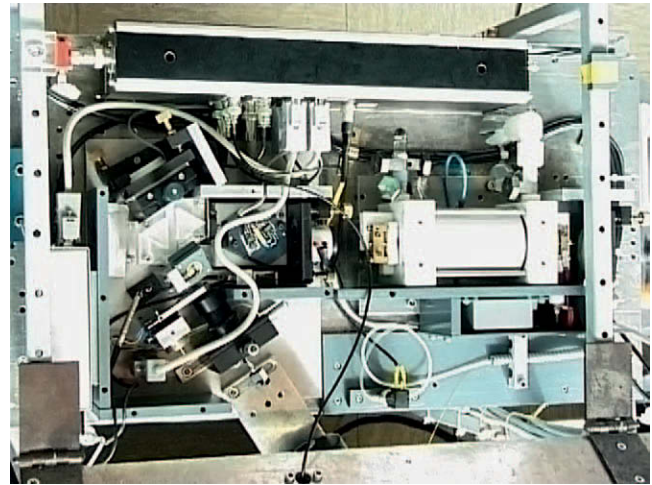


FIGURE 17-3 • Components of an alexandrite laser. (Courtesy Dr. Peter Rechmann.)



FIGURE 17-4 • Alexandrite laser prototype. (Courtesy Quanta System SpA.)

possible using a converting medium. A barium beta-borate ($\beta\text{-BaB}_2\text{O}_6$; BBO) crystal is used to create frequency doubling from 752 to 376 nm. At this wavelength, there is good absorption in water and hydroxyapatite, which may be exploited for preparation of dental hard tissue¹⁵⁻¹⁹ (Figures 17-3 and 17-4).

In medicine, alexandrite lasers have been used especially for dermatological procedures, such as professional and traumatic tattoo removal, nevus of Ota removal, leg vein treat-

ment, and hair removal.²⁰⁻²³ For hair removal, its clinical use is well established because of its suitable wavelength, which is in the midrange of the melanin-absorbing spectrum and targets the melanin of the hair.²¹

In dentistry, use of the alexandrite laser has been evaluated in different specialties (e.g., periodontics, endodontics) for amalgam tattoo removal and also for hard tissue removal. In 1991 and 1992, Rechmann, Hennig, and co-workers^{18,24} first demonstrated that a fast and effective ablation of carious tooth structure was possible using a frequency-doubled Alexandrite laser (377 nm, 100-200-nsec pulse duration, pulse repetition rate up to 110 Hz). In 1994, Jennett et al.²⁵ studied the use of the alexandrite laser (750 nm; 200 μ sec/pulse, 700 mJ/pulse, 350 J/cm² at the surface, 0.25 Hz). Knoop hardness and scanning electron microscopy (SEM) studies were performed on the treated samples. The dye of choice was indocyanine green (ICG) because its absorption peaks lies directly in the alexandrite wavelength spectrum, with three concentrations tested (0.5%, 1.0%, 1.5%). The authors observed no signs of ablation when dye was not used with the wavelength of 750 nm. The deepest crater was obtained with the 1.5% ICG concentration; craters showed no evidence of carbonization. The hardness analysis suggested that the laser significantly decreased the enamel hardness surrounding the irradiated zone. In the lased enamel, mean values of hardness decreased 6.16%. The authors concluded that long-term studies will be needed to substantiate the clinical significance of these findings. During the ablation, temperature recordings showed no significant change in temperature at the pulp chamber. Interestingly, without dye, no cavitation occurred, and there was a temperature rise of 3° C to 4° C at the pulp cavity. Apparently, both wavelengths could remove hard tissues, but only with the use of a dye in the 750-nm wavelength case.

In 1995, Rechmann and Hennig²⁶ first reported that the frequency-doubled alexandrite laser (337 nm, 100 nsec, Q-switched) could selectively remove dental calculus without ablating the underlying enamel or cementum. Based on the difference in the absorption between dentin and subgingival calculus, they assumed that the wavelength of the alexandrite laser may be favorable for selective calculus ablation. Their study revealed that the alexandrite laser at fluence of 1 J/cm² and pulse repetition rate of 55 Hz, under water cooling, could selectively ablate supragingival and subgingival calculus. With these parameters, the alexandrite laser causes no morphological damage to enamel surface or root cementum,¹⁶ although extremely slight compositional change (e.g., minimal reduction of amide II band) was detected in the lased cementum by Fourier-transformed infrared (FTIR) spectroscopy.²⁷ Also, during calculus removal, alexandrite lasers could selectively remove unstained microbial plaque.¹⁵

Rechmann et al.²⁸ also demonstrated that there was no pulp damage after the removal of calculus with the alexandrite laser at 1.5-6 J/cm² and 70 Hz (pulse duration 1 μ s) with water cooling in dogs. Pilgrim et al.¹⁹ strongly support the use

of the frequency-doubled alexandrite laser for calculus removal (377 nm, 1 μ sec, 70 Hz, water cooling), even though the mechanism of selective ablation has not yet been clarified. The development of this laser for clinical use is widely expected because of its excellent ability to remove calculus selectively from the tooth or root surface without ablating the tooth structure. However, the use of light in the ultraviolet (UV) part of the spectrum is a concern, and further studies are required to demonstrate the safety and effectiveness of this frequency-doubled alexandrite laser in clinical practice and to develop a laser apparatus appropriate for clinical use.²⁹

In endodontics, Jelinkova et al.³⁰ evaluated the effect of the alexandrite laser (0.75 μ ; 250 mJ, 1 Hz, 30 pulses) on root canal bacteria reduction. Alexandrite laser radiation could spread through the canal system space and into the surrounding dentinal tissues, with the capability of effectively killing bacteria: 100% of *Nocardia asteroides* and *Micrococcus albus*, 60% of *Streptococcus sanguinis*, and 40% of *Lactobacillus* species. Temperature rise was not measured. Dostalova et al.³¹ verified the effect of the frequency-doubled alexandrite laser (375 nm; 1 Hz, spot size 320 μ ; number of pulses 200; energy 1 mJ) in root canal cleaning and morphology. SEM revealed that lased samples had open dentinal tubules with no cracks or surface modifications. The study verified that the alexandrite laser could be useful for cleaning the root canal system. Further studies should evaluate temperature increase on the root surface. The best methodology to perform the irradiation on root canal systems should also be considered.

In the field of dental esthetics, Jelinkova et al.³² tested alexandrite lasers (750 nm) with a laser-activated bleaching agent on discolored teeth. The alexandrite laser with a bleaching agent helped to reach the desired tooth shade after shorter exposure time (400 sec) than the neodymium-doped yttrium-aluminum-garnet (Nd:YAG) laser, with no adverse effects. No cracks or essential surface modifications were observed.

Shah and Alster³³ have described the removal of amalgam tattoos in mucosa by Q-switched alexandrite lasers (755 nm; 5.5 J/cm² applied through 3-mm collimated handpiece). Significant lightening of the tattoo was noted after the third treatment. The wavelength of 755 nm has been touted as more advantageous than the 694-nm ruby laser for tattoo removal because it tends to cause less epidermal tissue destruction as a result of decreased absorption of its longer wavelength by epidermal melanin. The slightly longer wavelength of the alexandrite laser allows less melanin absorption and deeper tissue penetration, thereby reducing skin pigment changes and increasing absorption by the actual tattoo pigment.²⁰ As a result, the incidence of unwanted side effects, such as permanent hypopigmentation, is minimized with Q-switched alexandrite laser treatment.³³ The features of alexandrite lasers allow rapid treatment sessions with minimal discomfort.²⁰

Although the advantages and technical features of a compact, pulsed, frequency-doubled alexandrite laser system have been studied for enamel/dentin preparation, soft tissue vaporization/coagulation, and sterilization,³⁴ little research

is available on dental applications of alexandrite lasers. Additional study is necessary to determine the potential of laser scaling,²⁹ as well as safe protocols for each clinical application. Also, the concern about UV light requires further studies to demonstrate the clinical safety of this laser.³⁵ However, based on current knowledge,²⁰ the alexandrite laser can selectively remove calculus from the tooth or root surface without ablating the tooth structure and seems to be a promising clinical tool.

PHOTOACTIVATED DISINFECTION AND MICROBIAL REDUCTION

The reduction of microorganisms is the main goal of various procedures in daily dental practice, especially in the treatment of root canal and periodontal tissue. Protocols that provide significant microbial reduction have established this modality as a coadjutant to the treatment of dental infections, especially in patients with resistant microorganisms and anatomical complications.

The temperature increase resulting from high-intensity laser irradiation can cause protein denaturation and can destroy microorganisms, with high decontamination indexes.³⁶ Low-level laser therapy (LLLT) is not capable of increasing tissue temperature,³⁷ and one cannot expect the same antimicrobial effects when LLLT is used as the sole clinical modality.³⁸ Despite this, low-intensity lasers have been studied and introduced clinically for microbial reduction. Their antimicrobial effect is achieved by the association of low-power lasers to extrinsic photosensitizers, which results in highly reactive oxygen species (ROS).³⁹ These cause damage to cell membranes, mitochondria, and DNA,⁴⁰⁻⁴² and microbial destruction is inevitable. This process is called *photoactivated disinfection* (PAD), also called photodynamic therapy (PDT), photochemotherapy, and lethal photosensitization (see also Chapter 15).

The antimicrobial capacity of PAD has been used to improve microbial reduction during conventional therapy in periodontics, endodontics, restorative dentistry, and implantology.⁴³⁻⁴⁶ Viral inactivation and treatment of herpes simplex virus type 1 (HSV-1) have also been reported.⁴⁷

Photoactivated disinfection presents various advantages over traditional antimicrobial agents. PAD promotes faster microbial killing, and there is no need to maintain higher concentrations of photosensitizer in the infected area, as with antiseptics and antibiotics.⁴⁸ The main advantage is attributed to its local action; PAD affects microorganisms at the site of photosensitizer deposition exclusively, whereas systemic drugs have action throughout the body.⁴⁹ Moreover, PAD does not damage or alter adjacent structures, such as periodontal and periapical tissue, even when higher concentrations of photosensitizer and higher energy densities are used.⁵⁰

For the effective treatment of bacterial infections, it is paramount to have an adequate light source and a photosensitizer capable of binding to the target pathogen, so that photosensitization may occur in either subgingival or superficial oral tissues. The most frequently used light source for photosensitization in dentistry is the low-power laser because it (1) presents a narrow spectral band that enables a more specific interaction with photosensitizers, (2) can be coupled to optical fibers, and (3) does not cause increased tissue temperatures, as observed with polychromatic light sources.^{51,52} The use of light-emitting diodes (LEDs) has also been reported.⁵³

Several photosensitizers are available for PAD; however, disinfection of oral pathogens generally necessitates the use of cationic-charged photosensitizers, such as toluidine blue, methylene blue, and poly-L-lysine-chlorin-e6 conjugates.^{54,55} The interaction between photosensitizers and microorganisms occurs within a few minutes, and this period (incubation or preirradiation time) must be respected before laser irradiation begins.^{39,55}

Disadvantages of PAD include lack of standardization and absence of an established protocol. Researchers have only started to evaluate the antimicrobial action of PAD, so much remains to be elucidated regarding the ideal light source, the most adequate photosensitizer for each type of bacteria and target tissue, and the proper energy density and power settings. Despite this, protocols adopted from in vitro and in vivo studies have presented safe and favorable scientific results that already enable the clinical application of PAD.

INFLUENCE OF PULSE DURATION ON HIGH-INTENSITY LASER APPLICATION

High-intensity lasers are frequently used in the daily practice of dentistry. The variety of wavelengths and their interaction with the different chromophores allow their application for many purposes, in either oral soft or hard tissues. The results depend on numerous parameters, including wavelength, pulse energy or fluence, pulse duration, and repetition rate. Laser ablation of hard tissue and selective removal of dental caries have attracted attention because these are considered safe procedures⁵⁶ that reduce pain^{57,58} and significantly decrease the noise and vibrations of a conventional drill.⁵⁹

Laser interaction with dental hard tissue may result in efficient and safe removal of compromised dental structure. This condition is achieved when lateral thermal and mechanical damage and final surface characteristics are strictly taken into account.

Recent study has focused on the influence of pulse duration on the ablation process. The development of high-technology laser devices now allows the selection of pulse duration in the range of microseconds (1 μsec = one millionth [0.000001] of a second), nanoseconds (1 nsec = one billionth [10^{-9}] sec), and lately, picoseconds (1 psec = one trillionth

[10^{-12}] sec) and femtoseconds (1 fsec = one quadrillionth [10^{-15}] sec). The equipment includes different wavelengths in UV, visible, and IR regions of the electromagnetic spectrum, such as 2940 nm (Er:YAG laser in free-running and Q-switched modes), 9300 nm (TEA CO_2 laser), 9600 nm (CO_2 and TEA CO_2 lasers), 10,600 nm (CO_2 laser), 308 nm (XeCl laser), 2780 nm (Er,Cr:YSGG laser), 1064 nm (Nd:YAG laser with regenerative amplifier [RGA] system), and 425 nm (low-power visible femtosecond laser).⁶⁰⁻⁶⁴

Considering that the increase in temperature and the peripheral thermal damage may provoke fracture, cracking, structural breakdown or melting in dental hard tissue⁶⁵⁻⁶⁸ and healing inhibition and necrosis in bone, it is assumed that high-power pulses, with pulse durations shorter than the thermal relaxation time, are necessary to avoid thermal denaturation of the tissues adjacent to the irradiated surfaces.^{62,69} Longer pulse durations are thought to be responsible for inducing damage and thermomechanical stress to tissue, because they allow thermal energy to accumulate and penetrate deeply.⁷⁰

Studies on pulse duration in the ablation process indicate that not only does the threshold energy for ablation decrease,⁷⁰ but that the morphological shapes of the crater are altered when ultrashort pulses are used.⁷¹⁻⁷³ Although lasers with ultrashort pulse duration are being developed (fsec), pulses in the μsec range are already considered to be extremely short.⁷⁴ The tissue relaxation time for enamel is 100 μsec , and super-short pulse (SSP) durations (50 μsec) are already sufficient for precise ablation.⁶⁰ Pulses with 100- μsec duration (very short pulses, VSPs) are considered the standard for routine work, as well as short pulses (SPs), with 300 μsec . Pulse durations of 700 μsec (long pulses) and 1000 μsec (very long pulses) are indicated for soft tissues since the residual thermal energy provides coagulation. Because of the reduction of pulse duration to picoseconds and nanoseconds, studies are now using wavelengths not previously used for dental tissue ablation (e.g., Nd:YAG lasers).⁷⁵⁻⁷⁸

Use of shorter pulse duration and higher energy intensity accelerates the ablation process.⁷⁹ This results from the quicker vaporization of the water present in the irradiated tissue, which causes the rapid microexplosion of the water molecules and removal of hard tissue structures.^{80,81} In this case, ablation efficacy is improved; reduced residual thermal damage is induced because of the minimization of heat diffusion; enamel acid resistance for caries is increased^{82,83}; and less vibration is provoked. The result is a reduction in painful stimulus to the pulp and greater patient comfort and treatment acceptance.⁸⁴

CARBON DIOXIDE LASERS

The CO_2 laser is a laser based on a gas mixture that contains CO_2 , helium (He), nitrogen (N_2), and possibly some hydrogen (H_2), water vapor, and xenon (Xe). Such lasers are electrically

pumped by gas discharge. N_2 molecules are excited by the discharge into a metastable vibrational level and transfer their excitation energy to the CO_2 molecules when they collide. Helium serves to depopulate the lower laser level and to remove the heat. Hydrogen and water vapor can help to reoxidize carbon monoxide (CO) formed in the discharge to CO_2 . These lasers typically emit at a wavelength of 10.6 μ (10,600 nm), but there are other types in the region of 9 to 11 μ , particularly at 9.6 μ (9600 nm).

Laser systems such as the CO_2 permit very high energy radiation to be focused on a tiny spot and have found applications in many dental specialties. For dental applications, all CO_2 lasers work in a noncontact mode and can be operated in continuous-wave (CW) or pulsed beam.⁸⁵

LASER TYPES AND APPLICATIONS IN DENTISTRY

The three main CO_2 laser wavelengths used in dental procedures are the 9.3, 9.6, and 10.6 μ (9300, 9600, and 10,600 nm). Even with such similar wavelengths, the absorption by biological tissues is different, and therefore the clinical applications may vary. Dental mineral tissues (enamel and dentin) have weak absorption in the visible (400-700 nm) and near-IR (1064 nm) spectrum.^{86,87} CO_2 lasers are well absorbed by biological tissues because they are highly absorbed by water and, in the case of the 9.6- μ wavelength, interact strongly with apatite absorption bands, mainly with phosphate and carbonate groups. Therefore, the 9.6- μ CO_2 laser can be used for the management of both hard and soft tissues.

For dental procedures, the *transverse excited atmosphere* (TEA) CO_2 lasers have a very high gas pressure and a series of electrodes and gas inlets along the tube. TEA lasers are operated only in pulsed mode (gas discharge not stable at high pressures) and are suitable for average powers of tens of kilowatts, but they require a different design of the optic cavity and thus are more expensive and difficult to operate. However, the TEA laser is the most efficient CO_2 laser for hard tissue removal and operates in a repetition rate of a few Hz with pulse durations of 0.1 to 0.2 msec, resulting in peak powers in the range of gigawatts.⁸⁶ This wavelength has been widely reported to ablate dental enamel because it produces radiation in the IR region that coincides closely with some of the apatite absorption bands.⁸⁸ Conventional CO_2 lasers emit light at 10.6 μ , which is also strongly absorbed by the mineral. However, the absorption of the wavelengths at 9.3 μ and 9.6 μ is an order of magnitude higher than that for the conventional 10.6- μ CO_2 laser.⁸⁸

The 9.3- μ CO_2 Laser

Results of using the 9.3- μ CO_2 laser on hard tissues (enamel and dentin) show promising clinical applications for this wavelength.^{89,90}

Studying the effects of CO₂ lasers on dental enamel morphology, McCormack et al.⁸⁹ demonstrated that surface changes can be produced at low fluences if the wavelengths used are efficiently absorbed by the hard tissues. Exposure included an extensive range of CO₂ laser conditions (9.3, 9.6, 10.3, and 10.6 μ), with 5, 25, or 100 pulses at absorbed fluences of 2, 5, 10, or 20 J/cm² and pulsewidths of 50, 100, 200, 500 μ sec. Longer pulses at constant fluence conditions decreased the extent of surface melting and crystal fusion, and the total number of laser pulses delivered to the tissue did not significantly affect surface changes as long as at least 5 to 10 pulses was used. Within the wavelengths of the CO₂ laser, differences in the observed surface changes of the enamel were dramatic. On dentin, the effects of CO₂ laser at 9.3 μ showed no craters or cracks but many small, molten and rehardened particles on the surface, suggesting that laser irradiation affected only the dentin surface (<20 μ) and would be less harmful to dental pulp for dentin ablation.⁹¹

Both 9.3- μ and 9.6- μ CO₂ lasers have been extensively studied for caries prevention. Several studies in the last 30 years have demonstrated the potential of laser pretreatment of enamel or tooth roots to inhibit subsequent acid-induced dissolution or artificial caries-like challenges in vitro.⁹²⁻⁹⁴ The overall objective is to determine the optimum parameters for CO₂ laser irradiation that will effectively inhibit dental caries (primary and secondary) in enamel and dentin. Featherstone et al.⁹² reported that CO₂ laser inhibition of artificial caries-like lesions in dental enamel ranged from 40% to 85% for all the laser conditions tested, comparable to inhibition with daily fluoride dentifrice treatments, with minimal subsurface temperature elevation (<1° C at 2-mm depth).

Use of CO₂ lasers on cavity preparation can result in caries-preventive effects on the prepared tooth structure, decreasing the demineralization process after restorative procedures (prevention of secondary caries).^{82,93,95} Fried et al.⁸² conducted dissolution studies of bovine dental enamel surfaces modified by high-speed scanning ablation with the 9.3- μ TEA CO₂ laser. TEA CO₂ lasers tuned to the strong mineral absorption of hydroxyapatite near 9 μ are well suited for the efficient ablation of dental hard tissues if the laser pulse is stretched to greater than 5 to 10 μ sec, to avoid plasma shielding phenomena. Moreover, TEA CO₂ lasers, as mentioned previously, can be operated at very high repetition rates and are inherently less expensive and more versatile than erbium lasers. An enamel surface with enhanced resistance to acid dissolution is produced after CO₂ laser ablation if sufficiently high scanning rates are used, with or without a water-spray. The 9.3- μ CO₂ laser may even have greater potential for caries prevention than topical fluoride.⁹⁵

Wilder-Smith et al.⁹⁶ investigated the surgical and collateral effects of the 9.3- μ CO₂ laser on soft tissue, specifically the incision width and depth as well as effectiveness. Incision depths correlated positively with average power; higher powers produced deeper incisions. The authors also verified that collateral damage to adjacent tissues was related to the laser

pulse-emitting mode. Multiple factors were found to influence the outcome of laser irradiation at 9.3 μ . A wide range of surgical and collateral effects can be achieved with one specific laser device, depending on the parameters or configuration selected.

Although 9.3- μ CO₂ wavelength reveals benefits and promising applications in different specialties of dentistry, there is still a lack of studies in vivo to support its use in clinical practice.

The 9.6- μ CO₂ Laser

The 9.6- μ CO₂ laser has been investigated for different applications in dentistry, such as cavity preparation and caries prevention (restorative dentistry), root canal treatment (endodontics), and also for the management of bone and soft tissues (oral surgery).

Although caries-preventive studies have used different CO₂ laser wavelengths, 9.6 μ seems to be the wavelength of choice. To produce similar caries-inhibitory effects using a 9.6- μ laser and a 10.6- μ laser, a 14-fold increase in the energy density is necessary when the 10.6- μ (10,600-nm) wavelength is used.⁸⁸ Pulsed lasers provide a method of increasing the peak power density while keeping the pulse energy density at low levels (hundreds of mJ/cm²), thereby minimizing the cumulative energy deposition.^{86,97} This means that changes such as fusion, melting, carbonate loss, and recrystallization of enamel crystals can be confined to a thin surface region without affecting the underlying dentin or pulp.⁸⁸

Viscovini et al.⁹⁸ reported another alternative for caries prevention not yet explored—the use of waveguide CO₂ lasers, operating at high repetition rates (kHz), with pulses in the 100-msec duration and low peak powers (100 W). The advantage would be the simplification of the technology and low cost of this system compared with the TEA CO₂ laser system.

Observations of CO₂ laser effects on dental enamel morphology revealed evidence of melting, crystal fusion, and exfoliation in a wavelength-dependent manner.⁸⁹ Crystal fusion occurred at absorbed fluences as low as 5 J/cm² per pulse at 9.3- μ and 9.6- μ wavelengths, in contrast to no crystal fusion at 10.6 μ . Longer pulses at constant fluence conditions decreased the extent of surface melting and crystal fusion.

Slutzky-Goldberg et al.⁹⁹ examined the effect of 9.6- μ CO₂ laser energy on the microhardness of human dental hard tissues (enamel and dentin) compared with that of high-speed drill cavity preparation, to determine the applicability of this laser in clinical treatment. They concluded that the clinical use of 9.6- μ CO₂ laser energy for cavity preparation on dentin requires further analysis. Also, the effect of CO₂ lasers on dentin permeability was shown to be a promising therapy in the clinical setting.⁹⁹

Studying the thermal effects of the 9.6- μ wavelength during hard tissue (dentin) removal, Nair et al.¹⁰⁰ investigated the

short-term and long-term pulpal effects of cavity preparations in healthy human teeth. Although these preliminary histological results suggest that the laser induced only minimal response of the dentin-pulp complex when used as a hard tissue drilling tool (with specific energy settings, pulse duration within thermal relaxation time), larger clinical trials involving various types of teeth are necessary to reach definitive conclusions for broad clinical application of this laser for clinical procedures.

For the laser to be accepted, a histological analysis using a 9.6- μ CO₂ laser showed that its effects on dental pulp tissues must be similar or less noxious than those caused by the high-speed drill. This animal study also showed that lasers produced no noticeable damage to the pulp and appeared to be a safe method for removing dental hard tissues.¹⁰¹

Investigations on laser-induced thermal decomposition of dental enamel have demonstrated a reduction in the rate of acid dissolution, size of artificial caries-like lesions, and acid reactivity.^{92,97,102} Additionally, researchers have correlated the loss of carbonate from dental enamel with a reduction in acid dissolution. Dental mineral consists of hydroxyapatite with many substitutions, primarily carbonate (3%-5% by weight), which greatly affects acid reactivity. Zuerlein et al.¹⁰³ determined the precise depth of modification (i.e., thermally induced decomposition) of dental enamel (carbonate loss) at the predicted optimum laser irradiation parameters. The depth of modification is consistent with the model that incorporates the absorption depth and thermal relaxation time/pulse duration. However, repeated irradiation is required for complete removal of carbonate.

Carbon dioxide laser applications in oral surgery and implant dentistry have also been reported. For some indications, laser treatment has become the state of the art compared with conventional techniques. A review of the literature reports that a major development was the introduction of the 9.6- μ CO₂ laser.¹⁰⁴ This laser can preserve tissue with almost no adverse effects and has been used to treat premalignant lesions, for intraoperative PDA, and for periimplant care, reportedly better than conventional methods. However, further studies are needed to assess standard protocols.

For application in endodontics, a comparative study of dentin permeability after apicoectomy and surface treatment with 9.6- μ TEA CO₂ and Er:YAG laser irradiation showed a reduction in permeability to methylene blue dye for both wavelengths.¹⁰⁵ This clinical application is important because the failure of apicoectomies is generally attributed to dentin surface permeability, as well as the lack of an adequate marginal sealing of the retrofilling material, which allows the percolation of microorganisms and their products from the root canal system to the periodontal region, compromising periapical healing. Application of pulsed CO₂ laser radiation on root canals with AgCl fibers can open dentin tubules and fuse hydroxyapatite; however, further development in fiber technology is necessary to achieve predictable results.¹⁰⁶

The 10.6- μ CO₂ Laser

Unlike the other CO₂ wavelengths, the 10.6- μ CO₂ laser has been studied not only *in vitro* but also *in vivo*, because the system is more commercially available for clinical use.

In the field of caries prevention, many studies have been conducted and verified the potential effect of 10.6- μ CO₂ laser irradiation on the reduction of enamel solubility¹⁰⁷⁻¹¹¹ without compromising pulp vitality.¹⁰⁹

The 10.6- μ CO₂ laser has been extensively used in oral surgery for soft tissue management (periodontal tissue, oral mucosa). The effects on oral soft tissue disease showed some advantages to the CO₂ laser, such as a greatly reduced operating time, simpler procedure, decreased postsurgical infection, and decreased or eliminated wound contracture and wound scarring (in soft tissue preprosthetic surgery).¹¹²

Many authors have referred to the CO₂ laser as an important tool in the treatment of oral lesions, such as the management of carcinomas, multiple superficial carcinomas,¹¹³ premalignant lesions,¹¹³⁻¹¹⁷ and hemangiomas.^{115,118} The CO₂ laser allows precise excision of the lesion and involved mucosa and provides an excellent specimen for histological verification of the margins.^{113,119}

Evaluating the effects of the CO₂ laser on underlying bone tissue irradiated during biopsy procedures, Krause et al.¹²⁰ verified that all specimens, regardless of tissue composition, energy density, or number of energy beam passes, exhibited a distinct layer of residual carbonized tissue, a zone of thermal necrosis characterized by tissue coagulation, and a zone of tissue exhibiting thermal damage. On the other hand, Frentzen et al.¹²¹ showed that histologically, an osteotomy using 80- μ sec CO₂ laser pulses resulted in only minimal damage to bone ablated at the specified parameters, and that this laser procedure might have advantages over mechanical instruments.

Pinheiro et al.¹²² investigated a possible means of reducing the thermal damage during CO₂ laser surgery of the oral mucosa. Tissue damage was evaluated by studying changes in mast cells and in the activity of lactate and succinate dehydrogenase. Results revealed that uncooled laser wounds, but not precooled laser wounds, were associated significantly with greater levels of immediate mast cell degranulation than scalpel wounds.

Lin et al.¹²³ reported on the effect of the 10.6- μ CO₂ wavelength on tooth permeability, using a CW laser and a newly developed DP-bioactive glass paste (DPGP) to fuse or bridge tooth cracks or fracture lines. Both the DPGP and tooth enamel have strong absorption bands at 10.6 μ . Therefore, under CO₂ laser irradiation, DPGP and enamel should both have an effective absorption and melt together. Morphological analysis revealed that the melted masses and the platelike crystals formed a tight chemical bond between the enamel and DPGP. This technique of DPGP associated with laser irradiation is expected to be an alternative to the treatment of tooth cracks or fractures, but further studies are required to confirm this hypothesis.

CONCLUSION

Current laser research focuses on optical coherence tomography (OCT) in dental diagnosis, new dental applications for the alexandrite laser, and photoactivated disinfection in daily practice. Carbon dioxide lasers, including the prototype of the TEA, have also shown to be effective in many fields of dentistry, with advantages such as less bleeding, selective removal of tissue, short operating time, and reduced postoperative pain. Future studies will show the feasibility of using these new technologies as everyday tools in many clinical applications. Other wavelengths, such as the erbium lasers, have also been widely investigated but are not the object of this chapter.

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